



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING
June 18, 2014 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
Dan Maher, President
2. Approval of Minutes of May 21, 2014
3. Public Comment on Pending Agenda Items
4. Program Status Report
Chuck Etwert, Chief Supervisor
5. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
6. Design and Construction Update
Jay Martin, AMEC Environment & Infrastructure
7. Agreements with Ameren Illinois
BP #7B Cutoff Wall and BP #6
Seepage Berm
Chuck Etwert, Chief Supervisor
8. Update from Corps of Engineers
COL Hall, U.S. Army Corps of Engineers
9. Public Comment

Executive Session (if necessary)
10. Real Estate Transactions
Chuck Etwert, Chief Supervisor
11. Other Business
12. Adjournment

AGENDA

Next Meeting: July 16, 2014

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING

May 21, 2014

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday May 21, 2014.

Members in Attendance

Dan Maher, President (Chair, St. Clair County Flood Prevention District)
John Conrad, Vice President (Chair, Monroe County Flood Prevention District)
Alvin Parks, Jr., St. Clair County Flood Prevention District
Ron Motil, Madison County Flood Prevention District
Bruce Brinkman, Monroe County Flood Prevention District
Ronald Polka, Monroe County Flood Prevention District

Members Absent

James Pennekamp, Secretary/Treasurer (Chair, Madison County Flood Prevention District)
Paul Bergkoetter, St. Clair County Flood Prevention District
Tom Long, Madison County Flood Prevention District

Others in Attendance

Alan Dunstan, Madison County Board Chair
Mark Kern, St. Clair County Board Chair
Delbert Wittenauer, Monroe County Board Chair
Chuck Etwert, SW Illinois FPD Council
Gary, Andruska, U. S. Army Corps of Engineers
Rich Conner, Levee Issues Alliance
Mike Feldmann, U.S. Army Corps of Engineers
Gary Hoelscher, Millennia Professional Services
Charles Juneau, Juneau Associates Inc.
Joe Kellett, U.S. Army Corps of Engineers
Tracey Kelsey, U.S. Army Corps of Engineers
Steve Kochan, Wood River Levee & Drainage District
Ellen Krohne, Leadership Council Southwestern Illinois
Jay Martin, AMEC Environment & Infrastructure
Rick Mauch, SCI Engineering
Jamie McVicker, U.S. Army Corps of Engineers
Jack Norman, Southern Illinois Groundwater Advisory Council
Jon Omvig, AMEC Environment & Infrastructure
Joe Parente, Madison County
Cas Sheppard, SMS Engineers
Brennen Soval, Husch Blackwell LLP
Dale Stewart, Southwestern Illinois Building Trades Council

Steve Tomaszewski, Congressman Shimkus
Jeff White, Columbia Capital Management
Julie Ziino, U.S. Army Corps of Engineers

Call to order

President Dan Maher noted the presence of a quorum and called the meeting to order at 7:30 am.

Approval of minutes of April 16, 2014

A motion was made by Bruce Brinkman, seconded by Ron Motil, to approve the minutes of the Board meeting held on April 16, 2014. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – absent
Mr. Pennekamp – absent

The motion was approved.

Public Comment on Pending Agenda Items

Mr. Maher asked if there were any comments from the public on any agenda item on today's agenda. There were none.

Program Status Report

Mr. Maher asked Mr. Etwert to provide a status report for the project.

Mr. Etwert indicated that the process of acquisition of easements needed to complete the projects that have been awarded continues to move along, unfortunately slowly. Easements or property acquisitions are still needed in BP #3 (9 owners for 15 parcels), BP #5 (1 owner for 1 parcel) and BP #6 (2 owners for 3 parcels) for a total of 12 owners involving 19 parcels. The twelve owners can be broken down as 4 individuals, 3 railroads, 3 corporations and 2 governmental units. With all three of these packages already having been awarded, it is imperative that agreements with these property owners be reached as soon as possible before contractors start requesting change orders due to delay of site access. He indicated that there would be an executive session at the end of the meeting to discuss one or two situations.

It was stated that with the better weather the last couple of months, approximately 18% of the \$39 million of construction involved in our six current construction bid packages has been completed thru April 30th.

Mr. Etwert indicated that at last month's meeting, the Corps' request for cost-share funding for three projects in the East St. Louis and Wood River Districts was tabled and he was instructed to negotiate a new agreement with Mr. Feldmann. The Corps has come back with a different approach to utilize the available funding within the FY14 timeframe, which will be discussed later in the meeting.

AMEC has handed the Wood River cutoff walls plans to the Corps. The four month "streamlined" Sec. 408 review which requires approval from the Corps Division and Headquarters offices began on Friday April 18, 2014. Plans for the Corps Division offices have been requested, which is a good sign.

On April 23, 2014 representatives of Senator Durbin's office toured construction sites with AMEC representatives and Mr. Etwert and have since offered their assistance regarding obtaining an easement.

Mr. Etwert informed the Board that the refunds from Ameren Illinois for the extension and modification of their system to serve the BP #2B Site 05 Gummersheimer Pump Station (\$649,979.38 originally) and BP #2B Site 16 Water Street Pump Station (\$170, 271.13 originally) have been received. The refund of the normal tax gross up amounted to \$114,274.49 for Site 05 and \$18,267.93 for Site 16 for a total refund of \$132,542.42. There will be funding request next month involving the relocation of lines by Ameren Illinois in regards to BP #7B project. Ameren Illinois is currently finalizing the costs.

Mr. Etwert mention that during the last two Program Status Reports, he indicated the possibility of receiving a state grant from the Illinois Capital Development Board for the BP #2A Project, which involves the construction of five pump stations in the Prairie Du Pont, MESD, and Wood River Districts. A \$100,000 grant offer has been received and is on today's agenda for acceptance.

The Corps has provided their schedule for the reconsideration of the utilization of Project Labor Agreements (PLAs) on future projects involving FPD funds. The schedule indicates a decision by late July.

Mr. Etwert also indicated that the Board was provided a copy of AMEC's Monthly Progress Report for May.

A motion was made by Mr. Polka, seconded by Mr. Brinkman, to accept the Program Status Report for May 2014. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye

Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – absent
Mr. Pennekamp – absent

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Mr. Maher asked Mr. Etwert to provide a report.

Mr. Etwert noted that financial statement for April 2014 prepared by our fiscal agent, CliftonLarsonAllen was included in the materials sent for the meeting. The report included an accounting of revenues and expenditures for the month ending April 30, 2014, as compared to the fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2013 were \$21,769,423 while revenues amounted to \$7,163,945 resulting in a deficit of \$14,605,478. A total of approximately \$17,519,284 is now held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts for February 2014 (the latest month reported by the Illinois Department of Revenue) were effectively unchanged from the previous year. In general, receipts are down 1.42% from last year and are running slightly below of 2011 levels.

The report includes bank transactions for April 2014. Total disbursements for the month were \$3,386,731.33. The largest payments were to Lane Construction for BP #6, Keller Construction for BPs #3 & #5, Korte & Luitjohan Contractors for BPs #2A & #2B, Haier Plumbing for BP #4 and AMEC and its subcontractors for design and construction management services.

Mr. Etwert recommended that the Board accept the budget report and disbursements for April 2014.

A motion was made by Mr. Motil, seconded by Mr. Conrad, to accept the budget report and approve the disbursements for April 2014. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye

Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – absent
Mr. Pennekamp – absent

The motion was approved unanimously.

Authorization of an Intergovernmental Agreement with the State of Illinois Capital Development Board to provide \$100,000.00 towards the BP #2B Project

Mr. Maher asked Mr. Etwert to explain this item.

Mr. Etwert explained that the Council has received a grant from the State of Illinois Capital Development Board (CDB) towards the BP #2B Project which includes the construction of five pump stations (p.s.) located in Madison (1 p.s.), Monroe (1 p.s.), and St. Clair (3 p.s.) Counties for \$100,000.00. This project is currently under construction with an estimated construction cost of \$3.87 million. Korte Luitjohan is the contractor.

Mr. Etwert requested that the Chief Supervisor be authorized on behalf of the Southwestern Illinois Flood Prevention District Council to enter into an agreement with the State of Illinois Capital Development Board for a \$100,000.00 grant towards the construction of the BP #2B Project located in Madison, Monroe, and St. Clair Counties.

A motion was made by Mr. Motil, seconded by Mr. Brinkman, to authorize the Chief Supervisor to enter into an agreement with the State of Illinois Capital Development Board for a \$100,000.00 grant towards the construction of the BP #2B Project located in Madison, Monroe, and St. Clair Counties. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – absent
Mr. Pennekamp – absent

The motion was approved unanimously.

Acceptance of Columbia Capital’s Bond Proceeds Investment Update and Recommendations Report and the Engagement of Columbia Capital to provide Investment Management of the Council’s Bond Proceeds

Mr. Maher asked Mr. Etwert to explain this item.

Mr. Etwert explained that in December of 2010, the Board authorized and approved repurchase agreements for the investment of proceeds of the Flood Prevention District Council Sales Tax Revenue Bonds, Series 2010, of the Southwestern Illinois Flood Prevention District Council. These investment agreements were collateralized with Treasuries and Agencies for both the debt service reserve fund and the construction account.

The repurchase agreement with BB&T for the construction fund has expired and Columbia Capital, the FPD's Financial Advisor, has been asked to analyze the situation and make a recommendation to the Board. Columbia Capital reviewed the estimated monthly construction draws for our project as prepared by AMEC and developed a pro forma investment portfolio for the construction account based upon that draw schedule. Columbia Capital estimates the Council may be able to generate more than \$200,000 in investment income on the portfolio presuming that draws occur as modeled.

As part of Columbia Capital's response to the Council's recent Financial Advisor RFP, they proposed to provide active investment management of the Council's bond proceeds. Staff initially decided not to recommend their engagement for this purpose to the Board. Unfortunately, when this decision was made, staff was not aware that the repurchase agreement for the construction account would be expiring. In light of the recently matured repurchase agreement and the immediate opportunity to generate investment income the Council can use to construct projects, my recommendation is to engage Columbia Capital to provide these services until the bond proceeds are exhausted. Columbia Capital agreed to reduce its proposed fee for these services from \$2,000 per month to \$1,750 per month.

Columbia Capital's investment management services would include portfolio design, active investment management in compliance with the trust indenture for the 2010 Bonds and monthly reporting of holdings, transactions, performance and projected income on funds under management.

Effective July 1, 2014, new US Securities and Exchange Commission (SEC) regulations require advisors on the investment of municipal bond proceeds must be either registered municipal advisors or SEC-registered investment advisers. Columbia Capital maintains both designations. Columbia Capital currently advises on the investment of bond proceeds and other funds for a number of public agencies, including Metro/Bi-State, the City of Topeka, Kansas, and the Topeka Public Building Commission.

Mr. Etwert then introduced Mr. Jeff White of Columbia Capital who provided the Board a brief presentation involving the status of funds and accounts, range of permitted investments, investment considerations & alternatives, and recommendations.

There was a brief discussion regarding federal regulations and the amount of allowable earnings on tax exempt bonds, and the collateralization of funds.

Mr. Etwert recommended that the Board accept Columbia Capital's Bond Proceeds Investment Update and Recommendations Report and engage Columbia Capital to provide active investment management of the Council's Bond Proceeds for a period of fifteen months at a rate of \$1,750 per month.

Mr. Parks arrived during the presentation.

A motion was made by Mr. Polka, seconded by Mr. Parks, to accept Columbia Capital's Bond Proceeds Investment Update and Recommendations Report and to engage Columbia Capital to provide active investment management of the Council's Bond Proceeds for a period of fifteen months at a rate of \$1,750 per month. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – Aye
Mr. Pennekamp – absent

The motion was approved unanimously.

Design and Construction Update

Mr. Maher called on Jay Martin, AMEC's program manager, to provide a report. Mr. Martin used a PowerPoint® presentation to illustrate his remarks. His presentation, including a few site photos, focused on the status of each bid package as follows:

Construction Status

BP #2A – Fish Lake Pump Station

- Work is slowed by high water; pumps and electrical items on site

BP #6 – PDP/FL Seepage Improvements

- Borrow pit – soil blending is on-going, fill placement in berm areas
- Relief well pilot hole drilling nearly complete
- Relief well designs are ongoing, and designs are being reviewed by USACE

BP #2B - WR/MESD/PDP Pump Stations

- Concrete has been placed for various structures at Sites 5 (Gummersheimer), 10 (Cahokia #2) and 16 (Water Street)

Bid Package Update

BP # 03 – WR Seepage Improvements

- Partial Notice to Proceed issued March 13, 2014
- IDOT permit received
- Village of East Alton easement acquisition is potentially a 30-60 day minimum delay due to existing landfill in the area

BP # 04 – MESD Seepage Improvements (Conoco Phillips)

- Contractor has completed the install of new security fence; additional posts to be installed at new fence per Phillips 66 request; will begin removing old fence once new fence is finalized

BP # 05 – MESD Seepage Improvements (MESD excluding Conoco Phillips)

- Relief well collector system installation completed to north of future Cahokia #2 Pump Station and to the northwest of the Water Street Pump Station (both constructed in BP 2B)
- Clearing has begun for clay cap construction south of Cargill Elevator Road

Construction Photos

Bid Package 2A – Site 1 – Pump tubes have been installed

Bid Package 2B – Site 10 (Cahokia #2) force mains have been connected between the valve box and the outfall structure

Bid Package 05 – Conversion of existing relief wells (connecting to relief well collection system)

Bid Package 06 – Seepage berm along north flank of Prairie Du Pont Levee

BP#7A and #7B – Deep and Shallow Cutoff Walls

Project Design and Preparation of 408

- 408 application submitted to the USACE on April 18th
- USACE District has completed their review on schedule
- The package has been reworked with 3 hard copies supplied to USACE Division last Friday

- Subsequent to receiving their comments another 9 copies will be sent to USACE Headquarters for review

Other Activities...

- Land acquisition – Weekly calls with the team. Process moving forward. Timing has impacted some schedules. Several key properties needed.
- Chain of Rocks
Will schedule meeting with the Corps to review other data applicable to COR
- Upcoming Work Orders from AMEC
Chain of Rocks – data gaps and analysis

There was a brief discussion regarding the effect of the new pump stations being installed and their effect on the manning of existing pump stations. It was explained that the new pump stations were designed only to handle one hundred percent of the new flow being generated. After completion of the project the levee districts will probably need to recalibrate the manning operation of the existing pump stations.

Mr. Maher asked for a motion to accept Mr. Martin’s progress report. A motion was made by Mr. Parks with a second by Mr. Brinkman to accept the AMEC progress report. Mr. Conrad called the roll and the following votes were made on the motion:

- Mr. Polka - Aye
- Mr. Brinkman – Aye
- Mr. Bergkoetter - absent
- Mr. Conrad - Aye
- Mr. Long – absent
- Mr. Maher – Aye
- Mr. Motil – Aye
- Mr. Parks – Aye
- Mr. Pennekamp – absent

The motion was approved unanimously.

Commitment to Continue to Provide Cost-Share Funding to the Corps of Engineers for Levee System Improvements to Maintain the Authorized Level of Flood Protection in the Metro-East and Wood River Districts

Mr. Maher asked Mr. Etwert to explain this item.

Mr. Etwert indicated that at last month’s Board meeting there was a lengthy discussion concerning providing cost share funds to the USACE under the existing Project Partnership Agreements between the USACE and the Metro East Sanitary District (MESD) and the USACE and the Wood River Drainage and Levee District. The Board had previously approved Cost

Share commitments for the design of Design Deficiency Corrections in both Districts. Most recently in December 2013 authorizing \$1,018,750 for MESD and \$543,500 for Upper and Lower Wood River.

It was requested at last month's meeting that he work with Mr. Feldmann to negotiate an agreement that would satisfy the Board's requirement of requiring the use of PLAs on all construction projects that the FPD was providing funds toward. It was the Board's determination that the drilling of pilot holes needed for design was considered construction work. The Corps has come back with new requests for consideration.

Due to the time constraints of having to utilize available FY14 funds by September 30, 2014, it isn't possible for the Corps to implement a Project Labor Agreement (PLA) into any of their contracts for any necessary drilling involved in the design of pump stations and relief wells or the drilling of pilot holes for field data collection.

However, in this instance, in order to utilize available the funds the Corps is offering to perform all the drilling necessary for these three project with in-house personnel. The Corps drilling crews may or may not be used in their home districts for standard drilling operations, but quite frequently they are called upon for emergency efforts. Therefore, there is some minimal risks associated with utilizing their crews but the Corps is willing to take them in order utilize the available funding at this time. The St. Louis District has no drilling crews, therefore crews would be from outside the area. This doesn't solve the PLA utilization issue, which the Corps is still reconsidering for future projects, but allows for the utilization of available funds while conforming to the Board's approach that as we go forward all future FPD funded construction projects performed by private contractors will require the utilization of a PLA.

In MESD, \$607,500 is still requested for the review of existing data and the field data collection of up to 200 Pilot Holes for relief well design. The cost share funds are being requested for project work to be initiated during FY14 with the non federal funds being required now by June 1, 2014, due to the use of the Corps' drilling crews. All the drilling would done by the Corps, with all the work completed by September 2014.

In the Wood River District there are still two requests. The first, the same as requested last month, is for \$332,500 for the design of three pump stations. Again, the cost share funds are being requested for project work to be initiated during FY14 with the non federal funds being required now by June 1, 2014, due to the use of the Corps' drilling crews. All necessary drilling would be done by the Corps. The Corps would be using an outside A/E firm to do this design, in order to utilize the funds within the FY14 time frame. When hiring an outside A/E firm the funds are considered utilized once a contract is signed.

The second Wood River project is for \$67,000 for design of 12 relief wells and replaces last month's request for \$750,000 for the construction of up to 45 relief wells. The relief well design would be performed by the Corps. The reduction in the amount of funds requested reflects the Corps capability to utilize available funds in FY14. The cost share funds are being requested for project work to be initiated during FY14 with the non federal funds being required now by June

1, 2014, due to the use of the Corps' drilling crews. All necessary drilling would be done by the Corps, with all the work completed by September 2014.

The Corps has agreed to provide the FPD monthly progress reports as on previous Design Deficiency Correction projects.

As previously noted, a commitment on our part to sponsoring the design of additional levee system improvements does not commit the Council to paying for construction. To be sure, some of the same policy and financial issues that were relevant to the Wood River cutoff wall decision will need to be addressed. Further, the Corps has not indicated that any federal funds will be forthcoming in the Prairie DuPont/Fish Lake Districts, so further improvements in those areas would need to be locally funded.

Notwithstanding some of the concerns described above, I believe that it is in our best interest to demonstrate our continuing commitment and financial capability towards maintaining the area's levee systems at the full authorized level. We have money in our budget to support this additional design work, which is needed for the 500-year level of protection.

The Corps' Scope of Work and Funding Request Letters were included in the agenda packets.

Mr. Etwert recommended that the Board: 1) Authorize the Chief Supervisor to provide \$607,500.00 as cost-share funding to the Corps of Engineers for the field collection data/pilot holes project in the MESD District in accordance with the existing Project Partnership Agreement; 2) Authorize the Chief Supervisor to provide \$332,500.00 as cost-share funding to the Corps of Engineers for the design of three pump stations in the Wood River District in accordance with the existing Project Partnership Agreement; and 3) Authorize the Chief Supervisor to provide \$67,000.00 as cost-share funding to the Corps of Engineers for the design of 12 relief wells in the Wood River District in accordance with the existing Project Partnership Agreement. Again, the Corps would be doing all the drilling on all three of these projects with in-house personnel.

There was a lengthy discussion regarding the use of local construction labor and the Corps' in-house drilling personnel being brought in from outside the area, when there were people here in the area that could perform the work.

It was expressed that the determination of the use of PLAs by the Corps seems to be an endless process, to which, Mr. Feldmann indicated that a decision would be reached by the end of July.

The use of an outside A/E firm to do the design of the three pump stations in the Wood River District was discussed. The Corps was using this approach in order to utilize the funds within the FY14 time frame. When the Corps hires an outside A/E firm the funds are considered utilized once the contract is signed. The firms that would be available to do the design were a joint venture of Gestra Engineering & Stanley Consultants of Bettendorf, Iowa and CDG of St. Louis.

There was an explanation of the Corps drilling teams. It was indicated that the Corps has 43 Districts, however, not all of the Districts have drilling teams. The St. Louis District doesn't have a drilling team, therefore, it would use drilling teams from other Districts outside of the area. In the past, the St. Louis District has used local contractors for its drilling needs. However, in order to utilize the available funds, the St. Louis District would bring in Corps drilling teams from other Districts to perform the drilling. The Corps drilling teams are made up of Corps employees. It is the only alternative that would allow the design to proceed in the current time and maintain progress.

Again concern regarding the utilization of Southwestern Illinois resources was expressed.

Mr. Dale Stewart of the Southwestern Illinois Building & Construction Trades Council indicated that the drilling contractors that the Corps utilizes do not want to hire local people and the Corps has refused requests to provide information indicating that prevailing wages are being paid. There was a discussion regarding how this information could be obtained.

Mr. Feldmann offered to hold Industry Day events to help local industry be more aware of contractual opportunities in the area and to encourage more bids. He also provided a generalization of the Corps utilization of local labor in recent years and the Corps' following of the Davis-Bacon Act.

In response to the utilization of Southwestern Illinois contractors, he then stated that the Corps would make every contracting opportunity that exists available and make it well-known to the local contracting community so the requirements are known and they have the opportunity to propose for the work.

Again, concern was expressed about the policy of the Board being ignored with each new request for funding.

An outline of the Corps' reevaluation process considering the utilization of a PLA was then explained. The evaluation is based on economy and efficiency of utilizing a PLA. A small team within the Corps is going thru the research activity now, contacting IDOT, MODOT, SIUE-Construction Management Department, AGCs of Mo and IL, SIBA, and the IL and Mo Trade Councils to obtain data and prepare a report. The Corps is also reviewing the contracts that the FPD has awarded. This research activity will be completed in June, with an internal evaluation and decision made by the Corps contracting organization by the end of July.

The prevailing wage issue was discussed again in terms of out of town contractors' costs and local labor costs. Mr. Feldmann explained that the Davis-Bacon provision which is in all of the Corps contracts is a contractual responsibility that the contractor has. The Department of Labor is responsible for enforcement, the Corps is the first level of review of wages paid, with due diligence to make sure the labor standard that is established in contract is complied with.

A motion was made by Mr. Conrad with a second by Mr. Brinkman to have the Chief Supervisor under the Freedom of Information Act (FOIA) request from the Corps of Engineers the wages

being paid to drilling contractors on the Design Deficiency Correction projects for the East St. Louis, Illinois Flood Protection Project and the Wood River Drainage and Levee District, Madison County Illinois Flood Protection Project. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – Aye
Mr. Pennekamp – absent

The motion was approved unanimously.

Mr. Maher asked for a motion to accept Mr. Etwert's recommendations for cost-share funding for 1) the field collection data/pilot holes project in the MESD District; 2) the design of three pump stations in the Wood River District; and 3) the design of 12 relief wells in the Wood River District. There was no motion made, therefore the item died for lack of a motion.

There was a brief discussion regarding the 100-year level of protection versus the Corps 500-year level of protection. Consensus was to make sure that the 100 year level is achieved to avoid de-accreditation by FEMA. It was expressed that hopefully there will be legislation passed that would allow all the funds the FPD has spent on the 100-year level of protection to be considered as the non-federal 35% share match to the Corps 65% share on the 500-year level project. Ultimately, the 500-year level is the level of protection desired.

Corps of Engineers Update

Mr. Maher asked Mr. Feldmann to provide a report from the Corps.

Mr. Feldmann used a PowerPoint® presentation to illustrate his remarks.

He discussed the difference between the 100-year flood protection and the 500-year flood protection, the history of levee construction in the area, and levels of risk. The levees have withstood all events to date.

Next he discussed the Design Deficiency Projects in the Wood River, East St. Louis, and the Prairie Du Pont/Fish Lake Districts that need to be completed to reach the 500-year level of protection. Current estimates indicate that a total of \$256 million is needed to reach the 500-year level of protection, with the non-federal share being \$105.5 million.

Lastly, he described the activities the Corps is performing in FY14 and FY15 and the efficiency of the Corps utilizing funds.

A motion was made by Mr. Parks with a second by Mr. Brinkman to receive the Corps report. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – Aye
Mr. Pennekamp – absent

The motion was approved unanimously.

Public Comment

Mr. Maher asked if there is any public comment. There were none.

Mr. Maher asked to convene an executive session to address real estate matters.

Mr. Motil made a motion at 9:12 a.m. to convene a closed session under 5ILCS 120.2 for the purpose of discussing the purchase or lease of real property by a public agency. The motion was seconded by Mr. Brinkman. Mr. Etwert indicated that all Board members should be present, along with the county chairs, AMEC, Joe Parente and our attorneys. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – Aye
Mr. Parks – Aye
Mr. Pennekamp – absent

The motion was approved unanimously and the Board went into executive session.

Executive session to address real estate matters convened at 9:15 a.m. with roll call taken. Mr. Conrad called the roll and the following members were present:

Mr. Polka - Here
Mr. Brinkman - Here
Mr. Conrad - Here
Mr. Maher – Here
Mr. Motil - Here
Mr. Parks – Here

The following individuals were also present: Delbert Wittenauer, Monroe County; Mark Kern, St. Clair County; Brennen Soval, Husch Blackwell; Jon Omvig, AMEC; Jay Martin, AMEC; Joe Parente, Madison County and Chuck Etwert, FPD.

Mr. Motil left prior to the adjournment of the executive session.

Mr. Parks made a motion to adjourn the executive session at 9:45 a.m. and to go back into public session. Mr. Polka seconded the motion. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Pennekamp – absent

Mr. Maher called the meeting to order.

Real Estate Transactions

A motion was made by Mr. Polka, and seconded by Mr. Brinkman to authorize the Chief Supervisor and legal counsel to negotiate the acquisition of the following property:

1. Acquire easement area on the Pulcher parcel for \$10,000 to accommodate the relocation of power poles and overhead electric lines required prior to the completion of the seepage berm.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent

Mr. Conrad - Aye
Mr. Long – absent
Mr. Maher – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Pennekamp – absent

The motion was approved unanimously.

Other Business

There was no other business.

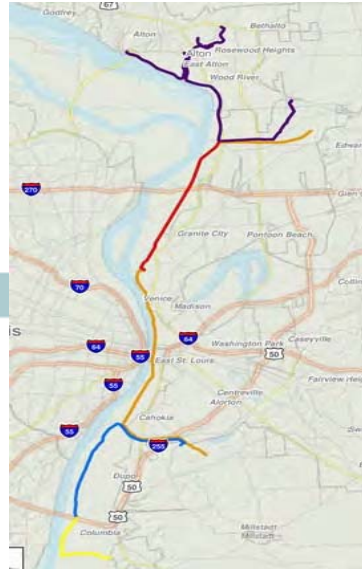
Adjournment

A motion was made by Mr. Parks, seconded by Mr. Polka to adjourn the meeting. The motion was approved unanimously by voice vote, all voting aye.

Respectfully submitted,

James R. Pennekamp,
Secretary/Treasurer, Board of Directors

Progress Report
May 21, 2014
SW IL Levee System
By Jay Martin



Construction Status

- BP #2A – *Fish Lake Pump Station*
 - Work is slowed by high water; pumps and electrical items on site

- BP#6 – *PDP/FL Seepage Improvements*
 - Borrow pit – soil blending is on-going, fill placement in berm areas
 - Relief well pilot hole drilling nearly complete
 - Relief well designs are ongoing, and designs are being reviewed by USACE

- BP #2B - *WR/MESD/PDP Pump Stations*
 - Concrete has been placed for various structures at Sites 5 (Gummersheimer), 10 (Cahokia #2) and 16 (Water Street)

Bid Package Update



- BP # 03 – *WR Seepage Improvements*
 - Partial Notice to Proceed issued March 13, 2014
 - IDOT permit received
 - Village of East Alton easement acquisition is potentially a 30-60 day minimum delay due to existing landfill in the area
- BP # 04 – *MESD Seepage Improvements (Conoco Phillips)*
 - Contractor has completed the install of new security fence; additional posts to be installed at new fence per Phillips 66 request; will begin removing old fence once new fence is finalized
- BP # 05 – *MESD Seepage Improvements (MESD excluding Conoco Phillips)*
 - Relief well collector system installation completed to north of future Cahokia #2 Pump Station and to the northwest of the Water Street Pump Station (both constructed in BP 2B)
 - Clearing has begun for clay cap construction south of Cargill Elevator Road

3

Bid Package 2A



Site 1 – Pump tubes have been installed



4

Bid Package 2B



Site 10 (Cahokia #2) force mains have been connected between the valve box and the outfall structure



5

Bid Package 05



Conversion of existing relief wells (connecting to relief well collection system)



6

BP#7A and #7B – *Deep and Shallow Cutoff Walls*



- Project Design and Preparation of 408
 - 408 application submitted to the USACE on April 18th.
 - USACE District has completed their review on schedule;
 - The package has been reworked with 3 hard copied supplied to USACE Division last Friday.
 - Subsequent to receiving their comments another 9 copies will be sent to USACE Headquarters for review.

7

Other Activities...

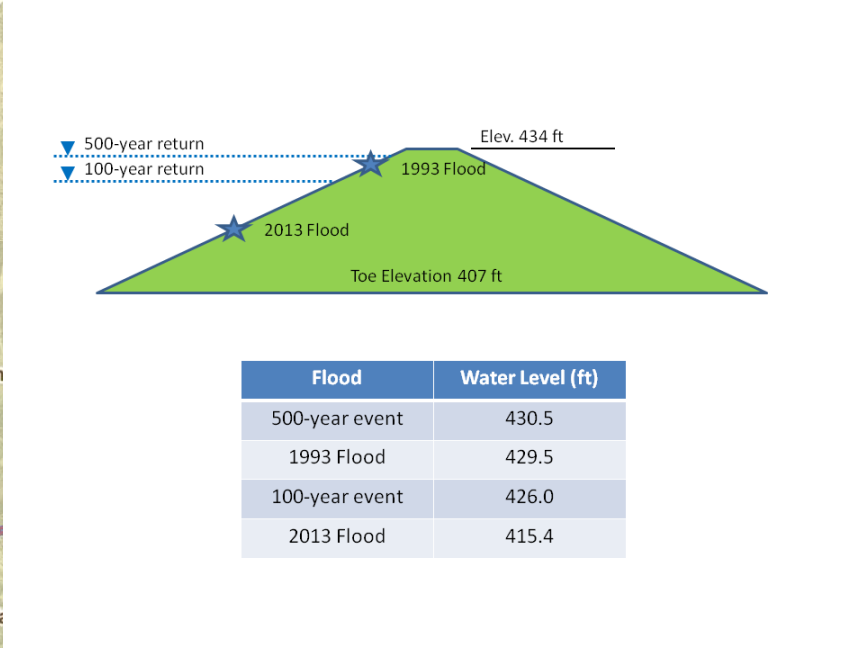
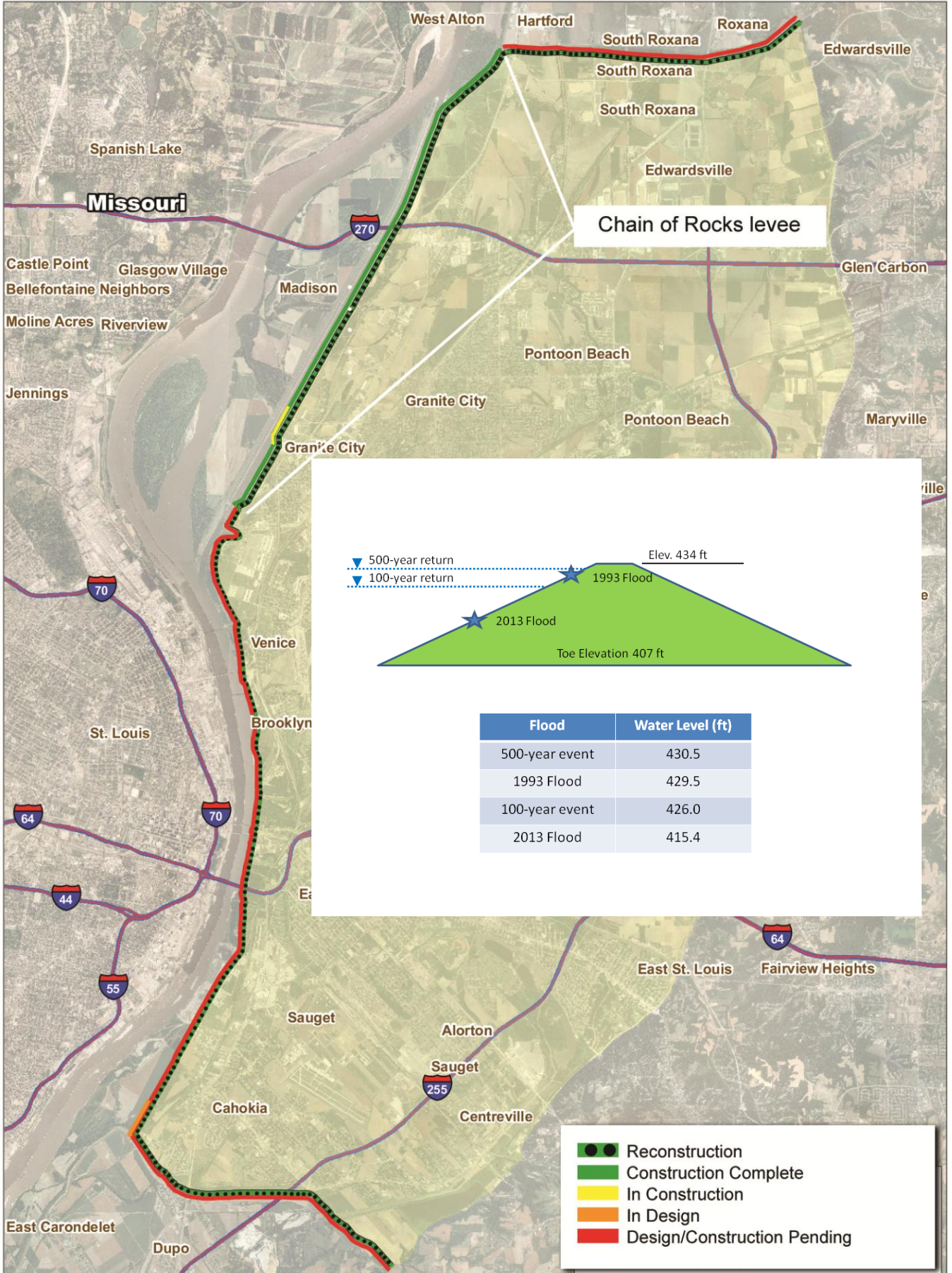


- Land acquisition – Weekly calls with the team. Process moving forward. Timing has impacted some schedules. Several key properties needed.
- Chain of Rocks
 - Will schedule meeting with the Corps to review other data applicable to COR.
- Upcoming Work Orders from AMEC
 - Chain of Rocks - data gaps and analysis

8

Questions?

MESD / Chain of Rocks Levee System



Flood	Water Level (ft)
500-year event	430.5
1993 Flood	429.5
100-year event	426.0
2013 Flood	415.4

- Reconstruction
- Construction Complete
- In Construction
- In Design
- Design/Construction Pending

Metro East Projects, IL

Financial Data:

	Wood River		East St. Louis		Prairie du Pont/ Fish Lake
	Reconstruction	Design Deficiency	Reconstruction	Design Deficiency	Design Deficiency
Total Project Cost	\$25,881,000	\$70,894,000	\$60,630,000	\$152,305,000*	\$50,300,000
Federal Share	\$16,850,000	\$46,431,000	\$42,523,000	\$86,622,000	\$32,695,000
Non-Federal Share	\$9,031,000	\$24,463,000	\$18,107,000	*\$65,683,000	\$17,605,000
Federal Allocation Through FY13	\$15,145,000	\$2,818,000	\$42,117,000	\$2,191,000	\$2,084,000
Federal Allocation for FY14	\$1,700,000	\$3,689,000	\$0	\$4,109,000	\$0
Total Federal Allocation	\$16,845,000	\$6,507,000	\$42,117,000	\$6,300,000	\$2,084,000
Total Non-Federal Allocation	\$7,965,000	\$918,500	\$13,385,000	\$609,375	\$783,000
Total Balance to Complete	\$0	\$63,468,500	\$0	\$145,395,625	\$47,433,000

*Includes potential HTRW Non-Federal Costs



BUILDING STRONG®

Metro East Projects, IL

ACTIVITIES IN FY14:

- Wood River:
 - Design two cutoff walls to control underseepage
 - Perform Section 408 reviews and construction monitoring on sponsor construction activities
 - Continue gravity drain structures and pump station repairs for reconstruction
- East St. Louis:
 - Update component O&M manuals and as-built drawings for the rehabilitation project
 - Design slurry trench cutoff wall at highest risk location on system
 - Perform Section 408 reviews and construction monitoring on sponsor construction activities
- Prairie du Pont/Fish Lake:
 - Perform Section 408 reviews and construction monitoring on sponsor construction activities

ACTIVITIES IN FY15:

- Wood River:
 - Complete reconstruction features
 - Continue design of underseepage corrections and perform Section 408 reviews on Sponsor construction activities
 - Award construction contracts for underseepage corrections
 - An additional \$3,650,000 could be used to continue design and award construction contracts
- East St. Louis:
 - Continue design, construct slurry trench cutoff wall and perform Section 408 reviews on Sponsor construction activities
 - An additional \$11,990,000 could be used to continue cutoff wall construction
- Prairie du Pont/Fish Lake:
 - Perform Section 408 reviews and construction monitoring on sponsor construction activities



BUILDING STRONG®

BOND PROCEEDS INVESTMENT UPDATE & RECOMMENDATIONS

SOUTHWESTERN ILLINOIS FLOOD PROTECTION DISTRICT COUNCIL
May 2014

INNOVATIVE SOLUTIONS. PROVEN RESULTS.

JEFF WHITE PRINCIPAL

Columbia Capital Management, LLC is a registered municipal advisor and provides advice as a fiduciary to its clients.

Effective July 1, 2014, only a registered municipal advisor or an SEC-registered investment adviser may advise on the investment of municipal bond proceeds.

PRESENTATION OVERVIEW

- Status of Funds and Accounts
- Range of Permitted Investments
- Investment Considerations & Alternatives
- Recommendations
- Q&A



STATUS OF FUNDS AND ACCOUNTS/ SERIES 2010ABC BONDS

Fund	Purpose	Balance	Invested in	Maturity
Construction Account	Fund project costs	\$58,225,982	Money Market	Per construction draws
Cost of Issuance Acct	Fund transaction costs	\$433,833	Money Market	When all costs are paid
Council Sales Tax Fund	Receive County payments and distribute to subaccounts	\$0	Money Market	Cleared monthly
Bond Fund Subacct	Used to pay principal and interest on bonds	Increases monthly until payment dates	Money Market	Interest semi-annually; principal annually
Debt Service Reserve Fund	Used to provide additional bondholder security	As required by bond documents	Structured investment	2020
Council Administrative Fund	To pay expenses of the Council	\$192,943	Money Market	Upon demand of the council to meet needs
Surplus Fund	To fund shortfalls, redeem bonds, etc.	\$0	Money Market	Distributed monthly to Counties

All as of April 30, 2014

PERMITTED INVESTMENTS

- Illinois Public Funds Investment Act
 - US Treasurys
 - US Agencies
 - Bank CDs, time deposits and savings accounts
 - Commercial paper (with limitations)
 - Money market funds investing in Treasurys and Agencies only
 - Certain municipal bonds (effective January 1, 2014)
 - FNMA discount securities
 - Insured deposits in Illinois credit unions
 - Certain public funds pools
 - Certain repurchase agreements

- Subject to any limits imposed by the Council's own investment policy

INVESTMENT CONSIDERATIONS

- Generally, cannot keep earnings if yield on investments exceeds yield on the bonds
 - Each series calculated differently, but bond yield is approximately 4%
- Exceptions to this rule
 - Construction fund earnings, if bond proceeds spent timely
 - Debt service fund earnings
 - Earnings above bond yield in one fund if offset by earnings below bond yield in other funds
- 2010 Investments (Repurchase Agreements)
 - Project Fund—0.87% (approximately 3.3 years, now matured)
 - Debt Service Reserve Fund—2.32% (to 2020 call date)

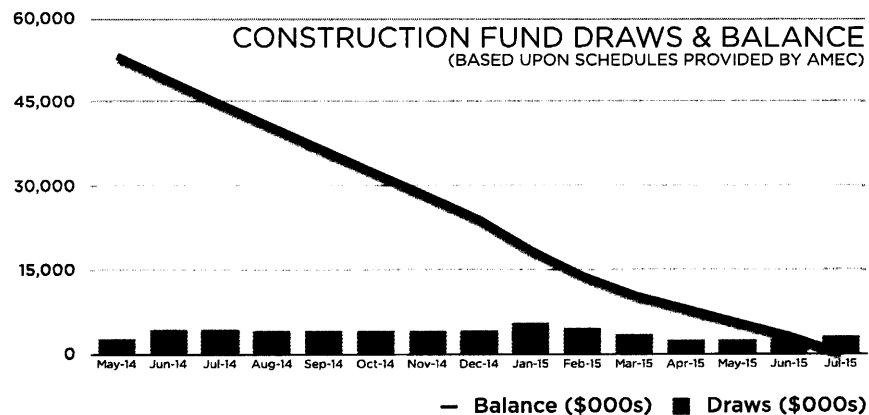
PROPOSED INVESTMENT PLAN/ SERIES 2010ABC BONDS

Fund	Purpose	Balance	Recommended Investment Plan
Construction Account	Fund project costs	\$58,225,982	Active investment against draw schedule
Cost of Issuance Account	Fund transaction costs	\$433,833	N/A (close out fund)
Council Sales Tax Fund	Receive County payments and distribute to subaccounts	\$0	Money market, unless persistent balance expected
Bond Fund Subaccount	Used to pay principal and interest on bonds	\$1,293,073	Active investment of 1/6 and 1/12 deposits
Debt Service Reserve Fund	Used to provide additional bondholder security	\$6,194,424 (repo) \$72,654 (cash)	No change, but re-invest funds in money market
Council Administrative Fund	To pay expenses of the Council	\$192,943	Money market, unless persistent balance expected
Surplus Fund	To fund shortfalls, redeem bonds, etc.	\$0	Money market, unless persistent balance expected

All as of April 30, 2014

CONSTRUCTION FUND/INVESTMENT APPROACH

- Columbia Capital built an expected draw schedule based upon information provided by AMEC



CONSTRUCTION FUND/INVESTMENT APPROACH

- Recommendation: active management of investments over remaining life of portfolio, using full range of permitted investments
- Justification:
 - Short remaining expected life of portfolio (approximately 1 year)
 - Cost of executing a repo to replace BB&T (2010: \$37,500)
 - Higher expected returns vs. repos
 - Faster ability to execute the approach
 - Ability to take advantage of revised State investment statute
 - Ease of reinvesting cash if project takes longer than planned

CONSTRUCTION FUND/INVESTMENT APPROACH

- Pro Forma Portfolio Construction
 - \$58.3MM balance assumed (at 4/30)
 - \$2.3MM remains in cash (hedge against higher than expected draws)
 - \$56.0MM invested against draw schedule
 - For modeling purposes, Treasuries and Agencies only
- Pro Forma Results
 - More than \$230,000 in pro forma income produced, available for project costs
 - 0.57% portfolio yield to maturity

As of May 12, 2014. All pro forma investments priced using Bloomberg. Actual results will vary based upon securities available.

BOND FUND/INVESTMENT APPROACH

- Bond documents require monthly deposits
 - 1/6 of upcoming interest payment due
 - 1/12 of upcoming principal payment due
- Payments to bondholders are semiannual
 - Every six months for interest payments
 - Every year for principal payments
- Investment of deposits to bond fund
 - 5, 4, 3, 2, 1 month tranches for interest deposits
 - 11, 10, 9, 8, 7, 6, 5, 4, 3, 2, 1 month tranches for principal deposits

OTHER ACCOUNTS/INVESTMENT APPROACH

- Opportunistic investing based on expected balances
- Use full range of investments available
 - Every six months for interest payments
 - Every year for principal payments

SUMMARY

- Even in a low interest rate environment, actively managing investment of bond proceeds can produce investment income
 - Recent expansion of permitted investments should improve the ability to generate relative value in the portfolio vs. Treasurys and Agencies
- Appropriate investment of bond proceeds is an important part of the Council's stewardship of public assets



Q&A

JEFF WHITE
(314) 231-4500
JWHITE@COLUMBIACAPITAL.COM



Memo to: Board of Directors
From: Chuck Ewert
Subject: Program Status Report for June 2014
Date: June 16, 2014

We continue to work on the acquisition of easements needed to complete the projects that have been awarded. We have obtained three easements during the last two weeks. Still needed are BP #3 - 7 owners and 13 parcels, BP #5 - 1 owner and 1 parcel, and BP #6 involves 1 owner - 1 parcel for a total of 10 owners involving 16 parcels. The ten owners can be broken down as 3 individuals, 2 railroads, 3 corporations and 2 governmental units. As previously mention it is imperative that we reach agreements with these property owners as soon as possible before our contractors start requesting change orders due to delay of site access. There may be an executive session at the end of the meeting to discuss one or two situations.

Approximately 23% of the \$39 million of construction involved in our six current construction bid packages has been completed thru May 31st.

The “streamlined” Sec. 408 review of BP #7A and BP #7B is halfway thru the review process with plans sent to Corps Division on May 16th and to Headquarters on June 13th. Due to time constraints on construction, the bidding process has been started with bid openings on both projects scheduled for August 13, 2014.

As I mentioned last month, there are requests for funding regarding the relocation of lines by Ameren Illinois on today’s agenda.

We are still waiting for the \$100,000 Illinois Capital Development Grant to be received, additional information has been sent and we have been advised that we should receive it shortly.

We have received from the Corps of Engineers their Request for Information Survey (a copy is included in your packet) on the utilization of Project Labor Agreements (PLAs), which is part of their process of reconsidering of the issue. The survey appears to be similar to last year’s survey. No submittal date is indicated, but from conversations with the Corps, this portion of the process is to be completed in June with their contracting group making a decision by late July.

At the last Board meeting the Council directed me to file a FOIA request to the Corps of Engineers regarding their payments to drilling contractors working on design Deficiency Correction Projects which the FPD has provided non-federal cost-share funds towards. Attached is my request and the correspondence received from the Corps to date, which really doesn't provide us with any information regarding what is actually being paid. Basically, we were told that drilling service contracts do not require certified weekly payroll and provided the wage determination rates for our area which contractors are to follow. Since their response was from their District Counsel, I have forwarded everything to Husch Blackwell to appeal our request.

I have included in your Board packet a copy of AMEC's Monthly Progress Report for June.



DEPARTMENT OF THE ARMY

St. Louis District, Corps of Engineers
1222 Spruce Street
St. Louis, MO 63103-2833

REPLY TO
ATTENTION OF

Engineering –Construction Division
Construction Branch

Subject: Request for Information - Project Labor Agreements

Chuck Ewert
Chief Supervisor of Construction and the Works
Southwestern Illinois Flood Prevention District Council
104 United Drive
Collinsville, IL 62234

Mr. Ewert:

The Corps of Engineers, St. Louis District is in the process of compiling valuable data to be used for future projects. We are asking for your help by completing the attached survey and returning it to the address above/in the enclosed envelope or email to lydia.u.oswald@usace.army.mil.

Your assistance with this process is greatly appreciated.

Sincerely,

A handwritten signature in black ink that reads "Lydia Oswald".

LYDIA OSWALD
Lieutenant Colonel, US Army
Project Engineer

Enclosure

Project Owner and Users (both public and private and in addition to the project questions):

What factors were used in your decision to use or (or not use) a PLA?

Recent Construction Projects (last 2-5 years) in Target Labor Market:

1. Project Name/Location
2. Detailed Project Description
3. Initial Cost Est / Actual Final Cost
4. Was the project completed on-time?
5. Number of craft trades present on the project?
6. Was there a PLA
7. Where there any challenges experienced during the project? (delays, investigations, health and safety issues, labor shortages, management/organizational issues, Etc.)

Current Project Details: Factors needed to consider when deciding whether a PLA may or may not improve the economy or efficiency of the project:

1. Project Description
2. Category of Construction (residential, building, Highway, heavy)
3. Estimated cost, duration, deadline and complexity
4. Which trades are expected to be employed on the projects?

- a) Are you likely to need some union skilled trades for at least part of this project?

5. What market share does union labor have in the geographic area for this project or type of construction?

6. Does the local market contain the sufficient number of available skilled workers for this project?
 - a) Are the other projects in the vicinity going to limit the pool of skill labor available for your project?

7. Has a project like this been done before in the local market?

8. What investments have been made to support registered apprenticeship programs?

9. Will the completion of the project require an extended period of time or have sensitive deadlines?

10. Have PLAs been used on comparable projects undertaken by the public or private sector in this geographic region?

11. Have PLAs been used on this type of project in other regions?

12. Which CBAs are likely to expire during the course of the project under consideration that might cause delays? (local building trades and contractors can provide information)
13. How do open shop and union wage rates influence prevailing wage rates in the local market and compare to Davis Bacon rates?
a) What impact does unionization in the local market have on wages?
14. Could a PLA contribute to cost savings in any of the following ways?
a) Harmonization of shifts and holidays between the trades to cut labor costs?
b) Minimizing disruptions that may arise due expiration of CBA?
c) Availability of trained, registered apprentices, efficient for highly skilled workforce?
d) Allowing for changes in apprentice to journeyman ratio.
e) Serving as a management tool that ensures highly skilled workers from multiple trades are coordinated in the most efficient way? Other?
15. Could a PLA minimize risk and contribute to greater efficiency in any of the following ways?
a) Mechanisms to avoid delays.
b) Complying with Davis Bacon and other labor standards, safety rules and EEO and OFCCP laws.
c) Ensuring a steady supply of skilled labor in markets with low supply or high competition for workers.

16. Are there ways in which a PLA might increase costs on this particular project?

17. What collective bargaining agreements will expire in the next 3 years, do you anticipate a problem reaching agreement on those that will expire, and if agreement is not reached which construction sectors would you anticipate being impacted.



**Southwestern
Illinois
Flood Prevention
District Council**

104 United Drive
Collinsville, IL 62234

618-343-9120
Fax 618-343-9132

www.floodpreventiondistrict.org

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Les Sterman
Chief Supervisor of
Construction and the Works

May 23, 2014

US Army Corps of Engineers
St. Louis District
Attention: CEMVS-OC (Elizabeth Bertoglio)
1222 Spruce Street
St. Louis, MO 63103-2833

Re: FOIA Request – US Army Corps of Engineers

Dear Ms. Bertoglio,

The Southwestern Illinois Flood Prevention District Council hereby requests the US Army Corps of Engineers to provide, pursuant to the Freedom of Information Act (FOIA) (5 U.S.C. 552), the following documents in the possession of the Corps.


1. Wages being paid to Palmerton and Parish on the Design Deficiency Correction for the East St. Louis, Illinois Flood Protection Project for the Southwestern Illinois Flood Prevention District Council involving the design of approximately 5,500 feet of Deep Cutoff Wall.
2. Wages being paid to Brotcke Well and Pump on the Design Deficiency Correction for the East St. Louis, Illinois Flood Protection Project for the Southwestern Illinois Flood Prevention District Council involving the design of approximately 5,500 feet of Deep Cutoff Wall.
3. Wages being paid to Palmerton and Parish on the Design Deficiency Correction for the Wood River Drainage and Levee District, Madison County, Illinois Flood Protection Project for the Southwestern Illinois Flood Prevention District involving the design of approximately 795 feet of Shallow Cutoff Wall and 1,850 feet of Deep Cutoff Wall.
4. Wages being paid to Brotcke Well and Pump on the Design Deficiency Correction for the Wood River Drainage and Levee District, Madison County, Illinois Flood Protection Project for the Southwestern Illinois Flood Prevention District involving the design of approximately 795 feet of Shallow Cutoff Wall and 1,850 feet of Deep Cutoff Wall.

Our interest is to ensure that prevailing wages are being paid in compliance with the Davis-Bacon Act by the identified drilling contractors.

a regional partnership to rebuild Mississippi River flood protection

I may be contacted at 618-343-9120, if necessary, to discuss any aspect of my requests.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles M. Ewert". The signature is fluid and cursive, with the first name "Charles" being the most prominent.

Charles M. Ewert
Chief Supervisor of Construction and the Works



**DEPARTMENT OF THE ARMY
ST. LOUIS DISTRICT CORPS OF ENGINEERS
1222 SPRUCE STREET
ST. LOUIS, MISSOURI 63103-2833**

REPLY TO
ATTENTION OF:

June 3, 2014

Office of Counsel

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

SUBJECT: Freedom of Information Act Request, Control No. FA-14-0038

Mr. Charles M. Etwert
Southwestern Illinois Flood Prevention District Council
104 United Drive
Collinsville, Illinois 62234

Dear Mr. Etwert:

This correspondence comes to you in response to your Freedom of Information Act (FOIA) request seeking documents associated with four different contracts and the wages being paid on each contract (see your attached request). Per phone conversation with Ms. Kelly Bertoglio on May 29, 2014, it was determined that you were seeking the prevailing wage rate in each of these contracts, and one set of certified payrolls that indicate the contractor is paying that rate.

Drilling service contracts do not require certified weekly payroll. They are covered under the Service Contract Act (SCA). The SCA requires the following: Every service employee performing any of the Government contract work under a service contract in excess of \$2,500 must be paid not less than the monetary wages, and must be furnished fringe benefits, which the Secretary of Labor has determined to be prevailing in the locality for the classification in which the employee is working or the wage rates and fringe benefits (including any accrued or prospective wage rates and fringe benefits) contained in a predecessor contractor's collective bargaining agreement. The wage rates and fringe benefits required are specified in the SCA wage determination included in the contract. If no wage determination has been made applicable to the contract, employees performing work under the contract must be paid not less than the federal minimum wage provided in section 6(a)(1) of the Fair Labor Standards Act.

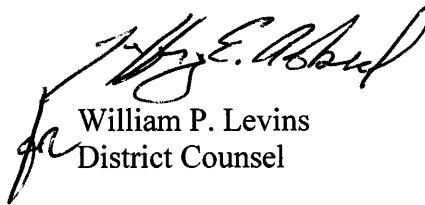
As such, the enclosed wage determination is provided to the Contractors, and the Contractors are required to implement it according to the following clauses which were included in the Contract: 48 CFR 52.222 - 41 - Service Contract Act of 1965, as Amended; and, 48 CFR 52.222 - 43 - Fair Labor Standards Act and Service Contract Act Price Adjustment (Multiple Year and Option Contracts).

There is no charge to you for the processing of this request.

This is a partial no records denial. If you are dissatisfied with my action on this request, you have a right to appeal. Should you decide to appeal this determination, this office must receive an appeal within sixty (60) days from the date of this letter. The envelope containing the appeal should be marked with the notation, "Freedom of Information Act Appeal" and should be sent to the above address to the attention of: Office of Counsel - Room 4.101. Upon receipt, this office will forward any appeal to the Office of the Chief of Engineers in Washington, D.C., for independent review.

If you have any questions, you may contact Ms. Anne Woodrome of my staff, at (314) 331-8198. Inasmuch as this responds to your request, I am closing your file in this office.

Sincerely,



William P. Levins
District Counsel

Enclosure

WD 05-2310 (Rev.-14) was first posted on www.wdol.gov on 06/25/2013

REGISTER OF WAGE DETERMINATIONS UNDER
THE SERVICE CONTRACT ACT
By direction of the Secretary of Labor

U.S. DEPARTMENT OF LABOR
EMPLOYMENT STANDARDS ADMINISTRATION
WAGE AND HOUR DIVISION
WASHINGTON D.C. 20210

Diane C. Koplewski Division of
Director Wage Determinations

Wage Determination No.: 2005-2310
Revision No.: 14
Date Of Revision: 06/19/2013

States: Illinois, Missouri

Area: Illinois Counties of Alexander, Bond, Calhoun, Clay, Clinton, Effingham,
Fayette, Franklin, Hamilton, Jackson, Jefferson, Jersey, Johnson, Madison,
Marion, Massac, Monroe, Perry, Pope, Pulaski, Randolph, Saline, St Clair,
Union, Washington, Wayne, Williamson
Missouri Counties of Audrain, Boone, Callaway, Clark, Cole, Crawford, Franklin,
Gasconade, Jefferson, Knox, Lewis, Lincoln, Marion, Monroe, Montgomery, Osage,
Pike, Ralls, Randolph, Scotland, Shelby, St Charles, St Francois, St Louis,
Ste Genevieve, Warren, Washington

Fringe Benefits Required Follow the Occupational Listing

OCCUPATION CODE - TITLE	FOOTNOTE	RATE
01000 - Administrative Support And Clerical Occupations		
01011 - Accounting Clerk I		14.10
01012 - Accounting Clerk II		15.83
01013 - Accounting Clerk III		18.57
01020 - Administrative Assistant		24.14
01040 - Court Reporter		19.05
01051 - Data Entry Operator I		12.22
01052 - Data Entry Operator II		14.40
01060 - Dispatcher, Motor Vehicle		19.05
01070 - Document Preparation Clerk		13.51
01090 - Duplicating Machine Operator		13.51
01111 - General Clerk I		12.33
01112 - General Clerk II		15.00
01113 - General Clerk III		17.07
01120 - Housing Referral Assistant		20.96
01141 - Messenger Courier		11.61
01191 - Order Clerk I		12.99
01192 - Order Clerk II		14.18
01261 - Personnel Assistant (Employment) I		16.33
01262 - Personnel Assistant (Employment) II		19.05
01263 - Personnel Assistant (Employment) III		20.73
01270 - Production Control Clerk		20.73
01280 - Receptionist		15.10
01290 - Rental Clerk		16.06
01300 - Scheduler, Maintenance		15.96
01311 - Secretary I		15.96
01312 - Secretary II		17.85
01313 - Secretary III		20.96
01320 - Service Order Dispatcher		18.12
01410 - Supply Technician		22.39
01420 - Survey Worker		19.05
01531 - Travel Clerk I		11.94
01532 - Travel Clerk II		12.86
01533 - Travel Clerk III		13.72
01611 - Word Processor I		13.51
01612 - Word Processor II		16.06
01613 - Word Processor III		19.05

05000 - Automotive Service Occupations	22.80
05005 - Automobile Body Repairer, Fiberglass	20.59
05010 - Automotive Electrician	19.74
05040 - Automotive Glass Installer	19.74
05070 - Automotive Worker	18.01
05110 - Mobile Equipment Servicer	21.46
05130 - Motor Equipment Metal Mechanic	19.74
05160 - Motor Equipment Metal Worker	20.40
05190 - Motor Vehicle Mechanic	16.72
05220 - Motor Vehicle Mechanic Helper	18.88
05250 - Motor Vehicle Upholstery Worker	19.74
05280 - Motor Vehicle Wrecker	20.59
05310 - Painter, Automotive	19.74
05340 - Radiator Repair Specialist	15.80
05370 - Tire Repairer	21.46
05400 - Transmission Repair Specialist	
07000 - Food Preparation And Service Occupations	12.77
07010 - Baker	11.02
07041 - Cook I	12.07
07042 - Cook II	8.11
07070 - Dishwasher	10.05
07130 - Food Service Worker	16.34
07210 - Meat Cutter	8.69
07260 - Waiter/Waitress	
09000 - Furniture Maintenance And Repair Occupations	20.56
09010 - Electrostatic Spray Painter	13.35
09040 - Furniture Handler	20.56
09080 - Furniture Refinisher	16.55
09090 - Furniture Refinisher Helper	18.84
09110 - Furniture Repairer, Minor	22.61
09130 - Upholsterer	
11000 - General Services And Support Occupations	11.74
11030 - Cleaner, Vehicles	11.10
11060 - Elevator Operator	16.03
11090 - Gardener	11.46
11122 - Housekeeping Aide	11.46
11150 - Janitor	12.55
11210 - Laborer, Grounds Maintenance	8.62
11240 - Maid or Houseman	11.10
11260 - Pruner	15.03
11270 - Tractor Operator	12.55
11330 - Trail Maintenance Worker	13.02
11360 - Window Cleaner	
12000 - Health Occupations	17.73
12010 - Ambulance Driver	16.55
12011 - Breath Alcohol Technician	21.69
12012 - Certified Occupational Therapist Assistant	20.81
12015 - Certified Physical Therapist Assistant	16.87
12020 - Dental Assistant	29.20
12025 - Dental Hygienist	22.64
12030 - EKG Technician	22.64
12035 - Electroneurodiagnostic Technologist	19.90
12040 - Emergency Medical Technician	14.80
12071 - Licensed Practical Nurse I	16.55
12072 - Licensed Practical Nurse II	18.46
12073 - Licensed Practical Nurse III	13.28
12100 - Medical Assistant	17.14
12130 - Medical Laboratory Technician	14.89
12160 - Medical Record Clerk	15.83
12190 - Medical Record Technician	16.10
12195 - Medical Transcriptionist	31.23
12210 - Nuclear Medicine Technologist	10.22
12221 - Nursing Assistant I	

12222 - Nursing Assistant II	11.49
12223 - Nursing Assistant III	12.54
12224 - Nursing Assistant IV	14.07
12235 - Optical Dispenser	16.07
12236 - Optical Technician	15.99
12250 - Pharmacy Technician	15.52
12280 - Phlebotomist	14.07
12305 - Radiologic Technologist	25.09
12311 - Registered Nurse I	26.36
12312 - Registered Nurse II	29.18
12313 - Registered Nurse II, Specialist	29.18
12314 - Registered Nurse III	35.30
12315 - Registered Nurse III, Anesthetist	35.30
12316 - Registered Nurse IV	42.33
12317 - Scheduler (Drug and Alcohol Testing)	20.03
13000 - Information And Arts Occupations	
13011 - Exhibits Specialist I	18.31
13012 - Exhibits Specialist II	22.69
13013 - Exhibits Specialist III	27.76
13041 - Illustrator I	20.80
13042 - Illustrator II	25.56
13043 - Illustrator III	30.15
13047 - Librarian	26.36
13050 - Library Aide/Clerk	12.34
13054 - Library Information Technology Systems Administrator	23.81
13058 - Library Technician	14.61
13061 - Media Specialist I	17.18
13062 - Media Specialist II	19.21
13063 - Media Specialist III	21.43
13071 - Photographer I	16.71
13072 - Photographer II	18.69
13073 - Photographer III	23.16
13074 - Photographer IV	27.91
13075 - Photographer V	33.77
13110 - Video Teleconference Technician	17.77
14000 - Information Technology Occupations	
14041 - Computer Operator I	17.04
14042 - Computer Operator II	19.06
14043 - Computer Operator III	21.26
14044 - Computer Operator IV	23.61
14045 - Computer Operator V	26.16
14071 - Computer Programmer I	22.01
14072 - Computer Programmer II	26.17
14073 - Computer Programmer III	(see 1)
14074 - Computer Programmer IV	(see 1)
14101 - Computer Systems Analyst I	(see 1)
14102 - Computer Systems Analyst II	(see 1)
14103 - Computer Systems Analyst III	(see 1)
14150 - Peripheral Equipment Operator	18.26
14160 - Personal Computer Support Technician	25.31
15000 - Instructional Occupations	
15010 - Aircrew Training Devices Instructor (Non-Rated)	34.92
15020 - Aircrew Training Devices Instructor (Rated)	42.25
15030 - Air Crew Training Devices Instructor (Pilot)	50.64
15050 - Computer Based Training Specialist / Instructor	33.63
15060 - Educational Technologist	28.74
15070 - Flight Instructor (Pilot)	50.64
15080 - Graphic Artist	21.87
15090 - Technical Instructor	20.66
15095 - Technical Instructor/Course Developer	25.27
15110 - Test Proctor	16.67
15120 - Tutor	16.67

16000 - Laundry, Dry-Cleaning, Pressing And Related Occupations	9.18
16010 - Assembler	9.18
16030 - Counter Attendant	11.31
16040 - Dry Cleaner	9.18
16070 - Finisher, Flatwork, Machine	9.18
16090 - Presser, Hand	9.18
16110 - Presser, Machine, Drycleaning	9.18
16130 - Presser, Machine, Shirts	9.18
16160 - Presser, Machine, Wearing Apparel, Laundry	11.95
16190 - Sewing Machine Operator	12.63
16220 - Tailor	9.95
16250 - Washer, Machine	
19000 - Machine Tool Operation And Repair Occupations	22.05
19010 - Machine-Tool Operator (Tool Room)	25.72
19040 - Tool And Die Maker	
21000 - Materials Handling And Packing Occupations	19.14
21020 - Forklift Operator	20.64
21030 - Material Coordinator	20.64
21040 - Material Expediter	19.18
21050 - Material Handling Laborer	12.91
21071 - Order Filler	18.77
21080 - Production Line Worker (Food Processing)	13.96
21110 - Shipping Packer	13.96
21130 - Shipping/Receiving Clerk	11.56
21140 - Store Worker I	17.04
21150 - Stock Clerk	19.14
21210 - Tools And Parts Attendant	19.14
21410 - Warehouse Specialist	
23000 - Mechanics And Maintenance And Repair Occupations	26.30
23010 - Aerospace Structural Welder	25.34
23021 - Aircraft Mechanic I	26.30
23022 - Aircraft Mechanic II	27.31
23023 - Aircraft Mechanic III	19.24
23040 - Aircraft Mechanic Helper	24.07
23050 - Aircraft, Painter	21.72
23060 - Aircraft Servicer	22.71
23080 - Aircraft Worker	22.59
23110 - Appliance Mechanic	15.80
23120 - Bicycle Repairer	26.41
23125 - Cable Splicer	26.52
23130 - Carpenter, Maintenance	22.39
23140 - Carpet Layer	30.81
23160 - Electrician, Maintenance	24.18
23181 - Electronics Technician Maintenance I	25.38
23182 - Electronics Technician Maintenance II	26.95
23183 - Electronics Technician Maintenance III	20.13
23260 - Fabric Worker	23.21
23290 - Fire Alarm System Mechanic	18.57
23310 - Fire Extinguisher Repairer	22.56
23311 - Fuel Distribution System Mechanic	17.91
23312 - Fuel Distribution System Operator	20.67
23370 - General Maintenance Worker	25.34
23380 - Ground Support Equipment Mechanic	21.72
23381 - Ground Support Equipment Servicer	22.71
23382 - Ground Support Equipment Worker	18.57
23391 - Gunsmith I	21.52
23392 - Gunsmith II	23.58
23393 - Gunsmith III	22.39
23410 - Heating, Ventilation And Air-Conditioning Mechanic	23.24
23411 - Heating, Ventilation And Air Contditioning Mechanic (Research Facility)	23.21
23430 - Heavy Equipment Mechanic	

23440 - Heavy Equipment Operator	25.39
23460 - Instrument Mechanic	21.16
23465 - Laboratory/Shelter Mechanic	22.59
23470 - Laborer	17.17
23510 - Locksmith	22.23
23530 - Machinery Maintenance Mechanic	27.80
23550 - Machinist, Maintenance	24.17
23580 - Maintenance Trades Helper	18.37
23591 - Metrology Technician I	21.16
23592 - Metrology Technician II	21.96
23593 - Metrology Technician III	22.79
23640 - Millwright	27.07
23710 - Office Appliance Repairer	22.23
23760 - Painter, Maintenance	23.03
23790 - Pipefitter, Maintenance	30.12
23810 - Plumber, Maintenance	28.85
23820 - Pneudraulic Systems Mechanic	23.58
23850 - Rigger	23.58
23870 - Scale Mechanic	21.52
23890 - Sheet-Metal Worker, Maintenance	26.55
23910 - Small Engine Mechanic	21.36
23931 - Telecommunications Mechanic I	24.81
23932 - Telecommunications Mechanic II	25.76
23950 - Telephone Lineman	23.55
23960 - Welder, Combination, Maintenance	23.21
23965 - Well Driller	23.21
23970 - Woodcraft Worker	23.58
23980 - Woodworker	18.57
24000 - Personal Needs Occupations	
24570 - Child Care Attendant	9.61
24580 - Child Care Center Clerk	13.39
24610 - Chore Aide	9.28
24620 - Family Readiness And Support Services Coordinator	13.20
24630 - Homemaker	13.20
25000 - Plant And System Operations Occupations	
25010 - Boiler Tender	25.70
25040 - Sewage Plant Operator	23.34
25070 - Stationary Engineer	25.70
25190 - Ventilation Equipment Tender	18.44
25210 - Water Treatment Plant Operator	23.34
27000 - Protective Service Occupations	
27004 - Alarm Monitor	17.23
27007 - Baggage Inspector	12.71
27008 - Corrections Officer	18.82
27010 - Court Security Officer	22.13
27030 - Detection Dog Handler	16.66
27040 - Detention Officer	18.82
27070 - Firefighter	25.67
27101 - Guard I	12.71
27102 - Guard II	16.66
27131 - Police Officer I	22.39
27132 - Police Officer II	24.88
28000 - Recreation Occupations	
28041 - Carnival Equipment Operator	12.57
28042 - Carnival Equipment Repairer	13.41
28043 - Carnival Equipment Worker	8.87
28210 - Gate Attendant/Gate Tender	13.47
28310 - Lifeguard	11.59
28350 - Park Attendant (Aide)	15.07
28510 - Recreation Aide/Health Facility Attendant	11.00
28515 - Recreation Specialist	18.05
28630 - Sports Official	12.00

28690 - Swimming Pool Operator	16.53
29000 - Stevedoring/Longshoremen Occupational Services	
29010 - Blocker And Bracer	23.72
29020 - Hatch Tender	23.72
29030 - Line Handler	23.72
29041 - Stevedore I	22.09
29042 - Stevedore II	24.90
30000 - Technical Occupations	
30010 - Air Traffic Control Specialist, Center (HFO) (see 2)	35.77
30011 - Air Traffic Control Specialist, Station (HFO) (see 2)	24.66
30012 - Air Traffic Control Specialist, Terminal (HFO) (see 2)	27.16
30021 - Archeological Technician I	19.36
30022 - Archeological Technician II	21.56
30023 - Archeological Technician III	25.04
30030 - Cartographic Technician	27.52
30040 - Civil Engineering Technician	22.72
30061 - Drafter/CAD Operator I	19.36
30062 - Drafter/CAD Operator II	21.56
30063 - Drafter/CAD Operator III	24.15
30064 - Drafter/CAD Operator IV	29.71
30081 - Engineering Technician I	17.67
30082 - Engineering Technician II	19.83
30083 - Engineering Technician III	22.18
30084 - Engineering Technician IV	27.48
30085 - Engineering Technician V	33.62
30086 - Engineering Technician VI	40.68
30090 - Environmental Technician	22.06
30210 - Laboratory Technician	20.55
30240 - Mathematical Technician	26.82
30361 - Paralegal/Legal Assistant I	20.04
30362 - Paralegal/Legal Assistant II	24.86
30363 - Paralegal/Legal Assistant III	30.37
30364 - Paralegal/Legal Assistant IV	36.75
30390 - Photo-Optics Technician	26.82
30461 - Technical Writer I	23.51
30462 - Technical Writer II	28.76
30463 - Technical Writer III	34.79
30491 - Unexploded Ordnance (UXO) Technician I	22.74
30492 - Unexploded Ordnance (UXO) Technician II	27.51
30493 - Unexploded Ordnance (UXO) Technician III	32.97
30494 - Unexploded (UXO) Safety Escort	22.74
30495 - Unexploded (UXO) Sweep Personnel	22.74
30620 - Weather Observer, Combined Upper Air Or (see 2)	24.15
Surface Programs	
30621 - Weather Observer, Senior (see 2)	26.82
31000 - Transportation/Mobile Equipment Operation Occupations	
31020 - Bus Aide	12.28
31030 - Bus Driver	16.52
31043 - Driver Courier	15.43
31260 - Parking and Lot Attendant	9.85
31290 - Shuttle Bus Driver	16.94
31310 - Taxi Driver	11.23
31361 - Truckdriver, Light	16.94
31362 - Truckdriver, Medium	17.97
31363 - Truckdriver, Heavy	20.79
31364 - Truckdriver, Tractor-Trailer	20.79
99000 - Miscellaneous Occupations	
99030 - Cashier	8.79
99050 - Desk Clerk	9.86
99095 - Embalmer	24.71
99251 - Laboratory Animal Caretaker I	10.84
99252 - Laboratory Animal Caretaker II	11.89

99310 - Mortician	30.54
99410 - Pest Controller	16.01
99510 - Photofinishing Worker	13.06
99710 - Recycling Laborer	18.45
99711 - Recycling Specialist	22.00
99730 - Refuse Collector	16.24
99810 - Sales Clerk	12.95
99820 - School Crossing Guard	9.90
99830 - Survey Party Chief	20.39
99831 - Surveying Aide	13.53
99832 - Surveying Technician	18.54
99840 - Vending Machine Attendant	12.95
99841 - Vending Machine Repairer	15.14
99842 - Vending Machine Repairer Helper	12.95

ALL OCCUPATIONS LISTED ABOVE RECEIVE THE FOLLOWING BENEFITS:

HEALTH & WELFARE: Life, accident, and health insurance plans, sick leave, pension plans, civic and personal leave, severance pay, and savings and thrift plans. Minimum employer contributions costing an average of \$3.81 per hour computed on the basis of all hours worked by service employees employed on the contract.

VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; 3 weeks after 8 years, and 4 weeks after 15 years. Length of service includes the whole span of continuous service with the present contractor or successor, wherever employed, and with the predecessor contractors in the performance of similar work at the same Federal facility. (Reg. 29 CFR 4.173)

HOLIDAYS: A minimum of ten paid holidays per year, New Year's Day, Martin Luther King Jr's Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, and Christmas Day. (A contractor may substitute for any of the named holidays another day off with pay in accordance with a plan communicated to the employees involved.) (See 29 CFR 4174)

THE OCCUPATIONS WHICH HAVE NUMBERED FOOTNOTES IN PARENTHESES RECEIVE THE FOLLOWING:

1) COMPUTER EMPLOYEES: Under the SCA at section 8(b), this wage determination does not apply to any employee who individually qualifies as a bona fide executive, administrative, or professional employee as defined in 29 C.F.R. Part 541. Because most Computer System Analysts and Computer Programmers who are compensated at a rate not less than \$27.63 (or on a salary or fee basis at a rate not less than \$455 per week) an hour would likely qualify as exempt computer professionals, (29 C.F.R. 541.400) wage rates may not be listed on this wage determination for all occupations within those job families. In addition, because this wage determination may not list a wage rate for some or all occupations within those job families if the survey data indicates that the prevailing wage rate for the occupation equals or exceeds \$27.63 per hour conformances may be necessary for certain nonexempt employees. For example, if an individual employee is nonexempt but nevertheless performs duties within the scope of one of the Computer Systems Analyst or Computer Programmer occupations for which this wage determination does not specify an SCA wage rate, then the wage rate for that employee must be conformed in accordance with the conformance procedures described in the conformance note included on this wage determination.

Additionally, because job titles vary widely and change quickly in the computer industry, job titles are not determinative of the application of the computer

professional exemption. Therefore, the exemption applies only to computer employees who satisfy the compensation requirements and whose primary duty consists of:

(1) The application of systems analysis techniques and procedures, including consulting with users, to determine hardware, software or system functional specifications;

(2) The design, development, documentation, analysis, creation, testing or modification of computer systems or programs, including prototypes, based on and related to user or system design specifications;

(3) The design, documentation, testing, creation or modification of computer programs related to machine operating systems; or

(4) A combination of the aforementioned duties, the performance of which requires the same level of skills. (29 C.F.R. 541.400).

2) AIR TRAFFIC CONTROLLERS AND WEATHER OBSERVERS - NIGHT PAY & SUNDAY PAY: If you work at night as part of a regular tour of duty, you will earn a night differential and receive an additional 10% of basic pay for any hours worked between 6pm and 6am. If you are a full-time employed (40 hours a week) and Sunday is part of your regularly scheduled workweek, you are paid at your rate of basic pay plus a Sunday premium of 25% of your basic rate for each hour of Sunday work which is not overtime (i.e. occasional work on Sunday outside the normal tour of duty is considered overtime work).

HAZARDOUS PAY DIFFERENTIAL: An 8 percent differential is applicable to employees employed in a position that represents a high degree of hazard when working with or in close proximity to ordnance, explosives, and incendiary materials. This includes work such as screening, blending, dying, mixing, and pressing of sensitive ordnance, explosives, and pyrotechnic compositions such as lead azide, black powder and photoflash powder. All dry-house activities involving propellants or explosives.

Demilitarization, modification, renovation, demolition, and maintenance operations on sensitive ordnance, explosives and incendiary materials. All operations involving regrading and cleaning of artillery ranges.

A 4 percent differential is applicable to employees employed in a position that represents a low degree of hazard when working with, or in close proximity to ordnance, (or employees possibly adjacent to) explosives and incendiary materials which involves potential injury such as laceration of hands, face, or arms of the employee engaged in the operation, irritation of the skin, minor burns and the like; minimal damage to immediate or adjacent work area or equipment being used. All operations involving, unloading, storage, and hauling of ordnance, explosive, and incendiary ordnance material other than small arms ammunition. These differentials are only applicable to work that has been specifically designated by the agency for ordnance, explosives, and incendiary material differential pay.

**** UNIFORM ALLOWANCE ****

If employees are required to wear uniforms in the performance of this contract (either by the terms of the Government contract, by the employer, by the state or local law, etc.), the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below that required by the wage determination. The Department of Labor will accept payment in accordance with the following standards as compliance:

The contractor or subcontractor is required to furnish all employees with an adequate number of uniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition, where uniform cleaning and maintenance is made the responsibility of the employee, all contractors and subcontractors subject to this wage determination shall (in the absence of a bona fide collective bargaining agreement providing for a different amount, or the furnishing of contrary affirmative proof as to the actual cost), reimburse all employees for such cleaning and maintenance at a rate of \$3.35 per week (or \$.67 cents per day). However, in those instances where the uniforms furnished are made of "wash and wear"

materials, may be routinely washed and dried with other personal garments, and do not require any special treatment such as dry cleaning, daily washing, or commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract, by the contractor, by law, or by the nature of the work, there is no requirement that employees be reimbursed for uniform maintenance costs.

The duties of employees under job titles listed are those described in the "Service Contract Act Directory of Occupations", Fifth Edition, April 2006, unless otherwise indicated. Copies of the Directory are available on the Internet. A link to the Directory may be found on the WHD home page at <http://www.dol.gov/esa/whd/> or through the Wage Determinations On-Line (WDOL) Web site at <http://wdol.gov/>.

REQUEST FOR AUTHORIZATION OF ADDITIONAL CLASSIFICATION AND WAGE RATE {Standard Form 1444 (SF 1444)}

Conformance Process:

The contracting officer shall require that any class of service employee which is not listed herein and which is to be employed under the contract (i.e., the work to be performed is not performed by any classification listed in the wage determination), be classified by the contractor so as to provide a reasonable relationship (i.e., appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination. Such conformed classes of employees shall be paid the monetary wages and furnished the fringe benefits as are determined. Such conforming process shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees. The conformed classification, wage rate, and/or fringe benefits shall be retroactive to the commencement date of the contract. {See Section 4.6 (C) (vi)} When multiple wage determinations are included in a contract, a separate SF 1444 should be prepared for each wage determination to which a class(es) is to be conformed.

The process for preparing a conformance request is as follows:

- 1) When preparing the bid, the contractor identifies the need for a conformed occupation(s) and computes a proposed rate(s).
- 2) After contract award, the contractor prepares a written report listing in order proposed classification title(s), a Federal grade equivalency (FGE) for each proposed classification(s), job description(s), and rationale for proposed wage rate(s), including information regarding the agreement or disagreement of the authorized representative of the employees involved, or where there is no authorized representative, the employees themselves. This report should be submitted to the contracting officer no later than 30 days after such unlisted class(es) of employees performs any contract work.
- 3) The contracting officer reviews the proposed action and promptly submits a report of the action, together with the agency's recommendations and pertinent information including the position of the contractor and the employees, to the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, for review. (See section 4.6(b) (2) of Regulations 29 CFR Part 4).
- 4) Within 30 days of receipt, the Wage and Hour Division approves, modifies, or disapproves the action via transmittal to the agency contracting officer, or notifies the contracting officer that additional time will be required to process the request.
- 5) The contracting officer transmits the Wage and Hour decision to the contractor.
- 6) The contractor informs the affected employees.

Information required by the Regulations must be submitted on SF 1444 or bond paper.

When preparing a conformance request, the "Service Contract Act Directory of Occupations" (the Directory) should be used to compare job definitions to insure that duties requested are not performed by a classification already listed in the wage determination. Remember, it is not the job title, but the required tasks that determine whether a class is included in an established wage determination. Conformances may not be used to artificially split, combine, or subdivide classifications listed in the wage determination.



Southwestern Illinois Flood Prevention District Council
c/o Charles Ewert
104 United Drive
Collinsville, IL 62234

Monthly Project Progress Report June 2014

AMEC Project No. 563170001
Period Ending Date: June 9, 2014

Date of Issue: June 13, 2014

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AMEC Environment & Infrastructure – Construction Engineering and Inspection

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1. OVERVIEW

1.1 Project Description

Levees along the east side of the Mississippi River within four levee districts have been found to be out of compliance with FEMA Requirement 44CFR 65.10 and therefore have been de-certified. The four districts are: Wood River Drainage and Levee District, Metro-East Sanitary District, Prairie du Pont Drainage and Levee District, and Fish Lake Drainage and Levee District. The counties involved have formed an overarching entity called the Southwestern Illinois Flood Prevention District Council (SIFPDC). AMEC has been selected by the SIFPDC to design and manage the construction of levee system improvements necessary to demonstrate compliance with FEMA Requirement 44CFR 65.10.

Bid Package 2A is composed of the construction of one (1) pump station within the Fish Lake Levee District System.

Bid Package 2B is composed of the construction of five (5) pump stations within the Wood River, MESD, and Prairie du Pont Levee Districts.

Bid Package 3 is composed of the construction of seepage control improvements within the Wood River Drainage and Levee District Levee System.

Bid Package 4 is composed of the construction of seepage control improvements within the Metro East Sanitary District Levee System, from Station 1209+00 to 1242+00. This package also includes the construction of one (1) pump station.

Bid Package 5 is composed of the construction of seepage control improvements within the Metro East Sanitary District Levee System.

Bid Package 6 is composed of the construction of seepage control improvements within the Prairie du Pont Drainage and Levee District and Fish Lake Drainage and Levee District Levee Systems.

1.2 AMEC Scope

Based on baseline budgets and baseline schedules, monitor and manage the program performance.

- track program cost
- monitor program budget
- track program progress
- monitor program schedule
- complete earned value analysis, performance measurements and forecasting
- schedule and cost variance management and corrective action plans

1.3 Key Contacts / People

Program Manager	Jay Martin, PE, 615.333.0630 jay.w.martin@amec.com
Project Manager	Jon Omvig, AICP, 636.200.5118 jon.omvig@amec.com
Resident Engineer/QA Manager	Kurt Wengert, PE, 314.288.7661 kurt.wengert@amec.com
Construction Inspector	Kevin Williams, 618.401.7226 kevin.m.williams@amec.com
Project Coordinator	Kendra Mitchom, 618.346.9120 kendra.mitchom@amec.com

2. HEALTH, SAFETY, SECURITY, ENVIRONMENT (HSSE)

2.1 HSSE Reports

Health/Safety
No issues during this reporting period.
Security
No issues during this reporting period.
Environment (SWPPP)
No issues during this reporting period.

3. PROJECT STATUS UPDATE

3.1 Bid Package 2A

3.1.1 Calendar

Bid Date:	June 11, 2013
Contract executed	October 11, 2013
Schedule received	October 29, 2013
Anticipated start of field activities	January 22, 2014
Start of field activities	January 27, 2014
Anticipated completion date	July 2014 (see 3.1.9 below)
Final Walk Through	
Final Acceptance	

3.1.2 Progress

ID	Description	Target	Actual	Comments
A	Construct Site 1 Pump Station	July 2014	83.9%	Grading work progressing slowly due to high river levels and wet weather; long lead items (formed suction inlets, pump tubes, and Limatorque actuator) have been delivered.

3.1.3 Property Acquisition

All property is acquired.

3.1.4 Levee Board Considerations

None at this time.

3.1.5 Submittals

Submittals complete.

3.1.6 Change Orders

See Change Order Log attached.

3.1.7 Field Activities and Look Ahead

Contractor has completed all of the intake structure concrete construction, and still has discharge forcemains and earthwork to complete. Pump installation and testing should occur within next couple of weeks.

3.1.8 QC/QA Activities

Juneau/SCI onsite performing testing activities.

3.1.9 Considerations

Extra time will be given to the Contractor to complete project expected due to high river levels and extension of existing gravity drain.

3.1.10 Payment Progress

See Contract Invoice Log attached.

3.2 Bid Package 2B

3.2.1 Calendar

Bid Date:	October 1, 2013
Contract executed	December 16, 2013
Schedule received	December 17, 2013
Anticipated start of field activities	February 17, 2014
Start of field activities	February 24, 2014
Anticipated completion date	August 27, 2014
Final Walk Through	
Final Acceptance	

3.2.2 Progress

ID	Description	Target	Actual	Comments
A	Construct Site 10 Pump Station	July 2014	24.1%	Contractor has begun dewatering operations for intake structure construction
B	Construct Site 16 Pump Station	July 2014	56.1%	All concrete construction complete; electrical, pumps and forcemains remaining
C	Construct Site 5 Pump Station	July 2014	29.7%	All concrete construction complete; electrical, pumps and forcemains remaining
D	Construct Site 12 Pump Station	November 2014	5.9%	Testing of existing force main discharge pipes delayed due to river levels
E	Construct Site 15 Pump Station	August 2014	4.5%	Bottom slab of intake structure placed June 6

3.2.3 Property Acquisition

All property is acquired.

3.2.4 Levee Board Considerations

3.2.5 Submittals

Submittal process ongoing.

3.2.6 Change Orders

See Change Order Log attached

3.2.7 Field Activities and Look Ahead

- Site 5 – setting pump tubes, pumps, electrical, sluice gates, and forcemains
- Site 10 – construction of intake structure after dewatering activities

AMEC Environment & Infrastructure – Construction Engineering and Inspection

- Site 10 – construction of intake structure after dewatering activities
- Site 12 – force main pipe testing on hold (Contractor needs lower river levels)
- Site 15 – completion of intake structure,
- Site 16 – delivery of pumps and piping for inside of pump station, then constructing the forcemains and finish grading

3.2.8 QC/QA Activities

Juneau/SCI onsite performing testing activities.

3.2.9 Considerations

Site 12 Pump Station construction is delayed until October 16, 2014 per USACE 408 permit requirements.

3.2.10 Payment Progress

See Contract Invoice Log attached.

3.3 Bid Package 03

3.3.1 Calendar

Bid Date:	December 3, 2013
Contract executed	January 27, 2014
Schedule received	December 3, 2013
Anticipated start of field activities	Pending property acquisition
Start of field activities	
Anticipated completion date	<i>September 29, 2014 (see 3.3.9 below)</i>
Final Walk Through	
Final Acceptance	

3.3.2 Progress

ID	Description	Target	Actual	Comments
A	Blanket Drains, Seepage Berms and Stream Banks	September 2014	TBD	Inactive due to land acquisition
B	Relief Wells and Piezometers	September 2014	TBD	Inactive due to land acquisition

3.3.3 Property Acquisition

Major parcels are still needed for project to progress. Partial NTP issued March 13, 2014.

3.3.4 Levee Board Considerations

The slow progress of land acquisition is affecting the levee certification schedule. Property acquisition needs to be expedited.

3.3.5 Submittals

Submittal process ongoing.

3.3.6 Change Orders

See Change Order Log attached.

3.3.7 Field Activities and Look Ahead

Project is inactive. Field activities pending property acquisition.

3.3.8 QC/QA Activities

None at this time.

3.3.9 Considerations

Property acquisition has been critical and is affecting the Bid Package 03 project schedule and overall levee improvements project and certification schedule. Time extension is expected.

3.3.10 Payment Progress

See Contract Invoice Log attached.

3.4 Bid Package 4

3.4.1 Calendar

Bid Date:	December 3, 2013
Contract executed	February 6, 2014
Schedule received	December 3, 2013
Anticipated start of field activities	February 24, 2014
Start of field activities	March 17, 2014
Anticipated completion date	<i>September 6, 2014 (see 3.4.9 below)</i>
Final Walk Through	
Final Acceptance	

3.4.2 Progress

ID	Description	Target	Actual	Comments
A	Earthwork	June 2014	0	Dependent on fence relocation
B	Piezometers	July 2014	0	Dependent on fence relocation
C	Pipe Conveyance System	July 2014	0	Dependent on fence relocation
D	Relief Wells (new and converted)	August 2014	8.5%	Relocation of safety fence only, no actual relief well work has occurred
E	Construct Site 9 Pump Station	August 2014	0	Dependent on fence relocation

3.4.3 Property Acquisition

All property is acquired.

3.4.4 Levee Board Considerations

None at this time.

3.4.5 Submittals

Submittal process underway

3.4.6 Change Orders

See Change Order Log attached.

3.4.7 Field Activities and Look Ahead

Contractor removing old fence and drilling new relief wells once new fencing is complete.

3.4.8 QC/QA Activities

No current material testing needs.

3.4.9 Considerations

Time extension expected for delays in relocation of Phillips 66 security fence.

3.4.10 Payment Progress

See Contract Invoice Log attached.

3.5 Bid Package 5

3.5.1 Calendar

Bid Date:	December 3, 2013
Contract executed	January 27, 2014
Schedule received	December 3, 2013
Anticipated start of field activities	February 17, 2014
Start of field activities	February 17, 2014
Anticipated completion date	October 31, 2014
Final Walk Through	
Final Acceptance	

3.5.2 Progress

ID	Description	Target	Actual	Comments
A	Sluice Gates	June 2014	1.9%	Need lower river levels
B	Relief Wells and Piezometers	October 2014	15.5%	Manholes and collection systems have been installed in southern portion of project; conversion of relief wells has started
C	Earthwork	September 2014	21.5%	Clearing of clay cap area has begun; item includes erosion control measures and dewatering
D	Rip Rap repairs	October 2014	20.0%	Need lower river levels; started work but left in place due to higher water

3.5.3 Property Acquisition

One parcel, Illinois Historic Preservation Society, is outstanding. Property acquisition needs to be expedited.

3.5.4 Levee Board Considerations

The slow progress of land acquisition is affecting the project schedule.

3.5.5 Submittals

Submittal process underway

3.5.6 Change Orders

See Change Order Log attached.

3.5.7 Field Activities and Look Ahead

Contractor on hold pending delivery of relief well screens.

3.5.8 QC/QA Activities

Juneau/SCI on site for QC testing as needed.

3.5.9 Considerations

None at this time.

3.5.10 Payment Progress

See Contract Invoice Log attached.

3.6 Bid Package 6

3.6.1 Calendar

Bid Date:	June 11, 2013
Contract executed	October 3, 2013
Schedule received	October 31, 2013
Anticipated start of field activities	November 11, 2013
Start of field activities	November 19, 2013
Anticipated completion date	January 22, 2015
Final Walk Through	
Final Acceptance	

3.6.2 Progress

ID	Description	Target	Actual	Comments
A	Seepage Berms	August 2014	64.3%	Work continues; new borrow site to be opened soon for berms south of East Carondelet
B	Clay Caps	April 2014	0	None
C	Relief Wells and Piezometers	June 2014	16.4%	Pilot hole drilling almost complete; piezometer installation starting soon
D	Pipe Conveyance	October 2014	0	None

3.6.3 Property Acquisition

1 parcel – Norfolk Southern – still outstanding.

3.6.4 Levee Board Considerations

The slow progress of land acquisition is affecting the levee project schedule

3.6.5 Submittals

Submittal process ongoing.

3.6.6 Change Orders

See Change Order Log attached

3.6.7 Field Activities and Look Ahead

Adding risers to relief wells in seepage berms areas; installation of piezometers to start week of June 16; slip lining of 84" gravity drains tentatively scheduled to begin week of June 23.

3.6.8 QC/QA Activities

ABNA is testing material as it is blended; AMEC and Geotechnology performing QA testing.

3.6.9 Considerations

None at this time.

3.6.10 Payment Progress

See Contract Invoice Log attached.

TITLE: CONSTRUCTION CHANGE ORDER LOG

CLIENT: Southwestern Illinois Flood Prevention District Council
PROJECT: Bid Package 2A

PROJECT NUMBER: SIFPDC-BP2A

Change Request Number	Description	Change Type (Field, Design, Spec, Other)	Cost to FPD	Cost to Others	As Bid Line Item #	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	Limiterque Actuator	Spec	\$2,245.00	\$0.00	2A.8	\$ 28,700.00	7.82%	0.30%	2/10/2014	Approved	Specification change due to MESD levee district negative experience with spec'd material; spec change to alleviate possible future problems and for consistency with other bid packages
2	Additional Roadway Aggregate	Field	\$7,730.00	\$0.00	2A.3	\$141,200.00	5.47%	1.03%	3/18/2014	Approved	Required by Monroe County
3	Building Permit	Field	\$434.00	\$0.00	2A.1	\$ 36,200.00	1.20%	0.06%	3/4/2014	Approved	Required by Monroe County
4	Contract Time Extension	Field	\$0.00	\$0.00	NA	NA	NA	0.00%	3/31/2014	Approved	Contract extended 90 days due to material fabrication delay
5	Pipe Extension on Stump Property	Field								Pending	To enable property owner equipment access to property
6	2nd Contract Time Extension	Field								Pending	Time extension request letter in review by Amec
			Total of Project Change Orders	\$10,409.00						Total	\$ 10,409.00

Original Contract Amount Revised Contract Amount % Change from Original Amount
\$747,500.00 \$757,909.00 1.39%

TITLE: CONSTRUCTION CHANGE ORDER LOG

CLIENT: Southwestern Illinois Flood Prevention District Council
PROJECT Bid Package 04

PROJECT NUMBER: SIFPDC-BP04

Change Request Number	Description	Change Type (Field, Design, Spec, Other)	Cost to FPD	Cost to Others	As Bid Line Item #	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	Flight Pump and Locking Sewer Lids	Other	\$0.00	\$8,196.30	4.27	\$ 222,500.00	3.68%	0.26%	3/11/2014	Approved	To be paid by MESD Levee District
2	PVC Fence Panel Fillers	Field	\$949.90	\$0.00	4.22	\$ 140,360.00	0.68%	0.03%	4/4/2014	Approved	To accommodate field condition
3	Furnish and Install Fence Grounding	Field	\$10,488.00	\$0.00	4.22	\$ 140,360.00	7.47%	0.33%	4/17/2014	Approved	Ameren required fence to be grounded; grounding to be every 200ft with 20ft ground rod
4	Post, Clamps, Jumper for new fence grounding	Field								Pending	Anticipated change order for additional grounding needed as requested by Phillips 66
Total of Project Change Orders			\$11,437.90	\$8,196.30						Total	\$ 19,634.20

Original Contract Amount Revised Contract Amount % Change from Original Amount
 \$3,190,232.45 3,209,866.65 0.62%

TITLE: CONSTRUCTION CHANGE ORDER LOG

CLIENT: Southwestern Illinois Flood Prevention District Council
PROJECT Bid Package 06

PROJECT NUMBER: SIFPDC-BP06

Change Request Number	Description	Change Type (Field, Design, Spec, Other)	Cost to FPD	Cost to Others	As Bid Line Item #	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	Spiral Wound (Slip-Lining)	Spec	\$0.00	\$0.00	6.20	\$1,629,000.00	0.00%	0.00%	2/27/2014	Approved	Specification change to coordinate and be consistent with material and methods used by Corps of Engineers
2	Bronze Survey Markers	Spec	\$1,870.00	\$0.00	6.40	\$1,039,884.00	0.18%	0.01%	3/18/2014	Approved	Specification change to make consistent with other bid packages. Comments back from Corps of Engineers on other bid packages but was missed on this bid package.
3	Air testing, vaccum testing	Field								Pending	Anticipated extra to be submitted by Contractor
4	Filter Pack	Design								Pending	Pending final design to determine
Total of Project Change Orders			\$1,870.00	\$0.00						Total	\$ 1,870.00

Original Contract Amount Revised Contract Amount % Change from Original Amount
 \$12,857,127.75 \$12,858,997.75 0.01%



Memo to: Board of Directors
From: Chuck Ewert
Subject: Budget and Disbursement Report for May 2014
Date: June 16, 2014

Current Budget Highlights

Attached is the financial statement for May 2014 prepared by our fiscal agent, CliftonLarsonAllen. The report includes an accounting of revenues and expenditures for the month ending May 31, 2014, as compared to our fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2013 are \$24,231,808 while revenues amounted to \$7,983,945 resulting in a deficit of \$16,247,863. A total of approximately \$17,746,883 is now held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts for March 2014 (the latest month reported by the Illinois Department of Revenue) were up by 4.90% from the previous year. In general, receipts are slightly up .88% from last year and are running just above of 2011 levels.

Monthly Disbursements

Attached are lists of bank transactions for May 2014. Total disbursements for the month were \$2,894,871.37. The largest payments were to the Lane Construction for BP #6, Keller Construction for BPs #3 & #5, Korte & Luitjohan Contractors for BPs #2A & #2B, final partial payments to the Corps of Engineers for Cost Share on Metro-East and Wood River designs previously approved in December and AMEC and its subcontractors for design and construction management services.

Recommendation:

Accept the budget report and disbursements for May 2014.

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

EIGHT MONTHS ENDING MAY 2014 AND 2013



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

We have compiled the accompanying General Fund Statement of Revenues and Expenditures – Budget and Actual of Southwestern Illinois Flood Prevention District Council (the “Council”) for the eight months ended May 2014 and 2013. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statement on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide assurance that there are no material modifications that should be made to the financial statements. During our compilation we did become aware of departures from accounting principles generally accepted in the United States of America that are described in the following paragraph.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2014 and 2013, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in cursive script that reads "Clifton Larson Allen LLP".

CliftonLarsonAllen LLP

St. Louis, Missouri
June 11, 2014

**SOUTHWESTERN ILLINOIS FLOOD PROTECTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
EIGHT MONTHS ENDED MAY 31, 2014 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2014 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET
	ORIGINAL	FINAL		POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,237,000	\$ 11,237,000	\$ 7,253,313	\$ 3,983,687
Interest Income	650,000	650,000	730,632	(80,632)
Other Contributions	-	-	-	-
Total Revenues	<u>11,887,000</u>	<u>11,887,000</u>	<u>7,983,945</u>	<u>3,903,055</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	4,000,000	4,000,000	1,465,145	2,534,855
Construction	60,000,000	60,000,000	10,591,859	49,408,141
Construction and design by US ACE	1,000,000	1,000,000	2,477,634	(1,477,634)
Federal Cost-Share	-	-	-	-
Total Design and Construction	<u>65,000,000</u>	<u>65,000,000</u>	<u>14,534,638</u>	<u>50,465,362</u>
Professional Services				
Legal & Legislative Consulting	210,000	210,000	76,212	133,788
Diversity Program Manager	210,000	210,000	124,350	85,650
Construction Oversight	-	-	-	-
Other	-	-	2,856	(2,856)
Financial Advisor	40,000	40,000	9,450	30,550
Bond Underwriter/Conduit Issuer	100,000	100,000	-	100,000
Total Professional Services	<u>560,000</u>	<u>560,000</u>	<u>212,868</u>	<u>347,132</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	2,270,400	2,270,400	1,248,378	1,022,022
Monroe County	220,800	220,800	124,095	96,705
St. Clair County	2,308,800	2,308,800	1,251,857	1,056,943
Total Refund of Surplus Funds to County	<u>4,800,000</u>	<u>4,800,000</u>	<u>2,624,330</u>	<u>2,175,670</u>
Debt Service				
Principal and Interest	7,107,440	7,107,440	7,102,339	5,101
Federal Interest Subsidy	(910,140)	(910,140)	(422,305)	(487,835)
Total Debt Service	<u>6,197,300</u>	<u>6,197,300</u>	<u>6,680,034</u>	<u>(482,734)</u>
Total Operating Expenses	<u>76,557,300</u>	<u>76,557,300</u>	<u>24,051,870</u>	<u>52,505,430</u>
General and Administrative Costs				
Salaries, Benefits	192,331	192,331	140,150	52,181
Advertising	-	-	-	-
Bank Service Charges	600	600	940	(340)
Conference Registration	500	500	372	128
Equipment and Software	4,000	4,000	1,768	2,232
Fiscal Agency Services	23,000	23,000	17,500	5,500
Furniture	-	-	-	-
Meeting Expenses	1,000	1,000	28	972
Postage/Delivery	400	400	218	182
Printing/Photocopies	2,500	2,500	1,557	943
Professional Services	20,000	20,000	8,663	11,337
Publications/Subscriptions	250	250	2,125	(1,875)
Supplies	1,500	1,500	1,314	186
Telecommunications/Internet	2,000	2,000	2,005	(5)
Travel	15,000	15,000	2,317	12,683
Insurance	1,000	1,000	981	19
Total General & Administrative Costs	<u>264,081</u>	<u>264,081</u>	<u>179,938</u>	<u>84,143</u>
Total Expenditures	<u>76,821,381</u>	<u>76,821,381</u>	<u>24,231,808</u>	<u>52,589,573</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES				
	(64,934,381)	(64,934,381)	(16,247,863)	48,686,518
OTHER FINANCING SOURCES				
Proceeds From Borrowing	10,000,000	10,000,000	-	10,000,000
NET CHANGE IN FUND BALANCE	<u>\$ (54,934,381)</u>	<u>\$ (54,934,381)</u>	<u>\$ (16,247,863)</u>	<u>\$ 58,686,518</u>

SOUTHWESTERN ILLINOIS FLOOD PROTECTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
EIGHT MONTHS ENDED MAY 31, 2013 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2013 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,639,000	\$ 11,639,000	\$ 7,335,622	\$ 4,303,378
Interest Income	960,000	960,000	801,851	158,149
Other Contributions	-	-	-	-
Total Revenues	<u>12,599,000</u>	<u>12,599,000</u>	<u>8,137,473</u>	<u>4,461,527</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	6,000,000	6,000,000	1,829,741	4,170,259
Construction	42,600,000	42,600,000	727,953	41,872,047
Construction and design by US ACE	1,400,000	1,400,000	475,000	925,000
Federal Cost-Share	-	-	-	-
Total Design and Construction	<u>50,000,000</u>	<u>50,000,000</u>	<u>3,032,694</u>	<u>46,967,306</u>
Professional Services				
Legal & Legislative Consulting	126,000	126,000	84,311	41,689
Construction Oversight	160,000	160,000	-	160,000
Impact Analysis/Research	10,000	10,000	-	10,000
Financial Advisor	20,000	20,000	11,555	8,445
Bond Underwriter/Conduit Issuer	93,529	93,529	2,288	91,241
Total Professional Services	<u>409,529</u>	<u>409,529</u>	<u>98,154</u>	<u>311,375</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	2,955,782	2,955,782	1,628,338	1,327,444
Monroe County	280,157	280,157	154,412	125,745
St. Clair County	2,907,860	2,907,860	1,602,005	1,305,855
Total Refund of Surplus Funds to County	<u>6,143,799</u>	<u>6,143,799</u>	<u>3,384,755</u>	<u>2,759,044</u>
Debt Service				
Principal and Interest	7,107,440	7,107,440	7,102,439	5,001
Federal Interest Subsidy	(910,140)	(910,140)	(798,802)	(111,338)
Total Debt Service	<u>6,197,300</u>	<u>6,197,300</u>	<u>6,303,637</u>	<u>(106,337)</u>
Total Operating Expenses	<u>62,750,628</u>	<u>62,750,628</u>	<u>12,819,240</u>	<u>49,931,388</u>
General and Administrative Costs				
Salaries, Benefits	192,331	192,331	140,273	52,058
Advertising	-	-	-	-
Bank Service Charges	420	420	376	44
Conference Registration	500	500	372	128
Equipment and Software	3,000	3,000	1,769	1,231
Fiscal Agency Services	23,000	23,000	14,750	8,250
Furniture	-	-	-	-
Meeting Expenses	1,000	1,000	29	971
Postage/Delivery	400	400	207	193
Printing/Photocopies	2,500	2,500	1,084	1,416
Professional Services	15,000	15,000	848	14,152
Publications/Subscriptions	250	250	105	145
Supplies	1,500	1,500	1,594	(94)
Telecommunications/Internet	2,000	2,000	1,371	629
Travel	15,000	15,000	5,769	9,231
Insurance	1,000	1,000	977	23
Total General & Administrative Costs	<u>257,901</u>	<u>257,901</u>	<u>169,524</u>	<u>88,377</u>
Total Expenditures	<u>63,008,529</u>	<u>63,008,529</u>	<u>12,988,764</u>	<u>50,019,765</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES				
	(50,409,529)	(50,409,529)	(4,851,291)	45,558,238
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u><u>\$ (50,409,529)</u></u>	<u><u>\$ (50,409,529)</u></u>	<u><u>\$ (4,851,291)</u></u>	<u><u>\$ 45,558,238</u></u>

Flood Prevention District Sales Tax Trends 2009-2014

2009												County Share		
Jan	Feb	March	April	May	June	July	August	Sept	October	November	December		Total	
Madison	\$321,968	\$336,765	\$397,425	\$387,385	\$414,350	\$421,402	\$399,616	\$401,188	\$400,090	\$404,847	\$405,930	\$492,814	\$4,783,780	46.319%
St. Clair	\$337,979	\$362,696	\$424,556	\$398,395	\$419,126	\$438,230	\$411,968	\$410,484	\$429,852	\$412,637	\$446,806	\$581,721	\$5,074,450	49.134%
Monroe	\$31,641	\$32,903	\$37,830	\$38,757	\$41,326	\$40,847	\$37,817	\$37,497	\$38,652	\$42,270	\$40,332	\$49,755	\$469,627	4.547%
Total Month	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
Cumulative Total	\$691,588	\$1,423,952	\$2,283,763	\$3,108,300	\$3,983,102	\$4,883,581	\$5,732,982	\$6,582,151	\$7,450,745	\$8,310,499	\$9,203,567	\$10,327,857		
2010														
Madison	\$353,146	\$374,416	\$456,795	\$462,697	\$440,815	\$452,308	\$427,329	\$433,047	\$419,455	430,210	\$442,904	\$529,069	\$5,222,191	47.272%
St. Clair	\$367,458	\$399,480	\$464,089	\$439,748	\$439,139	\$458,299	\$421,447	\$423,718	\$424,971	\$429,581	\$457,927	587067	\$5,312,924	48.094%
Monroe	\$36,770	\$34,324	\$39,884	\$43,769	\$44,358	\$43,102	\$46,499	\$41,816	\$42,207	\$42,746	\$45,411	\$51,004	\$511,890	4.634%
Total Month	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
Cumulative Total	\$757,374	\$1,565,594	\$2,526,362	\$3,472,576	\$4,396,888	\$5,350,597	\$6,245,872	\$7,144,453	\$8,031,086	\$8,933,623	\$9,879,865	\$11,047,005		
% change/month	9.51%	10.36%	11.74%	14.8%	5.7%	5.9%	5.4%	5.8%	2.1%	5.0%	6.0%	3.8%		
% change/total	9.51%	9.95%	10.62%	11.72%	10.39%	9.56%	8.95%	8.54%	7.79%	7.50%	7.35%	6.96%	6.96%	
2011														
Madison	\$380,021	\$383,976	\$460,129	\$454,562	\$466,904	\$477,396	\$436,637	\$473,303	\$448,256	\$444,204	\$455,842	\$538,000	\$5,419,230	48.108%
St. Clair	\$363,984	\$395,231	\$455,562	\$437,820	\$436,490	\$475,972	\$433,460	\$433,777	\$441,030	\$412,793	\$451,390	\$594,129	\$5,331,638	47.330%
Monroe	\$38,315	\$34,759	\$41,192	\$44,975	\$41,786	\$45,836	\$44,887	\$43,323	\$42,564	\$42,690	\$42,252	\$51,266	\$513,845	4.562%
Total Month	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
Cumulative Total	\$782,320	\$1,596,286	\$2,553,169	\$3,490,526	\$4,435,706	\$5,434,910	\$6,349,894	\$7,300,297	\$8,232,147	\$9,131,834	\$10,081,318	\$11,264,713		
% change/month	3.29%	0.71%	-0.40%	-0.94%	2.26%	4.77%	2.20%	5.77%	5.10%	-0.32%	0.34%	1.39%		
% change/total	3.29%	1.96%	1.06%	0.52%	0.88%	1.58%	1.67%	2.18%	2.50%	2.22%	2.04%	1.97%	1.97%	
2012														
Madison	\$381,470	\$406,476	\$473,049	\$471,191	\$481,989	\$477,254	\$427,562	\$434,603	\$428,193	\$428,521	\$429,127	\$523,240	\$5,362,675	47.481%
St. Clair	\$361,727	\$415,491	\$468,490	\$432,173	\$468,782	\$473,567	\$425,923	\$441,838	\$438,184	\$424,289	\$454,916	\$589,183	\$5,394,563	47.763%
Monroe	\$37,471	\$38,904	\$46,086	\$46,051	\$46,231	\$45,671	\$43,063	\$45,307	\$45,641	\$46,230	\$45,429	\$51,062	\$537,146	4.756%
Total Month	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
Cumulative Total	\$780,668	\$1,641,539	\$2,629,164	\$3,578,579	\$4,575,581	\$5,572,073	\$6,468,621	\$7,390,369	\$8,302,387	\$9,201,427	\$10,130,899	\$11,294,384		
% change/month	-0.21%	5.76%	3.21%	1.29%	5.48%	-0.27%	-2.01%	-3.02%	-2.13%	-0.07%	-2.11%	-1.68%		
% change/total	-0.21%	2.83%	2.98%	2.52%	3.15%	2.52%	1.87%	1.23%	0.85%	0.76%	0.49%	0.26%	0.26%	

Southwestern Illinois Flood Prevention District Council
Transactions by Account
As of May 31, 2014

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
MAY 31, 2014

Beginning Bank Balance May 1			\$	930,608.67
Receipts				
Funds Transfer-Admin	05/21/2014	52,780.73		
Funds Transfer-Construction	05/30/2014	2,237,042.52		
Interest	05/30/2014	146.82		
				2,289,970.07
Disbursements				
AT&T	05/07/2014 Telephone Service	91.23		
Walmart	05/22/2014 Office Supplies	39.78		
The Bank-Service Fees	05/21/2014 Wire Fee	10.00		
The Bank-Service Fees	05/21/2014 Wire Fee	10.00		
Keller Construcion Inc	05/22/2014 Construction	521,236.51		
Korte & Luitjohan Contractors Inc	05/22/2014 Construction	361,620.00		
The Lane Construction Corporation	05/22/2014 Construction	983,075.40		
ABNA.	05/22/2014 Design & Construction	38,538.20		
AMEC Earth & Environmental, Inc.	05/22/2014 Construction	226,431.58		
Charles Etwert	05/22/2014 Professional Services	369.25		
CliftonLarsonAllen LLP	05/22/2014 Fiscal Agent	1,950.00		
Cost Less Copy Center	05/22/2014 Office Supplies	188.00		
Dorgan, McPike & Assoc, LTD	05/22/2014 Professional Services	3,000.00		
Husch Blackwell Sanders	05/22/2014 Professional Services	27,680.40		
Lahuko Farms- c/o Alfon W. Larson	05/22/2014 Construction	45,000.00		
Marks & Associates	05/22/2014 Diversity Program	17,580.00		
Monroe County Electric Cooperative, Inc.	05/22/2014 Construction	2,386.25		
Smith Dawson & Andrews	05/22/2014 Professional Services	10,000.00		
East-West Gateway Council of Governments	05/22/2014 Salary	40,342.19		
The Bank-Service Fees	05/22/2014 Wire Fee	15.00		
The Bank-Service Fees	05/22/2014 Wire Fee	15.00		
The Bank-Service Fees	05/22/2014 Wire Fee	15.00		
The Bank-Service Fees	05/22/2014 Wire Fee	15.00		
FAO, USAED, St. Louis(B3)	05/27/2014 Construction	509,375.00		
UMB Bank, NA	05/27/2014 Trust Fees	2,496.30		
FAO, USAED, St. Louis(B3)	05/27/2014 Construction	103,375.00		
The Bank-Service Fees	05/30/2014 Wire Fee	16.28		
				2,894,871.37
			\$	325,707.37



Memo to: Board of Directors

From: Chuck Etwert

Subject: Approval of Agreements with Ameren Illinois for BP #7B Cutoff Wall and BP #6 Seepage Berm

Date: June 16, 2014

As previously mentioned, authorization of funds is needed for the relocation of Ameren Illinois electrical utility lines involving the BP #7B Cutoff Wall Project and now also the BP #6 Seepage Improvement Project.

At BP #7B Ameren Illinois will be temporarily relocating 3-34kva lines to allow for the installation of the cutoff wall. These lines will be reinstalled to their original location once the cutoff wall has been constructed in that area. The cost for this temporary relocation is \$217,568.52. In addition, there will be charge of \$95,864.94 for the rerouting of a line involving the permanent relocation of pole to avoid interference with relief wells.

At BP #6 due to additional fill and levee final elevation, Ameren Illinois will be relocating their line to comply with the National Electrical Safety Code clearance requirements and also meet levee design criteria. The cost for this relocation is \$100,900.43. This relocation involves the additional Pulcher easement approved by the Board last month.

Ameren Illinois doesn't begin procuring any materials or equipment or begin any work until payment of the required funds are received.

The Detail of Construction Expenses previously provided to the Board, contains a line item identified as Utilities for \$925,000. This amount was included in construction costs to cover the cost of bringing anticipated electrical service and line relocations to the seven pump stations being built. With the additional costs for these utility relocations we will be approximately \$300,000 over budget for the "Utilities" line item.

Recommendation: Authorize the Chief Supervisor to enter into an agreements with Ameren Illinois for the modifications of their system to serve the BP #7B Cutoff Wall Project at a cost not to exceed \$313,433.46, and to serve the BP #6 Seepage Improvement Project at a cost not to exceed \$100,900.43.



Joelle Enyard
2600 North Center St
PO Box 378
Maryville, IL 62062
June 9, 2014

James Solari, P.E.
AMEC Environment & Infrastructure, Inc.
850 Vandalia St, Ste. 230
Collinsville, IL 62234-4068

RE: Wood River Levee Deep Wall Cutoff
Wood River Levee Relief Well Corner Pole work

Dear Jim:

The estimated cost to temporarily relocate and reinstall the 3 existing 34.5kV poles for the Wood River Levee Deep Wall Cutoff is \$217,568.52. The job can be referenced under IPMN087800 and IPMN087801. The estimated cost for the corner pole affected by Relief Well work is \$95,864.94. The corner pole work can be referenced under IPMN087637.

1. The job includes the installation of primary conductor/cable, associated hardware, poles, and guying equipment.
2. Restoration, landscaping repair is not included.
3. All final connections to Ameren equipment will be done by Ameren personnel.
4. All work to be performed during normal working hours. Premium cost for overtime has not been included.
5. The pricing provided is valid for 90 days.

Sincerely,

A handwritten signature in blue ink, appearing to read "Joelle Enyard".

Joelle Enyard
Engineer

Cc: Randy Cook, AMEC
Diane Prazma, U.D.S.



Please Return This Portion With Your Payment.

AMOUNT DUE	DUE DATE
\$100,900.43	Jun 23, 2014
ACCOUNT NUMBER	
27391-27189	

SOUTHWESTERN ILLINOIS FLOOD
 PREVENTION DISTRICT COUNCIL
 ATTN CHARLES ETWERT
 104 UNITED DR
 COLLINSVILLE, IL 62234

Ameren Illinois
 P.O. Box 66893
 St. Louis, MO 63166-6893

90700000 0027391271809 00000000 000100900430 000100900430

Keep This Portion For Your Records

ACCOUNT NUMBER	27391-27189
DOJM NUMBER	IPMS106020
CUSTOMER PO #	

	BILL DATE	Jun 9, 2014
TOTAL AMOUNT DUE BY	Jun 23, 2014	\$100,900.43

LOCATION	LEVEE RD EAST CARONDELET, IL
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CONSTRUCTION BILLING
 CHARGE DATE: 06/06/2014

QUANTITY	DESCRIPTION	COST/UNIT	AMOUNT
	Reloc Primary Serv		\$100900.43
	CHARGE TO RELOCATE 3 PHASE ELECTRIC LINE. WR# IPMS106020		

Current Amount Due \$100,900.43
 Prior Amount Due \$0.00
 Total Amount Due \$100,900.43

Speedpay gives you the added convenience and flexibility of paying your bills by phone or electronically seven days a week, 24 hours a day. Speedpay payments show as "pending payments" on the same day the transaction is processed, and they are credited to your utility account within 48 hours. To pay online, go to ameren.com or call Speedpay at 1.888.777.3108. A convenience fee applies.