



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING
May 16, 2018 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
John Conrad, President
2. Approval of Minutes of March 21, 2018
3. Public Comment on Pending Agenda Items
4. Program Status Report
Chuck Etwert, Chief Supervisor
5. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
6. Design and Construction Update
Wood Environment & Infrastructure Solutions, Inc.
7. Amendments to Wood Work Orders #14 & #17
Wood Environment & Infrastructure Solutions, Inc.
Chuck Etwert, Chief Supervisor
8. Update from Corps of Engineers
Tracey Kelsey, U.S. Army Corps of Engineers
9. Resolution of Appreciation

AGENDA

10. Public Comment

Executive Session – Review of Executive Session Minutes

11. Release of Executive Session Minutes

12. Other Business

13. Adjournment

Next Meeting: July 18, 2018

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING March 21, 2017

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday March 21, 2018.

Members in Attendance

John Conrad, President (Chair, Monroe County Flood Prevention District)
Bruce Brinkman, Monroe County Flood Prevention District
Tom Long, Madison County Flood Prevention District
Debra Moore, (Chair, St. Clair County Flood Prevention District)
Alvin Parks, Jr., St. Clair County Flood Prevention District
Ronald Polka, Monroe County Flood Prevention District

Members Absent

Ron Motil, Vice President (Chair, Madison County Flood Prevention District)
Paul Bergkoetter, Secretary/Treasurer (St. Clair County Flood Prevention District)
Jeremy Plank, Madison County Flood Prevention District

Others in Attendance

Robert Elmore, Monroe County Board Chair
Kurt Prenzler, Madison County Board Chair
Chuck Etwert, SW Illinois FPD Council
Steve Adler, Metro-East Sanitary District
Michael Brokering, Scheffel Boyle
Tim Eagleton, FM Global
Ronald S. Kaempfe, IUOE Local 520
Tracey Kelsey, U.S. Army Corps of Engineers
Steve Langendorf, Scheffel Boyle
Lance Lunte, Lochmueller Group
Rick Mauch, SCI Engineering
Sandra Marks, Marks & Associates
Jay Martin, Amec Foster Wheeler Environment & Infrastructure
David Oates, Oates Associates
Jon Omvig, Amec Foster Wheeler Environment & Infrastructure
Cass Sheppard, SMS Engineers
Kevin Williams, Amec Foster Wheeler Environment & Infrastructure

Call to order

President John Conrad noted the presence of a quorum and called the meeting to order at 7:30 am. In the absence of the Secretary/Treasurer, Paul Bergkoetter, he called the roll to confirm that a quorum was present and the following indicated their attendance.

Mr. Polka - Present
Mr. Brinkman – Present
Mr. Bergkoetter - absent
Mr. Conrad - Present
Mr. Long – Present
Dr. Moore – Present
Mr. Motil – absent
Mr. Parks – absent
Mr. Plank - absent

A quorum was present.

Approval of Minutes of January 17, 2018

Mr. Conrad asked for a motion to approve the minutes of the Board meeting held on January 17, 2018. A motion was made by Mr. Long, seconded by Mr. Polka, to approve the minutes of the Board meeting held on January 17, 2018. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – absent
Mr. Plank - absent

The motion was approved.

Public Comment on Pending Agenda Items

Mr. Conrad asked if there were any comments from the public on any agenda item on today's agenda. There were none.

Program Status Report

Mr. Conrad asked Mr. Etwert to provide a status report for the project.

He indicated all of the construction packages for the 100-Year certification have been finalized and completed with all retainage being released. The final cost of the ten construction packages was \$74,629,856.18. Amec Foster Wheeler's final Monthly Progress Report for the 100-Year Construction was provided to each Board member.

Four certification packages have been submitted to FEMA. Amec Foster Wheeler submitted the East & West Fork Levee Systems, Prairie Du Pont & Fish Lake Levee Systems, and the Lower Wood River Levee System, and the Corps of Engineers submitted the Upper Wood River Levee System.

A FEMA Completeness Check and Comment for Levee Accreditation Submission was received for both the Wood River East & West Fork Levee Systems (submitted to FEMA on December 5, 2016) and the Prairie Du Pont & Fish Lake Levee Systems (submitted to FEMA on July 6, 2017) from FEMA Region V Regional Service Center on January 26, 2018.

A follow-up conference call between FEMA, Amec Foster Wheeler and myself was held on February 1st to discuss the comments presented. Amec Foster Wheeler is in the process of assembling the additional data, verification, and certification information requested by FEMA, with an anticipated submittal hopefully by the end of the month.

The Corps of Engineers received comments for the Upper Wood River Levee System (submitted on March 10, 2017) from FEMA on September 5, 2018, and anticipates submitting a draft response to FEMA by the end of the month.

He indicated on Tuesday, he had talked to FEMA, and Mr. Ken Hinterlong indicated FEMA's comments on the Lower Wood River Levee System submitted by AMEC Foster Wheeler on October 17, 2017, should be received within the next week.

The Corps of Engineers' submittal of the final package, the MESD Levee System Evaluation Report, to FEMA is now anticipated to be May instead of April.

As previously indicated, FEMA has a backlog of work and it is anticipated the accreditation review and approval process will take up to a year. Approval of the MESD package, the last package being submitted, isn't expected until late spring of 2019.

We continue to wait for the official notice regarding the reprogramming request to transfer Wood River funds to East St. Louis. The request has been approved by the Assistant Secretary of the Army for Civil Works and is now at the Office of Management and Budget.

On February 8, 2018, our request for Work In-Kind Credit for East St. Louis in the amount of \$9,131,564.90 was submitted to the Corps of Engineers. When approved, this credit will be utilized by the Corps of Engineers for the non-federal share of future Corps projects such as the East St. Louis Deep Cutoff Wall and the Cahokia Relief Well Project.

A similar request for Work In-Kind Credit for Wood River will be made in the near future.

On March 13th, at the request of State Senator Haine, I attended a meeting in Springfield discussing changes to floodplain regulations by the Illinois Department of Natural Resources. I expressed our support for changes that would reduce redundancy, reduce conflicts between State and Federal requirements and utilize a worse case analysis being the Authorized Level (500-year frequency).

The Corps of Engineers is in the process of submitting a new Integral Determination Report, which incorporates the Corps' updated solutions and cost estimate for East St. Louis. This and an amended Project Partnership Agreement will be required for the utilization of Work In-Kind Credit.

As requested last month by Chairman Kern, I did discuss the recent tax reforms with Columbia Capital. At this time, the only concern to the Council's debt profile is the potential impact to the Council's Build America Bonds and the Economic Recovery Zone subsidy-era bonds. If the subsidy payments were eliminated, then other opportunities to replace those bonds with tax-exempt bonds would be explored. However, nothing will be known until a Federal budget is in place, and Columbia Capital would then present alternatives at the next Board meeting, if needed.

The Board was provided a copy of The American Bottom Levee Restoration Project (2007–2017) article in the March issue of the Illinois Business Journal.

Mr. Parks arrived during the presentation.

Mr. Conrad asked for a motion to accept the Program Status Report for March 2018. A motion was made by Dr. Moore to accept the Program Status Report for March 2018. Mr. Parks seconded the motion. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank – absent

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Mr. Conrad asked Mr. Etwert to provide a report.

Mr. Etwert noted that the financial statements for January and February 2018 prepared by our fiscal agent, CliftonLarsonAllen were included in the materials sent for the meeting. The report included an accounting of revenues and expenditures for the months ending January 31, 2018 and February 28, 2018, as compared to the fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2017 thru February 28, 2018 are \$9,722,450 while revenues amounted to \$5,227,919 resulting in a deficit of \$4,494,531. A total of \$30,176,752 is held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts of \$1,032,735 for November 2017 were up 5.18% from last year and monthly sales tax receipts of \$1,218,755 for December 2017 were up 1.14% from last year. Both amounts were the highest amounts ever collected in November and December and are the thirteenth and fourteenth months in a row with the highest receipts collected for those particular months. Receipts for the year were up 4.16% from 2016, and is now the highest year on record. Total receipts for the year were \$12,031,070, this is the first time that annual receipts have exceeded \$12,000,000.

He indicated the 2015 bonds were based on an average annual sales tax increase of roughly 2.00%. This higher rate of increase may allow, if needed, additional bonds to be issued in the future.

The report included two lists of bank transactions for January and February 2018. Total disbursements for January were \$397,585.34 and for February were \$475,409.52. The largest payments were to SAK Construction for BP #5A, Town & Country Title for the purchase of property required for the Authorized Level, and Amec Foster Wheeler.

Also, for the Board's review and anyone else who was interested, Mr. Etwert provided copies of each transaction, represented on the January 2018 and February 2018 Bank Transaction Schedules prepared by CliftonLarsonAllen.

Mr. Etwert recommended that the Board accept the budget report and disbursements for January and February 2018.

Mr. Conrad asked for a motion to accept Mr. Etwert's budget report and disbursements for January and February 2018. A motion was made by Mr. Brinkman, seconded by Mr. Parks, to accept the budget report and approve the disbursements for January and February 2018. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman - Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long - Aye
Dr. Moore - Aye

Mr. Motil – absent
 Mr. Parks – Aye
 Mr. Plank - absent

The motion was approved unanimously.

Design and Construction Update

Mr. Conrad called on Jay Martin, Amec Foster Wheeler’s program manager, to provide a report. Mr. Martin indicated that his presentation would be brief and used a PowerPoint® presentation to illustrate his remarks. His presentation focused on FEMA Certification Update, the Authorized Level (500-Year) Design, and supporting the Corps as they advanced their design as follows:

FEMA Certification Update

He provided a summary of the FEMA Certification by Levee/County.

Levee System	County Flood Maps	Certification	Comments from FEMA	Schedule for Response
Upper Wood River	Madison	Submitted by USACE March 10, 2017	Received September 5, 2017	<i>March 2018</i>
East/West Forks	Madison	Submitted December 5, 2016	Received January 26, 2018	<i>March 2018</i>
Lower Wood River	Madison	Submitted October 17, 2017	<i>Expected End of March 2018</i>	-----
Metro East Sanitary District (MESD)	Madison/ St. Clair	<i>To Be Submitted by USACE May 2018</i>	<i>Expected Late 2018</i>	-----
Prairie Du Pont/Fish Lake (PDP/FL)	St. Clair/ Monroe	Submitted July 6, 2017	Received January 26, 2018	<i>March 2018</i>

Next, Mr. Martin discussed the status of the Authorized Level (500-Year) Design.

**Authorized Level (500-Year) Design
Wood River (Bid Packages 8, 9, and 10)**

- Bid Package 8 progressing
Pump Station design underway
- LWR Risk Assessment & Supplemental Report (2 year duration)
Approximately 1 Year remaining
- Bid Packages 9 & 10 on hold pending USACE Risk Assessment & Supplemental Report

MESD (Bid Packages 11, 12, 13, and 14)

General Activities –

Utility coordinating in support of the Corps efforts and our future designs

Leading land acquisition activities

- Bid Package 11
Relief Wells
On Hold, pending USACE risk informed solution (summer 2018)
- Bid Packages 12/13
Relief Wells and 1 Pump Station
On Hold, pending USACE risk informed solution (summer 2018)
- Bid Package 14
Package will be “on the shelf” pending USACE risk informed solution (summer 2018)

Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)

- Topographic survey continues
- Continue to coordinate USACE will begin validating design solutions
- Berm designs in package 15

Mr. Conrad asked for a motion to accept Mr. Martin's Design and Construction Update report. A motion was made by Mr. Long, with a second by Dr. Moore, to accept the Amec Foster Wheeler Design and Construction Update report. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank - absent

The motion was approved unanimously.

2017 Audit and Financial Report

Mr. Etwert introduced Mr. Michael Brokering, representing Scheffel & Boyle, who prepared the 2017 Audit Report, to review the audited financial statements that were distributed to the Board in the mailing and at the meeting. He explained the statements, tables and notes in detail.

He stated that the Council financial statements for the year ended September 2017 had been audited and were found to represent fairly in all material respect and therefore, the Council received a clean opinion. He pointed out that the financial statements are the Board's responsibility as management, and that Scheffel & Boyle's responsibility is to issue an opinion on the statements.

He specifically pointed out sales tax collected had increased by over \$400,000 or roughly three and a half percent from the previous year, which was a very good sign going into the future.

Two required communication letters from Scheffel to the Board accompanied the audit and were also discussed.

The first letter pointed out that there were no difficulties in performing the audit, but that several adjustments were made to the financial statements. These were mainly reclassification of journal entries. There are no audit findings to report.

The second letter discussed weaknesses in internal controls. This comment, which is not new, is that due to the small size of the Council's staff, there is some limitation in financial controls. There were no material weaknesses in the Council's internal controls. Mr. Brokering noted that this is pretty common in very small organizations, and noted that it was important for the Board to continue to review disbursements and financial statements monthly. He noted that CliftonLarsonAllen does provide a level of oversight.

Mr. Long asked if the fund balance indicated by Mr. Brokering included the funds held by the counties in their respective FPD sales tax funds, to which Mr. Brokering indicated no.

Mr. Conrad thanked Mr. Brokering and Scheffel Boyle for the report.

Mr. Conrad asked for a motion to accept the 2017 Audit and Financial Report. A motion was made by Mr. Long, with a second by Mr. Parks, to accept the 2017 Audit and Financial Report. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank - absent

The motion was approved unanimously.

Economic Impact Report

Mr. Conrad called on Sandra Marks, Marks & Associates, to present their Economic Impact Report. Ms. Marks indicated the Economic Impact Report was the final deliverable of their engagement. She first reviewed the Minority Business and Workforce Engagement Plan and Policy Statement. She next discussed the outreach process performed on each bid package, indicating the issuance of notices and holding meeting for minority firms to meet prime contractors and decision makers and build relationships. Marks & Associates identified firms, working very closely with the IDOT certification list and other partners referring firms to the project.

She then proceeded to show slides identifying the firms that worked on the project and their employees. The work performed included hauling, material testing, trucking, dewatering, material sampling & analysis, well cleaning, surveying, seed & fertilizer, erosion control, bollards, rebar installation, silt fence & seeding, landscaping, and pavement marking. She indicated the use of local firms, the people being utilized, and firms being utilized on multiple projects reflecting the building of relationships.

Dr. Moore requested the Board be given a copy of the report. Mr. Etwert indicated that it would be included in the meeting minutes but he would also send each member a copy. Mr. Parks inquired about the quantitative dollars spent with the firms utilized. Ms. Marks indicated that Amec Foster Wheeler had those figures and that she normally doesn't present them as to respect the confidentiality of the individual firms. Marks & Associates did verify what each firm was paid. She also indicated that in some cases, there were direct contracts with the Council, such as with ABNA Engineering. Those amounts would have to be included when determining a total amount. Dr. Moore requested and Mr. Etwert indicated that he would also provide the dollars spent to the Board.

Mr. Parks asked Mr. Etwert, even though he wasn't involved with the project in the beginning, about his feeling regarding the minority participation on the project. Mr. Etwert indicated the participation was good, but there is always room to do better. Some prime contractors did better than others. There were many firms working on multiple projects, indicating the building of relationships, and the local participation was very good.

Mr. Conrad thanked Ms. Marks for the report.

Mr. Conrad asked for a motion to accept the Economic Impact Report. A motion was made by Mr. Brinkman, with a second by Mr. Parks, to accept the Economic Impact Report. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank - absent

The motion was approved unanimously.

Authorization of Demolition and Disposal of Structures for the Authorized Level Improvements

Mr. Conrad asked Mr. Etwert to explain this item.

Mr. Etwert explained at the December Board meeting, the Board authorized the purchase of several properties in the Prairie DuPont Levee District, within the city limits of East Carondelet, Illinois. All purchases were completed by the end of January, with the Prairie DuPont Levee District becoming the new owner.

Amec Foster Wheeler has identified the structures needing to be removed, from the obtained parcels, to accommodate Authorized Level improvements. The Prairie DuPont Levee District, whose facilities are located a short distance from the properties, has offered to provide the demolition and disposal services needed, on a time and materials basis, at a cost not to exceed \$75,635.00. Amec Foster Wheeler has reviewed Prairie DuPont's offer, worked with them to ensure all required tasks are included, and finds it to be very reasonable, based on previous experience.

Since vacant structures pose a health and safety risk, the demolition and disposal should be accomplished as soon as possible.

A copy of Prairie DuPont Levee District's offer to provide demolition and disposal service and a boundary survey layout of the parcels was provided with the agenda package.

He requested that the Chief Supervisor be authorized to enter into an agreement with the Prairie DuPont Levee District, for the demolition and disposal of structures, as shown in the attachment, at a cost not to exceed \$75,635.00.

Mr. Conrad asked for a motion to authorize the Chief Supervisor to enter into an agreement with the Prairie DuPont Levee District, for the demolition and disposal of structures, as shown in the attachment, at a cost not to exceed \$75,635.00.

A motion was made by Mr. Long, with a second by Mr. Polka, to authorize the Chief Supervisor to enter into an agreement with the Prairie DuPont Levee District, for the demolition and disposal of structures, as shown in the attachment, at a cost not to exceed \$75,635.00.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye

Mr. Plank - absent

The motion was approved unanimously.

Amec Foster Wheeler Work Order #18 Amendment #1 MESD Cutoff Wall Utility/Easement Assistance

Mr. Conrad asked Mr. Etwert to explain this item.

Mr. Etwert explained last November, the Corps of Engineers requested the Council's assistance in identifying utilities and easements in the Metro East Sanitary District in conjunction with the Corps' design of the East St. Louis Deep Cutoff Wall Project. The Corps hopes to receive reprogrammed 2017 or 2018 Work Plan funds in the near future, which would require an award of a construction contract by September 2018.

The Corps desires to have all right-of-way acquired or in place by late July. The Corps' design plans are at the stage that access easements, temporary construction easements and permanent construction easements can be determined and obtained.

Similar to utility relocation costs, easement preparation and obtainment costs are the responsibility of the non-federal partner and are eligible to be included in the Land Easements Relocations Right of Way & Disposal Sites (LERRDS) costs which can be part of the non-federal thirty five percent share of overall project costs.

Amec Foster Wheeler has submitted an amendment to Work Order #18, for easement appraisal, preparation and negotiations for the easements required by the Corps of Engineers.

As previously noted, easement work, relocations, and a potential spring rise make the Corps' schedule of having all right-of-way acquired or in place by July and awarding the contract by September 2018 is very optimistic. The Corps of Engineers has indicated wall sections, where necessary easements have been obtained, will be built first.

As part of the Council's Work In-Kind Credit for East St. Louis, the Council has already provided the subsurface field exploration required for the design of the deep cutoff wall and the previous mentioned easement and utility identification assistance.

A copy of Work Order #18 Amendment #1 was provided with the agenda package.

Mr. Etwert requested that the Chief Supervisor be authorized to execute Work Order #18 Amendment #1 with Amec Foster Wheeler Environment & Infrastructure Inc. for MESD Cutoff Wall Utility/Easement Assistance required for the East St. Louis Deep Cutoff Wall, in the amount of \$45,000.00.

He indicated this was for an additional \$45,000, to be added to the original Work Order amount of \$50,000.

A motion was made by Mr. Long, with a second by Mr. Parks to authorize the Chief Supervisor to execute Work Order #18 Amendment #1 with Amec Foster Wheeler Environment & Infrastructure Inc. for MESD Cutoff Wall Utility/Easement Assistance required for the East St. Louis Deep Cutoff Wall, in the amount of \$45,000.00. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka - Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank - absent

The motion was approved unanimously.

Corps of Engineers Update

Mr. Conrad asked Ms. Kelsey to provide the report from the Corps.

Ms. Kelsey provided an update of the current activities of the Corps using a PowerPoint® presentation to illustrate her remarks. Her presentation focused on the status of the Metro East Projects as follows:

USACE UPDATE

Project Status

- Wood River Cutoff Wall Construction
- East St. Louis Cutoff Wall Design
- FY18 Work Plan Funds
- FY19 President’s Budget
- Congressional Visits
- FEMA Submittals
 - Upper Wood River

- East St. Louis (MESD)

Mr. Conrad asked for a motion to accept the Corps report. A motion was made by Mr. Parks, with a second by Mr. Brinkman to receive the Corps report. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Polka – Aye
Mr. Brinkman – Aye
Mr. Bergkoetter - absent
Mr. Conrad - Aye
Mr. Long – Aye
Dr. Moore – Aye
Mr. Motil – absent
Mr. Parks – Aye
Mr. Plank - absent

The motion was approved unanimously.

Public Comment

Mr. Conrad asked if there is any public comment. There was none.

Other Business

There was no other business.

Adjournment

A motion was made by Mr. Long, seconded by Mr. Polka to adjourn the meeting. The motion was approved unanimously by voice vote, all voting aye.

Respectfully submitted,

Paul Bergkoetter,
Secretary/Treasurer, Board of Directors



Progress Report
March 21, 2018

Southwestern Illinois
Levee Systems

By Jay Martin



FEMA Certification Update



Levee System	County/Flood Maps	Certification	Comments from FEMA	Schedule for Response
Upper Wood River	Madison	Submitted by USACE March 10, 2017	Received September 5, 2017	March, 2018
East/West Forks	Madison	Submitted December 5, 2016	Received January 26, 2018	March, 2018
Lower Wood River	Madison	Submitted October 17, 2017	Expected End of March, 2018	----
Metro East Sanitary District (MESD)	Madison/St Clair	To Be Submitted by USACE May, 2018	Expected Late 2018	----
Prairie du Pont & Fish Lake (PDP/FL)	St Clair/Monroe	Submitted July 6, 2017	Received January 26, 2018	March, 2018



Authorized Level (500-Year) Design

Wood River (Bid Packages 8, 9 and 10)

- ▶ Bid Package 8 progressing
 - ▶ Pump Station design underway

- ▶ LWR Risk Assessment & Supplemental Report (2 year duration)
 - ▶ ~1 Year Remaining

- ▶ Bid Packages 9 & 10 on hold pending USACE Risk Assessment & Supplemental Report

3



Authorized Level (500-Year) Design

MESD (Bid Packages 11, 12, 13 and 14)

- ▶ General Activities –
 - ▶ Utility coordinating in support of the Corps efforts and our future designs
 - ▶ Leading land acquisition activities

- ▶ Bid Package 11
 - ▶ Relief Wells
 - ▶ On Hold, pending USACE risk informed solution (summer 2018)

- ▶ Bid Package 12/13
 - ▶ Relief Wells and 1 Pump Station
 - ▶ On Hold, pending USACE risk informed solution (summer 2018)

- ▶ Bid Package 14
 - ▶ Package will be “on the shelf” pending USACE risk informed solution (summer 2018)

4

Authorized Level (500-Year) Design

Prairie Du Pont & Fish Lake (Bid Package 15, 16, 17)

- ▶ Topographic survey continues
- ▶ Continue to coordinate USACE will begin validating design solutions
- ▶ Berm designs in package 15

Questions?

ECONOMIC IMPACT REPORT



PRESENTED MARCH 21, 2018



Minority Business and Workforce Engagement Plan

Adopted at 12/19/12 Board Meeting

Policy Statement

- *The Southwestern Illinois Flood Prevention District Council is committed to the development and expansion of the minority and women business sector through the implementation of effective policies and procedures in the procurement and delivery of construction services on the Illinois Levee Improvement Project. To that end, it shall be the goal of each prime and subcontractor doing business on the Project to make effective efforts to utilize certified, minority and women business enterprises and be held accountable for outcomes that will meet the FPD's objectives.*
- *We are also committed to the development and expansion of minority and female workers and professionals in the construction industry through the implementation of effective policies and procedures in the recruitment, hiring, and retention of workforce on the Project. To this end, it shall be the goal of each prime and subcontractor doing business on the Illinois Levee Project to utilize minority and female trades people and professionals to the fullest extent possible.*





Southwestern
Illinois
Flood Prevention
District Council



Southwestern
Illinois
Flood Prevention
District Council



Southwestern
Illinois
Flood Prevention
District Council



Southwestern
Illinois
Flood Prevention
District Council

A. Strong Hauling

Business Location:

Waterloo, Illinois

Monroe, County

Scope of Work Self Performed:

BP5 Hauling

BP7A Hauling



A. Strong Hauling

Business Location:

Waterloo, Illinois

Monroe, County

Scope of Work Self Performed:

BP5 Hauling

BP7A Hauling



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



ABNA Engineering

Business Location:

East St. Louis, Illinois

St. Clair County

Scope of Work Self Performed:

Material Testing



AJ Transport Logistics

Business Location:

Belleville, Illinois

St. Clair County

Scope of Work Self Performed:

BP3 Trucking

BP5 Trucking



ARDL

Business Location:

Mt. Vernon, Illinois

Jefferson County

Scope of Work Self Performed:

BP2B Dewatering

BP3 Material Sampling & Analysis

BP5 Well Cleaning



ARDL

Business Location:

*Mt. Vernon, Illinois
Jefferson County*

Scope of Work Self Performed:

BP2B Dewatering

*BP3 Material Sampling &
Analysis*

BP5 Well Cleaning



Baseline Surveyors

Business Location:

*Johnsburg, Illinois
McHenry County*

Scope of Work Self Performed:

BP3 Surveying

BP5 Surveying



Brewster Companies

Business Location:

Maryville, Illinois

Madison County

Scope of Work Self Performed:

*BP3 Seed & Fertilizer,
Erosion*

*BP5 Seed & Fertilizer,
Erosion*



Brewster Companies

Business Location:

Maryville, Illinois

Madison County

Scope of Work Self Performed:

*BP3 Seed & Fertilizer,
Erosion*

*BP5 Seed & Fertilizer,
Erosion*



**D.W. Mertzke
Escavating and
Trucking**

Business Location:
*East St. Louis, Illinois
St. Clair County*

**Scope of Work Self
Performed:**

- BP2B Trucking*
- BP3 Trucking*
- BP5 Trucking*



Hutson & Associates

Business Location:
*Alton, Illinois
Macoupin County*

**Scope of Work Self
Performed:**

- BP2B Surveying*



Industrial Fence

Business Location:

Chicago, Illinois

Cook County

**Scope of Work Self
Performed:**

BP6 Bollards



Kadilex Construction

Business Location:

Wood River, Illinois

Madison County

**Scope of Work Self
Performed:**

BP3 Rebar Installation



Kadilex Construction

Business Location:

Wood River, Illinois

Madison County

Scope of Work Self Performed:

BP3 Rebar Installation



**Southwestern
Illinois
Flood Prevention
District Council**

Mayer Landscaping

Business Location:

Belleville, Illinois

St. Clair County

Scope of Work Self Performed:

BP2A Silt Fence and Seeding

BP6 Landscaping



**Southwestern
Illinois
Flood Prevention
District Council**

Mayer Landscaping

Business Location:

Belleville, Illinois

St. Clair County

Scope of Work Self Performed:

BP2A Silt Fence and Seeding

BP6 Landscaping



Mayer Landscaping

Business Location:

Belleville, Illinois

St. Clair County

Scope of Work Self Performed:

BP2A Silt Fence and Seeding

BP6 Landscaping



Mayer Landscaping

Business Location:

Belleville, Illinois

St. Clair County

**Scope of Work Self
Performed:**

BP2A Silt Fence and Seeding

BP6 Landscaping



Ray LaFore Truck Service

Business Location:

Belleville, Illinois

St. Clair County

**Scope of Work Self
Performed:**

BP3 Trucking

BP5 Trucking



Ray LaFore Truck Service

Business Location:

Belleville, Illinois

St. Clair County



Scope of Work Self Performed:

BP3 Trucking

BP5 Trucking



Right Way Traffic Control

Business Location:

Belleville, Illinois

St. Clair County



Scope of Work Self Performed:

BP3 Pavement Marking



R.M. Scott Trucking

Business Location:

East St. Louis, Illinois

St. Clair County

**Scope of Work Self
Performed:**

BP5 Trucking



R.M. Scott Trucking

Business Location:

East St. Louis, Illinois

St. Clair County

**Scope of Work Self
Performed:**

BP5 Trucking





Southwestern
Illinois
Flood Prevention
District Council



Southwestern
Illinois
Flood Prevention
District Council

Metro East Projects, IL

Project Status

- Wood River Cutoff Wall Construction
- East St Louis Cutoff Wall Design
- FY18 Work Plan Funds
- FY19 President's Budget
- Congressional Visits
- FEMA Submittals
 - Upper Wood River
 - East St. Louis (MESD)





Memo to: Board of Directors
From: Chuck Etwert
Subject: Program Status Report for May 2018
Date: May 14, 2018

100-Year Certification Packages Status

The following certification packages have been submitted to FEMA for Levee Accreditation:

East & West Fork Levee Systems

Submitted by Wood on December 5, 2016

FEMA Completeness Check and Comments received January 26, 2018

Revisions being reviewed internally, anticipate resubmittal to FEMA by end of May

Prairie Du Pont & Fish Lake Levee Systems

Submitted by Wood on July 6, 2017

FEMA Completeness Check and Comments received January 26, 2018

Revisions being reviewed internally, anticipate resubmittal to FEMA by end of May

Lower Wood River Levee System

Submitted by Wood on October 17, 2017

FEMA Completeness Check and Comments received April 20, 2018

Resubmittal to FEMA currently anticipated by mid-July

A regional partnership to rebuild Mississippi River flood protection



Upper Wood River Levee System

Submitted by Corps of Engineers on March 10, 2017

Comments received from FEMA September 5, 2017

Resubmittal to FEMA currently anticipated by end of May

MESD Levee System

Wood submitted information to the Corps of Engineers December 2017

Corps of Engineers completed technical review May 7, 2017

Corps of Engineers will submit to FEMA after receiving Wood responses

Wood is in the process of assembling the additional data, verification, and certification information requested by FEMA. Making the changes requested by FEMA has taken longer than originally envisioned.

Obtaining emergency preparedness documentation from County officials took longer than anticipated, but available information has now been obtained and is being supplemented for submittal to FEMA. The resubmittal documentation is currently going through Wood's internal review process.

Comments received on the Lower Wood River Levee System are similar to those received on the East and West Forks and Prairie Du Pont and Fish Lake Systems, therefore, resubmittal will take less time.

The Corps of Engineers' submittal of the final package, the MESD Levee System Evaluation Report to FEMA, will occur after receiving Wood responses to the Corps' technical review of the East St. Louis as-built drawings and O & M manuals.

As previously indicated, FEMA has a backlog of work and it is anticipated the accreditation review and approval process will take up to a year. Approval of the MESD package, the last package being submitted, isn't expected until late spring or early summer of 2019

500-Year Authorized Level Status

On May 9, 2018, I executed the new Project Partnership Agreement between the Corps of Engineers and the Metro East Sanitary District, which will allow for the utilization of Work In-

Kind Credit for the Design Deficiency Corrections for the East St. Louis, Illinois Flood Protection Project.

On May 11, 2018, the Corps of Engineers gave preliminary approval of the Council's February 8, 2018 request for Work In-Kind Credit for East St. Louis in the amount of \$9,131,564.90. This credit will be utilized by the Corps of Engineers for the non-federal share of the Corps' East St. Louis Deep Cutoff Wall.

With the Corps' East St. Louis Deep Cutoff Wall Project estimated to cost close to \$50,000,000, the Corps has requested additional Work In-Kind Credit requests be submitted for other qualifying design and drilling the Council has done.

It is the intent of the Corps to utilize all Work Plan Funds that they receive towards the East St. Louis Deep Cutoff Wall. Therefore, in order to complete projects sooner and provide a higher level of flood protection, the Council will now bid and construct the Cahokia Relief Well Project. This construction will also be eligible for Work In-Kind credit.

You may recall, this is the project that went in conjunction with the installation of the reinforced concrete pipe, which eliminated a large portion of deep cutoff wall construction estimated to cost \$62,000,000 in East St. Louis. Wood will present this Work Order Amendment at the meeting.

The Corps of Engineers is hoping to receive FY18 Work Plan Funds by the end of the month.

Regarding the transfer of FY17 Work Plan Funds from Wood River to East St. Louis, the Corps has now informed us, with the approval of the 2018 appropriations bill, new baseline and updated reprogramming limits, our request to reprogram Wood River funds to East St. Louis will have to be updated and resubmitted. The Corps has suggested that we wait until the Corps has been informed of the East St. Louis FY18 Work Plan Funding.

It is unbelievable, that the Council makes a request in June of 2017, to reprogram funds where they can be used quicker to provide flood protection sooner, is informed that the reprogramming has been supported at the District level, approved by the Assistant Secretary of the Army, and is supposedly at the Office of Management and Budget for approval, and now we have to start all over.



Memo to: Board of Directors
From: Chuck Etwert
Subject: Budget and Disbursement Reports for March/April 2018
Date: May 14, 2018

Current Budget Highlights

Attached are the financial statements for March and April 2018 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending March 31, 2018 and April 30, 2018, as compared to our fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2017 thru April 30, 2018 are \$17,131,386 while revenues amounted to \$7,558,430 resulting in a deficit of \$9,572,956. A total of \$31,060,526 is held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts of \$884,025 for January 2018 were up 6.61% from last year and monthly sales tax receipts of \$885,009 for February 2018 were up 1.47% from last year. Both amounts were the highest amounts ever collected in January and February and are the fifteenth and sixteenth months in a row with the highest receipts collected for those particular months. Receipts are up 3.98% from last year, which was our highest year.

Monthly Disbursements

Attached are the lists of bank transactions for March and April 2018. Total disbursements for March were \$603,697.57 and for April were \$181,351.73. The largest payments were to Amec Foster Wheeler and Husch Blackwell. The payments to Husch Blackwell included funds paid to the Lochmueller Group for land acquisition services involving the purchase of property required for the Authorized Level.

Recommendation:

Accept the budget reports and disbursements for March and April 2018.

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

SIX MONTHS ENDED MARCH 31, 2018 AND 2017



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the six months ended March 31, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2018 and 2017, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
April 5, 2018

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
SIX MONTHS ENDED MARCH 31, 2018 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2018 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 6,224,015	\$ 5,610,985
Interest Income	225,000	225,000	283,191	(58,191)
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>6,507,206</u>	<u>5,552,794</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	1,917,059	3,770,979
Construction	7,330,945	7,330,945	2,554,687	4,776,258
Construction and design by US ACE	6,157,291	6,157,291	207,000	5,950,291
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>4,678,746</u>	<u>14,497,528</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	84,242	401,758
Diversity Program Manager	11,525	11,525	6,600	4,925
Financial Advisor	65,000	65,000	9,810	55,190
Bond Underwriter/Conduit Issuer	16,000	16,000	4,916	11,084
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>105,568</u>	<u>472,957</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	383,517	1,075,653
Monroe County	141,990	141,990	39,022	102,968
St. Clair County	1,398,840	1,398,840	377,588	1,021,252
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>800,127</u>	<u>2,199,873</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	4,087,739	6,710,881
Federal Interest Subsidy	(847,340)	(847,340)	(425,035)	(422,305)
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>3,662,704</u>	<u>6,288,576</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>9,247,145</u>	<u>23,458,934</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	89,422	113,578
Bank Service Charges	1,500	1,500	523	977
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	1,757	243
Fiscal Agency Services	31,000	31,000	16,240	14,760
Audit Services	16,000	16,000	-	16,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	462	538
Printing/Photocopies	3,500	3,500	1,097	2,403
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	-	-	-	-
Supplies	3,300	3,300	508	2,792
Telecommunications/Internet	3,000	3,000	1,547	1,453
Travel	5,000	5,000	407	4,593
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>281,650</u>	<u>281,650</u>	<u>112,754</u>	<u>168,896</u>
Total Expenditures	<u>32,987,729</u>	<u>32,987,729</u>	<u>9,359,899</u>	<u>23,627,830</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,729)	(20,927,729)	(2,852,693)	18,075,036
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,729)</u>	<u>\$ (20,927,729)</u>	<u>\$ (2,852,693)</u>	<u>\$ 18,075,036</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
SIX MONTHS ENDED MARCH 31, 2017 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2017 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,750,000	\$ 11,750,000	\$ 5,966,458	\$ 5,783,542
Interest Income	640,000	640,000	342,217	297,783
Other Contributions	-	-	-	-
Total Revenues	<u>12,390,000</u>	<u>12,390,000</u>	<u>6,308,675</u>	<u>6,081,325</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	9,197,055	9,197,055	2,368,972	6,828,083
Construction	22,840,515	22,840,515	9,097,516	13,742,999
Construction and design by US ACE	<u>5,760,115</u>	<u>5,760,115</u>	<u>455,000</u>	<u>5,305,115</u>
Total Design and Construction	<u>37,797,685</u>	<u>37,797,685</u>	<u>11,921,488</u>	<u>25,876,197</u>
Professional Services				
Legal & Legislative Consulting	458,500	458,500	174,974	283,526
Diversity Program Manager	25,000	25,000	-	25,000
Financial Advisor	65,000	65,000	10,120	54,880
Bond Underwriter/Conduit Issuer	<u>12,000</u>	<u>12,000</u>	<u>4,759</u>	<u>7,241</u>
Total Professional Services	<u>560,500</u>	<u>560,500</u>	<u>189,853</u>	<u>370,647</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,911,400	1,911,400	315,194	1,596,206
Monroe County	202,080	202,080	33,324	168,756
St. Clair County	<u>1,886,520</u>	<u>1,886,520</u>	<u>311,091</u>	<u>1,575,429</u>
Total Refund of Surplus Funds to County	<u>4,000,000</u>	<u>4,000,000</u>	<u>659,609</u>	<u>3,340,391</u>
Debt Service				
Principal and Interest	10,725,020	10,725,020	4,086,335	6,638,685
Federal Interest Subsidy	<u>(848,250)</u>	<u>(848,250)</u>	<u>(423,670)</u>	<u>(424,580)</u>
Total Debt Service	<u>9,876,770</u>	<u>9,876,770</u>	<u>3,662,665</u>	<u>6,214,105</u>
Total Operating Expenses	<u>52,234,955</u>	<u>52,234,955</u>	<u>16,433,615</u>	<u>35,801,340</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	99,041	103,959
Bank Service Charges	1,500	1,500	546	954
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	30,000	30,000	15,430	14,570
Audit Services	15,500	15,500	-	15,500
Meeting Expenses	1,000	1,000	637	363
Postage/Delivery	400	400	501	(101)
Printing/Photocopies	3,500	3,500	1,164	2,336
Professional Services	10,000	10,000	349	9,651
Publications/Subscriptions	250	250	6	244
Supplies	3,300	3,300	1,075	2,225
Telecommunications/Internet	3,000	3,000	985	2,015
Travel	5,000	5,000	318	4,682
Insurance	<u>1,000</u>	<u>1,000</u>	<u>485</u>	<u>515</u>
Total General & Administrative Costs	<u>279,800</u>	<u>279,800</u>	<u>120,844</u>	<u>158,956</u>
Total Expenditures	<u>52,514,755</u>	<u>52,514,755</u>	<u>16,554,459</u>	<u>35,960,296</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(40,124,755)	(40,124,755)	(10,245,784)	29,878,971
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (40,124,755)</u>	<u>\$ (40,124,755)</u>	<u>\$ (10,245,784)</u>	<u>\$ 29,878,971</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

SEVEN MONTHS ENDED APRIL 30, 2018 AND 2017



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the seven months ended April 30, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2018 and 2017, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
May 7, 2018

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
SEVEN MONTHS ENDED APRIL 30, 2018 (Actual)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 7,108,039	\$ 4,726,961
Interest Income	225,000	225,000	450,391	(225,391)
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>7,558,430</u>	<u>4,501,570</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	2,029,462	3,658,576
Construction	7,330,945	7,330,945	2,607,832	4,723,113
Construction and design by US ACE	6,157,291	6,157,291	207,000	5,950,291
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>4,844,294</u>	<u>14,331,980</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	90,236	395,764
Diversity Program Manager	11,525	11,525	6,600	4,925
Financial Advisor	65,000	65,000	9,810	55,190
Bond Underwriter/Conduit Issuer	16,000	16,000	4,916	11,084
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>111,562</u>	<u>466,963</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	813,376	645,794
Monroe County	141,990	141,990	80,851	61,139
St. Clair County	1,398,840	1,398,840	789,674	609,166
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>1,683,901</u>	<u>1,316,099</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	10,784,424	14,196
Federal Interest Subsidy	(847,340)	(847,340)	(425,035)	(422,305)
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>10,359,389</u>	<u>(408,109)</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>16,999,146</u>	<u>15,706,933</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	106,094	96,906
Bank Service Charges	1,500	1,500	559	941
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	1,888	112
Fiscal Agency Services	31,000	31,000	18,580	12,420
Audit Services	16,000	16,000	-	16,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	509	491
Printing/Photocopies	3,500	3,500	1,097	2,403
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	-	-	-	-
Supplies	3,300	3,300	676	2,624
Telecommunications/Internet	3,000	3,000	1,639	1,361
Travel	5,000	5,000	407	4,593
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>281,650</u>	<u>281,650</u>	<u>132,240</u>	<u>149,410</u>
Total Expenditures	<u>32,987,729</u>	<u>32,987,729</u>	<u>17,131,386</u>	<u>15,856,343</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,729)	(20,927,729)	(9,572,956)	11,354,773
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,729)</u>	<u>\$ (20,927,729)</u>	<u>\$ (9,572,956)</u>	<u>\$ 11,354,773</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
SEVEN MONTHS ENDED APRIL 30, 2017 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2017 (Budget)**

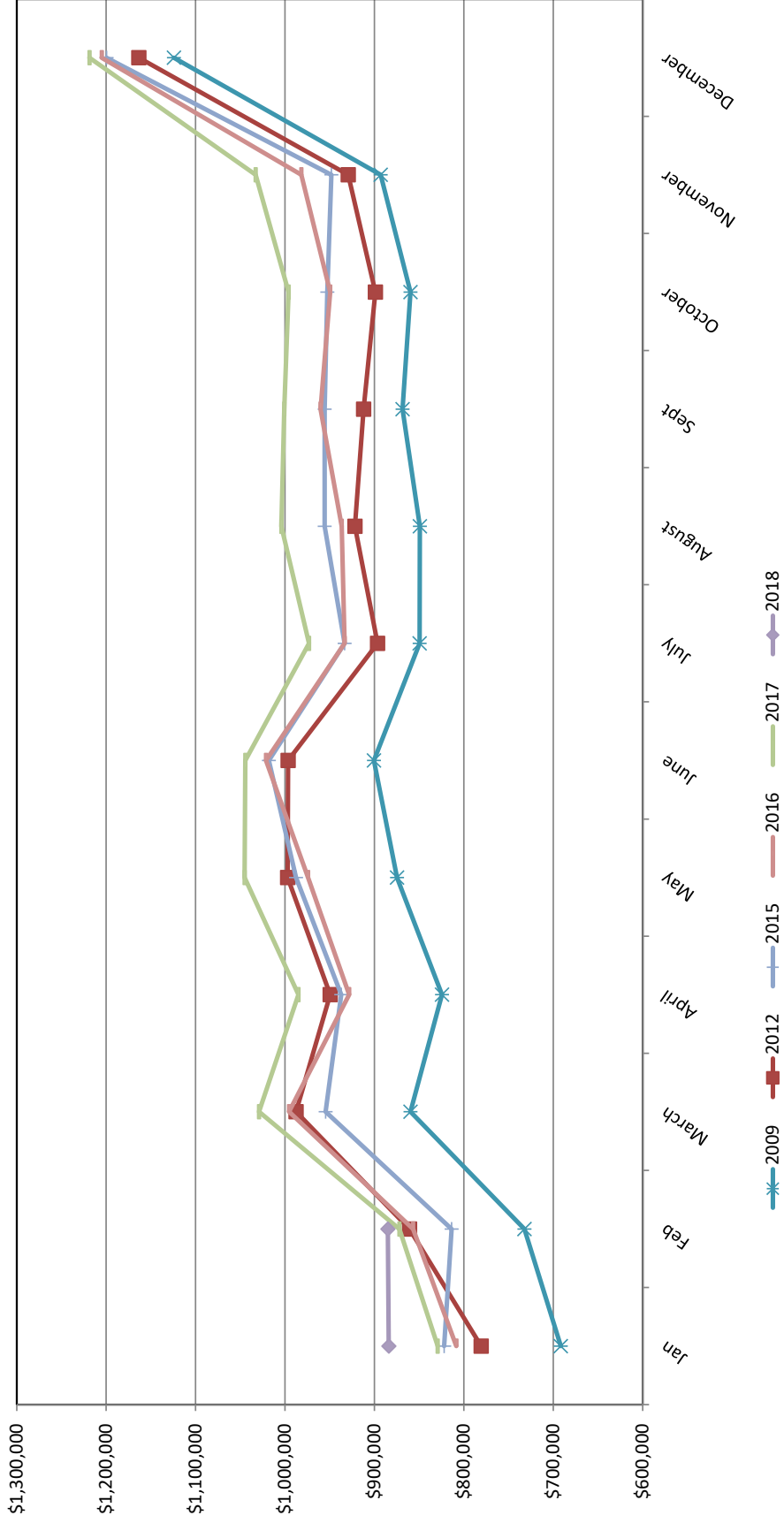
	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 11,750,000	\$ 11,750,000	\$ 6,795,682	\$ 4,954,318
Interest Income	640,000	640,000	454,925	185,075
Other Contributions	-	-	-	-
Total Revenues	<u>12,390,000</u>	<u>12,390,000</u>	<u>7,250,607</u>	<u>5,139,393</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	9,197,055	9,197,055	2,927,612	6,269,443
Construction	22,840,515	22,840,515	10,708,458	12,132,057
Construction and design by US ACE	<u>5,760,115</u>	<u>5,760,115</u>	<u>455,000</u>	<u>5,305,115</u>
Total Design and Construction	37,797,685	37,797,685	14,091,070	23,706,615
Professional Services				
Legal & Legislative Consulting	458,500	458,500	189,999	268,501
Diversity Program Manager	25,000	25,000	-	25,000
Financial Advisor	65,000	65,000	11,020	53,980
Bond Underwriter/Conduit Issuer	<u>12,000</u>	<u>12,000</u>	<u>4,759</u>	<u>7,241</u>
Total Professional Services	560,500	560,500	205,778	354,722
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,911,400	1,911,400	315,194	1,596,206
Monroe County	202,080	202,080	33,324	168,756
St. Clair County	<u>1,886,520</u>	<u>1,886,520</u>	<u>311,091</u>	<u>1,575,429</u>
Total Refund of Surplus Funds to County	4,000,000	4,000,000	659,609	3,340,391
Debt Service				
Principal and Interest	10,725,020	10,725,020	10,725,020	-
Federal Interest Subsidy	<u>(848,250)</u>	<u>(848,250)</u>	<u>(423,670)</u>	<u>(424,580)</u>
Total Debt Service	9,876,770	9,876,770	10,301,350	(424,580)
Total Operating Expenses	52,234,955	52,234,955	25,257,807	26,977,148
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	116,627	86,373
Bank Service Charges	1,500	1,500	628	872
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	30,000	30,000	17,700	12,300
Audit Services	15,500	15,500	-	15,500
Meeting Expenses	1,000	1,000	637	363
Postage/Delivery	400	400	559	(159)
Printing/Photocopies	3,500	3,500	1,366	2,134
Professional Services	10,000	10,000	349	9,651
Publications/Subscriptions	250	250	7	243
Supplies	3,300	3,300	1,174	2,126
Telecommunications/Internet	3,000	3,000	1,052	1,948
Travel	5,000	5,000	318	4,682
Insurance	<u>1,000</u>	<u>1,000</u>	<u>485</u>	<u>515</u>
Total General & Administrative Costs	279,800	279,800	141,209	138,591
Total Expenditures	52,514,755	52,514,755	25,399,016	27,115,739
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(40,124,755)	(40,124,755)	(18,148,409)	21,976,346
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (40,124,755)</u>	<u>\$ (40,124,755)</u>	<u>\$ (18,148,409)</u>	<u>\$ 21,976,346</u>

Flood Prevention District Sales Tax Trends 2009-2018

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	County Share
2009														
Total Month	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
Total Month	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
2010														
2011														
Madison	\$380,021	\$383,976	\$460,129	\$454,562	\$466,904	\$477,396	\$436,637	\$473,303	\$448,256	\$444,204	\$455,842	\$538,000	\$5,419,230	48.108%
St. Clair	\$363,984	\$395,231	\$455,562	\$437,820	\$436,490	\$475,972	\$433,460	\$433,777	\$441,030	\$412,793	\$451,390	\$594,129	\$5,331,638	47.330%
Monroe	\$38,315	\$34,759	\$41,192	\$44,975	\$41,786	\$45,836	\$44,887	\$43,323	\$42,564	\$42,690	\$42,252	\$51,266	\$513,845	4.562%
Total Month	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
Cumulative Total	\$782,320	\$1,596,286	\$2,553,169	\$3,490,526	\$4,435,706	\$5,434,910	\$6,349,894	\$7,300,297	\$8,232,147	\$9,131,834	\$10,081,318	\$11,264,713		
2012														
Madison	\$381,470	\$406,476	\$473,049	\$471,191	\$481,989	\$477,254	\$427,562	\$434,603	\$428,193	\$428,521	\$429,127	\$523,240	\$5,362,675	47.481%
St. Clair	\$361,727	\$415,491	\$468,490	\$432,173	\$468,782	\$473,567	\$425,923	\$441,838	\$438,184	\$424,289	\$454,916	\$589,183	\$5,394,563	47.763%
Monroe	\$37,471	\$38,904	\$46,086	\$46,051	\$46,231	\$45,671	\$43,063	\$45,307	\$45,641	\$46,230	\$45,429	\$51,062	\$537,146	4.756%
Total Month	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
Cumulative Total	\$780,668	\$1,641,539	\$2,629,164	\$3,578,579	\$4,575,581	\$5,572,073	\$6,468,621	\$7,390,369	\$8,302,387	\$9,201,427	\$10,130,899	\$11,294,384		
2013														
Madison	\$375,398	\$383,170	\$424,507	\$425,469	\$457,212	\$451,494	\$436,686	\$442,449	\$430,541	\$429,499	\$432,541	\$500,233	\$5,189,199	47.062%
St. Clair	\$381,645	\$395,527	\$449,397	\$434,001	\$457,942	\$462,603	\$422,892	\$432,195	\$430,014	\$419,350	\$448,076	\$560,165	\$5,293,807	48.011%
Monroe	\$37,888	\$39,679	\$45,689	\$45,913	\$48,212	\$47,694	\$42,672	\$45,143	\$44,733	\$44,723	\$47,111	\$53,853	\$543,310	4.927%
Total Month	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
Cumulative Total	\$794,931	\$1,613,307	\$2,532,900	\$3,438,283	\$4,401,649	\$5,363,440	\$6,265,690	\$7,185,477	\$8,090,765	\$8,984,337	\$9,912,065	\$11,026,316		
% change/month	1.83%	-4.94%	-6.89%	-4.64%	-3.37%	-3.48%	0.64%	-0.21%	-0.74%	-0.61%	-0.19%	-4.23%		
% change/total	1.83%	-1.72%	-3.66%	-3.92%	-3.80%	-3.74%	-3.14%	-2.77%	-2.55%	-2.36%	-2.16%	-2.37%	-2.37%	
2014														
Madison	\$373,895	\$383,909	\$456,964	\$457,729	\$484,657	\$491,911	\$455,629	\$454,995	\$442,808	\$447,128	\$452,169	\$521,522	\$5,423,317	47.785%
St. Clair	\$354,762	\$395,885	\$460,143	\$436,379	\$458,819	\$470,546	\$426,263	\$434,064	\$445,419	\$433,077	\$446,001	\$591,387	\$5,352,747	47.163%
Monroe	\$42,321	\$39,665	\$47,587	\$47,796	\$52,206	\$49,022	\$47,357	\$46,167	\$50,056	\$48,920	\$49,552	\$52,714	\$573,362	5.052%
Total Month	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
Cumulative Total	\$770,978	\$1,590,437	\$2,555,131	\$3,497,036	\$4,492,718	\$5,504,197	\$6,433,446	\$7,368,671	\$8,306,955	\$9,236,081	\$10,183,803	\$11,349,426		
% change/month	-3.01%	0.13%	4.90%	4.03%	3.35%	5.17%	2.99%	1.68%	3.64%	3.98%	2.16%	4.61%		
% change/total	-3.01%	-1.42%	0.88%	1.71%	2.07%	2.62%	2.68%	2.55%	2.67%	2.80%	2.74%	2.93%	2.93%	

Flood Prevention District Sales Tax Trends 2009-2018

Monthly Receipts 2009-2012-2015-2016-2017-2018



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
March 31, 2018**

Beginning Bank Balance as of March 1, 2018		\$ 895,171.23
Receipts		
UMB Bank	03/20/2018 Admin Transfer	14,824.00
UMB Bank	11/15/1900 Construction Transfer	159,880.53
The Bank of Edwardsville	09/02/1990 Interest	1,230.96
IRS Treasury	03/20/2018 Tax rebate	103,989.34
IRS Treasury	03/20/2018 Tax rebate	321,045.94
The Bank of Edwardsville	03/31/2018 City of East St. Louis Deposit	123,172.58
		\$ 724,143.35
Disbursements		
CliftonLarsonAllen LLP	03/05/2018 Fiscal Agent	2,388.64
Dorgan, McPike & Assoc, LTD	03/05/2018 Legal & Legislative	3,000.00
East-West Gateway Council of Governments	03/22/2018 Supervisor	16,672.40
Smith Dawson & Andrews	03/05/2018 Legal & Legislative	5,000.00
Sprague & Urban	03/05/2018 Legal & Legislative	600.00
Husch Blackwell	03/19/2018 Legal & Legislative	9,761.63
Amec Foster Wheeler Environment & Infracore	03/19/2018 Construction	132,628.90
Marks & Associates	03/05/2018 Diversity Program Manager	6,600.00
AT&T	03/05/2018 Tablet Service	32.32
Columbia Capital	03/15/2018 Financial Advisor	900.00
Bank of Edwardsville	03/20/2018 Wire Fees	10.00
Bank of Edwardsville	03/21/2018 Wire Fees	15.00
Bank of Edwardsville	03/21/2018 Wire Fees	15.00
Bank of Edwardsville	03/31/2018 Wire Fees	10.00
Bank of Edwardsville	03/31/2018 Bank Fees	18.12
Cost Less Copy Center	03/20/2018 Copies	270.00
UMB Bank	03/20/2018 Tax rebate transfer	103,989.34
UMB Bank	03/20/2018 Tax rebate transfer	321,045.94
Charles Etwert	03/21/2018 Reimbursement	652.25
AT&T	03/14/2018 Office Phone	88.03
		\$ 603,697.57
		\$ 1,015,617.01

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
April 30, 2018**

Beginning Bank Balance as of April 1, 2018		\$ 1,015,617.01
Receipts		
UMB Bank	04/30/2018 Admin Transfer	20,201.11
UMB Bank	04/30/2018 Construction Transfer	178,541.62
The Bank of Edwardsville	04/30/2018 Interest	1,233.50
		\$ 199,976.23
Disbursements		
CliftonLarsonAllen LLP	04/09/2018 Fiscal Agent	2,383.39
Dorgan, McPike & Assoc, LTD	04/02/2018 Legal & Legislative	2,000.00
Smith Dawson & Andrews	04/02/2018 Legal & Legislative	5,000.00
Husch Blackwell	04/09/2018 Legal & Legislative	59,138.93
Amec Foster Wheeler Environment & Infracore	04/09/2018 Construction	112,402.69
AT&T	04/10/2018 Tablet Service	92.16
Bank of Edwardsville	04/12/2018 Wire Fees	10.00
Bank of Edwardsville	04/12/2018 Wire Fees	10.00
Bank of Edwardsville	04/30/2018 Bank Fees	15.96
CDW Government	04/10/2018 Equipment	130.91
Walmart	04/10/2018 Supplies	17.93
BuyonlineNow	04/18/2018 Supplies	50.76
DropBox	04/24/2018 Supplies	99.00
		\$ 181,351.73
		\$ 1,034,241.51



Memo to: Board of Directors
From: Chuck Etwert
Subject: Wood Work Orders #14 & #17 Amendments
Date: May 14, 2018

At this month's meeting there will be two Work Order Amendments which Wood will be explaining at the meeting. One involves the period of performance and the other is for funding authorization.

Work Orders with Funding or Period of Performance Adjustments:

Work Order #17 – Life-Cycle Cost Analyses for FEMA Improvements - Amendment 1

Extending Period of Performance from May 31, 2018 to August 31, 2018
No change in funding

Work Order #14 – Metro East Sanitary District Authorized Level Field Investigation and Design - Amendment 4

Adding the design and bid phase services for the Cahokia Relief Well Project
Authorized Funding increase of \$245,000, from \$6,929,032 to \$7,174,032

The total adjustment for the two work order amendments is a net increase of \$245,000.

Copies of each Work Order Amendments are attached.

Recommendation:

A regional partnership to rebuild Mississippi River flood protection

Authorize the Chief Supervisor to execute Work Order Amendments with Wood for:

Work Order #17 – Life-Cycle Cost Analyses for FEMA Improvements - Amendment 1, extending the Period of Performance from May 31, 2018 to August 31, 2018, with no change in funding, and

Work Order #14 – Metro East Sanitary District Authorized Level Field Investigation and Design - Amendment 4, adding the design and bid phase services for the Cahokia Relief Well Project, and increasing the Authorized Funding \$245,000 from \$6,929,032 to \$7,174,032,

for a total net increase of \$245,000.



WORK ORDER NO: MSA01-WO17

Life-Cycle Cost Analyses for FEMA Improvements

Amendment #1 – May 16, 2018,

By and Between

**Wood Environment & Infrastructure Solutions, Inc. (Wood) and
Southwestern Illinois Flood Prevention District Council (CLIENT)**

CLIENT Office:	<u>104 United Drive</u> <u>Collinsville, IL 62234</u>	Wood Project No:	<u>563170001</u>
CLIENT Contact:	<u>Charles Etwert</u>	Work Order Type: (Check One)	
Wood Office:	<u>15933 Clayton Road</u> <u>Suite 215</u> <u>Ballwin, MO 63011</u>	Time and Materials	<u>X</u>
		Fixed Price	<u> </u>
Wood Contact:	<u>Jon Omvig</u>	CLIENT Reference No:	<u>n/a</u>

1. SCOPE OF WORK: See Attachment A (incorporated herein by reference)
2. LOCATION/CLIENT FACILITY INVOLVED: Wood River Drainage and Levee District, MESD, Prairie du Pont Levee and Sanitary District, and Fish Lake Drainage and Levee Dist.
3. PERIOD OF PERFORMANCE: August 16, 2017 through August 31, 2018
4. AUTHORIZED FUNDING: *No Change*
5. SPECIAL PROVISIONS: n/a

**Southwestern
Illinois Flood Prevention District Council**

**Wood Environment & Infrastructure
Solutions, Inc.**

By: _____
Name: Charles Etwert
Title: Chief Supervisor of
Construction and the Works
Date: _____
Address: 104 United Drive
Collinsville, IL 62234

By: _____
Name: Steve Stumne
Title: St. Louis Office Manager
Date: _____
Address: 15933 Clayton Road, Suite 215
Ballwin, MO 63011

**Attachment A
Scope of Work**

**WORK ORDER NO: MSA01-WO17
Life-Cycle Cost Analyses for FEMA Improvements
Amendment #1**

Wood Project No: 563170001

No Change to Scope of Work per Work Order 17.



WORK ORDER NO: MSA01-WO14

**Metro East Sanitary District Authorized Level Field Investigation and Design
Amendment #4 – May 16, 2018,**

By and Between

**Wood Environment & Infrastructure Solutions, Inc. (Wood) and
Southwestern Illinois Flood Prevention District Council (CLIENT)**

CLIENT Office:	<u>104 United Drive</u> <u>Collinsville, IL 62234</u>	Wood Project No:	<u>563170001</u>
CLIENT Contact:	<u>Charles Etwert</u>	Work Order Type: (Check One)	
Wood Office:	<u>15933 Clayton Road</u> <u>Suite 215</u> <u>Ballwin, MO 63011</u>	Time and Materials	<u>X</u>
		Fixed Price	<u></u>
Wood Contact:	<u>Jon Omvig</u>	CLIENT Reference No:	<u>n/a</u>

1. SCOPE OF WORK: Add Design and Bid Phase Services for Cahokia Relief Well Project

2. LOCATION/CLIENT FACILITY INVOLVED: Metro East Sanitary District Levee System

3. PERIOD OF PERFORMANCE: No Change

4. AUTHORIZED FUNDING: \$245,000.00

5. SPECIAL PROVISIONS: n/a

**Southwestern
Illinois Flood Prevention District Council**

**Wood Environment & Infrastructure
Solutions, Inc.**

By: _____
Name: Charles Etwert
Title: Chief Supervisor of
Construction and the Works

By: _____
Name: Steve Stumne
Title: St. Louis Office Manager

Date: _____
Address: 104 United Drive
Collinsville, IL 62234

Date: _____
Address: 15933 Clayton Road, Suite 215
Ballwin, MO 63011

**Attachment A
Scope of Work**

**WORK ORDER NO: MSA01-WO14
Metro East Sanitary District Authorized Level Field Investigation and Design
Amendment #4**

Wood Project No: 563170001

Scope of Work per Work Order 14, as amended.

AMENDMENT 4 – (05/16/2018)

Design and Bid Phase Services for Cahokia Relief Well Project:

Background: USACE designed a relief well and box culvert project titled “Underseepage Controls Sta 1207+00 to 1352+00” (the “Cahokia Relief Well Project”). Due to USACE funding limitations and the FPD Council’s desire to provide cost share as work-in-kind, the FPD Council, Wood, and USACE have agreed that the larger East St. Louis Flood Protection Project (The MESD Authorized Level Project) is best served if the FPD Council bids and constructs the Cahokia Relief Well Project. The FPD Council cannot issue USACE designs for bid; therefore, Wood will again serve as engineer of record and will review and complete the USACE design, then sign and seal the bid documents.

Services to be provided by Wood under this Work Order include 100% complete Construction Documents and associated Design Services in support of the design of the Cahokia Relief Well Project, which will be called “Southwestern Illinois Levee Authorized Level Design – Bid Package 18 – Cahokia Relief Wells”. Additional services to be provided by Wood under this Work Order include:

Task List:

1. Develop schedule.
2. Coordination with USACE throughout design and bidding.
3. Obtain the following items from USACE:
 - Recent/revised models and calculations
 - Recent survey work (none provided)
 - CAD Files/Line work (Microstation)
 - Electronic copies of plans and specs (SpecsIntact version to be included)
 - Records of meeting with utility companies
 - Copy of ATR report
 - Permits (none provided)
4. Implement process to track changes to USACE Plans and Specifications.
5. 100% Complete Construction Document Preparation
 - Prepare 100% complete and Issue for Bid construction drawings for the Cahokia Relief Well Project.
6. Prepare 100% complete and Issue for Bid project specifications for the Cahokia Relief Well Project.
7. Prepare 100% complete and Issue for Bid construction cost estimate for the Cahokia Relief Well Project.
8. Utility Coordination for Cahokia Relief Well Project.
 - Submit sealed construction drawings and required documentation for each bid package to affected utility companies for verification of public utility conflicts.
 - Coordinate with affected utility companies for utility relocations.
 - Obtain estimated cost for utility company relocated facilities.
9. Permitting

- Submit sealed construction documents and required documentation for the Cahokia Relief Well Project to applicable regulatory agencies:
 - Coordinate with regulatory agencies, revise plans as required and pursue approvals.
 - Obtain estimated cost for utility company relocated facilities.
10. Bid Phase Services
- Provide bid procurement services for the Cahokia Relief Well Project, to include:
 - Advertisement
 - Pre-Bid Meeting
 - Respond to questions regarding the clarity or intent of the contract documents.
 - Prepare and issue addenda.
 - Receive and open bids in public forum.

Amount for Amendment 4 = \$245,000.00

WORK ORDER 14 SUMMARY:

Total for Original Agreement	=\$5,972,432
Total for Amendment 1	=\$ 475,000
Total for Amendment 2	=(\$ 370,000)
Total for Amendment 3	=\$ 851,600
Total for Amendment 4	=\$ 245,000
 Total for Work Order 14	 =\$7,174,032



Memo to: Board of Directors
From: Chuck Ewert
Subject: Resolution of Appreciation
Date: May 14, 2018

As you all are aware, Tom Long resigned from the Council on May 1st.

Attached is a resolution expressing recognition and appreciation of his distinguished service to the Council.

Southwestern Illinois Flood Prevention District Council

RESOLUTION Expressing Recognition and Appreciation to J. Thomas Long for Distinguished Service In Restoring Flood Protection in the Metro-East

WHEREAS, J. Thomas Long has served as a Commissioner on the Madison County Flood Prevention District since its inception in 2008, with reappointments in 2011, 2014, and 2017; and

WHEREAS, he has served on the Board of Directors of the Southwestern Illinois Flood Prevention District Council since its inception in 2009; and

WHEREAS, he has provided outstanding leadership and guidance to the Southwestern Illinois Flood Prevention District Council serving with honor and integrity; and

WHEREAS, he faithfully and with honor, integrity and great distinction served as a Board member of the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, his efforts have demonstrated that Counties working together can achieve much; and

WHEREAS, he has always been mindful of the goal of the Southwestern Illinois Flood Prevention District Council of achieving Federal Emergency Management Agency accreditation certifying the Metro-East levees meet federal standards; and

WHEREAS, he has worked tirelessly to avert the threat to classify the area as prone to flooding along with the terrible economic consequences of such action; and

WHEREAS, the Southwestern Illinois Flood Prevention District Council has made great progress towards meeting its goal of fully protecting the Metro-East from a 100-Year flood under his leadership; and

WHEREAS, the levees have been reconstructed and repaired to the 100-Year Level of Protection earlier and at a cost less than originally projected; and

WHEREAS, his leadership helped initiate the restoration of the federally authorized 500-Year Level of Flood Protection in the Metro-East providing the region with a higher level of flood protection and economic growth, while simultaneously completing the 100-Year Level Projects.

NOW, THEREFORE, IT IS HEREBY RESOLVED, that the Board of Directors of Southwestern Illinois Flood Prevention District Council formally acknowledges and extends its recognition and appreciation to J. Thomas Long for his many years of service to the Southwestern Illinois Flood Prevention District Council and his efforts to provide expeditious and cost-effective flood protection to the Metro-East.



Memo to: Board of Directors
From: Chuck Ewert
Subject: Release of Executive Session Minutes
Date: May 14, 2018

Pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

A Public Statement identifying minutes that can be released and those that must remain confidential, as identified in the May 16, 2018 closed session, will be reported in open session.

Recommendation:

Accept the Public Statement identifying minutes that can be released and those that must remain confidential.

