



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
BOARD OF DIRECTORS MEETING  
July 18, 2018 7:30 am

Metro-East Park and Recreation District Office  
104 United Drive, Collinsville, Illinois 62234

1. Call to Order  
*John Conrad, President*
2. Approval of Minutes of May 16, 2018
3. Public Comment on Pending Agenda Items
4. Election of Secretary-Treasurer for Remainder of 2017-2018  
*John Conrad, President*
5. Program Status Report  
*Chuck Etwert, Chief Supervisor*
6. Budget Update and Approval of Disbursements  
*Chuck Etwert, Chief Supervisor*
7. Design and Construction Update  
*Jay Martin, Wood Environment & Infrastructure Solutions, Inc.*
8. Draft FY 2019 Flood Prevention District Council Budget  
*Chuck Etwert, Chief Supervisor*
9. Amendment to Wood Work Order #18  
*Wood Environment & Infrastructure Solutions, Inc.*  
*Chuck Etwert, Chief Supervisor*
10. Update from Corps of Engineers  
*Michael Feldmann, U.S. Army Corps of Engineers*

AGENDA

11. Resolution of Appreciation - Paul D. Bergkoetter

12. Resolution of Appreciation – Ronald A. Polka

13. Public Comment

Executive Session – (if necessary)

14. Other Business

15. Adjournment

**Next Meeting: August 15, 2018**

## MINUTES

### SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING

May 16, 2018

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday May 16, 2018.

#### Members in Attendance

John Conrad, President (Chair, Monroe County Flood Prevention District)  
Ron Motil, Vice President (Chair, Madison County Flood Prevention District)  
Paul Bergkoetter, Secretary/Treasurer (St. Clair County Flood Prevention District)  
Jeremy Plank, Madison County Flood Prevention District  
Bruce Brinkman, Monroe County Flood Prevention District  
Debra Moore, (Chair, St. Clair County Flood Prevention District)  
Alvin Parks, Jr., St. Clair County Flood Prevention District

#### Members Absent

Ronald Polka, Monroe County Flood Prevention District

#### Others in Attendance

Robert Elmore, Monroe County Board Chair  
Kurt Prenzler, Madison County Board Chair  
Mark Kern, St. Clair County Board Chair  
Chuck Etwert, SW Illinois FPD Council  
Steve Adler, Metro-East Sanitary District  
Randy Cook, Wood Environment & Infrastructure Solutions, Inc.  
Hal Graef, U.S. Army Corps of Engineers  
David Human, Husch Blackwell LLP  
Ronald S. Kaempfe, IUOE Local 520  
Tracey Kelsey, U.S. Army Corps of Engineers  
Kevin Koenigstein, Monroe County Treasurer  
Lance Lunte, Lochmueller Group  
Jack Norman, Illinois Groundwater Advisory Council  
Jon Omvig, Wood Environment & Infrastructure Solutions, Inc.  
Brad Ruppert, Senator Dick Durbin  
Kevin Williams, Wood Environment & Infrastructure Solutions, Inc.

**Call to order**

President John Conrad noted the presence of a quorum and called the meeting to order at 7:30 am.

Mr. Conrad asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

- Mr. Polka - absent
- Mr. Brinkman – Present
- Mr. Bergkoetter - Present
- Mr. Conrad - Present
- Dr. Moore – Present
- Mr. Motil – Present
- Mr. Parks – absent
- Mr. Plank - Present

A quorum was present.

**Approval of Minutes of March 21, 2018**

Mr. Conrad asked if there were any additions or corrections to the minutes of the Board meeting held on March 21, 2018. Hearing none, he asked for a roll call. Mr. Bergkoetter called the roll and the following votes were made:

- Mr. Polka - absent
- Mr. Brinkman – Aye
- Mr. Bergkoetter - Aye
- Mr. Conrad - Aye
- Dr. Moore – Aye
- Mr. Motil – abstained
- Mr. Parks – absent
- Mr. Plank - Aye

The meeting minutes were approved.

**Public Comment on Pending Agenda Items**

Mr. Conrad asked if there were any comments from the public on any agenda item on today’s agenda. There were none.

**Program Status Report**

Mr. Conrad asked Mr. Etwert to provide a status report for the project.

Mr. Etwert discussed the 100-Year Certification packages first.

## 100-Year Certification Packages Status

The following certification packages have been submitted to FEMA for Levee Accreditation:

### East & West Fork Levee Systems

Submitted by Wood on December 5, 2016  
FEMA Completeness Check and Comments received January 26, 2018  
Revisions being reviewed internally, anticipate resubmittal to FEMA by end of May

### Prairie Du Pont & Fish Lake Levee Systems

Submitted by Wood on July 6, 2017  
FEMA Completeness Check and Comments received January 26, 2018  
Revisions being reviewed internally, anticipate resubmittal to FEMA by end of May

### Lower Wood River Levee System

Submitted by Wood on October 17, 2017  
FEMA Completeness Check and Comments received April 20, 2018  
Resubmittal to FEMA currently anticipated by mid-July

### Upper Wood River Levee System

Submitted by Corps of Engineers on March 10, 2017  
Comments received from FEMA September 5, 2017  
Resubmittal to FEMA currently anticipated by end of May

### MESD Levee System

Wood submitted information to the Corps of Engineers December 2017  
Corps of Engineers completed technical review May 7, 2017  
Corps of Engineers will submit to FEMA after receiving Wood responses

Wood is in the process of assembling the additional data, verification, and certification information requested by FEMA. Making the changes requested and obtaining emergency preparedness documentation from County officials took longer than anticipated, but available

information has now been obtained and is being supplemented for submittal to FEMA. The resubmittal documentation is currently going through Wood's internal review process.

Comments received on the Lower Wood River Levee System are similar to those received on the East and West Forks and Prairie Du Pont and Fish Lake Systems, therefore, this resubmittal will take less time.

The Corps of Engineers' submittal of the final package, the MESD Levee System Evaluation Report to FEMA, will occur after receiving Wood responses to the Corps' technical review of the East St. Louis as-built drawings and O & M manuals. Wood received the Corps' comments on May 7<sup>th</sup>.

As previously indicated, FEMA has a backlog of work and it is anticipated the accreditation review and approval process will take up to a year. Approval of the MESD package, the last package being submitted, isn't expected until late spring or summer of 2019.

Next he discussed the 500-Year Authorized Level Status.

#### 500-Year Authorized Level Status

On May 9, 2018, I executed the new Project Partnership Agreement between the Corps of Engineers and the Metro East Sanitary District, which will allow for the utilization of Work In-Kind Credit for the Design Deficiency Corrections for the East St. Louis, Illinois Flood Protection Project.

On May 11, 2018, the Corps of Engineers gave preliminary approval of the Council's February 8, 2018 request for Work In-Kind Credit for East St. Louis in the amount of \$9,131,564.90. This credit will be utilized by the Corps of Engineers for the non-federal share of the Corps' East St. Louis Deep Cutoff Wall.

With the Corps' East St. Louis Deep Cutoff Wall Project estimated to cost close to \$50,000,000, the Corps has requested additional Work In-Kind Credit requests be submitted for other qualifying design and drilling the Council has done.

It is the intent of the Corps to utilize all Work Plan Funds that they receive towards the East St. Louis Deep Cutoff Wall. Therefore, in order to complete projects sooner and provide a higher level of flood protection, the Council will now bid and construct the Cahokia Relief Well Project. This construction will also be eligible for Work In-Kind credit.

You may recall, this is the project that went in conjunction with the installation of the reinforced concrete pipe, which eliminated a large portion of deep cutoff wall construction estimated to cost \$62,000,000 in East St. Louis. He indicated Wood would present this Work Order Amendment later in the meeting.

The Corps of Engineers is hoping to receive FY18 Work Plan Funds by the end of the month.

Regarding the transfer of FY17 Work Plan Funds from Wood River to East St. Louis, the Corps has now informed us, with the approval of the 2018 appropriations bill, new baseline and updated reprogramming limits, our request to reprogram Wood River funds to East St. Louis will have to be updated and resubmitted. The Corps has suggested that we wait until the Corps has been informed of the East St. Louis FY18 Work Plan Funding.

He stated it was difficult to understand, that the Council makes a request in June of 2017, to reprogram funds where they can be used quicker to provide flood protection sooner, is informed that the reprogramming has been supported at the District level, approved by the Assistant Secretary of the Army, and is supposedly at the Office of Management and Budget for approval, and now the process has to start all over. It is very disappointing.

The Council will now have to wait until the Corps is made aware of the amount of FY18 Work Plan Funds they will receive, in order, to request the correct amount of funds to be transferred. He felt twelve months had been lost, since the Council's original request was made last June.

He summarized the approach taken and the congressional support received, and indicated that the Council would continue to seek transfer of these funds where they could be utilized quicker.

He also reiterated the delay of projects in Wood River due to the Corps performing a Risk Assessment and Supplemental Report and in East St. Louis due to the Risk Informed Design Process. In order, to receive Work In-Kind Credit, the Council must build projects identified by the Corps.

He indicated the 100-Year Level of Protection has been provided, but the area is still at risk for the 500-Year Level of Protection. A 500-Year flood event, at some point in time, will occur. It is not a matter of if, but when. It may not be in our life time or it could be in the next few years, the timeframe is unknown.

Lastly, he summarized the relationship between the East St. Louis Deep Cutoff Wall Project and the Cahokia Relief Well Project, which are both part of the MESD Levee System.

Mr. Parks arrived during the presentation.

Mr. Conrad asked for a motion to accept the Program Status Report for May 2018. A motion was made by Mr. Parks to accept the Program Status Report for May 2018. Mr. Brinkman seconded the motion. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye

Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank – Aye

The motion was approved unanimously.

### **Budget Update and Approval of Disbursements**

Mr. Conrad asked Mr. Etwert to provide a report.

Mr. Etwert noted that the financial statements for March and April 2018 prepared by our fiscal agent, CliftonLarsonAllen were included in the materials sent for the meeting. The report included an accounting of revenues and expenditures for the months ending March 31, 2018 and April 30, 2018, as compared to the fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2017 thru April 30, 2018 are \$17,131,386 while revenues amounted to \$7,558,430 resulting in a deficit of \$9,572,956. A total of \$31,060,526 is held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts of \$884,025 for January 2018 were up 6.61% from last year and monthly sales tax receipts of \$885,009 for February 2018 were up 1.47% from last year. Both amounts were the highest amounts ever collected in January and February and are the fifteenth and sixteenth months in a row with the highest receipts collected for those particular months. Receipts are up 3.98% from last year, which was our highest year.

The report included two lists of bank transactions for March and April 2018. Total disbursements for March were \$603,697.57 and for April were \$181,351.73. The largest payments were to Amec Foster Wheeler and Husch Blackwell. The payments to Husch Blackwell included funds paid to the Lochmueller Group for land acquisition services involving the purchase of property required for the Authorized Level.

Also, for the Board's review and anyone else who was interested, Mr. Etwert provided copies of each transaction, represented on the March 2018 and April 2018 Bank Transaction Schedules prepared by CliftonLarsonAllen.

Mr. Etwert recommended that the Board accept the budget report and disbursements for March and April 2018.

Mr. Conrad asked for a motion to accept Mr. Etwert's budget report and disbursements for March and April 2018. A motion was made by Mr. Motil, seconded by Mr. Parks, to accept the budget report and approve the disbursements for March and April 2018. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent



Mr. Brinkman – Aye  
 Mr. Bergkoetter - Aye  
 Mr. Conrad - Aye  
 Dr. Moore – Aye  
 Mr. Motil – Aye  
 Mr. Parks – Aye  
 Mr. Plank - Aye

The motion was approved unanimously.

**Design and Construction Update**

Mr. Conrad called on Jon Omgig, Wood Environment & Infrastructure Solutions, Inc., to provide an update report. Mr. Omgig indicated that his presentation would be brief and used a PowerPoint® presentation to illustrate his remarks. His presentation focused on FEMA Certification Update, and the Authorized Level (500-Year) Design as follows:

**FEMA Certification Update**

He provided a summary of the FEMA Certification by Levee/County.

<b>Levee System</b>	<b>County Flood Maps</b>	<b>Certification</b>	<b>Comments from FEMA</b>
Upper Wood River	Madison	Submitted by USACE March, 2017	USACE Received September, 2017
East/West Forks	Madison	Submitted December, 2016	Received January, 2018
Lower Wood River	Madison	Submitted October 17, 2017	Received April 2018
Metro East Sanitary District (MESD)	Madison/ St. Clair	Submitted to USACE December, 2017	Expected Late 2018
Prairie Du Pont/Fish Lake (PDP/FL)	St. Clair/ Monroe	Submitted July, 2017	Received January, 2018

Next, Mr. Omgvig discussed the status of the Authorized Level (500-Year) Design.

### **Authorized Level (500-Year) Design**

#### **Wood River (Bid Packages 8, 9, and 10)**

- Bid Package 8 design is underway  
27 Relief wells and 1 Pump Station
- LWR Risk Assessment & Supplemental Report (2 year duration)  
Approximately 1 Year remaining
- Bid Packages 9 & 10 on hold pending USACE Risk Assessment & Supplemental Report

#### **MESD (Bid Packages 11, 12, 13, and 14)**

- Bid Packages 11, 12 & 13  
Relief Wells and 1 Pump Station  
On Hold, pending USACE risk informed solution (summer 2018)
- Bid Package 14  
Final design underway (shallow clay trench, filter blanket)  
Advertise for bid late summer 2018
- Bid Package 18  
Cahokia Relief Well Project from USACE  
51 Relief wells, Cahokia Pump Station modification  
Task order to complete on agenda today  
Advertise for bid late summer 2018

## **Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)**

- Working with the Corps to validate boring locations
- USACE will begin validating design solutions

Mr. Conrad asked for a motion to accept Mr. Omgig's Design and Construction Update report. A motion was made by Dr. Moore, with a second by Mr. Bergkoetter, to accept the Wood Environment & Infrastructure Solutions, Inc. Design and Construction Update report. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously.

### **Amendments to Wood Work Orders #14 & #17**

Mr. Conrad asked Mr. Etwert to explain this item.

Mr. Etwert explained that there were two Work Order Amendments requiring approval.

#### **Work Order #17 – Life-Cycle Cost Analyses for FEMA Improvements - Amendment 1**

Extending Period of Performance from May 31, 2018 to August 31, 2018 with no change in funding.

#### **Work Order #14 – Metro East Sanitary District Authorized Level Field Investigation and Design - Amendment 4**

Adding the design and bid phase services for the Cahokia Relief Well Project. Authorized Funding increase of \$245,000, from \$6,929,032 to \$7,174,032. The Council taking over this design and construction from the Corps of Engineers will allow this project to be built sooner and also provide an increased level of protection.

The total adjustment for the two work order amendments is a net increase of \$245,000.

Copies of each Work Order Amendments were provided in the agenda package.

Mr. Conrad asked for a motion to authorize the Chief Supervisor to execute Wood Work Order #17 – Life-Cycle Cost Analyses for FEMA Improvements - Amendment 1 Extending the Period of Performance with no change in funding and Wood Work Order #14 – Metro East Sanitary District Authorized Level Field Investigation and Design - Amendment 4 adding the design and bid phase services for the Cahokia Relief Well Project, increasing the Authorized Funding from \$6,929,032 to \$7,174,032, for a total increase of \$245,000.

A motion was made by Mr. Parks, with a second by Mr. Brinkman to authorize the Chief Supervisor to execute Wood Work Order #17 – Life-Cycle Cost Analyses for FEMA Improvements - Amendment 1 Extending the Period of Performance with no change in funding and Wood Work Order #14 – Metro East Sanitary District Authorized Level Field Investigation and Design - Amendment 4 adding the design and bid phase services for the Cahokia Relief Well Project, increasing the Authorized Funding from \$6,929,032 to \$7,174,032, for a total increase of \$245,000. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously.

### **Corps of Engineers Update**

Mr. Conrad asked Ms. Kelsey to provide the report from the Corps.

Ms. Kelsey provided an update of the current activities of the Corps using a PowerPoint® presentation to illustrate her remarks. Her presentation focused on the status of the Metro East Projects as follows:

### **USACE UPDATE**

## **Project Status**

- Wood River Cutoff Wall Construction
- East St. Louis Cutoff Wall Design
- East St. Louis WIK Credit Submittal
- East St. Louis Integral Determination Report
- East St. Louis PPA WIK Amendment
- FY18 Work Plan Funds
- FEMA Submittals
  - Upper Wood River
  - East St. Louis (MESD)

She noted, Mr. Etwert had executed required certifications that needed to be included in the PPA amendment not the actual amendment. The PPA amendment is currently under review by Division, with final execution anticipated in August.

She indicated the Corps' contract to repair the Cahokia slides was awarded on April 20<sup>th</sup>.

Mr. Etwert pointed out, it wasn't the St. Louis District indicating the Council's request to reprogram FY17 funds from Wood River to East St. Louis be updated and resubmitted. Ms. Kelsey confirmed the St. Louis District and Division were in support of the Council's request. She recommended the Council wait until the Corps learns of the receipt of any FY18 Work Plan Funds, before resubmitting any request.

Mr. Conrad asked for a motion to accept the Corps of Engineers report. A motion was made by Dr. Moore, with a second by Mr. Parks to receive the Corps of Engineers report. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka – absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously.

Ms. Kelsey indicated, she had one other item, it was to introduce Mr. Hal Graef, who has replaced Mr. Matt Vielhaber as Project Manager for the Wood River Project.

**Resolution of Appreciation for J. Thomas Long**

Mr. Conrad indicated that Mr. Long had resigned from the Council and asked Mr. Etwert to present the following resolution.

RESOLUTION Expressing Recognition and Appreciation to J. Thomas Long for Distinguished Service in Restoring Flood Protection in the Metro-East

WHEREAS, J. Thomas Long has served as a Commissioner on the Madison County Flood Prevention District since its inception in 2008, with reappointments in 2011, 2014, and 2017; and

WHEREAS, he has served on the Board of Directors of the Southwestern Illinois Flood Prevention District Council since its inception in 2009; and

WHEREAS, he has provided outstanding leadership and guidance to the Southwestern Illinois Flood Prevention District Council serving with honor and integrity; and

WHEREAS, he faithfully and with honor, integrity and great distinction served as a Board member of the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, his efforts have demonstrated that Counties working together can achieve much; and

WHEREAS, he has always been mindful of the goal of the Southwestern Illinois Flood Prevention District Council of achieving Federal Emergency Management Agency accreditation certifying the Metro-East levees meet federal standards; and

WHEREAS, he has worked tirelessly to avert the threat to classify the area as prone to flooding along with the terrible economic consequences of such action; and

WHEREAS, the Southwestern Illinois Flood Prevention District Council has made great progress towards meeting its goal of fully protecting the Metro-East from a 100-Year flood under his leadership; and

WHEREAS, the levees have been reconstructed and repaired to the 100-Year Level of Protection earlier and at a cost less than originally projected; and

WHEREAS, his leadership helped initiate the restoration of the federally authorized 500-Year Level of Flood Protection in the Metro-East providing the region with a higher level of flood protection and economic growth, while simultaneously completing the 100-Year Level Projects.

NOW, THEREFORE, IT IS HEREBY RESOLVED, that the Board of Directors of Southwestern Illinois Flood Prevention District Council formally acknowledges and extends its recognition and appreciation to J. Thomas Long for his many years of service to the Southwestern Illinois Flood Prevention District Council and his efforts to provide expeditious and cost-effective flood protection to the Metro-East.

A motion was made by Mr. Motil seconded by Mr. Bergkoetter, to approve the Resolution of Appreciation for J. Thomas Long. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka – absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously.

It was indicated that this would be the last meeting for Mr. Bergkoetter whose term was expiring in June.

Mr. Conrad thanked both Mr. Long and Mr. Bergkoetter for their service, which received a loud round of applause from all present.

### **Public Comment**

Mr. Conrad asked if there is any public comment.

Mr. Ron Kaempfe, IUOE Local 520, spoke of his concern regarding the Corps' contract with TREVIICOS on the Wood River Deep Cutoff Wall. TREVIICOS to date hasn't signed a contract with IUOE Local 520, they are using a subcontractor that has worked well with the IUOE Local 520, but to date there is no contract. He referred back to an earlier project where, at one time, TREVIICOS owed the Local a large amount of money. He is hoping that an agreement, possibly a project only, can be reached, but wanted to make the Board aware of the situation.

There was a brief discussion regarding TREVIICOS's home base and the company's union and nonunion divisions.

There were no other comments.

Mr. Conrad asked to adjourn the public session and convene an executive session regarding the review of executive minutes, which is done semi-annually, to determine which if any can be released to the public. He indicated that no action, other than the release of executive session minutes no longer requiring confidential treatment, would be taken upon reconvening the public session, and the public was welcomed to stay for when the public session was reconvened.

Mr. Motil made a motion at 8:04 a.m. to convene a closed session under Open Meetings Act 5 ILCS 120/2 (c) (21) for the purpose of performing the semi-annual review of executive session minutes for confidentiality as required by 5 ILCS 120/2.06(d). The motion was seconded by Dr. Moore. It was indicated that all Board members and County Board Chairs should be present, along with Husch Blackwell and Chuck Etwert. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore - Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously and the Board went into executive session.

Mr. Conrad asked for a motion to adjourn the executive session and reconvene the public session.

Mr. Motil made a motion to adjourn the executive session and reconvene the public session at 8:11 a.m. Mr. Parks seconded the motion. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye



Mr. Parks – Aye  
Mr. Plank – Aye

The motion was approved unanimously.

Mr. Conrad called the meeting to order.

**Release of Executive Session Minutes**

Mr. Conrad asked Mr. Etwert to explain this item.

Mr. Etwert explained pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

Currently, the Board releases minutes in May and November.

He provided the following Public Statement identifying minutes that could be released and those that must remain confidential, as identified in the closed session.

Pursuant to 5ILCS 120/2.06(d), the Board of Directors of the Southwestern Illinois Flood Prevention District Council reviewed the subject matter and discussion of the following meetings and now report in open session that the minutes of the following meetings no longer require confidential treatment and are available for public inspection at the Council’s office:

November 15, 2017

Furthermore, the need for confidentiality still exists as to all or part of the following meeting minutes:

February 18, 2015*	February 17, 2016*	November 16, 2016*
March 18, 2015*	June 15, 2016*	December 21, 2016*
April 15, 2015*	July 20, 2016*	March 15, 2017
May 20, 2015*	August 17, 2016*	May 17, 2017
June 17, 2015*	September 21, 2016*	December 20, 2017
November 18, 2015*	October 14, 2016*	

\* The necessity of keeping some information closed still exists, meeting minutes with redactions were released June 21, 2017

All executive session minutes released, will be available in the Council's office.

Mr. Conrad asked for a motion to accept the public statement which identifies the minutes of the meetings which no longer require confidential treatment and can be released, and the meeting minutes where the need for confidentiality still exists as to all or part of the meeting minutes.

A motion was made by Dr. Moore, with a second by Mr. Parks to accept the public statement which identifies the minutes of the meetings which no longer require confidential treatment and can be released, and the meeting minutes where the need for confidentiality still exists as to all or part of the meeting minutes. Mr. Bergkoetter called the roll and the following votes were made on the motion:

Mr. Polka - absent  
Mr. Brinkman – Aye  
Mr. Bergkoetter - Aye  
Mr. Conrad - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank - Aye

The motion was approved unanimously.

### **Other Business**

Mr. Plank initiated a discussion regarding the utilization of county flood prevention district sales tax funds and their appropriation. He expressed his concern about recent discussions by others of using the funds for other purposes. He hadn't seen any language which would allow for this to happen, and it might be a rob Peter to pay Paul approach. He felt that it would be unwise to use the funds for other purposes than bond repayment or levee work.

Mr. Motil indicated his memory was that the funds had to be used for levee improvements and purposes to avoid the huge problem FEMA put on the area in regards to the insurance rates. He expressed his own personal concern that the levees be improved and again his memory was the funds couldn't be used for any other purpose.

He also indicated that the levee districts in the future would need funds for operation and maintenance after all the levee improvements have been built and thought that the use of these funds for operations and maintenance would require action by the legislature.

Mr. Plank indicated, with approximately \$160 million in outstanding bonds, there could be concern by the bond holders if funds were used elsewhere.

Mr. Bergkoetter indicated that his interpretation was the same as already expressed, that the funds should be used for levee improvements. He indicated, when he was appointed to the Council, the County Chairman said make sure these funds don't become a slush fund and used for improvements other than the levees.

Chairman Prenzler indicated that he had reviewed the language and flood prevention is an allowed use of the funds. The Madison County Director of Planning and Development Department has looked at this, and is looking at two different types of flooding. One is from the Mississippi and the other is interior flooding. Flood prevention is a legitimate use of the funds. He indicated Madison County would never do anything to jeopardize the repayment of the bonds or the achievement of the Authorized Level.

Chairman Kern expressed that the use of the funds was always for meant to keep the Mississippi River out of our communities, and never meant for any interior drainage. The local state attorneys could be asked to research this issue, but everyone remembers the intent was to bring our levees up to the 100-Year Level of protection and if possible the 500-Year Level of Protection.

Spending the funds for anything else would put the Council in jeopardy of lawsuits. If you talk to all the legislative representatives (Watson, Haine, Clayborne, and Hoffman) who passed the act, they will tell you it was meant for the repair of the Mississippi River levees and not any interior drainage problems. If funds are spent elsewhere, the 500-Year Level of Protection will never be achieved.

Mr. Plank again express his concern about the bond holders wanting their money back, if the source of the payment goes away. Mr. Motil indicated he was in agreement.

Mr. Motil then reflected on the flood events of 1973 and 1993 and his desire to achieve the 500-Year Level of Protection.

Mr. Human was asked if he had looked at the legislation. He indicated it was pretty clear what the intent of the legislation was. He read a statement from Senator Haine which stated "it is only to be used to retire bonds that are being used to reconstitute our levees to the 100 and 500 year flood level and after that process is completed the bonds paid off the tax sunsets".

The intent of the statute was first to maintain the certification of the levee systems, to restore and rehabilitate the levees to the 100-Year Level and second restore and rehabilitate the levees to the 500-Year Authorized Level. There is a lot of legislative history, including the Flood Prevention District documents, which lay out the intent.

Mr. Parks wanted to make sure there was nothing in the legislation that went beyond the Mississippi River, such as an inland canal, to ensure the Council is addressing exactly what the legislation identified.

Mr. Etwert indicated that he wasn't here when the Council funds started going to each of the individual County Flood Prevention District Funds, but reviewing all of the Annual Reports and Budgets, it was stated that those funds were for use on the project. The project being achieving the 500-Year Level of Protection.

The 2010 bond trust indentures set up how the funds flow to the individual counties. Monthly, after all expenses are paid, any remaining funds are proportionally transferred to the individual counties. The reason there is so much in the county funds today, is that in the early years construction didn't start as quickly as anticipated, therefore, there were funds leftover monthly, identified as surplus, that went to the counties.

This year there has been about \$1.6 million dollars transferred. It always has been stated that these funds have gone to the counties but it is for the future use on the project. He indicated that he states it at every meeting that there is \$31 million dollars held by the counties for the Council's use on the project. The intent has been to spend all of the Council held funds first, and then ask the counties annually for funds needed for the next fiscal year.

Mr. Bergkoetter mentioned and Mr. Etwert confirmed that the Council has a five percent cash requirement on all of the Corps projects.

Mr. Etwert mentioned the Council, based on the Corps of Engineer's current estimates, is probably about \$30 million short of total funds needed for the 500-Year Level. The 500-Year Level is early in the process so this number could change. On the 100-Year early estimates were \$160 million dollars and the project came in at around \$120 million dollars. Hopefully the same type of reduction can be achieved on the 500-Year Level.

He also mentioned sales tax revenues have been increasing greater than projected and if it were to continue, there should be the ability to issue additional bonds in five or ten years, which could possibly provide additional funds. He again stated the 500-Year Level is very early in the game and a lot could happen, but definitely right now every dollar is needed.

Mr. Plank indicated the other interior drainage project may be honorable, but the 500-Year Level construction is the most important.

Mr. Conrad indicated that it was natural for people to look at these funds and want to apply them to their needs, not seeing the overall picture.

Mr. Brinkman indicated that Monroe County has over fifty-six additional miles of additional levees along the river that need to be upgraded. If there are remaining funds, after the current 500-Year Level Project is completed, could they be used on these levees to recertify them?

He gave a brief summary of the swine industry regarding operations, regulations, and locations.

Next, he discussed drainage in general and the separation of internal flooding and river flooding, indicating the internal flooding should be addressed by the internal people.

He indicated that the levee system needs to be brought back to a level of comfort and protection, and in his mind the 500-Year Level isn't enough, it should be at the 2000-Year Level.

Mr. Parks reiterated the goal of the Council in the beginning to protect public health and safety and prevent decertification of the levees. He understood the internal drainage concerns and the problems of cities draining into other cities or areas, but the Council is responsible for levee protection.

Chairman Kern stated the area isn't desirable to developers until the 500-Year Level is achieved.

Mr. Brinkman stated the Corps is 100 percent responsible for the 500-Year Level delineation and that is separate from FEMA's accreditation. It is one of the reasons that the Council is working so closely with the Corps.

Mr. Parks again stated protecting against decertification, protecting public health and safety, and protecting economic development and housing development of the region all pertaining to the Mississippi River are the reasons the Council was created.

There was a brief discussion regarding the flood of 1993 and the use of relief points to reduce damages.

Mr. Parks asked if there was some kind of movement to utilize the Council's funds for other purposes.

Mr. Etwert indicated that Chairman Prenzler had mentioned that Madison County had been looking at it.

Chairman Prenzler indicated when he came into the administration of Madison County, Mr. Matt Brandmeyer Madison County Director of Planning and Development pointed out the statue to him that these funds were available for flood prevention. Madison County does have flood issues. He again stated it is Madison County intentions to do things 100 percent legally but also never to jeopardize the 500-Year goal. With the flood issues Madison County has, it is looking for sources of revenue.

Mr. Motil indicated that he had been approached by Ms. Helen Hawkins about using the Council funds for other purposes and he had told her he didn't think it could be done. He indicated there appears to be a misconception that the funds could be used for purposes other than levee stabilization.

Mr. Conrad asked if there was any further discussion, there was none.

**Adjournment**

Mr. Conrad asked for a motion to adjourn. A motion was made by Mr. Bergkoetter, seconded by Dr. Moore to adjourn the meeting. The motion was approved unanimously by voice vote, all voting aye.

Respectfully submitted,

Paul Bergkoetter,  
Secretary/Treasurer, Board of Directors

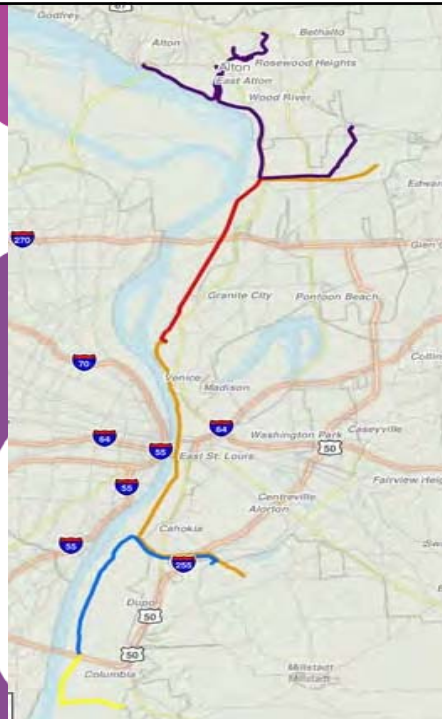


Progress Report  
May 16, 2018

## Southwestern Illinois Levee Systems

By Jon Omvig

[woodplc.com](http://woodplc.com)



### FEMA Certification Summary by Levee/County

Levee System	County/Flood Maps	Certification	Comments from FEMA
Upper Wood River	Madison	Submitted to USACE (March, 2017)	USACE Received September 2017
East/West Forks	Madison	Submitted (December, 2016)	Received January 2018
Lower Wood River	Madison	Submitted (October 17, 2017)	Received April 2018
Metro East Sanitary District (MESD)	Madison/St Clair	Submitted to USACE (December, 2017)	Expected Late 2018
Prairie du Pont & Fish Lake (PDP/FL)	St Clair/Monroe	Submitted (July, 2017)	Received January 2018



## Authorized Level (500-Year) Design

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### Wood River (Bid Packages 8, 9 and 10)

- Bid Package 8 design is underway
  - 27 Relief Wells and 1 Pump Station
- LWR Risk Assessment & Supplemental Report (2 year duration)
  - ~1 Year Remaining
- Bid Packages 9 & 10 on hold pending USACE Risk Assessment & Supplemental Report



## Authorized Level (500-Year) Design

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### MESD (Bid Packages 11, 12, 13 and 14)

- Bid Packages 11, 12 & 13
  - Relief Wells and 1 Pump Station
  - On Hold, pending USACE risk informed solution (summer 2018)
- Bid Package 14
  - Final design underway (shallow clay trench, filter blanket)
  - Advertise for bid late summer 2018
- Bid Package 18
  - Cahokia Relief Well Project from USACE
  - 51 relief wells, Cahokia Pump Station modification
  - Task order to complete on agenda today
  - Advertise for bid late summer 2018





## Authorized Level (500-Year) Design

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### **Prairie Du Pont & Fish Lake (Bid Packages 15, 16 & 17)**

- Working with the Corps to validate boring locations
- USACE will begin validating design solutions



**Questions?**

Progress Report



## Metro East Projects, IL

### Project Status

- Wood River Cutoff Wall Construction
- East St Louis Cutoff Wall Design
- East St Louis WIK Credit Submittal
- East St Louis Integral Determination Report
- East St Louis PPA WIK Amendment
- FY18 Work Plan Funds
- FEMA Submittals
  - Upper Wood River
  - East St. Louis (MESD)







Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Election of Secretary-Treasurer for Remainder of 2017-2018  
Date: July 16, 2018

With Secretary-Treasurer Paul Bergkoetter completing his term of service on the Council on June 30, 2018, Council bylaws require a new Secretary/Treasurer be elected by the Council to serve the remainder of Mr. Bergkoetter's term as Secretary-Treasurer.

Per the Council's bylaws Board officers (President, Vice-President, and Secretary-Treasurer) must each be from a different County Flood Prevention District, therefore only Council members from St. Clair County are eligible to be nominated.

The election to fill the office of the Secretary-Treasurer will follow the approval of the minutes and public comments on the pending agenda items.

The annual election of officers will take place next month on August 15, 2018 at the end of the Council's Board meeting. The positions have normally been rotated on an annual basis among the three County Flood Prevention Districts. All Board members are eligible to serve as officers.





Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Program Status Report for July 2018  
Date: July 16, 2018

### **100-Year Certification Packages Status**

#### East & West Fork Levee Systems

Submitted by Wood on December 5, 2016  
FEMA Completeness Check and Comments received January 26, 2018  
Resubmitted to FEMA June 29, 2018

#### Prairie Du Pont & Fish Lake Levee Systems

Submitted by Wood on July 6, 2017  
FEMA Completeness Check and Comments received January 26, 2018  
Resubmitted to FEMA June 29, 2018

#### Lower Wood River Levee System

Submitted by Wood on October 17, 2017  
FEMA Completeness Check and Comments received April 20, 2018  
Resubmitted to FEMA July 13, 2018

#### Upper Wood River Levee System

Submitted by Corps of Engineers on March 10, 2017  
Comments received from FEMA September 5, 2017  
Resubmitted to FEMA on June 18, 2018

#### MESD Levee System

Wood submitted information to the Corps of Engineers December 2017  
Corps of Engineers completed technical review and issued comments on May 7, 2017  
Wood responded to comments on June 13, 2018  
Corps of Engineers submittal to FEMA anticipated by August 31, 2018

The 100-Year Certification review and submittal process continues to make progress. Four packages have been resubmitted to FEMA. The remaining package for the MESD Levee System, is anticipated to be submitted by the Corps of Engineers by the end of August.

As previously indicated, FEMA has a backlog of work and it is anticipated the accreditation review and approval process will take up to a year. Approval of the MESD package, the last package being submitted, isn't expected until late summer of 2019

## **500-Year Authorized Level Status**

### Wood River Levee System

The Corps of Engineers has officially informed the Council that it will not need the \$2,600,000 requested and approved by the Council, earlier this year in January, for the Wood River Deep Cutoff Wall Phase 2 Option. A relief well solution costing less, has been identified as part of the risk assessment process. A copy of their letter, indicating they will not need the funds, is attached.

Wood is completing the design of Bid Package #8, which consists of 27 Relief Wells and one Pump Station, with a total estimate construction cost of \$4.3 million dollars. In order to utilize existing funds, the Corps of Engineers will be constructing Bid Package #8.

Bid Packages #9 & #10 on are hold pending the completion of the Corps' Risk Assessment & Supplemental Report, which isn't expected to be completed until January 2019.

The Council still anticipates receiving the Corps' preliminary cost estimate for the Wood River Authorized Level Improvements by the end of the July.

As you are aware, the Corps of Engineers didn't receive any FY18 Work Plan Funding for East St. Louis and have indicated they prefer to not reprogram any Wood River funds to East St. Louis. With significant progress being made on the Wood River Supplemental Report, they now believe all the funds can be utilized in Wood River. A copy of their letter, indicating they will not be resubmitting their request for reprogramming of funds from Wood River, is attached.

### MESD Levee System

The Corps of Engineers continues to complete the design of the East St. Louis Deep Cutoff Wall Project, to be ready to go, if FY19 Work Plan Funds are received. In conjunction, the Council continues to work with the Corps and MESD, following Federally Funded guidelines, regarding utility relocations, easement preparation, and easement obtainment. As design has been completed, the original scope of work has increased, which will require an amendment to Wood Work Order #18. These costs are eligible to be included in the Land Easements Relocations Right of Way & Disposal Sites (LERRDs) costs which can be part of the non-federal thirty five percent share of overall project costs.



The MESD Levee System Integral Determination Report (IDR) has been approved and the Project Partnership Agreement is expected to be approved in early August. This will allow the utilization of Work In-Kind Credit on the Corps' East St. Louis Deep Cutoff Wall Project, if the Corps received FY19 Work Plan Funds.

Wood continues to request and obtain information needed from the Corps, to bid and construct the Corps' Cahokia Relief Well Project which is estimated to cost \$10.6 million dollars. It consists of 51 Relief Wells and the modification to the Cahokia Pump Station. This will be identified as the Council's Bid Package #18 and will be advertised for bid later this year.

Wood is also designing Bid Package #14, a shallow clay trench and filter blanket with an estimated construction cost of \$4.3 million dollars, which will also be advertised for bid later this year.

Bid Packages #11, #12, & #13 are on hold pending the Corps' completion of the Risk Informed Design Process, which is expected later this year.

#### Prairie Du Pont/Fish Lake Levee Systems

Wood is continuing the design of Bid Packages #15, #16, and #17. Wood is currently in discussions with the Corps of Engineers, about the Authorized Level berm designs, which has taken several more months than originally envisioned. Once discussions are complete, a design, bid date schedule, and revised cost estimate will be developed.

The Corps' requirement of a Risk Assessment & Supplemental Report in Wood River, the utilization of the Risk Informed Design Process in MESD, and now the lack of funding has and will delay the Authorized Level design and construction. However, the Council continues to work with the Corps to get projects built as soon as possible. The Council constructing the Cahokia Relief Well Project designed by the Corps and the Corps building Bid Package #8 designed by Wood are examples of the cooperation to achieve increased flood protection to the Metro East.

In FY2019, the Corps will still be requesting cost-share cash funds for oversight and to satisfy the 5% cash requirement but a much lower amount than in previous years. The Council will have accumulated over \$28 million dollars in Work In-Kind Credit to cost share match available Corps funds.





DEPARTMENT OF THE ARMY  
ST. LOUIS DISTRICT, CORPS OF ENGINEERS  
1222 SPRUCE STREET  
ST. LOUIS, MISSOURI 63103-2833

Programs and Project Management Division  
Project Management Branch

JUN 28 2018

Mr. Charles Etwert, Chief Supervisor of Construction and the Works  
Southwestern Illinois Flood Prevention District Council  
104 United Drive  
Collinsville, Illinois 62234

Re: Revised USACE Request for Cost Share Funds, Wood River Design Deficiency Project

Dear Mr. Etwert:

The purpose of this letter is to revise our January 10, 2018 request for additional cost shared funds for the current fiscal year. The previous request of \$2.6 million in sponsor cost share funds was sought with the intent to exercise the option for construction of the remaining portion of the Lower Wood River Deep Cutoff Wall Phase 2 contract, which was awarded on 27 September 2017.

As you are aware, Planning, Engineering and Design work conducted between 2013 and 2016 yielded improved information regarding topography and subsurface conditions. As a result, the USACE is in the process of completing a Supplemental Report to the Limited Reevaluation Report of 2011 supported by a risk based assessment of the Lower Wood River Levee System. This assessment took another look at the contract option area incorporating the new information. The conclusion of that assessment is that a more cost effective solution is possible for this stretch of levee. Consequently we will not be exercising the contract option for the remaining cutoff wall and therefore will not be requiring your additional sponsor cost share funds this Fiscal Year.

The schedule for design and construction of the proposed alternative solution in this reach will be developed once the Supplemental Report is approved.

If you have any questions, please contact me at (314) 331-8790 or via e-mail at [harold.w.graef@usace.army.mil](mailto:harold.w.graef@usace.army.mil).

Sincerely,

A handwritten signature in black ink, appearing to read "Hal Graef", written over a white background.

Hal Graef, PMP, CFM  
Wood River Project Manager



DEPARTMENT OF THE ARMY  
ST. LOUIS DISTRICT, CORPS OF ENGINEERS  
1222 SPRUCE STREET  
ST. LOUIS, MISSOURI 63103-2833

Programs and Project Management Division  
Project Management Branch

JUN 28 2018

Mr. Charles Etwert, Chief Supervisor of Construction and the Works  
Southwestern Illinois Flood Prevention District Council  
104 United Drive  
Collinsville, Illinois 62234

Re: Reprogramming Request, Wood River Design Deficiency Project

Dear Mr. Etwert:

In June of 2017 you requested assistance from the Illinois Congressional Delegation to reprogram half of the \$31.7M allocated to the Wood River project that year over to the East St Louis project. In response we prepared and forwarded the re-programming request. Ultimately no action has been taken to re-program those funds and the request will need to be updated and resubmitted now that FY18 Work plan allocations have been made.

Since that time significant progress has been made on the Supplemental Report for the Wood River project with report approval still anticipated early in the 2<sup>nd</sup> Quarter of Fiscal Year 2019. Following approval of that report we will work closely with you and your engineer to move out swiftly with design and construction of the remaining features within the project. Those efforts will result in execution of the remaining Wood River funds.

Given the point we are at on the Wood River project, the reprogramming of any funds from the project will result in a delay to completion of the project. Therefore we will not be resubmitting the request for reprogramming of funds from Wood River.

If you have any questions, please contact me at (314) 331-8150 or via e-mail at Michael.Feldmann@usace.army.mil.

Sincerely,

A handwritten signature in blue ink, appearing to read "Michael G. Feldmann".

Michael G. Feldmann P.E  
Chief, Project Management Branch  
USACE, St Louis District



Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Budget and Disbursement Reports for May/June 2018  
Date: July 16, 2018

Current Budget Highlights

Attached are the financial statements for May and June 2018 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending May 31, 2018 and June 30, 2018, as compared to our fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2017 thru June 30, 2018 are \$17,473,165 while revenues amounted to \$9,566,601 resulting in a deficit of \$7,906,564. A total of \$31,060,526 is held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts of \$1,067,122 for March 2018 were up 3.68% from last year and monthly sales tax receipts of \$993,560 for April 2018 were up .86% from last year. Both amounts were the highest amounts ever collected in March and April and are the seventeenth and eighteenth consecutive months with the highest receipts collected for those particular months. Receipts are up 3.07% from last year, which was our highest year.

Monthly Disbursements

Attached are the lists of bank transactions for May and June 2018. Total disbursements were \$220,950.22 for May and \$144,533.17 for June. The largest payments were to Wood, East West Gateway, Prairie Du Pont Levee Sanitary District, and Roxanna Landfill, Inc.

Recommendation:

Accept the budget reports and disbursements for May and June 2018.





**SOUTHWESTERN ILLINOIS FLOOD PREVENTION  
DISTRICT COUNCIL**

**GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

**EIGHT MONTHS ENDED MAY 31, 2018 AND 2017**







**CliftonLarsonAllen**

CliftonLarsonAllen LLP  
www.cliftonlarsonallen.com

Board Members  
Southwestern Illinois Flood Prevention District Council  
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the eight months ended May 31, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of Certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2018 and 2017, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri  
June 8, 2018

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
EIGHT MONTHS ENDED MAY 31, 2018 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2018 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 7,993,048	\$ 3,841,952
Interest Income	225,000	225,000	492,212	(267,212)
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>8,485,260</u>	<u>3,574,740</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	2,151,720	3,536,318
Construction	7,330,945	7,330,945	2,666,132	4,664,813
Construction and design by US ACE	6,157,291	6,157,291	207,000	5,950,291
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>5,024,852</u>	<u>14,151,422</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	104,997	381,003
Diversity Program Manager	11,525	11,525	6,600	4,925
Financial Advisor	65,000	65,000	11,610	53,390
Bond Underwriter/Conduit Issuer	16,000	16,000	6,203	9,797
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>129,410</u>	<u>449,115</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	813,376	645,794
Monroe County	141,990	141,990	80,851	61,139
St. Clair County	1,398,840	1,398,840	789,674	609,166
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>1,683,901</u>	<u>1,316,099</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	10,784,424	14,196
Federal Interest Subsidy	(847,340)	(847,340)	(425,035)	(422,305)
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>10,359,389</u>	<u>(408,109)</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>17,197,552</u>	<u>15,508,527</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	125,628	77,372
Bank Service Charges	1,500	1,500	596	904
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	2,197	(197)
Fiscal Agency Services	31,000	31,000	20,920	10,080
Audit Services	16,000	16,000	-	16,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	539	461
Printing/Photocopies	3,500	3,500	1,286	2,214
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	676	2,624
Telecommunications/Internet	3,000	3,000	1,727	1,273
Travel	5,000	5,000	407	4,593
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>281,900</u>	<u>281,900</u>	<u>154,767</u>	<u>127,133</u>
Total Expenditures	<u>32,987,979</u>	<u>32,987,979</u>	<u>17,352,319</u>	<u>15,635,660</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,979)	(20,927,979)	(8,867,059)	12,060,920
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,979)</u>	<u>\$ (20,927,979)</u>	<u>\$ (8,867,059)</u>	<u>\$ 12,060,920</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
EIGHT MONTHS ENDED MAY 31, 2017 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2017 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,750,000	\$ 11,750,000	\$ 7,667,850	\$ 4,082,150
Interest Income	640,000	640,000	506,059	133,941
Other Contributions	-	-	-	-
Total Revenues	<u>12,390,000</u>	<u>12,390,000</u>	<u>8,173,909</u>	<u>4,216,091</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	9,197,055	9,197,055	3,092,751	6,104,304
Construction	22,840,515	22,840,515	11,371,882	11,468,633
Construction and design by US ACE	5,760,115	5,760,115	455,000	5,305,115
Total Design and Construction	<u>37,797,685</u>	<u>37,797,685</u>	<u>14,919,633</u>	<u>22,878,052</u>
Professional Services				
Legal & Legislative Consulting	458,500	458,500	213,682	244,818
Diversity Program Manager	25,000	25,000	-	25,000
Financial Advisor	65,000	65,000	11,920	53,080
Bond Underwriter/Conduit Issuer	12,000	12,000	6,031	5,969
Total Professional Services	<u>560,500</u>	<u>560,500</u>	<u>231,633</u>	<u>328,867</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,911,400	1,911,400	741,819	1,169,581
Monroe County	202,080	202,080	76,732	125,348
St. Clair County	1,886,520	1,886,520	731,121	1,155,399
Total Refund of Surplus Funds to County	<u>4,000,000</u>	<u>4,000,000</u>	<u>1,549,672</u>	<u>2,450,328</u>
Debt Service				
Principal and Interest	10,725,020	10,725,020	10,725,020	-
Federal Interest Subsidy	(848,250)	(848,250)	(423,670)	(424,580)
Total Debt Service	<u>9,876,770</u>	<u>9,876,770</u>	<u>10,301,350</u>	<u>(424,580)</u>
Total Operating Expenses	<u>52,234,955</u>	<u>52,234,955</u>	<u>27,002,288</u>	<u>25,232,667</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	134,892	68,108
Bank Service Charges	1,500	1,500	710	790
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	30,000	30,000	19,970	10,030
Audit Services	15,500	15,500	-	15,500
Meeting Expenses	1,000	1,000	638	362
Postage/Delivery	400	400	660	(260)
Printing/Photocopies	3,500	3,500	1,616	1,884
Professional Services	10,000	10,000	349	9,651
Publications/Subscriptions	250	250	8	242
Supplies	3,300	3,300	1,715	1,585
Telecommunications/Internet	3,000	3,000	1,217	1,783
Travel	5,000	5,000	318	4,682
Insurance	1,000	1,000	485	515
Total General & Administrative Costs	<u>279,800</u>	<u>279,800</u>	<u>162,885</u>	<u>116,915</u>
Total Expenditures	<u>52,514,755</u>	<u>52,514,755</u>	<u>27,165,173</u>	<u>25,349,582</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(40,124,755)	(40,124,755)	(18,991,264)	21,133,491
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (40,124,755)</u>	<u>\$ (40,124,755)</u>	<u>\$ (18,991,264)</u>	<u>\$ 21,133,491</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION  
DISTRICT COUNCIL**

**GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

**NINE MONTHS ENDED JUNE 30, 2018 AND 2017**





**CliftonLarsonAllen**

CliftonLarsonAllen LLP  
www.cliftonlarsonallen.com

Board Members  
Southwestern Illinois Flood Prevention District Council  
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the nine months ended June 30, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2018 and 2017, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri  
July 3, 2018



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
NINE MONTHS ENDED JUNE 30, 2018 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2018 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 9,060,170	\$ 2,774,830
Interest Income	225,000	225,000	506,431	(281,431)
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>9,566,601</u>	<u>2,493,399</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	2,178,800	3,509,238
Construction	7,330,945	7,330,945	2,716,297	4,614,648
Construction and design by US ACE	6,157,291	6,157,291	207,000	5,950,291
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>5,102,097</u>	<u>14,074,177</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	116,335	369,665
Diversity Program Manager	11,525	11,525	6,600	4,925
Financial Advisor	65,000	65,000	12,510	52,490
Bond Underwriter/Conduit Issuer	16,000	16,000	6,203	9,797
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>141,648</u>	<u>436,877</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	813,376	645,794
Monroe County	141,990	141,990	80,851	61,139
St. Clair County	1,398,840	1,398,840	789,674	609,166
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>1,683,901</u>	<u>1,316,099</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	10,784,424	14,196
Federal Interest Subsidy	(847,340)	(847,340)	(425,035)	(422,305)
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>10,359,389</u>	<u>(408,109)</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>17,287,035</u>	<u>15,419,044</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	138,485	64,515
Bank Service Charges	1,500	1,500	642	858
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	2,597	(597)
Fiscal Agency Services	31,000	31,000	23,260	7,740
Audit Services	16,000	16,000	16,000	-
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	571	429
Printing/Photocopies	3,500	3,500	1,286	2,214
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	386	2,914
Telecommunications/Internet	3,000	3,000	1,705	1,295
Travel	5,000	5,000	407	4,593
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>281,900</u>	<u>281,900</u>	<u>186,130</u>	<u>95,770</u>
Total Expenditures	<u>32,987,979</u>	<u>32,987,979</u>	<u>17,473,165</u>	<u>15,514,814</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,979)	(20,927,979)	(7,906,564)	13,021,415
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,979)</u>	<u>\$ (20,927,979)</u>	<u>\$ (7,906,564)</u>	<u>\$ 13,021,415</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
NINE MONTHS ENDED JUNE 30, 2017 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2017 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,750,000	\$ 11,750,000	\$ 8,697,075	\$ 3,052,925
Interest Income	640,000	640,000	555,022	84,978
Other Contributions	-	-	-	-
Total Revenues	<u>12,390,000</u>	<u>12,390,000</u>	<u>9,252,097</u>	<u>3,137,903</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	9,197,055	9,197,055	3,396,573	5,800,482
Construction	22,840,515	22,840,515	11,584,012	11,256,503
Construction and design by US ACE	5,760,115	5,760,115	3,803,500	1,956,615
Total Design and Construction	<u>37,797,685</u>	<u>37,797,685</u>	<u>18,784,085</u>	<u>19,013,600</u>
Professional Services				
Legal & Legislative Consulting	458,500	458,500	236,575	221,925
Diversity Program Manager	25,000	25,000	-	25,000
Financial Advisor	65,000	65,000	12,820	52,180
Bond Underwriter/Conduit Issuer	12,000	12,000	6,031	5,969
Total Professional Services	<u>560,500</u>	<u>560,500</u>	<u>255,426</u>	<u>305,074</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,911,400	1,911,400	741,819	1,169,581
Monroe County	202,080	202,080	76,732	125,348
St. Clair County	1,886,520	1,886,520	731,121	1,155,399
Total Refund of Surplus Funds to County	<u>4,000,000</u>	<u>4,000,000</u>	<u>1,549,672</u>	<u>2,450,328</u>
Debt Service				
Principal and Interest	10,725,020	10,725,020	10,725,020	-
Federal Interest Subsidy	(848,250)	(848,250)	(423,670)	(424,580)
Total Debt Service	<u>9,876,770</u>	<u>9,876,770</u>	<u>10,301,350</u>	<u>(424,580)</u>
Total Operating Expenses	<u>52,234,955</u>	<u>52,234,955</u>	<u>30,890,533</u>	<u>21,344,422</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	151,729	51,271
Bank Service Charges	1,500	1,500	793	707
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	30,000	30,000	22,240	7,760
Audit Services	15,500	15,500	15,500	-
Meeting Expenses	1,000	1,000	638	362
Postage/Delivery	400	400	673	(273)
Printing/Photocopies	3,500	3,500	1,822	1,678
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	1,732	1,568
Telecommunications/Internet	3,000	3,000	1,680	1,320
Travel	5,000	5,000	318	4,682
Insurance	1,000	1,000	485	515
Total General & Administrative Costs	<u>279,800</u>	<u>279,800</u>	<u>197,917</u>	<u>81,883</u>
Total Expenditures	<u>52,514,755</u>	<u>52,514,755</u>	<u>31,088,450</u>	<u>21,426,305</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(40,124,755)	(40,124,755)	(21,836,353)	18,288,402
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (40,124,755)</u>	<u>\$ (40,124,755)</u>	<u>\$ (21,836,353)</u>	<u>\$ 18,288,402</u>

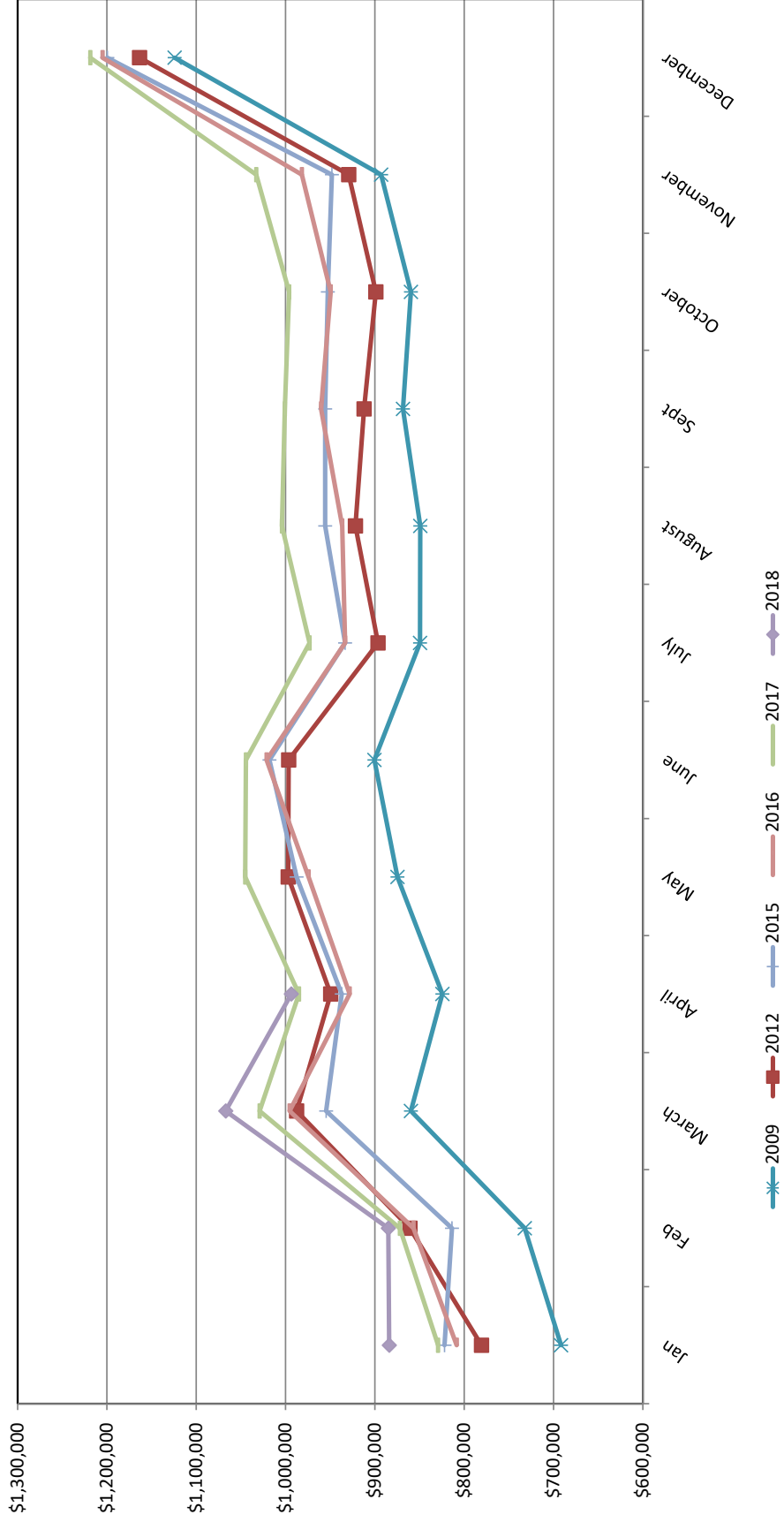
# Flood Prevention District Sales Tax Trends 2009-2018

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	County Share
<b>2009</b>														
Total Month	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
Total Month	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
<b>2010</b>														
<b>2011</b>														
Madison	\$380,021	\$383,976	\$460,129	\$454,562	\$466,904	\$477,396	\$436,637	\$473,303	\$448,256	\$444,204	\$455,842	\$538,000	\$5,419,230	48.108%
St. Clair	\$363,984	\$395,231	\$455,562	\$437,820	\$436,490	\$475,972	\$433,460	\$433,777	\$441,030	\$412,793	\$451,390	\$594,129	\$5,331,638	47.330%
Monroe	\$38,315	\$34,759	\$41,192	\$44,975	\$41,786	\$45,836	\$44,887	\$43,323	\$42,564	\$42,690	\$42,252	\$51,266	\$513,845	4.562%
Total Month	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
Cumulative Total	\$782,320	\$1,596,286	\$2,553,169	\$3,490,526	\$4,435,706	\$5,434,910	\$6,349,894	\$7,300,297	\$8,232,147	\$9,131,834	\$10,081,318	\$11,264,713		
<b>2012</b>														
Madison	\$381,470	\$406,476	\$473,049	\$471,191	\$481,989	\$477,254	\$427,562	\$434,603	\$428,193	\$428,521	\$429,127	\$523,240	\$5,362,675	47.481%
St. Clair	\$361,727	\$415,491	\$468,490	\$432,173	\$468,782	\$473,567	\$425,923	\$441,838	\$438,184	\$424,289	\$454,916	\$589,183	\$5,394,563	47.763%
Monroe	\$37,471	\$38,904	\$46,086	\$46,051	\$46,231	\$45,671	\$43,063	\$45,307	\$45,641	\$46,230	\$45,429	\$51,062	\$537,146	4.756%
Total Month	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
Cumulative Total	\$780,668	\$1,641,539	\$2,629,164	\$3,578,579	\$4,575,581	\$5,572,073	\$6,468,621	\$7,390,369	\$8,302,387	\$9,201,427	\$10,130,899	\$11,294,384		
<b>2013</b>														
Madison	\$375,398	\$383,170	\$424,507	\$425,469	\$457,212	\$451,494	\$436,686	\$442,449	\$430,541	\$429,499	\$432,541	\$500,233	\$5,189,199	47.062%
St. Clair	\$381,645	\$395,527	\$449,397	\$434,001	\$457,942	\$462,603	\$422,892	\$432,195	\$430,014	\$419,350	\$448,076	\$560,165	\$5,293,807	48.011%
Monroe	\$37,888	\$39,679	\$45,689	\$45,913	\$48,212	\$47,694	\$42,672	\$45,143	\$44,733	\$44,723	\$47,111	\$53,853	\$543,310	4.927%
Total Month	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
Cumulative Total	\$794,931	\$1,613,307	\$2,532,900	\$3,438,283	\$4,401,649	\$5,363,440	\$6,265,690	\$7,185,477	\$8,090,765	\$8,984,337	\$9,912,065	\$11,026,316		
% change/month	1.83%	-4.94%	-6.89%	-4.64%	-3.37%	-3.48%	0.64%	-0.21%	-0.74%	-0.61%	-0.19%	-4.23%		
% change/total	1.83%	-1.72%	-3.66%	-3.92%	-3.80%	-3.74%	-3.14%	-2.77%	-2.55%	-2.36%	-2.16%	-2.37%	-2.37%	
<b>2014</b>														
Madison	\$373,895	\$383,909	\$456,964	\$457,729	\$484,657	\$491,911	\$455,629	\$454,995	\$442,808	\$447,128	\$452,169	\$521,522	\$5,423,317	47.785%
St. Clair	\$354,762	\$395,885	\$460,143	\$436,379	\$458,819	\$470,546	\$426,263	\$434,064	\$445,419	\$433,077	\$446,001	\$591,387	\$5,352,747	47.163%
Monroe	\$42,321	\$39,665	\$47,587	\$47,796	\$52,206	\$49,022	\$47,357	\$46,167	\$50,056	\$48,920	\$49,552	\$52,714	\$573,362	5.052%
Total Month	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
Cumulative Total	\$770,978	\$1,590,437	\$2,555,131	\$3,497,036	\$4,492,718	\$5,504,197	\$6,433,446	\$7,368,671	\$8,306,955	\$9,236,081	\$10,183,803	\$11,349,426		
% change/month	-3.01%	0.13%	4.90%	4.03%	3.35%	5.17%	2.99%	1.68%	3.64%	3.98%	2.16%	4.61%		
% change/total	-3.01%	-1.42%	0.88%	1.71%	2.07%	2.62%	2.68%	2.55%	2.67%	2.80%	2.74%	2.93%	2.93%	



# Flood Prevention District Sales Tax Trends 2009-2018

## Monthly Receipts 2009-2012-2015-2016-2017-2018





**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
SUPPLEMENTARY SUPPORTING SCHEDULE  
BANK TRANSACTIONS  
May 31, 2018**

Beginning Bank Balance as of May 1, 2018		<b>\$ 1,034,241.51</b>
Receipts		
UMB Bank	05/31/2018 Admin Transfer	2,554.93
UMB Bank	05/31/2018 Construction Transfer	163,248.56
The Bank of Edwardsville	05/31/2018 Interest	1,409.84
		<b>\$ 167,213.33</b>
Disbursements		
CliftonLarsonAllen LLP	05/08/2018 Fiscal Agent	2,387.24
Columbia Capital	05/08/2018 Financial Advisor	900.00
Sprague & Urban	05/08/2018 Legal & Legislative	150.00
Columbia Capital	05/22/2018 Financial Advisor	900.00
Prairie DuPoint Levee and Sanitary	05/08/2018 Design & Construction	29,996.00
East-West Gateway Council of Governments	05/10/2018 Supervisor	19,533.38
Cost Less Copy Center	05/15/2018 Copies	189.60
Smith Dawson & Andrews	05/15/2018 Legal & Legislative	5,000.00
UMB Bank, NA	05/15/2018 Bond Trust Fees	1,287.90
Husch Blackwell	05/09/2018 Legal & Legislative	9,945.09
Wood Environment & Infrastructure Solution	05/09/2018 Construction	122,257.47
AT&T	05/10/2018 Tablet Service	87.90
Bank of Edwardsville	05/31/2018 Service Charge	16.76
Bank of Edwardsville	05/10/2018 Wire Fees	10.00
Bank of Edwardsville	05/10/2018 Wire Fees	10.00
Best4Less	05/29/2018 Office Equipment (Small)	308.88
Roxana Landfill, Inc.	05/15/2018 Construction	27,970.00
		<b>\$ 220,950.22</b>
		<b>\$ 980,504.62</b>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
SUPPLEMENTARY SUPPORTING SCHEDULE  
BANK TRANSACTIONS  
JUNE 30, 2018**

Beginning Bank Balance as of June 1, 2018		<b>\$ 980,504.62</b>
Receipts		
UMB Bank	06/13/2018 Admin Transfer	38,577.34
UMB Bank	06/13/2018 Construction Transfer	130,757.54
MESD	06/25/2018 MESD	7,016.64
The Bank of Edwardsville	06/30/2018 Interest	1,372.07
		<b>\$ 177,723.59</b>
Disbursements		
CliftonLarsonAllen LLP	06/04/2018 Fiscal Agent	2,369.68
Scheffel Boyle	06/12/2018 Auditor	16,000.00
Wood Enviroment & Infrastructure Solution	06/12/2018 Construction	84,096.83
Husch Blackwell	06/12/2018 Legal & Legislative	11,502.81
East-West Gateway Council of Governments	06/12/2018 Supervisor	16,055.90
East-West Gateway Council of Governments	06/12/2018 Supervisor	13,473.57
AT&T	06/12/2018 Tablet Service	87.90
Bank of Edwardsville	06/13/2018 Wire transfer Fee	15.00
Bank of Edwardsville	06/13/2018 Wire transfer Fee	15.00
Columbia Capital	06/21/2018 Financial Advisor	900.00
Bank of Edwardsville	06/29/2018 Bank Fees	16.48
		<b>\$ 144,533.17</b>
		<b>\$ 1,013,695.04</b>





Memo to: Board of Directors  
From: Chuck Etwert  
Subject: FY 2019 Annual Budget  
Date: July 16, 2018

As is our custom, the Board first reviews the draft budget for the coming fiscal year at our July Board meeting, with final adoption at the August meeting. By law, the Council's budget must be adopted by August 31 of each year for the fiscal year beginning October 1. The budget must be submitted to the county boards for approval after which they have 30 days to act on it.

In FY 2018, with the river finally receding, the completion of all construction needed to achieve FEMA accreditation was completed. This included the large clay cap in MESD, the lining of the East St. Louis 126-inch Sewer, and the construction of four remaining relief wells in the Wood River area.

The FY 2019 budget focuses on FEMA accreditation and Authorized Level design and construction.

Four certification reports have been submitted to FEMA to date. Amec Foster Wheeler submitted the Wood River East & West Forks Package in December 2016, the Prairie Du Pont/Fish Lake Package in July 2017, and the Lower Wood River in October 2017.

The Corps of Engineers submitted the Upper Wood River Package in March 2017 and will submit the MESD Package by the end of August.

FEMA comments have been received on the four packages submitted and resubmittals have been made on those four packages.

Total FEMA accreditation is not anticipated to be achieved until late summer 2019.

Authorized Level construction anticipated in FY 2019 includes Bid Packages #14, #15/16/17, and #18. Anticipated Work In-Kind credits of \$12,415,405 in East St. Louis and \$16,041,496 in Wood River will be utilized by the Corps of Engineers to match any funds that they have or may receive. Cash contributions to the Corps of Engineers for oversight and the required five percent cash will be lower than in previous years as the Council nears meeting the cash requirement.

Administrative expenditures, which are a relatively small portion of our costs, remain virtually unchanged for the next year.

In general, expenditures for next year's budget are based on achieving 100-Year accreditation and the design and construction of the Authorized Level projects. I have continued the past practice of making conservative revenue and expenditure assumptions.

A draft FY 2019 budget is shown in Table 1.

Key assumptions are:

1. FEMA certification will be achieved by the end of summer 2019.
2. The level of Council staffing does not change in FY 2019, and general and administrative costs continue to remain a very small portion of the project expenditures (less than 1%). Staff resources continue to be supplemented by the significant use of consulting and professional services.
3. In accordance with the bond indenture, all sales tax receipts are intercepted by the Trustee and after all financial requirements for debt, construction, and administration have been satisfied, surplus funds are transferred to each County for deposit in a County Flood Prevention District Sales Tax Fund for future Council project financing. The surplus transfers are shown as expenditures in the budget.
4. A 10% construction cost contingency is included for all construction on all projects to be built.
5. Sales tax revenue is estimated to increase by 2.00% over the estimated receipts for the current year. Our current increase level thru June of this year is 3.07%. Interest income is based on information received from our financial advisor
6. Funding for Corps of Engineers' oversight on FPD design and construction has been included. These funds, where applicable, will satisfy the Corps of Engineers' five percent cash requirement on future projects constructed by the Corps and may in some instances satisfy a small portion of the Work In-Kind requirement.
7. The Council will continue to work with levee districts in establishing funding sources, where and if needed, for the maintenance and operations of the 100-Year Improvements and Authorized Level Improvements being built. Wood has completed Life Cycle Costs Reports for the 100-Year Improvements for each of the Levee Districts. The Council will be of setting up meetings with each Levee District to discuss their individual report.
8. After all of the funds held by the Council are expended, the three County FPD sales tax funds will be used for the 500-Year Authorized Level Projects. Annual requests will be made to each County for the funding needed for the upcoming fiscal year. There is currently over thirty-one million dollars in the county FPD sales tax funds.

9. The budget has been developed to provide flexibility to coincide with the Corps of Engineers' utilization of the Risk Informed Process, the completion of a Risk Assessment & Supplemental Report for Wood River and the Corps' ability to receive future funding. Each of these items can affect project priorities and design & construction responsibilities between the Corps and Wood. Such as the recent decision, with the Council now building the Cahokia Relief Well Project designed by the Corps, and the Corps now building the Wood River Bid Package #8 designed by Wood.

Table 2 provides more details on the project expenditures for design, construction management, and construction.

Table 3 compares expenses to revenues between 7/1/2018 and 9/30/2019. The table illustrates, all 2010 Bond Issue construction funds have been spent, the amount of available 2015 Bond Issue construction funds is \$34,227,170, and total amount of the individual County FPD sales tax funds is \$31,060,526. If revenues and expenditures are as estimated, the Council would end the year with \$39,199,379 available funds, of which, over eighty percent would be held by the Counties. In FY 2020, the Council will have to start requesting funds from each of the individual County FPD sales tax funds.

Table 4 illustrates the current estimated Authorized Level funding is approximately \$113 million. It also illustrates, based on current Corps of Engineers estimates, there is a shortfall of almost \$22 million dollars of funding needed to achieve the Authorized Level.

The Authorized Level is early in the process and there are many things that could affect this shortfall of funds:

1. The Corps utilization of the Risk Informed Process could reduce costs.
2. As projects are better defined, designed, and bid, estimates could be reduced, such as was the case with the 100-Year Project, where early estimates were \$160 million dollars and the project came in at around \$120 million dollars.
3. Sales tax revenues have been increasing greater than projected, and if the trend were to continue, there should be ability to issue additional bonds in the future, which could provide additional funds.
4. A lot depends on how quickly the Corps of Engineers will receive funding for their 65% share of eligible costs. Continued delays in receiving funds will increase project costs due to inflation of labor and material costs. At this time, the Corps is hoping to receive FY 2019 Work Plan funds.

These tables and the draft budget illustrate that the Council will meet its goal of achieving FEMA certification with the revenue available from the dedicated FPD sales tax and have significant funds available for Authorized Level improvements.

Easements, high river stages, and construction delays have push accreditation back, but accreditations will be achieved in 2019.

After considering any comments or suggestions over the next month, a final FY 2019 budget will be presented at the August Board meeting for adoption and forwarding to the county boards for their approval.

Table 1

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
PROPOSED BUDGET  
OCTOBER 1, 2018 THRU SEPTEMBER 30, 2019**

	ACTUAL EXPENDITURES		ADOPTED BUDGET		PROJECTED EXPENDITURES		PROPOSED BUDGET	
	OCTOBER 1, 2016 THRU SEPTEMBER 30, 2017		OCTOBER 1, 2017 THRU SEPTEMBER 30, 2018		OCTOBER 1, 2017 THRU SEPTEMBER 30, 2018		OCTOBER 1, 2018 THRU SEPTEMBER 30, 2019	
<b>REVENUES</b>								
Sales Tax Proceeds From Districts	\$	11,771,886	\$	11,835,000	\$	12,215,170	\$	12,475,000
Interest Income		692,386		225,000		675,241		280,000
Other Contributions						-		-
Total Revenues	\$	12,464,272	\$	12,060,000	\$	12,890,411	\$	12,755,000
<b>EXPENDITURES</b>								
Design and Construction								
Engineering Design & Construction		4,333,349		5,688,038		2,960,536		7,869,377
Management								
Construction		13,640,061		7,330,945		2,761,936		20,527,970
USACE Authorized Level Costs		7,152,000		6,157,291		207,000		3,500,000
Total Design and Construction	\$	25,125,410	\$	19,176,274	\$	5,929,472	\$	31,897,347
Professional Services								
Legal & Legislative Consulting		282,819		486,000		176,335		322,500
Diversity Program Manager		-		11,525		6,600		-
Financial Advisor		15,520		65,000		32,510		65,000
Bond Trustee Fee		9,588		16,000		11,203		12,000
Total Professional Services	\$	307,927	\$	578,525	\$	226,648	\$	399,500
Refund of Surplus Funds to County FPD Accounts								
Total Refund of Surplus Funds to County	\$	1,549,672	\$	3,000,000	\$	1,683,901	\$	2,500,000
Debt Service								
Principal and Interest		10,725,020		10,798,620		10,784,424		10,876,570
Federal Interest Subsidy		(847,340)		(847,340)		(850,070)		(850,070)
Total Debt Service	\$	9,877,680	\$	9,951,280	\$	9,934,354	\$	10,026,500
Total Design & Construction Expenses	\$	36,860,689	\$	32,706,079	\$	17,774,375	\$	44,823,347
General and Administrative Costs								
Salaries, Benefits		204,955		203,000		207,727		213,000
Bank Service Charges		1,035		1,500		942		1,200
Annual IL. Lobbyist Registration		307		350		307		-
Equipment and Software		-		2,000		2,597		2,000
Fiscal Agency Services		29,050		31,000		30,280		32,000
Audit Services		15,500		16,000		16,000		16,500
Meeting Expenses		638		1,000		-		1,000
Postage/Delivery		873		1,000		761		1,000
Printing/Photocopies		2,630		3,500		2,036		2,500
Professional Services		-		10,000		-		10,000
Publications/Subscriptions		-		250		-		-
Supplies		2,621		3,300		1,769		3,000
Telecommunications/Internet		2,969		3,000		2,255		3,000
Travel		754		5,000		807		5,000
Insurance		485		1,000		484		1,000
Total General & Administrative Costs	\$	261,817	\$	281,900	\$	265,965	\$	291,200
Total Expenditures	\$	37,122,506	\$	32,987,979	\$	18,040,340	\$	45,114,547
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES		(24,658,234)	\$	(20,927,979)	\$	(5,149,929)	\$	(32,359,547)
OTHER FINANCING SOURCES								
Proceeds From Borrowing		83,835,000		-	\$	-	\$	-
NET CHANGE IN FUND BALANCE	\$	59,176,766	\$	(20,927,979)	\$	(5,149,929)	\$	(32,359,547)



Table 2

**Estimated Project Expenditures 10.1.2018 -9.30.2019**

<b>Design &amp; Construction Management</b>	<b>FY 2019</b>
<b>Engineering Design</b>	
<i>W. O. #1 Program Mgmt.</i>	\$200,000
<i>W. O. #3 Preconstruction Activities</i>	\$25,000
<b>Authorized Level Work Orders</b>	
<i>W.O. #13 Wood River Field &amp; Design BP #8, BP #9, &amp; BP #10</i>	\$850,000
<i>W.O. #14 MESD Field &amp; Design</i>	\$1,800,000
<i>W.O. #16 Prairie Du Pont/Fish Lake Field &amp; Design</i>	\$3,500,000
<i>W.O. #17 Life-Cycle Cost FEMA Improvements</i>	\$10,000
<i>W.O. #18 MESD Deep Cutoff Wall Utility/Easement Assistance</i>	\$184,377
<b>Construction Management.</b>	
<i>W. O. #? Construction Management BP #14, BP #15/16/17 &amp; BP#18</i>	\$1,300,000
<b>TOTAL ENGINEERING DESIGN &amp; CONSTRUCTION MGMT.</b>	<b>\$7,869,377</b>
<b>Construction</b>	
<i>Bid Package #14</i>	\$3,000,000
<i>Bid Package #15/16/17</i>	\$1,500,000
<i>Bid Package #18</i>	\$11,000,000
<i>Contingency (10% FPD Construction)</i>	\$1,550,000
<i>Utilities</i>	\$400,000
<i>Property Acquisition Authorized Level - Wood River</i>	\$500,000
<i>Property Acquisition Authorized Level - East St. Louis</i>	\$500,000
<i>Property Acquisition Authorized Level - Prairie Du Pont/Fish Lake</i>	\$250,000
<i>Wetland Mitigation</i>	\$27,970
<i>HazMat Mitigation</i>	\$1,500,000
<i>Legal</i>	\$300,000
<i>Corps of Engineers Authorized Level Costs</i>	\$3,500,000
<b>TOTAL CONSTRUCTION</b>	<b>\$24,027,970</b>
<b>TOTAL ENGINEERING DESIGN &amp; CONSTRUCTION</b>	<b>\$31,897,347</b>
<b>REFUND OF SURPLUS FUNDS TO COUNTY FPD ACCOUNTS</b>	<b>\$2,500,000</b>
<b>Operations &amp; Financing</b>	
<i>General &amp; Administrative</i>	\$291,200
<i>Debt Service</i>	\$10,876,570
<i>Interest Rate Subsidy</i>	(\$850,070)
<i>Professional Services</i>	
<i>Legal</i>	\$322,500
<i>Financial Advisor</i>	\$65,000
<i>Bond Trustee</i>	\$12,000
<b>TOTAL OPERATIONS</b>	<b>\$10,717,200</b>
<b>TOTAL EXPENSES</b>	<b>\$45,114,547</b>

Table 3

**Estimated Revenues 7.1.2018 - 9.30.2019**

<b>Fund Sources</b>	
<i>Cash on hand (6/30/2018)</i>	\$1,014,595
<i>2010 Bond Project Funds (6/30/2018)</i>	\$0
<i>2015 Bond Project Funds (6/30/2018)</i>	\$34,227,170
<i>County FPD Tax Funds. (6/30/2018)</i>	\$31,060,526
<i>County FPD Tax Funds. (7/1/2018-9/30/2019)</i>	\$2,500,000
<i>Sales Tax Revenue (7/1/2018-9/30/2018)</i>	\$3,155,000
<i>Interest Income (7/1/2018-9/30/2018)</i>	\$168,810
<i>Federal Interest Subsidy (September 2018)</i>	\$425,035
<i>Sales Tax Revenue (10/1/2018-9/30/2019)</i>	\$12,475,000
<i>Interest Income (10/1/2018-9/30/2019)</i>	\$280,000
<b>Total Available Funds</b>	<b>\$85,306,136</b>

**Estimated Expenditures 7.1.2018 - 9.30.2019**

<b>Expenditures</b>	
<i>Engineering Design and Construction Mgmt. (7/1/2018-9/30/2018)</i>	\$781,736
<i>Construction (7/1/2018-9/30/2018)</i>	\$45,639
<i>Professional Services (7/1/2018-9/30/2018)</i>	\$85,000
<i>General &amp; Administrative (7/1/2018-9/30/2018)</i>	\$79,835
<i>Engineering Design and Construction Mgmt. (10/1/2018-9/30/2019)</i>	\$7,869,377
<i>Construction (10/1/2018-9/30/2019)</i>	\$24,027,970
<i>Professional Services (10/1/2018-9/30/2019)</i>	\$399,500
<i>County FPD Tax Funds. (7/1/2018-9/30/2019)</i>	\$2,500,000
<i>Debt Service (10/1/2018-9/30/2019)</i>	\$10,026,500
<i>General &amp; Administrative (10/1/2018-9/30/2019)</i>	\$291,200
<b>Estimated Expenditures</b>	<b>\$46,106,757</b>
<b>Net Estimated Available Funds on 9/30/2019</b>	<b>\$39,199,379</b>



Table 4

***Current Estimated Authorized Level Funding***

<b>Authorized Level Funding</b>	
<i>Design FY2016</i>	\$2,015,926
<i>Design FY 2017</i>	\$3,179,909
<i>Design FY 2018</i>	\$1,848,728
<i>Design &amp; CM FY 2019 Budgeted</i>	\$7,634,377
<i>Concrete Pipe Change Orders</i>	\$9,131,564
<i>B.P. #7B Wood River Deep Cutoff Wall</i>	\$14,919,724
<i>FPD Construction FY2018</i>	\$75,635
<i>FPD Construction FY2019 Budgeted</i>	\$18,700,000
<i>Previous Corps Funding</i>	\$3,154,435
<i>Corps of Engineers Funding FY 2016</i>	\$2,439,618
<i>Corps of Engineers Funding FY 2017</i>	\$7,152,000
<i>Corps of Engineers Funding FY 2018</i>	\$207,000
<i>Corps of Engineers Funding FY 2019 Budgeted</i>	\$3,500,000
<i>Net Available Funds (9/30/2019)</i>	\$39,199,379
<b>Current Estimated Authorized Level Funding</b>	<b>\$113,158,295</b>

***Current Estimated Authorized Level Costs***

<b>Authorized Level Costs</b>	
<i>Wood River - (Current Corps Preliminary Estimate)</i>	\$83,040,000
<i>MESD - (Corps Certified Final Cost)</i>	\$156,313,000
<i>Prairie Du Pont/Fish Lake - (Corps Certified Final Cost)</i>	\$50,925,000
<b>Current Total Estimated Cost</b>	<b>\$290,278,000</b>
<i>Less 65% Corps Funding for Wood River &amp; MESD</i>	<u>\$155,579,450</u>
<i>Remaining Non-Federal Share</i>	<b>\$134,698,550</b>
<i>Current Estimated Authorized Level Funding</i>	<b>\$113,158,295</b>
<b>Current Estimated Shortfall</b>	<b>\$21,540,255</b>





Memo to: Board of Directors

From: Chuck Etwert

Subject: Wood Work Order #18 – Amendment #2  
MESD Cutoff Wall Utility/Easement Assistance

Date: July 16, 2018

Last November, the Corps of Engineers requested the Council’s assistance in identifying utilities and easements in the Metro East Sanitary District in conjunction with the Corps’ design of the East St. Louis Deep Cutoff Wall Project. The Corps had hoped to receive reprogrammed 2017 or 2018 Work Plan funds in the near future, which would have required an award of a construction contract by this September.

As you are aware, the Corps didn’t received any funds for the East St. Louis Deep Cutoff Wall Project. They now hope to receive 2019 Work Plan funds and want to have all the right-of-way acquired, in order, to bid if funds are received.

The scope of the services to comply with applicable federal guidelines has expanded to include coordination with utility companies to facilitate the necessary utility abandonments and/or relocation. Additional effort for meetings with MESD and USACE, as well as easement appraisal, preparation, and negotiation. Services associated with locating and obtaining land rights for a disposal site for spoil material.

These costs are the responsibility of the non-federal partner and are eligible to be included in the Land Easements Relocations Right of Way & Disposal Sites (LERRDs) costs which can be part of the non-federal thirty five percent share of overall project costs.

Wood has submitted Amendment #2 to Work Order #18, for additional utility coordination for the easements required by the Corps of Engineers.

As part of the Council’s Work In-Kind Credit for East St. Louis, the Council has already provided the subsurface field exploration required for the design of the deep cutoff wall and the previous mentioned easement and utility identification assistance.

A copy of Work Order #18 Amendment #2 is attached to this memo.

**Recommendation:** Authorize the Chief Supervisor to execute Work Order #18 Amendment #2 with Wood Environment & Infrastructure Solutions, Inc. for MESD Cutoff Wall Utility/Easement Assistance required for the East St. Louis Deep Cutoff Wall, as shown in the attachment, in the amount of \$160,000.00.



**WORK ORDER NO: MSA01-WO18**  
**MESD C.O.W. Utility/Easement Assistance**  
**Amendment #2**

**July 18, 2018,**  
 By and Between

Wood Environment & Infrastructure Solutions, Inc. (Wood)  
 and

**Southwestern Illinois Flood Prevention District Council (CLIENT)**

CLIENT Office:	<u>104 United Drive</u> <u>Collinsville, IL 62234</u>	Wood Project No:	<u>563170001</u>
CLIENT Contact:	<u>Charles Etwert</u> <u>15933 Clayton Road</u>	Work Order Type: (Check One)	
Wood Office:	<u>Suite 215</u> <u>Ballwin, MO 63011</u>	Time and Materials (rates attached)	<u>X</u>
		Fixed Price	<u>                    </u>
Wood Contact:	<u>Jon Omvig</u>	CLIENT Reference No:	<u>n/a</u>

1. SCOPE OF WORK: See Attachment A (incorporated herein by reference)
2. LOCATION/CLIENT FACILITY INVOLVED: MESD Deep C.O.W. Utilities
3. PERIOD OF PERFORMANCE: November 15, 2017 through Dec 31, 2019
4. AUTHORIZED FUNDING: \$160,000
5. SPECIAL PROVISIONS: n/a

**Southwestern Illinois Flood Prevention District Council**

**Wood Environment & Infrastructure Solutions, Inc.**

By: \_\_\_\_\_  
 Name: Charles Etwert  
 Title: Chief Supervisor of Construction and the Works

By: \_\_\_\_\_  
 Name: Steve Stumne  
 Title: St. Louis Office Manager

Date: \_\_\_\_\_  
 Address: 104 United Drive  
Collinsville, IL 62234

Date: \_\_\_\_\_  
 Address: 15933 Clayton Road, Suite 215  
Ballwin, MO 63011

**Attachment A  
Scope of Work**

**WORK ORDER NO: MSA01-WO18**

Wood's services will include meetings with USACE and MESD, review of documents provided by USACE, acquisition and review of documents from MESD, and review of easements to identify utilities located within the probable footprint of the deep cut off wall in MESD being designed by USACE.

**Amendment #1 – 03/21/2018**

Easement Appraisal, Preparation, and Negotiation:

Additional services include preparation of easement documents in accordance with applicable Federal guidelines for the acquisition of permanent and temporary easements, the extents of which have been provided by USACE. Additional services include appraisals, in accordance with applicable Federal guidelines, and negotiation services for obtaining the easements identified by USACE needed for the MESD deep cutoff wall.

This scope does not include the fee paid to the parcel owner for obtaining the easements.

**Amendment #2 – 07/18/2018**

Utility Coordination:

Additional services include coordination with utility companies to facilitate the necessary utility abandonments and/or relocation. Additional effort for meetings with MESD and USACE, as well as easement appraisal, preparation, and negotiation. Services associated with locating and obtaining land rights for a disposal site for spoil material.

**SUMMARY**

Total for Original Agreement	= \$ 50,000.00
Total for Amendment #1	= \$ 45,000.00
<u>Total for Amendment #2</u>	<u>= \$160,000.00</u>
<b>Total for Work Order 18</b>	<b>= \$255,000.00</b>



Memo to: Board of Directors  
From: Chuck Ewert  
Subject: Resolution of Appreciation – Paul D. Bergkoetter  
Date: July 16, 2018

As you all aware, Paul Bergkoetter completed his term on the Council on June 30th.

Attached is a resolution expressing recognition and appreciation of his distinguished service to the Council.





# **Southwestern Illinois Flood Prevention District Council**

## **RESOLUTION Expressing Recognition and Appreciation to Paul D. Bergkoetter for Distinguished Service In Restoring Flood Protection in the Metro-East**

WHEREAS, Paul D. Bergkoetter has served as a Commissioner on the St. Clair County Flood Prevention District since its inception in 2008, with reappointments in 2009, 2012, and 2015; and

WHEREAS, he has served on the Board of Directors of the Southwestern Illinois Flood Prevention District Council since its inception in 2009; and

WHEREAS, he faithfully and with honor, integrity and great distinction served as Secretary/Treasurer and Vice President of the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, he has provided outstanding leadership and guidance to the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, his efforts have demonstrated that Counties working together can achieve much; and

WHEREAS, he has always been mindful of the goal of the Southwestern Illinois Flood Prevention District Council of achieving Federal Emergency Management Agency accreditation certifying the Metro-East levees meet federal standards; and

WHEREAS, he has worked tirelessly to avert the threat to classify the area as prone to flooding along with the terrible economic consequences of such action; and

WHEREAS, the Southwestern Illinois Flood Prevention District Council has made great progress towards meeting its goal of fully protecting the Metro-East from a 100-Year flood under his leadership; and

WHEREAS, the levees have been reconstructed and repaired to the 100-Year Level of Protection earlier and at a cost less than originally projected; and

WHEREAS, his leadership helped initiate the restoration of the federally authorized 500-Year Level of Flood Protection in the Metro-East providing the region with a higher level of flood protection and economic growth, while simultaneously completing the 100-Year Level Projects.

NOW, THEREFORE, IT IS HEREBY RESOLVED, that the Board of Directors of Southwestern Illinois Flood Prevention District Council formally acknowledges and extends its recognition and appreciation to Paul D. Bergkoetter for his many years of service to the Southwestern Illinois Flood Prevention District Council and his efforts to provide expeditious and cost-effective flood protection to the Metro-East.





Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Resolution of Appreciation – Ronald A. Polka  
Date: July 16, 2018

Ron Polka completed his term on the Council on July 7th.

Attached is a resolution expressing recognition and appreciation of his distinguished service to the Council.



# **Southwestern Illinois Flood Prevention District Council**

## **RESOLUTION Expressing Recognition and Appreciation to Ronald J. Polka for Distinguished Service In Restoring Flood Protection in the Metro-East**

WHEREAS, Ronald A. Polka has served as a Commissioner on the Monroe County Flood Prevention District since 2011, with reappointments in 2012 and 2015; and

WHEREAS, he has served on the Board of Directors of the Southwestern Illinois Flood Prevention District Council since 2011; and

WHEREAS, he faithfully and with honor, integrity and great distinction served as a Board member of the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, he has provided outstanding leadership and guidance to the Southwestern Illinois Flood Prevention District Council; and

WHEREAS, his efforts have demonstrated that Counties working together can achieve much; and

WHEREAS, he has always been mindful of the goal of the Southwestern Illinois Flood Prevention District Council of achieving Federal Emergency Management Agency accreditation certifying the Metro-East levees meet federal standards; and

WHEREAS, he has worked tirelessly to avert the threat to classify the area as prone to flooding along with the terrible economic consequences of such action; and

WHEREAS, the Southwestern Illinois Flood Prevention District Council has made great progress towards meeting its goal of fully protecting the Metro-East from a 100-Year flood under his leadership; and

WHEREAS, the levees have been reconstructed and repaired to the 100-Year Level of Protection earlier and at a cost less than originally projected; and

WHEREAS, his leadership helped initiate the restoration of the federally authorized 500-Year Level of Flood Protection in the Metro-East providing the region with a higher level of flood protection and economic growth, while simultaneously completing the 100-Year Level Projects.

NOW, THEREFORE, IT IS HEREBY RESOLVED, that the Board of Directors of Southwestern Illinois Flood Prevention District Council formally acknowledges and extends its recognition and appreciation to Ronald A. Polka for his many years of service to the Southwestern Illinois Flood Prevention District Council and his efforts to provide expeditious and cost-effective flood protection to the Metro-East.