



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
BOARD OF DIRECTORS MEETING  
**November 21, 2018 7:30 am**

Metro-East Park and Recreation District Office  
104 United Drive, Collinsville, Illinois 62234

1. Call to Order  
*Ron Motil, President*
2. Approval of Minutes of September 19, 2018
3. Public Comment on Pending Agenda Items
4. Program Status Report  
*Chuck Etwert, Chief Supervisor*
5. Budget Update and Approval of Disbursements  
*Chuck Etwert, Chief Supervisor*
6. Design and Construction Update  
*Wood Environment & Infrastructure Solutions, Inc.*
7. Amendments to Wood Work Orders #3 & #17  
*Wood Environment & Infrastructure Solutions, Inc.*  
*Chuck Etwert, Chief Supervisor*
8. Project Partnership Agreement Work In-Kind Amendment with the  
U.S. Army Corps of Engineers for the Design Deficiency  
Corrections for East St. Louis, Illinois Flood Protection Project  
*Chuck Etwert, Chief Supervisor*
9. Update from Corps of Engineers  
*Tracey Kelsey, U.S. Army Corps of Engineers*  
*Rachel Lopez, U.S. Army Corps of Engineers*

AGENDA

10. Public Comment

Executive Session – Review of Executive Session Minutes

11. Release of Executive Session Minutes

12. Other Business

13. Adjournment

**Next Meeting: January 16, 2018**

## MINUTES

### SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING September 19, 2018

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday September 19, 2018.

#### Members in Attendance

Ron Motil, President (Chair, Madison County Flood Prevention District)  
Debra Moore, Vice President (Chair, St. Clair County Flood Prevention District)  
John Conrad, Secretary/Treasurer (Chair, Monroe County Flood Prevention District)  
Bruce Brinkman, Monroe County Flood Prevention District  
Aaron Metzger, Monroe County Flood Prevention District  
Alvin Parks, Jr., St. Clair County Flood Prevention District  
Jeremy Plank, Madison County Flood Prevention District

#### Members Absent

Isabelle Crockett, St. Clair County Flood Prevention District

#### Others in Attendance

Robert Elmore, Monroe County Board Chair  
Kurt Prenzler, Madison County Board Chair  
Chuck Etwert, SW Illinois FPD Council  
Steve Adler, Metro-East Sanitary District  
Totsie Bailey, Southwestern Illinois Building Trades Council  
Ron Carnell, Wood River Drainage & Levee District  
Mark Carpenter, Juneau Associates Inc.  
Randy Cook, Wood Environment & Infrastructure Solutions, Inc.  
Robin Cromer, Senator Tammy Duckworth  
Jeremy Dressel, Juneau Associates Inc.  
Hal Graef, U.S. Army Corps of Engineers  
Gary Hoelscher, Millennia Professional Services  
Larry Hofstetter, Prairie Du Pont Levee  
David Human, Husch Blackwell LLP  
Tracey Kelsey, U.S. Army Corps of Engineers  
Jay Martin, Wood Environment & Infrastructure Solutions, Inc.  
Jon Omvig, Wood Environment & Infrastructure Solutions, Inc.  
Don Sawicki, Metro-East Sanitary District  
Kevin Williams, Wood Environment & Infrastructure Solutions, Inc.

**Call to order**

President Ron Motil noted the presence of a quorum and called the meeting to order at 7:30 am.

Mr. Motil asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

- Mr. Brinkman – Present
- Mr. Conrad – Present
- Mr. Metzger - Present
- Dr. Moore – Present
- Mr. Motil – Present
- Mr. Plank - Present

A quorum was present.

**Approval of Minutes of August 15, 2018**

Mr. Motil asked for a motion to approve the minutes of the Board meeting held on August 15, 2018. A motion was made by Dr. Moore, seconded by Mr. Brinkman, to approve the minutes of the Board meeting held on August 15, 2018. Mr. Conrad called the roll and the following votes were made on the motion:

- Mr. Brinkman – Aye
- Mr. Conrad – Aye
- Ms. Crockett - absent
- Mr. Metzger - Aye
- Dr. Moore – Aye
- Mr. Motil – Aye
- Mr. Parks – absent
- Mr. Plank - Aye

The meeting minutes were approved.

**Public Comment on Pending Agenda Items**

Mr. Motil asked if there were any comments from the public on any agenda item on today’s agenda. There were none.

**Program Status Report**

Mr. Motil asked Mr. Etwert to provide a status report for the project.

Mr. Etwert discussed the 100-Year Certification packages first.

## **100-Year Certification Packages Status**

He indicated a conference call had taken place last Wednesday with Wood and FEMA regarding the status of the three 100-Year accreditation packages submitted by Wood. He was pleased to report, progress was being made. FEMA is continuing to finalize their “Completeness Check and Comment” process for “Levee Accreditation Submission”. Wood should receive some additional minor requests, within the next week. Once all submittals have been accepted and the completeness review concluded, FEMA will issue letters of support for continued accreditation. It is anticipated that this process will take an additional three to six months.

He believed Upper Wood River submitted by the Corps of Engineers would be on a similar schedule. That leaves the MESD Levee System which is also being submitted by the Corps of Engineers. The Corps has made a preliminary submittal to FEMA and will make a final submittal after having a conference call (still to be determined) with FEMA regarding their preliminary submittal. As previously indicated, acceptance of the MESD package isn’t expected until late 2019 or early 2020.

Next he discussed the 500-Year Authorized Level Status.

## **500-Year Authorized Level Status**

### Wood River Levee System

Wood continues to design Bid Package #8, which will be constructed by the Corps of Engineers. Design is to be completed by April 2019.

He indicated on September 18<sup>th</sup>, the Corps of Engineers had the Lower Wood River Supplemental Report Presentation to the Corps’ Levee Safety Senior Oversight Group (LSOG). The Oversight Group was in concurrence, and the Corps should be able to issue their certified cost estimate for the Wood River Authorized Level Improvements in October. The current estimate, provided to the Council last week, is \$86,000,000.

He mentioned in December 2016 the Corps informed the Council that the estimated cost of the Wood River Levee Systems projects would exceed the \$51,890,000 amount identified in the Wood River Levee System Reevaluation Report for Design Deficiency Corrections approved in 2011, by more than twenty percent. This required a new decision document be approved. With the current estimate of \$86,000,000, the increase is over sixty-five percent.

To date, the Council hasn’t received a response from the Corps regarding the preliminary approval of \$16,041,496 of Work In-Kind credit for the Wood River Levee System.

### MESD Levee System

The Corps of Engineers continues to complete the design of the East St. Louis Deep Cutoff Wall Project, to be ready to go, if FY 2019 Work Plan Funds are received.

Wood continues to take the Corps' design of the Cahokia Relief Well Project, estimated cost \$10.6 million dollars, and develop the Council's Bid Package #18. It is currently scheduled to be advertised for bid in November.

Wood is also continuing the design of Bid Package #14, a shallow clay trench and filter blanket with an estimated construction cost of \$4.3 million dollars.

The Corps has indicated the pending Risk Informed Solution will delay the start of design on Bid Packages #11 from September 2018 to January 2019 and on Bid Package #12 from September 2018 to April 2019.

To date, the Council hasn't received a response from the Corps regarding the additional Work In-Kind credit request of \$3,283,840 for the MESD Levee System.

### Prairie Du Pont/Fish Lake Levee Systems

Wood is continuing the design of Bid Packages #15, #16, and #17. Discussions with the Corps of Engineers, about the Authorized Level berm designs, are continuing. Once discussions are complete, a design, bid date schedule, and revised cost estimate will be developed.

In addition, yesterday's Corps' Oversight Group indicated it will take another look at the Prairie Du Pont/Fish Lake Systems, and see if there was an alternate approach or avenue to provide funds to an area that didn't meet the Corps' Benefit Cost Ratio requirement. The current low Benefit Cost Ratio requires one hundred percent non-federal funding of the Prairie Du Pont/Fish Lake projects.

Mr. Motil asked for a motion to accept the Program Status Report for September 2018. A motion was made by Dr. Moore to accept the Program Status Report for September 2018. Mr. Conrad seconded the motion. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye  
Mr. Conrad – Aye  
Ms. Crockett - absent  
Mr. Metzger - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – absent  
Mr. Plank – Aye

The motion was approved unanimously.

### **Budget Update and Approval of Disbursements**

Mr. Motil asked Mr. Etwert to provide a report.

Mr. Etwert noted that the financial statement for August 2018 prepared by our fiscal agent, CliftonLarsonAllen was included in the materials sent for the meeting. The report included an accounting of revenues and expenditures for the month ending August 31, 2018, as compared to the fiscal year budget.

Accrued expenditures for the current fiscal year beginning on October 1, 2017 are \$17,830,136, while revenues amounted to \$11,744,482 resulting in a deficit of \$6,085,654. A total of \$31,060,526 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection

Monthly sales tax receipts of \$1,087,259 for June 2018 (the latest month reported by the Illinois Department of Revenue) were up 4.09% from last year. This is the highest amount ever collected in June and is the twentieth month in a row with the highest receipts collected for that particular month. Receipts are up 3.68% from last year, which was our highest year.

He also provided for the Board's review and anyone else who was interested, the bank transactions for August 2018 prepared by CliftonLarsonAllen. Total disbursements for August were \$174,279.60. The largest payments were to Wood and East West Gateway.

Mr. Etwert recommended that the Board accept the budget report and disbursements for August 2018.

Mr. Motil asked for a motion to accept Mr. Etwert's budget report and disbursements for August 2018. A motion was made by Mr. Brinkman, seconded by Dr. Moore, to accept the budget report and approve the disbursements for August 2018. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye  
Mr. Conrad – Aye  
Ms. Crockett - absent  
Mr. Metzger - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – absent  
Mr. Plank – Aye

The motion was approved unanimously.

### **Design and Construction Update**

Mr. Motil called on Jay Martin, Wood Environment & Infrastructure Solutions, Inc., to provide an update report. Mr. Martin indicated his report would be more on design than construction.

He summarized the progress being made with the FEMA Completeness Review, the continued effort to provide the Corps everything needed for the Corps' MESD submittal, and the determination of the design packages.

He used a PowerPoint® presentation to illustrate his remarks. His presentation focused on FEMA Certification Update, and the Authorized Level (500-Year) Design as follows:

### **FEMA Certification Update**

A summary of the FEMA Certification by Levee/County was presented next.

<b>Levee System</b>	<b>County Flood Maps</b>	<b>Submitted to FEMA</b>	<b>Comments from FEMA</b>	<b>Resubmitted to FEMA</b>
Upper Wood River	Madison	March 10, 2017 <i>(USACE)</i>	September 5, 2017	June 18, 2018
East/West Forks	Madison	December 5, 2016	January 26, 2018	June 29, 2018
Lower Wood River	Madison	October 17, 2017	April 20, 2018	July 13, 2018
Metro East Sanitary District (MESD)	Madison/ St. Clair	August 31, 2018 <i>(Expected, USACE)</i>		
Prairie Du Pont/Fish Lake (PDP/FL)	St. Clair/ Monroe	July 6, 2017	January 26, 2018	June 29, 2018

He mentioned that the anticipated MESD submittal to FEMA was now October instead of August 31<sup>st</sup>.

Next, Mr. Martin discussed the status of the Authorized Level (500-Year) Design.



## **Authorized Level (500-Year) Design**

### **Wood River (Bid Packages 8, 9, and 10)**

- Bid Package 8  
Design is ongoing  
23 relief wells and 1 pump station  
USACE plans to bid this project in summer 2019
- Bid Packages 9 & 10  
On hold pending completion of USACE Risk Assessment & Supplemental Report (1 year remaining)
- Lower Wood River LSOG review meeting yesterday

### **MESD (Bid Packages 11, 12, 13, 14 & 18)**

- Bid Packages 11, 12 & 13  
USACE to have Bid Pkg 11 ready for design January 2019  
Bid Package 12 is on hold, pending USACE risk informed solution (summer 2018)
- Bid Package 14  
Working with USACE to optimize design and save \$  
Advertise for bid early 2019
- Bid Package 18 (Cahokia Relief Well Project from USACE )  
51 relief wells  
Design & coordination with USACE is ongoing  
Advertise for bid late 2018

**Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)**

- Will start obtaining land access agreements for drilling in October
- Working with USACE to validate solutions
- Drilling planned for late October 2018 into spring 2019
- 100% FPD Council funded design and construction (No Federal match)

He indicated the drilling schedule could be altered due to yesterday’s decision by the Corps to take another look at the Prairie Du Pont/Fish Lake Systems.

Next, for presentation completeness, summary slides from the August meeting were again presented:

1) Indicating a breakdown of the cash contributions provided to the USACE for the Wood River Design Deficiency Project (\$8,553,254.02), the East St. Louis (MESD) Design Deficiency Project (\$4,192,799), and Prairie du Pont & Fish Lake (\$207,000); and

2) Identifying the Work In-Kind Credit (Pending USACE Final Approval) for Wood River Deep Cutoff Wall (Bid Package 7B) Construction & Construction Management \$16,041,496.10 and the Work In-Kind Credit for the East St. Louis (MESD) Reinforced Concrete Pipe (Bid Packages 2B, 4, & 5) Design, Construction, Construction Management, & Construction (\$9,131,564.90) and Authorized Project Drilling & Oversight (\$3,283,840.80) for a MESD total of \$12,415,405.70.

Lastly, he provided pie charts breaking down the Cost Share Status for Wood River and East St. Louis (MESD)

**Wood River**

<b>\$83,040,000 (Preliminary)</b>	<b>Project Cost</b>	<b>Work In-Kind &amp; LERRDs &amp; Cash to date</b>	<b>Still Needed</b>
Federal Portion	\$53,976,000	65%	
Work In-Kind, LERRDs Or Additional Cash	\$24,912,000	30%	\$4,469,250
Required Cash	\$ 4,152,000	5%	\$ 4,152,000
Total Project Cost (Preliminary)	\$83,040,000		

It was noted that the \$83,040,000 Preliminary Cost was an estimate, and it is currently projected to be \$86,000,000.

**East St. Louis/MESD**

<b>\$156,313,000</b>	Project Cost		Work In-Kind & LERRDs & Cash to date	Still Needed
Federal Portion	\$101,603,450	65%		
Work In-Kind & LERRDs 4,469,250	\$ 46,893,900	30%	\$12,415,406	\$34,478,494
Required Cash	\$ 7,815,650	5%	\$ 4,192,799	\$ 3,622,851
Total Project Cost (USACE Certified Cost)	\$156,313,000			

Mr. Parks arrived during the presentation.

Mr. Motil asked for a motion to accept Mr. Martin’s Design and Construction Update report. A motion was made by Mr. Brinkman, with a second by Mr. Plank, to accept the Wood Environment & Infrastructure Solutions, Inc. Design and Construction Update report. Mr. Conrad called the roll and the following votes were made on the motion:

- Mr. Brinkman – Aye
- Mr. Conrad – Aye
- Ms. Crockett - absent
- Mr. Metzger - Aye
- Dr. Moore – Aye
- Mr. Motil – Aye
- Mr. Parks – Aye
- Mr. Plank - Aye

The motion was approved unanimously.

**Commitment to Provide Cost-Share to the Corps of Engineers to continue Design Deficiency Project Activities for the Authorized Level of Flood Protection for the Wood River, Illinois Flood Protection Project and Accelerated Funds to the Corps of Engineers to continue Design Deficiency Project Activities for the Authorized Level of Flood Protection for the East St, Louis, Illinois Flood Protection Project**

Mr. Motil asked Mr. Etwert to explain this item.

Mr. Etwert explained, to maintain progress and accordance with existing Project Partnerships Agreements, the Corps of Engineers has made two requests for funding the Design Deficiency Projects in Wood River and East St. Louis.

The Corps is requesting \$1,000,000 cost-share funds in the Wood River area and \$1,100,000 accelerated funds in the East St. Louis area. Both of these amounts are within the Council's budget for FY 2019, approved last month.

In the Wood River area, Work In-Kind Credit (WIK) can only be accumulated until the Project Partnership Agreement WIK Amendment is executed, which is currently estimated to be July 2019:

- The Corps is requesting \$1,000,000 in cost share funds to allow the Corps to award the construction contract for Bid Package 8 (currently being designed by Wood) and design and award construction contracts for relief wells and pump stations, all which are needed to achieve the Authorized Level of Protection.
- These funds will count towards the required non-federal 35% share (WIK and cash) of the total project cost. Per the Corps current estimated cost of \$86,000,000, after taking into account cash previously provided, submitted WIK Credit and estimated eligible engineering design, the Council still needs to provide an additional \$3,105,250 in Work In-Kind Credit or cash.

In the East St. Louis area, where the Corps didn't receive any funds in either the FY 19 President's Budget or the FY 18 Work Plan. The approval of the Project Partnership Agreement is anticipated by the end of the year (allowing the utilization of Work In-Kind credit):

- The Corps is requesting \$1,100,000 in accelerated to be used to continue risk informed design analysis, initiate design for the Veolia area relief wells, conduct collaborative design activities with the FPD on Work In-Kind credit efforts (Bid Packages 11, 14, and 18) and continue coordination with MESD on the deep cutoff wall project, all which are also needed to achieve the Authorized Level of Protection.
- These funds will count towards the non-federal 5% cash requirement of the total project costs. Per the Corps current estimated total cost of \$156,313,000, the Council still needs to provide \$3,622,851 in cash.

The cost-share and accelerated funds provided to the Corps in Wood River and East St. Louis will be utilized on Corps labor for design or oversight of Council activities, not Corps construction contracts.

As with previous recent requests, funds will be provided to the Corps of Engineers in increments, subject to the Corps providing monthly reports documenting the status of previous provided non-federal cost share funds. The first installment for Wood River is expected to be made in November 2018 and the first installment for East St. Louis is expected to be made in December 2018.

Commitment of these funds will continue the design and construction of Authorized Level work, the accumulation of Work In-Kind Credit, and assist the Corps in obtaining future 65% share funds.

Copies of the Corps request letters were provided in the agenda package.

He requested authorization to provide \$1,000,000 of cost share funds to the Corps of Engineers for the Wood River Design Deficiency Project and \$1,100,000 of accelerated funds to the Corps of Engineers for the East St. Louis Design Deficiency Project in accordance with existing Project Partnership Agreements.

There was a brief discussion clarifying that the \$1,000,000 and \$1,100,000 amounts were part of the \$3,105,250 and \$3,622,851 identified to still be needed. It was also confirmed that the two authorizations were within the FY 2019 budget approved in August.

Next there was a brief discussion regarding the status of the Council's Work In-Kind Credits. Mr. Etwert explained until revised Project Partnership Agreements are executed, the Council is only able to accumulate Work In-Kind Credits. The East St. Louis Project Partnership Agreement is scheduled to be executed in January 2019 and the Wood River Project Partnership Agreement executed in July 2019. Currently, for Wood River and East St. Louis, the Council has a total of \$28,000,000 in Work In-Kind Credits.

Mr. Motil asked for a motion to authorize the Chief Supervisor to provide \$1,000,000 of cost share funds to the Corps of Engineers for the Wood River Design Deficiency Project and \$1,100,000 of accelerated funds to the Corps of Engineers for the East St. Louis Design Deficiency Project in accordance with existing Project Partnership Agreements.

A motion was made by Mr. Parks, with a second by Mr. Brinkman to authorize the Chief Supervisor to provide \$1,000,000 of cost share funds to the Corps of Engineers for the Wood River Design Deficiency Project and \$1,100,000 of accelerated funds to the Corps of Engineers for the East St. Louis Design Deficiency Project in accordance with existing Project Partnership Agreements. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye  
Mr. Conrad – Aye  
Ms. Crockett - absent  
Mr. Metzger - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye

Mr. Parks – Aye

Mr. Plank - Aye

The motion was approved unanimously.

**Amendments to Wood Work Orders # 6 & # 11**

Mr. Motil asked Mr. Etwert to explain this item.

Mr. Etwert explained as the certification of the 100-Year projects draw near, there were two Work Orders with Wood that needed to be amended.

Work Order #6 – USACE 408 Review - Amendment 4

Authorized Funding deduction of \$70,000, from \$1,071,535 to \$1,001,535

Work Order #11 – FEMA Certification Submittals - Amendment 3

Authorized Funding increase of \$70,000, from \$425,000 to \$495,000

The total adjustment for the two work order amendments was a net change of zero dollars.

Copies of the Work Order Amendments were provided in the agenda package.

Mr. Motil asked for a motion to authorize the Chief Supervisor to execute Wood Work Order #6 – USACE 408 Review - Amendment 4 authorizing a funding deduction of \$70,000, from \$1,071,535 to \$1,001,535 and Work Order #11 – FEMA Certification Submittals - Amendment 3 authorizing a funding increase of \$70,000, from \$425,000 to \$495,000, for a total net change in cost of zero dollars.

A motion was made by Mr. Parks, with a second by Mr. Plank to authorize the Chief Supervisor to execute Wood Work Order #6 – USACE 408 Review - Amendment 4 authorizing a funding deduction of \$70,000, from \$1,071,535 to \$1,001,535 and Work Order #11 – FEMA Certification Submittals - Amendment 3 authorizing a funding increase of \$70,000, from \$425,000 to \$495,000, for a total net change in cost of zero dollars.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye

Mr. Conrad – Aye

Ms. Crockett - absent

Mr. Metzger - Aye

Dr. Moore – Aye

Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank – Aye

The motion was approved unanimously.

### **Corps of Engineers Update**

Mr. Motil asked Ms. Kelsey to provide the report from the Corps.

Ms. Kelsey provided an update of the current activities of the Corps using a PowerPoint® presentation to illustrate his remarks. Her presentation focused on the status of the Metro East Projects as follows:

### **USACE UPDATE**

#### **Project Status**

- Wood River Cutoff Wall
- Wood River Risk Assessment/Supplemental Report Status
- East St. Louis Cutoff Wall Status
- East St. Louis WIK Credit Status
- East St. Louis Cahokia Relief Wells
- FEMA Submittals
  - East St. Louis (MESD) – Submittal pending resolution of FEMA comments and as-built/O&M comments

There was a brief discussion regarding hurricane Florence and the possible effect on FEMA accreditation reviews. Hopefully, FEMA efforts in the Carolinas won't have an effect.

Next, she provided charts indicating the East St. Louis Total Project Cost (TPC) Summary of \$156,313,000, with the following breakdown:

Federal Share 65% - \$101,603,450  
Non Federal Share 35% - \$54,715,000

Proposed WIK -\$40,100,000 – WIK Submitted \$12,415,000 =	Remaining WIK \$27,685,000
Req. 5% Cash -\$ 7,815,000 – Cash Provided \$ 4,193,000 =	Remaining Cash \$ 3,622,000
Est. LERRDs -\$ 6,800,000 – LERRDs to Date \$ 0=	Remaining LERRDs \$ 6,800,000
TOTAL	
SHARE \$54,715,000 -Provided to Date \$16,608,000	Remaining \$38,107,000

She then provided charts indicating the Wood River Preliminary Total Project Cost (TPC) Summary of \$86,000,000, with the following breakdown:

Federal Share 65% - \$55,900,000  
Non Federal Share 35% - \$30,100,000

Proposed WIK -\$23,800,000 – WIK Submitted \$16,041,000 =	Remaining WIK \$ 7,759,000
Req. 5% Cash -\$ 4,300,000 – Cash Provided \$ 8,553,000 =	Remaining Cash (\$ 4,253,000)
Est. LERRDs -\$ 2,000,000 – LERRDs to Date \$ 0 =	Remaining LERRDs \$ 2,000,000
TOTAL	
SHARE \$30,100,000 -Provided to Date \$24,594,000	Remaining \$ 5,506,000

Lastly, she reviewed the status and path forward for the Mel Price Segment – Upper Wood River as requested by Chairman Kern. Design is in final review and would be in a position for a construction contract award, if funds were to be received in FY 19.

There was a brief discussion regarding the Corps' Oversight Group taking into account the Council's efforts in the Prairie Du Pont/Fish Lake Systems. Would the completion of the 100-Year Projects and the efforts on the Authorize Level Projects, change the Corps' risk assessment and provide an alternate approach or avenue to providing funds to an area, that didn't meet the Corps' Benefit Cost Ratio requirement. She indicated she didn't have much information at this time, but would inform the Council when more details became available.

Mr. Motil asked for a motion to accept the Corps of Engineers report. A motion was made by Mr. Parks, with a second by Mr. Brinkman to receive the Corps of Engineers report. Mr. Conrad called the roll and the following votes were made on the motion:



Mr. Brinkman – Aye  
Mr. Conrad – Aye  
Ms. Crockett - absent  
Mr. Metzger - Aye  
Dr. Moore – Aye  
Mr. Motil – Aye  
Mr. Parks – Aye  
Mr. Plank – Aye

The motion was approved unanimously.

**Public Comment**

Mr. Motil asked if there was any public comment. There was none.

Mr. Motil asked Chairman Prezler about the status of a third Madison County member. Mr. Prezler indicated the appointment of Dave Schwind would be before the Madison County Board later in the day.

**Other Business**

Mr. Motil asked if there was any other business. There was none.

He indicated, with the Council going back to its' every other month schedule, the next meeting of the Council would be on November 21, 2018.

**Adjournment**

Mr. Motil asked for a motion to adjourn the meeting. A motion was made by Mr. Parks, seconded by Mr. Conrad to adjourn the meeting. The motion was approved unanimously by voice vote, all voting aye.

Respectfully submitted,

John Conrad,  
Secretary/Treasurer, Board of Directors





## Progress Report September 19, 2018

Southwestern Illinois Levee Systems

By Jay Martin

woodplc.com



## FEMA Submittal Summary

Levee System	County (Flood Map)	Submitted to FEMA	Comments Received from FEMA	Resubmitted to FEMA
Upper Wood River	Madison	March 10, 2017 (USACE)	September 5, 2017	June 18, 2018 (USACE)
East/West Forks	Madison	December 5, 2016	January 26, 2018	June 29, 2018
Lower Wood River	Madison	October 17, 2018	April 20, 2018	July 13, 2018
Metro East Sanitary District (MESD)	Madison/St Clair	August 31, 2018 (Expected, USACE)		
Prairie du Pont & Fish Lake (PDP/FL)	St Clair/Monroe	July 6, 2017	January 26, 2018	June 29, 2018



## Authorized Level (500-Year) Design

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### Wood River (Bid Packages 8, 9, & 10)

- Bid Package 8
  - Design is ongoing
    - 23 relief wells and 1 pump station
  - USACE plans to bid this project in summer 2019
- Bid Packages 9 & 10
  - On hold pending completion of USACE Risk Assessment and Supplemental Report (<1 year remaining)
- Lower Wood River LSOG review meeting yesterday

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A presentation by Wood.



## Authorized Level (500-Year) Design

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### MESD (Bid Packages 11, 12, 13, 14 & 18)

- Bid Packages 11, 12, & 13
  - USACE to have Bid Pkg 11 ready for design January 2019
  - Bid Package 12 is on hold, pending USACE risk informed solutions (summer 2019)
- Bid Package 14
  - Working with USACE to optimize design and save \$
  - Advertise for bid early 2019
- Bid Package 18 (Cahokia Relief Well Project from USACE)
  - 51 relief wells
  - Design & coordination with USACE is ongoing
  - Advertise for bid late 2018

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A presentation by Wood.



## Authorized Level (500-Year) Design

### Prairie du Pont & Fish Lake (Bid Packages 15, 16, & 17)

- Will start obtaining land access agreements for drilling in October
- Working with USACE to validate solutions
- Drilling planned for late October 2018 into spring 2019
- 100% FPD Council funded design and construction (No Federal match)

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A presentation by Wood.



## Cash Contributions to USACE

### Wood River Design Deficiency Project

Date	Purpose	Amount
March 21, 2013	Cutoff Wall Design (Upper & Lower)	\$100,000
April 22, 2013	Cutoff Wall Design (Upper & Lower)	\$275,000
February 4, 2014	Design	\$440,125
May 27, 2014	Design	\$103,375
September 18, 2014	Design Lower Cutoff Wall (Phase II)	\$280,250
March 16, 2016	Design, Risk Assessment, Oversight	\$1,475,319
March 1, 2017	Design, Risk Assessment, Oversight	\$455,000
June 14, 2017	Design, Risk Assessment, Oversight	\$2,700,000
September 5, 2017	Design, Risk Assessment, Oversight	\$2,700,000
	<b>Total:</b>	<b>\$8,553,254.02*</b>

\* Also includes \$24,185.02 contributed by the Wood River Drainage and Levee District

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A presentation by Wood.



## Cash Contributions to USACE

### East St. Louis (MESD) Design Deficiency Project

Date	Stated Purpose	Amount
May 24, 2013	Design to Align with FEMA Design Project	\$100,000
February 4, 2014	Design	\$509,375
March 27, 2014	Design	\$509,375
September 18, 2014	Cutoff Wall Design (Cahokia) – Not Needed	\$812,750
March 16, 2016	Design, Oversight of FPD Council Projects	\$964,299
June 19, 2017	Design, Oversight of FPD Council Projects	\$648,500
September 5, 2017	Design, Oversight of FPD Council Projects	\$648,500
	<b>Total:</b>	<b>\$4,192,799</b>



## Cash Contributions to USACE

### Prairie du Pont & Fish Lake

Date	Stated Purpose	Amount
December 13, 2017	Section 408 Coordination and Review	\$207,000
	<b>Total:</b>	<b>\$207,000</b>



## Work-In-Kind Credit (Pending USACE Final Approval)

### Wood River

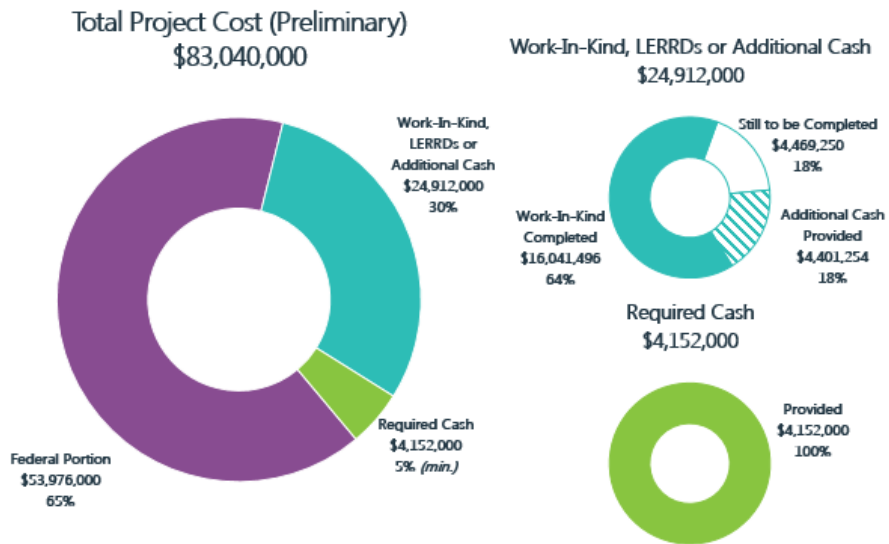
Date Submitted	Work-In-Kind	Amount
June 20, 2018	Deep Cutoff Wall (Bid Package 7B) Construction & Construction Management	\$16,041,496.10
Total to date:		\$16,041,496.10

### East St. Louis (MESD)

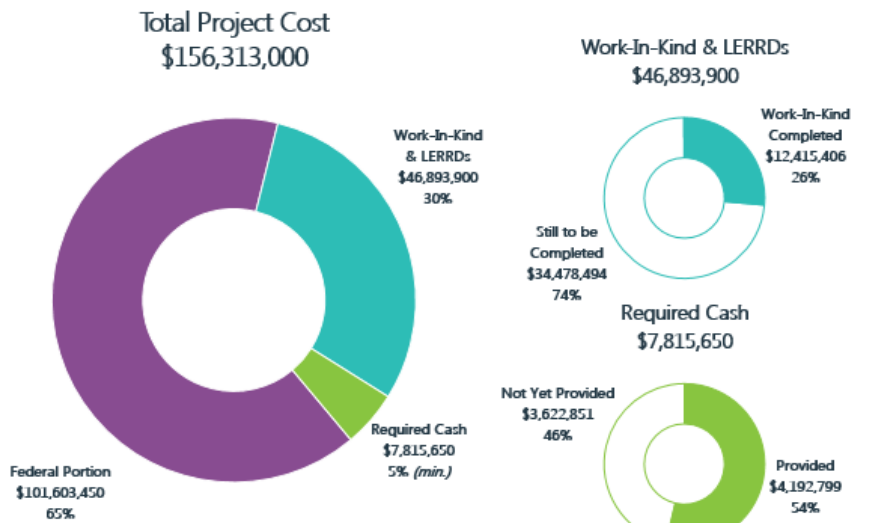
Date Submitted	Work-In-Kind	Amount
February 8, 2018	Reinforced Concrete Pipe (Bid Packages 2B, 4, & 5) Design, Construction, Construction Management, & Construction Testing	\$9,131,564.90
June 5, 2018	Authorized Project Drilling & Oversight	\$3,283,840.80
Total to date:		\$12,415,405.70



## Cost Share Status (Wood River)



## Cost Share Status (East St. Louis/MESD)



**wood.**



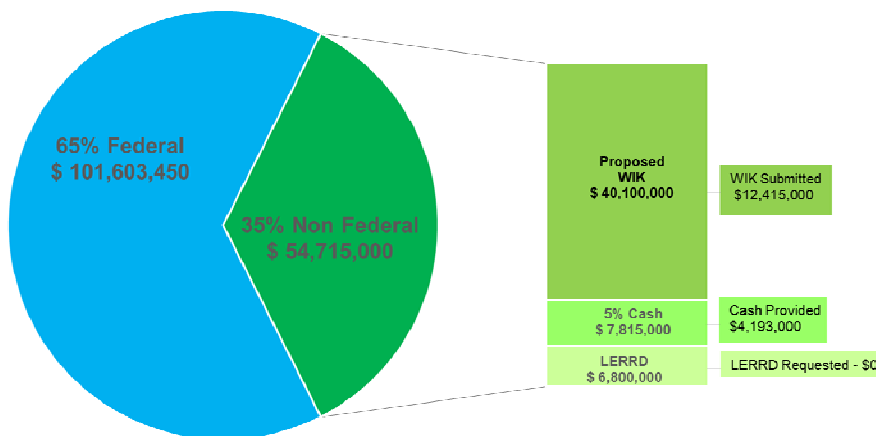
**Project Status**

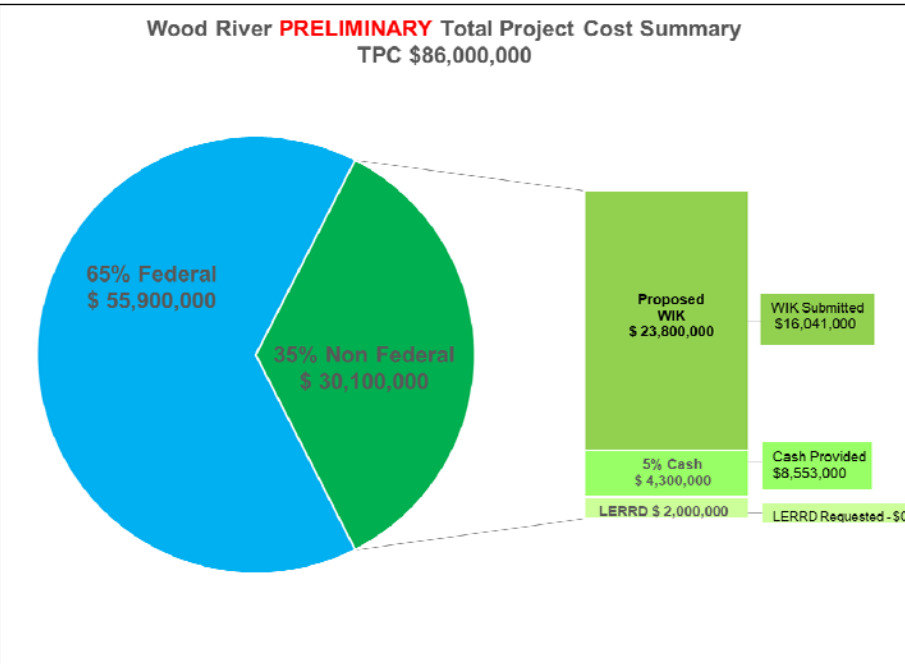
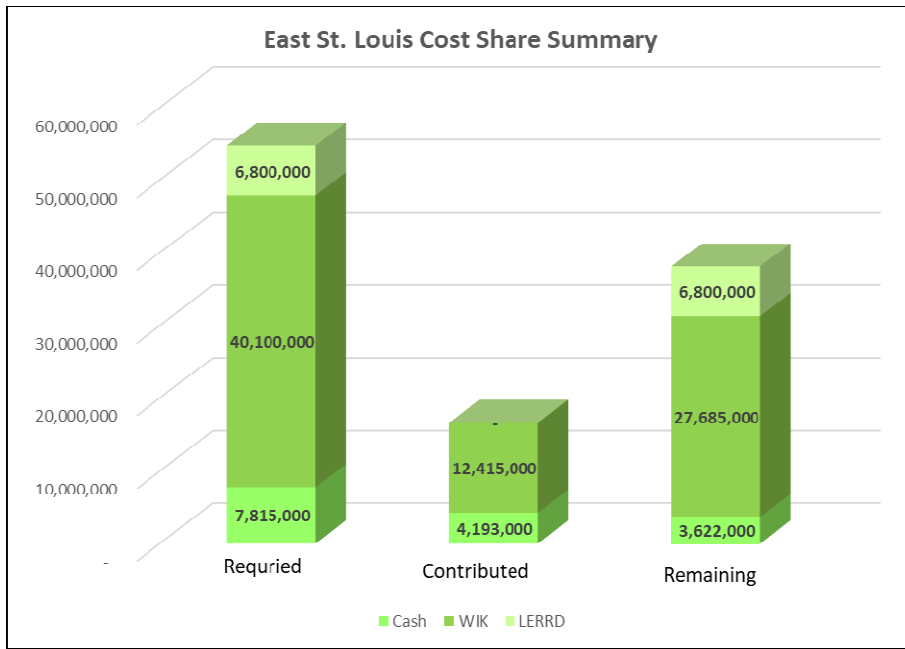
**Metro East Projects, IL**

- Wood River Cutoff Wall
- Wood River Risk Assessment/Supplemental Report Status
- East St Louis Cutoff Wall Status
- East St Louis WIK Credit Status
- East St Louis Cahokia Relief Wells
- FEMA Submittals
  - East St. Louis (MESD) – Submittal pending resolution of FEMA comments and as-built/O&M comments

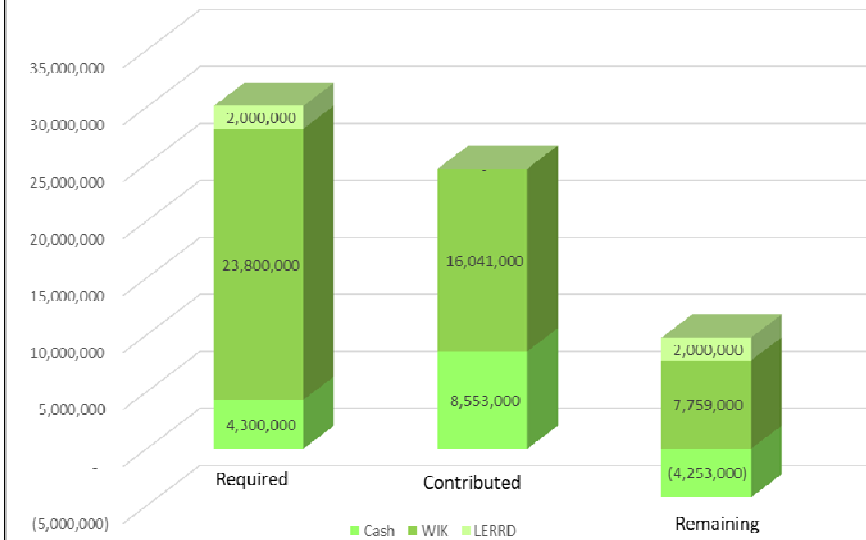


**East St. Louis Total Project Cost Summary**  
TPC \$156,313,000





### Wood River PRELIMINARY Cost Share Summary



NOTE: Actual WIK remaining is \$3,506,000 due to >5% cash provided



### Mel Price Segment – Upper Wood River

Mel Price Segment of Upper Wood River - Design Deficiency		
Activity	Status	
	Complete	Pending
• July 2009 – Uncontrolled underseepage discovered.	✓	
• Nov 2009 – Interim Operations Plan developed; implement for high river conditions as warranted.	✓	
• Aug 2012 – Limited Reevaluation Report (LRR) Approved; authorization of cutoff wall w/ relief wells as permanent solution.	✓	
• Nov 2012 – Pump tests revealed higher than previously assumed aquifer permeability; relief well portion of the solution was deemed no longer acceptable as part of an overall solution.	✓	
• Jul 2013 – Received appropriated funds via Work plan allocation to initiate design activities.	✓	
• Nov 2013 Exploratory drilling revealed subsurface cobble and boulder zone severely complicating the construction requirements of the approved solution and drastically escalating cost.	✓	
• Dec 2013 – MVS conducted and Industry Day w/ Contractors and Corp Experts to evaluate proposed solution. Confirmed cost escalation concerns emanating from cobble and boulder zone finding.	✓	
• Jan-May 2014 – Performed analysis to develop additional alternatives.	✓	
• July 2014 – Jan 2015 – Conducted a risk assessment process to more accurately characterize the nature and extent of the issue and determine the most appropriate and cost effective solution.	✓	
• Feb 2015 – Sep 2015 – Capture all efforts to date in the Supplemental LRR report, and forward the report with a revised recommended solution for vertical review and approval.	✓	
• FY-16 – Report review continues. Requested funds. Initiated design activities.	✓	
• FY-17 – Supplemental LRR approval pending; Continued design activities.	✓	
<b>PATH FORWARD:</b>		
• FY-18 – Supplemental Report Approved; Ready to award construction contract for relief wells; funding not provided.		✗
• FY-19 – Pending funding, award relief well construction contract and continue design of approved solution.		✗
Item Complete	✓	
Item dependent on funding	✗	







Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Program Status Report for November 2018  
Date: November 19, 2018

### **100-Year Certification Packages Status**

As requested by FEMA, Wood has submitted the hydrologic and hydraulic (H&H) analyses to the Illinois State Water Survey (ISWS), which reviews the H&H on behalf of the FEMA. The ISWS has responded that they will do their best to respond in a timely manner. If additional information is not required, a final letter of determination will be issued within ninety days.

With the addition of the ISWS review and approval, FEMA's issuance of continued accreditation letters for the Wood River East & West Forks, Lower Wood River, and Prairie Du Pont/Fish Lake Levee Systems isn't anticipated until spring of next year.

The Corps of Engineers, per FEMA's request has also submitted additional information to FEMA for Upper Wood River. FEMA approval of Upper Wood River is also anticipated to be spring of next year.

Wood has provide the Corps the additional information requested, and the Corps should be submitting the East St. Louis (MESD) package to FEMA by the end of this month. Approval of the East St. Louis (MESD) package isn't expected until late 2019 or early 2020.

### **500-Year Authorized Level Status**

#### Wood River Levee System

Wood continues to design Bid Package #8, which will be constructed by the Corps of Engineers. Design is to be completed by April 2019.

The Corps issued their certified cost estimate for the Wood River Authorized Level Improvements on November 5<sup>th</sup>. The estimated cost when down slightly from \$86,000,000 to \$85,290,000.

The Council continues to wait for a response from the Corps regarding the preliminary approval of \$16,041,496 of Work In-Kind credit for the Wood River Levee System.

Approval of the new Project Partnership Agreement for Wood River, which will allow for the utilization of Work In-Kind credit, is expected in July 2019.

### MESD Levee System

The Corps of Engineers continues to complete the design of the East St. Louis Deep Cutoff Wall Project, to be ready to go, if FY 2019 Work Plan Funds are received. Release of FY 2019 Work Plan Funds is currently scheduled for this December.

Wood continues to take the Corps' design of the Cahokia Relief Well Project, estimated cost \$10.6 million dollars, and develop the Council's Bid Package #18. Design is almost at the 95% review level and should be advertised for bid early next year.

Wood is also continuing the design of Bid Package #14, a shallow clay trench and filter blanket with an estimated construction cost of \$4.3 million dollars. The recent high river event has delayed some planned field work, delaying scheduled advertisement for bid until late spring.

The Corps has completed their Risk Informed Solution for Bid Package #11. Wood will start the design process. Based on current Corps estimates, Bid Package #11 should be the last MESD bid package that Wood will be designing, with the Council constructing approximately \$12,000,000 of the estimated \$14,000,000 project.

Approval of the new Project Partnership Agreement, which will allow for the utilization of Work In-Kind credit, is expected in January 2019. Board authorization to execute the agreement is on the November agenda. The Council has submitted Work In-Kind requests totaling \$12,415,405.

### Prairie Du Pont/Fish Lake Levee Systems

Wood is continuing the design of Bid Packages #15, #16, and #17. Discussions with the Corps of Engineers, about berm designs, are continuing, with emphasis on building to the Authorized Level of Protection. Once discussions are complete, a design, bid date schedule, and revised cost estimate will be developed.

The Corps held their kick off meeting for the Prairie Du Pont/ Fish Lake Systems risk assessment review for possible funding not based on a benefit/cost ratio. Anticipated review process phases include Project Management, Data Preparation with Review and Analysis, Risk Assessment, Draft Report, and Review & Approval.



Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Budget and Disbursement Reports for September/October 2018  
Date: November 19, 2018

Current Budget Highlights

Attached are the financial statements for September and October 2018 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending September 30, 2018 and October 31, 2018, as compared to our fiscal year budget.

Accrued expenditures for the 2018 fiscal year were \$17,596,700, while revenues amounted to \$12,893,429, resulting in a deficit of \$4,703,271. Accrued expenditures for the current fiscal year beginning on October 1, 2018 thru October 31, 2018 are \$5,324,600 while revenues amounted to \$1,286,230 resulting in a deficit of \$4,038,370. A total of \$31,565,463 is held by the counties in their respective FPD sales tax funds and is available for the Council's use on the project.

Monthly sales tax receipts of \$1,010,353 for July 2018 were up 3.81% from last year and monthly sales tax receipts of \$1,036,244 for August 2018 were up 3.20% from last year. Both amounts were the highest amounts ever collected in July and August and are the twenty first and twenty second months in a row with the highest receipts collected for those particular months. Receipts are up 3.64% from last year, which was our highest year.

Monthly Disbursements

Attached are the lists of bank transactions for September and October 2018. Total disbursements for September were \$616,652.41 and for October were \$665,772.26. The largest payments were to Corps of Engineers and Wood.

Recommendation:

Accept the budget reports and disbursements for September and October 2018.





**SOUTHWESTERN ILLINOIS FLOOD PREVENTION  
DISTRICT COUNCIL**

**GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

**TWELVE MONTHS ENDED SEPTEMBER 30, 2018 AND 2017**





**CliftonLarsonAllen**

CliftonLarsonAllen LLP  
www.cliftonlarsonallen.com

Board Members  
Southwestern Illinois Flood Prevention District Council  
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the twelve months ended September 30, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2018 and 2017, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri  
October 5, 2018

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
TWELVE MONTHS ENDED SEPTEMBER 30, 2018 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2018 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 12,243,127	\$ (408,127)
Interest Income	225,000	225,000	650,302	(425,302)
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>12,893,429</u>	<u>(833,429)</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	2,615,428	3,072,610
Construction	7,330,945	7,330,945	2,719,937	4,611,008
Construction and design by US ACE	6,157,291	6,157,291	207,000	5,950,291
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>5,542,365</u>	<u>13,633,909</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	154,024	331,976
Diversity Program Manager	11,525	11,525	6,600	4,925
Financial Advisor	65,000	65,000	15,210	49,790
Bond Underwriter/Conduit Issuer	16,000	16,000	9,521	6,479
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>185,355</u>	<u>393,170</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	813,376	645,794
Monroe County	141,990	141,990	80,851	61,139
St. Clair County	1,398,840	1,398,840	789,674	609,166
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>1,683,901</u>	<u>1,316,099</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	10,784,424	14,196
Federal Interest Subsidy	(847,340)	(847,340)	(850,071)	2,731
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>9,934,353</u>	<u>16,927</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>17,345,974</u>	<u>15,360,105</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	193,016	9,984
Bank Service Charges	1,500	1,500	866	634
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	3,141	(1,141)
Fiscal Agency Services	31,000	31,000	30,280	720
Audit Services	16,000	16,000	16,000	-
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	709	291
Printing/Photocopies	3,500	3,500	1,870	1,630
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	464	2,836
Telecommunications/Internet	3,000	3,000	2,900	100
Travel	5,000	5,000	689	4,311
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>281,900</u>	<u>281,900</u>	<u>250,726</u>	<u>31,174</u>
Total Expenditures	<u>32,987,979</u>	<u>32,987,979</u>	<u>17,596,700</u>	<u>15,391,279</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,979)	(20,927,979)	(4,703,271)	16,224,708
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,979)</u>	<u>\$ (20,927,979)</u>	<u>\$ (4,703,271)</u>	<u>\$ 16,224,708</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
TWELVE MONTHS ENDED SEPTEMBER 30, 2017 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2017 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,750,000	\$ 11,750,000	\$ 11,771,886	\$ (21,886)
Interest Income	640,000	640,000	692,387	(52,387)
Other Contributions	-	-	-	-
Total Revenues	<u>12,390,000</u>	<u>12,390,000</u>	<u>12,464,273</u>	<u>(74,273)</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	9,197,055	9,197,055	4,333,349	4,863,706
Construction	22,840,515	22,840,515	13,640,061	9,200,454
Construction and design by US ACE	<u>5,760,115</u>	<u>5,760,115</u>	<u>7,152,000</u>	<u>(1,391,885)</u>
Total Design and Construction	<u>37,797,685</u>	<u>37,797,685</u>	<u>25,125,410</u>	<u>12,672,275</u>
Professional Services				
Legal & Legislative Consulting	458,500	458,500	282,819	175,681
Diversity Program Manager	25,000	25,000	-	25,000
Financial Advisor	65,000	65,000	15,520	49,480
Bond Underwriter/Conduit Issuer	<u>12,000</u>	<u>12,000</u>	<u>9,588</u>	<u>2,412</u>
Total Professional Services	<u>560,500</u>	<u>560,500</u>	<u>307,927</u>	<u>252,573</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,911,400	1,911,400	741,819	1,169,581
Monroe County	202,080	202,080	76,732	125,348
St. Clair County	<u>1,886,520</u>	<u>1,886,520</u>	<u>731,121</u>	<u>1,155,399</u>
Total Refund of Surplus Funds to County	<u>4,000,000</u>	<u>4,000,000</u>	<u>1,549,672</u>	<u>2,450,328</u>
Debt Service				
Principal and Interest	10,725,020	10,725,020	10,725,020	-
Federal Interest Subsidy	<u>(848,250)</u>	<u>(848,250)</u>	<u>(847,340)</u>	<u>(910)</u>
Total Debt Service	<u>9,876,770</u>	<u>9,876,770</u>	<u>9,877,680</u>	<u>(910)</u>
Total Operating Expenses	<u>52,234,955</u>	<u>52,234,955</u>	<u>36,860,688</u>	<u>15,374,267</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	204,955	(1,955)
Bank Service Charges	1,500	1,500	1,035	465
Conference Registration	350	350	307	43
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	30,000	30,000	29,050	950
Audit Services	15,500	15,500	15,500	-
Meeting Expenses	1,000	1,000	638	362
Postage/Delivery	400	400	873	(473)
Printing/Photocopies	3,500	3,500	2,630	870
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	2,621	679
Telecommunications/Internet	3,000	3,000	2,969	31
Travel	5,000	5,000	754	4,246
Insurance	<u>1,000</u>	<u>1,000</u>	<u>485</u>	<u>515</u>
Total General & Administrative Costs	<u>279,800</u>	<u>279,800</u>	<u>261,817</u>	<u>17,983</u>
Total Expenditures	<u>52,514,755</u>	<u>52,514,755</u>	<u>37,122,505</u>	<u>15,392,250</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(40,124,755)	(40,124,755)	(24,658,232)	15,466,523
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (40,124,755)</u>	<u>\$ (40,124,755)</u>	<u>\$ (24,658,232)</u>	<u>\$ 15,466,523</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION  
DISTRICT COUNCIL**

**GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

**ONE MONTH ENDED OCTOBER 31, 2018 AND 2017**







**CliftonLarsonAllen**

CliftonLarsonAllen LLP  
www.cliftonlarsonallen.com

Board Members  
Southwestern Illinois Flood Prevention District Council  
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the one month ended October 31, 2018 and 2017, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

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Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2019 and 2018, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri  
November 6, 2018

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
ONE MONTH ENDED OCTOBER 31, 2018 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2019 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 12,475,000	\$ 12,475,000	\$ 1,010,353	\$ 11,464,647
Interest Income	280,000	280,000	275,877	4,123
Other Contributions	-	-	-	-
Total Revenues	12,755,000	12,755,000	1,286,230	11,468,770
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	7,869,377	7,869,377	152,982	7,716,395
Construction	20,527,970	20,527,970	2,857	20,525,113
Construction and design by US ACE	3,500,000	3,500,000	507,480	2,992,520
Total Design and Construction	31,897,347	31,897,347	663,319	31,234,028
Professional Services				
Legal & Legislative Consulting	322,500	322,500	5,794	316,706
Financial Advisor	65,000	65,000	900	64,100
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	399,500	399,500	6,694	392,806
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,243,675	1,243,675	245,596	998,079
Monroe County	118,750	118,750	23,899	94,851
St. Clair County	1,137,575	1,137,575	235,442	902,133
Total Refund of Surplus Funds to County	2,500,000	2,500,000	504,937	1,995,063
Debt Service				
Principal and Interest	10,876,570	10,876,570	4,127,685	6,748,885
Federal Interest Subsidy	(850,070)	(850,070)	-	(850,070)
Total Debt Service	10,026,500	10,026,500	4,127,685	5,898,815
Total Operating Expenses	44,823,347	44,823,347	5,302,635	39,520,712
General and Administrative Costs				
Salaries, Benefits	213,000	213,000	18,557	194,443
Bank Service Charges	1,200	1,200	61	1,139
Equipment and Software	2,000	2,000	19	1,981
Fiscal Agency Services	32,000	32,000	2,410	29,590
Audit Services	16,500	16,500	-	16,500
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	98	902
Printing/Photocopies	2,500	2,500	-	2,500
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	92	2,908
Telecommunications/Internet	3,000	3,000	244	2,756
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	291,200	291,200	21,965	269,235
Total Expenditures	45,114,547	45,114,547	5,324,600	39,789,947
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>				
	(32,359,547)	(32,359,547)	(4,038,370)	28,321,177
<b>OTHER FINANCING SOURCES</b>				
Proceeds From Borrowing	-	-	-	-
<b>NET CHANGE IN FUND BALANCE</b>	<b>\$ (32,359,547)</b>	<b>\$ (32,359,547)</b>	<b>\$ (4,038,370)</b>	<b>\$ 28,321,177</b>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
GENERAL FUND  
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL  
ONE MONTH ENDED OCTOBER 31, 2017 (Actual)  
FISCAL YEAR ENDING SEPTEMBER 30, 2018 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
<b>REVENUES</b>				
Sales Tax Proceeds From Districts	\$ 11,835,000	\$ 11,835,000	\$ 973,275	\$ 10,861,725
Interest Income	225,000	225,000	100,355	124,645
Other Contributions	-	-	-	-
Total Revenues	<u>12,060,000</u>	<u>12,060,000</u>	<u>1,073,630</u>	<u>10,986,370</u>
<b>EXPENDITURES</b>				
Current				
Design and Construction				
Engineering Design & Construction Management	5,688,038	5,688,038	432,840	5,255,198
Construction	7,330,945	7,330,945	1,445,930	5,885,015
Construction and design by US ACE	<u>6,157,291</u>	<u>6,157,291</u>	-	<u>6,157,291</u>
Total Design and Construction	<u>19,176,274</u>	<u>19,176,274</u>	<u>1,878,770</u>	<u>17,297,504</u>
Professional Services				
Legal & Legislative Consulting	486,000	486,000	15,287	470,713
Diversity Program Manager	11,525	11,525	-	11,525
Financial Advisor	65,000	65,000	900	64,100
Bond Underwriter/Conduit Issuer	<u>16,000</u>	<u>16,000</u>	-	<u>16,000</u>
Total Professional Services	<u>578,525</u>	<u>578,525</u>	<u>16,187</u>	<u>562,338</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,459,170	1,459,170	383,517	1,075,653
Monroe County	141,990	141,990	39,022	102,968
St. Clair County	<u>1,398,840</u>	<u>1,398,840</u>	<u>377,588</u>	<u>1,021,252</u>
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>800,127</u>	<u>2,199,873</u>
Debt Service				
Principal and Interest	10,798,620	10,798,620	4,101,935	6,696,685
Federal Interest Subsidy	<u>(847,340)</u>	<u>(847,340)</u>	-	<u>(847,340)</u>
Total Debt Service	<u>9,951,280</u>	<u>9,951,280</u>	<u>4,101,935</u>	<u>5,849,345</u>
Total Operating Expenses	<u>32,706,079</u>	<u>32,706,079</u>	<u>6,797,019</u>	<u>25,909,061</u>
General and Administrative Costs				
Salaries, Benefits	203,000	203,000	14,051	188,949
Bank Service Charges	1,500	1,500	112	1,388
Conference Registration	350	350	-	350
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	31,000	31,000	2,340	28,660
Audit Services	16,000	16,000	-	16,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	71	929
Printing/Photocopies	3,500	3,500	196	3,304
Professional Services	10,000	10,000	-	10,000
Publications/Subscriptions	250	250	-	250
Supplies	3,300	3,300	54	3,246
Telecommunications/Internet	3,000	3,000	264	2,736
Travel	5,000	5,000	-	5,000
Insurance	<u>1,000</u>	<u>1,000</u>	<u>484</u>	<u>516</u>
Total General & Administrative Costs	<u>281,900</u>	<u>281,900</u>	<u>17,572</u>	<u>264,328</u>
Total Expenditures	<u>32,987,979</u>	<u>32,987,979</u>	<u>6,814,591</u>	<u>26,173,389</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(20,927,979)	(20,927,979)	(5,740,961)	15,187,018
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (20,927,979)</u>	<u>\$ (20,927,979)</u>	<u>\$ (5,740,961)</u>	<u>\$ 15,187,018</u>

# Flood Prevention District Sales Tax Trends 2009-2018

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	County Share
<b>2009</b>														
Total Month	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
Total Month	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
<b>2010</b>														
<b>2011</b>														
Madison	\$380,021	\$383,976	\$460,129	\$454,562	\$466,904	\$477,396	\$436,637	\$473,303	\$448,256	\$444,204	\$455,842	\$538,000	\$5,419,230	48.108%
St. Clair	\$363,984	\$395,231	\$455,562	\$437,820	\$436,490	\$475,972	\$433,460	\$433,777	\$441,030	\$412,793	\$451,390	\$594,129	\$5,331,638	47.330%
Monroe	\$38,315	\$34,759	\$41,192	\$44,975	\$41,786	\$45,836	\$44,887	\$43,323	\$42,564	\$42,690	\$42,252	\$51,266	\$513,845	4.562%
Total Month	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
Cumulative Total	\$782,320	\$1,596,286	\$2,553,169	\$3,490,526	\$4,435,706	\$5,434,910	\$6,349,894	\$7,300,297	\$8,232,147	\$9,131,834	\$10,081,318	\$11,264,713		
<b>2012</b>														
Madison	\$381,470	\$406,476	\$473,049	\$471,191	\$481,989	\$477,254	\$427,562	\$434,603	\$428,193	\$428,521	\$429,127	\$523,240	\$5,362,675	47.481%
St. Clair	\$361,727	\$415,491	\$468,490	\$432,173	\$468,782	\$473,567	\$425,923	\$441,838	\$438,184	\$424,289	\$454,916	\$589,183	\$5,394,563	47.763%
Monroe	\$37,471	\$38,904	\$46,086	\$46,051	\$46,231	\$45,671	\$43,063	\$45,307	\$45,641	\$46,230	\$45,429	\$51,062	\$537,146	4.756%
Total Month	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
Cumulative Total	\$780,668	\$1,641,539	\$2,629,164	\$3,578,579	\$4,575,581	\$5,572,073	\$6,468,621	\$7,390,369	\$8,302,387	\$9,201,427	\$10,130,899	\$11,294,384		
<b>2013</b>														
Madison	\$375,398	\$383,170	\$424,507	\$425,469	\$457,212	\$451,494	\$436,686	\$442,449	\$430,541	\$429,499	\$432,541	\$500,233	\$5,189,199	47.062%
St. Clair	\$381,645	\$395,527	\$449,397	\$434,001	\$457,942	\$462,603	\$422,892	\$432,195	\$430,014	\$419,350	\$448,076	\$560,165	\$5,293,807	48.011%
Monroe	\$37,888	\$39,679	\$45,689	\$45,913	\$48,212	\$47,694	\$42,672	\$45,143	\$44,733	\$44,723	\$47,111	\$53,853	\$543,310	4.927%
Total Month	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
Cumulative Total	\$794,931	\$1,613,307	\$2,532,900	\$3,438,283	\$4,401,649	\$5,363,440	\$6,265,690	\$7,185,477	\$8,090,765	\$8,984,337	\$9,912,065	\$11,026,316		
% change/month	1.83%	-4.94%	-6.89%	-4.64%	-3.37%	-3.48%	0.64%	-0.21%	-0.74%	-0.61%	-0.19%	-4.23%		
% change/total	1.83%	-1.72%	-3.66%	-3.92%	-3.80%	-3.74%	-3.14%	-2.77%	-2.55%	-2.36%	-2.16%	-2.37%	-2.37%	
<b>2014</b>														
Madison	\$373,895	\$383,909	\$456,964	\$457,729	\$484,657	\$491,911	\$455,629	\$454,995	\$442,808	\$447,128	\$452,169	\$521,522	\$5,423,317	47.785%
St. Clair	\$354,762	\$395,885	\$460,143	\$436,379	\$458,819	\$470,546	\$426,263	\$434,064	\$445,419	\$433,077	\$446,001	\$591,387	\$5,352,747	47.163%
Monroe	\$42,321	\$39,665	\$47,587	\$47,796	\$52,206	\$49,022	\$47,357	\$46,167	\$50,056	\$48,920	\$49,552	\$52,714	\$573,362	5.052%
Total Month	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
Cumulative Total	\$770,978	\$1,590,437	\$2,555,131	\$3,497,036	\$4,492,718	\$5,504,197	\$6,433,446	\$7,368,671	\$8,306,955	\$9,236,081	\$10,183,803	\$11,349,426		
% change/month	-3.01%	0.13%	4.90%	4.03%	3.35%	5.17%	2.99%	1.68%	3.64%	3.98%	2.16%	4.61%		
% change/total	-3.01%	-1.42%	0.88%	1.71%	2.07%	2.62%	2.68%	2.55%	2.67%	2.80%	2.74%	2.93%	2.93%	

# Flood Prevention District Sales Tax Trends 2009-2018

## 2015

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$399,500	\$390,593	\$446,269	\$464,703	\$481,304	\$486,423	\$453,262	\$461,408	\$460,379	\$462,462	\$452,448	\$543,239	\$5,501,990
St. Clair	\$379,901	\$383,206	\$462,400	\$425,254	\$455,740	\$483,020	\$432,378	\$448,558	\$448,981	\$443,474	\$449,738	\$604,257	\$5,416,905
Monroe	\$42,760	\$39,903	\$45,866	\$47,189	\$50,546	\$48,723	\$47,636	\$45,837	\$46,257	\$46,831	\$46,048	\$52,198	\$559,793
Total Month	\$822,161	\$813,702	\$954,534	\$937,146	\$987,590	\$1,018,166	\$933,276	\$955,803	\$955,617	\$952,766	\$948,234	\$1,199,694	\$11,478,688
Cumulative Total	\$822,161	\$1,635,863	\$2,590,397	\$3,527,543	\$4,515,133	\$5,533,299	\$6,466,575	\$7,422,377	\$8,377,994	\$9,330,760	\$10,278,994	\$11,478,688	
% change/month	6.64%	-0.70%	-1.05%	-0.51%	0.87%	0.50%	0.66%	0.43%	1.85%	2.54%	0.05%	2.92%	
% change/total	6.64%	2.86%	1.38%	0.87%	0.50%	0.53%	0.51%	0.73%	0.86%	1.03%	0.93%	1.14%	1.14%

## 2016

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$395,852	\$411,203	\$475,278	\$456,249	\$478,524	\$494,024	\$452,132	\$458,210	\$470,096	\$475,402	\$484,213	\$567,037	\$5,618,218
St. Clair	\$372,259	\$406,919	\$474,256	\$423,758	\$448,782	\$480,827	\$436,971	\$434,488	\$445,274	\$426,875	\$453,732	\$581,743	\$5,385,884
Monroe	\$40,343	\$38,630	\$45,925	\$48,147	\$46,862	\$46,530	\$44,151	\$43,946	\$44,775	\$47,195	\$43,931	\$56,287	\$546,721
Total Month	\$808,455	\$856,751	\$995,459	\$928,154	\$974,167	\$1,021,380	\$933,254	\$936,644	\$960,144	\$949,471	\$981,877	\$1,205,068	\$11,550,824
Cumulative Total	\$808,455	\$1,665,206	\$2,660,665	\$3,588,818	\$4,562,986	\$5,584,366	\$6,517,619	\$7,454,264	\$8,414,408	\$9,363,879	\$10,345,756	\$11,550,824	
% change/month	-1.67%	5.29%	4.29%	-0.96%	-1.36%	0.32%	0.00%	-2.00%	0.47%	-0.35%	3.55%	0.45%	
% change/total	-1.67%	1.79%	2.71%	1.74%	1.06%	0.92%	0.79%	0.43%	0.43%	0.35%	0.65%	0.63%	0.63%

## 2017

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$415,258	\$430,036	\$504,211	\$491,654	\$526,342	\$520,314	\$491,546	\$503,604	\$498,967	\$498,088	\$515,011	\$590,042	\$5,985,073
St. Clair	\$372,274	\$401,535	\$478,561	\$447,083	\$470,278	\$475,488	\$434,801	\$452,641	\$452,607	\$448,381	\$469,634	\$571,184	\$5,474,466
Monroe	\$41,692	\$40,595	\$46,453	\$46,375	\$48,562	\$48,715	\$46,928	\$47,831	\$49,327	\$49,432	\$48,091	\$57,529	\$571,530
Total Month	\$829,224	\$872,167	\$1,029,226	\$985,112	\$1,045,182	\$1,044,517	\$973,275	\$1,004,076	\$1,000,900	\$995,901	\$1,032,735	\$1,218,755	\$12,031,070
Cumulative Total	\$829,224	\$1,701,391	\$2,730,617	\$3,715,729	\$4,760,911	\$5,805,428	\$6,778,703	\$7,782,779	\$8,783,679	\$9,779,580	\$10,812,315	\$12,031,070	
% change/month	2.57%	1.80%	3.39%	6.14%	7.29%	2.27%	4.29%	7.20%	4.24%	4.89%	5.18%	1.14%	
% change/total	2.57%	2.17%	2.63%	3.54%	4.34%	3.96%	4.01%	4.41%	4.39%	4.44%	4.51%	4.16%	4.16%

## 2018

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$443,850	\$446,844	\$534,938	\$504,589	\$559,962	\$545,446	\$513,918	\$523,807					\$4,073,353
St. Clair	\$396,750	\$397,343	\$483,255	\$441,038	\$487,559	\$491,515	\$446,749	\$464,302					\$3,608,512
Monroe	\$43,425	\$40,823	\$48,929	\$47,933	\$54,616	\$50,298	\$49,686	\$48,134					\$383,844
Total Month	\$884,025	\$885,009	\$1,067,122	\$993,560	\$1,102,138	\$1,087,259	\$1,010,353	\$1,036,244					\$8,065,709
Cumulative Total	\$884,025	\$1,769,033	\$2,836,155	\$3,829,715	\$4,931,852	\$6,019,112	\$7,029,465	\$8,065,709					
% change/month	6.61%	1.47%	3.68%	0.86%	5.45%	4.09%	3.81%	3.20%					
% change/total	6.61%	3.98%	3.87%	3.07%	3.59%	3.68%	3.70%	3.64%					



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
SUPPLEMENTARY SUPPORTING SCHEDULE  
BANK TRANSACTIONS  
September 30, 2018**

Beginning Bank Balance as of September 1, 2018		<b>\$ 1,028,411.86</b>
Receipts		
UMB Bank	09/05/2018 Admin Transfer	20,225.72
UMB Bank	09/05/2018 Construction Transfer	169,747.23
Incoming IRS Treasury Wire	09/05/2018 IRS Treasury	103,989.34
Incoming IRS Treasury Wire	09/05/2018 IRS Treasury	321,045.94
The Bank of Edwardsville	09/30/2018 Interest	1,392.94
		<b>\$ 616,401.17</b>
Disbursements		
Wood River Drainage	09/05/2018 Construction	6,916.00
AT&T	09/10/2018 Internet	88.29
CliftonLarsonAllen LLP	09/11/2018 Fiscal Agent	2,356.14
Tyler & Kassandra Beal	09/11/2018 Relocation	2,000.00
Office Depot	09/11/2018 Supplies	41.43
Wood Enviroment & Infrastructure Solution	09/12/2018 Construction	140,513.91
Bank of Edwardsville	09/15/2018 Wire transfer Fee	15.00
Bank of Edwardsville	09/15/2018 Wire transfer Fee	15.00
Bank of Edwardsville	09/15/2018 Cash Management Fee	15.00
Bank of Edwardsville	09/15/2018 Wire transfer Fee	20.00
Bank of Edwardsville	09/15/2018 Wire transfer Fee	20.00
Husch Blackwell	09/17/2018 Legal & Legislative	18,517.32
Columbia Capital	09/17/2018 Financial Advisor	900.00
Cost Less Copy Center	09/18/2018 Printing & Copying	156.80
UMB Bank	09/19/2018 IRS Treasury	321,045.94
UMB Bank	09/19/2018 IRS Treasury	103,989.34
Charles Etwert	09/19/2018 Reimbursement	492.06
East-West Gateway Council of Governments	09/28/2018 Supervisor	19,533.38
Bank of Edwardsville	09/30/2018 Bank Fees	16.80
		<b>\$ 616,652.41</b>
		<b>\$ 1,028,160.62</b>



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL  
SUPPLEMENTARY SUPPORTING SCHEDULE  
BANK TRANSACTIONS  
October 31, 2018**

Beginning Bank Balance as of October 1, 2018		<b>\$ 1,028,160.62</b>
Receipts		
UMB Bank	10/22/2018 Admin Transfer	23,116.64
UMB Bank	10/22/2018 Construction Transfer	659,682.65
The Bank of Edwardsville	10/31/2018 Interest	1,423.33
		<b>\$ 684,222.62</b>
Disbursements		
AT&T	10/15/2018 Internet	100.37
CliftonLarsonAllen LLP	10/31/2018 Fiscal Agent	2,340.00
Office Depot	10/11/2018 Supplies	11.74
Office Depot	10/08/2018 Supplies	80.08
Copemic	10/11/2018 G&A Desktop Search upgrade	18.58
HostGator.com	10/22/2018 Domain	143.40
Wood Enviroment & Infrastructure Solution	10/15/2018 Construction	152,981.25
Bank of Edwardsville	10/22/2018 Wire transfer Fee	15.00
Bank of Edwardsville	10/22/2018 Wire transfer Fee	15.00
Bank of Edwardsville	10/31/2018 Cash Management Fee	15.00
Husch Blackwell	10/10/2018 Legal & Legislative	6,701.40
Columbia Capital	10/23/2018 Financial Advisor	900.00
FAO, USAED, St. Louis	10/01/2018 Design & Construction	500,000.00
Selective Insurance Co. of America	10/09/2018 Insurance	484.00
Phillip L. Johnson	10/23/2018 Design & Construction	1,950.00
Bank of Edwardsville	10/31/2018 Bank Fees	16.44
		<b>\$ 665,772.26</b>
		<b>\$ 1,046,610.98</b>





Memo to: Board of Directors  
From: Chuck Etwert  
Subject: Wood Work Orders #3 & #17 Amendments  
Date: November 19, 2018

There are two Work Orders with Wood that need to be amended for Period of Performance. There is no change in cost for either of these Work Orders.

The services provided under these two work orders are still needed.

**Work Orders with Period of Performance Adjustments:**

Work Order #3 – Subsurface Investigation/Relief Well Testing Construction Services - Amendment 6

Extending Period of Performance from December 31, 2017 to December 31, 2019  
No change in funding

Work Order #17 – Life –Cycle Cost Analyses for FEMA Improvements - Amendment 2

Extending Period of Performance from August 31, 2018 to August 31, 2019  
No change in funding.

Copies of each Work Order Amendments are attached.

Recommendation:

Authorize the Chief Supervisor to execute Work Order Amendments with Wood for:

Work Order #3 – Subsurface Investigation/Relief Well Testing Construction Services - Amendment 6

Extending Period of Performance from December 31, 2017 to December 31, 2019

Work Order #17 – Life –Cycle Cost Analyses for FEMA Improvements - Amendment 2

Extending Period of Performance from August 31, 2018 to August 31, 2019

There is no change in funding for either work order.



**WORK ORDER NO: MSA01-WO03 AMENDMENT 6**

**SUBSURFACE INVESTIGATION/RELIEF WELL TESTING CONSTRUCTION SERVICES**

Issued Pursuant to Master Services Agreement Effective **November 1, 2011**,

By and Between

Wood, Environment & Infrastructure Solutions, Inc. (Wood)

and

**Southwestern Illinois Flood Prevention District Council (CLIENT)**

CLIENT Office:	<u>104 United Drive</u> <u>Collinsville, IL 62234</u>	Wood Project No:	<u>563170001</u>
CLIENT Contact:	<u>Charles Ewert</u>	Work Order Type: (Check One)	
Wood Office:	<u>15933 Clayton Road</u> <u>Suite 215</u> <u>Ballwin, MO 63011</u>	Time and Materials (rates attached)	<u>X</u>
		Fixed Price	<u></u>
Wood Contact:	<u>Jon Omvig</u>	CLIENT Reference No:	<u>n/a</u>

1. SCOPE OF WORK: \* No Change \*
2. LOCATION/CLIENT FACILITY INVOLVED: Wood River Drainage and Levee District, Metro East Sanitary District, Prairie du Pont Drainage and Levee District, and Fish Lake Drainage and Levee District.
3. PERIOD OF PERFORMANCE: August 18, 2010 through December 31, 2019
4. AUTHORIZED FUNDING: \* No Change \*
5. SPECIAL PROVISIONS: n/a

**Southwestern Illinois Flood Prevention District Council**

**Wood, Environment & Infrastructure Solutions, Inc.**

By: \_\_\_\_\_  
Name: Charles Ewert  
Title: Chief Supervisor of Construction and the Works  
Date: \_\_\_\_\_  
Address: 104 United Drive  
Collinsville, IL 62234

By: \_\_\_\_\_  
Name: Stephen P. Stumne  
Title: St. Louis Office Manager  
Date: \_\_\_\_\_  
Address: 15933 Clayton Road, Suite 215  
Ballwin, MO 63011

**Attachment A  
Scope of Work**

**WORK ORDER NO: MSA01-WO03  
SUBSURFACE INVESTIGATION/RELIEF WELL TESTING CONSTRUCTION SERVICES  
Amendment #6**

**Wood Project No: 563170001**

**Scope of Work per Work Order 03, as amended.**

**WORK ORDER 03 SUMMARY:**

<b>Total for Original Agreement</b>	<b>=\$5,688,333.00</b>
<b>Total for Amendment 1</b>	<b>=\$ N/A</b>
<b>Total for Amendment 2</b>	<b>=\$1,200,000.00</b>
<b>Total for Amendment 3</b>	<b>=\$ N/A</b>
<b>Total for Amendment 4</b>	<b>=\$ N/A</b>
<b>Total for Amendment 5</b>	<b>=\$ 110,000.00</b>
<b>Total for Amendment 6</b>	<b>=\$ N/A</b>
<b>Total for Work Order 03</b>	<b>=\$6,998,333.00</b>







**WORK ORDER NO: MSA01-WO17 AMENDMENT 2**

**Life-Cycle Cost Analyses for FEMA Improvements**

Issued Pursuant to Master Services Agreement Effective **November 1, 2011**,

By and Between

**Wood, Environment & Infrastructure Solutions, Inc. (Wood) and  
Southwestern Illinois Flood Prevention District Council (CLIENT)**

CLIENT Office:	<u>104 United Drive</u> <u>Collinsville, IL 62234</u>	Wood Project No:	<u>563170001</u>
CLIENT Contact:	<u>Charles Etwert</u>	Work Order Type: (Check One)	
Wood Office:	<u>15933 Clayton Road</u> <u>Suite 215</u> <u>Ballwin, MO 63011</u>	Time and Materials	<u>X</u>
		Fixed Price	<u>                    </u>
Wood Contact:	<u>Jon Omvig</u>	CLIENT Reference No:	<u>n/a</u>

1. SCOPE OF WORK: See Attachment A (incorporated herein by reference)
2. LOCATION/CLIENT FACILITY INVOLVED: Wood River Drainage and Levee District, MESD, Prairie du Pont Levee and Sanitary District, and Fish Lake Drainage and Levee Dist.
3. PERIOD OF PERFORMANCE: August 16, 2017 through August 31, 2019
4. AUTHORIZED FUNDING: \* No Change \*
5. SPECIAL PROVISIONS: n/a

**Southwestern  
Illinois Flood Prevention District Council**

**Wood Environment & Infrastructure  
Solutions, Inc.**

By: \_\_\_\_\_  
Name: Charles Etwert  
Chief Supervisor of  
Title: Construction and the Works  
Date: \_\_\_\_\_  
Address: 104 United Drive  
Collinsville, IL 62234

By: \_\_\_\_\_  
Name: Stephen P. Stumne  
Title: St. Louis Office Manager  
Date: \_\_\_\_\_  
Address: 15933 Clayton Road, Suite 215  
Ballwin, MO 63011

**Attachment A  
Scope of Work**

**WORK ORDER NO: MSA01-WO17  
Life-Cycle Cost Analyses for FEMA Improvements  
Amendment #2**

**Wood Project No: 563170001**

No Change to Scope of Work per Work Order 17.

**WORK ORDER 17 SUMMARY:**

<b>Total for Original Agreement</b>	<b>=\$ 92,000.00</b>
<b>Total for Amendment 1</b>	<b>=\$ N/A</b>
<b>Total for Amendment 2</b>	<b>=\$ N/A</b>
<b>Total for Work Order 17</b>	<b>=\$ 92,000.00</b>





Memo to: Board of Directors

From: Chuck Etwert

Subject: Project Partnership Agreement Work In-Kind Amendment with the U.S. Army Corps of Engineers for the Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project

Date: November 19, 2018

In December 2013, the Board authorized the execution of the Project Partnership Agreement (PPA) with the U.S. Army Corps of Engineers for the East St. Louis Design Deficiency Correction Project.

Unfortunately, the PPA did not contain a provision for Work In-Kind Credit. The PPA is now being amended to allow the Council to receive Work In-Kind Credit for the work the Council is completing on the East St. Louis Design Deficiency Corrections Project.

Work In-Kind Credit will count towards the non-federal thirty-five percent share of project costs.

To date, the Corps has given preliminary approval to an initial request for Work In-Kind Credit of \$9,131,565 and is reviewing a second request of \$3,283,840.

Work In-Kind Credits can only be accumulated and not be utilized until the Project Partnership Agreement is amended.

It is the Corps' intent to utilize Work In-Kind Credits on their MESD Deep Cutoff Wall Project, if they receive FY2019 Work Plan funds, hopefully, within the next six months.

I have attached the Corps' request letter.

**Recommendation:** Authorize the Chief Supervisor to execute the Project Partnership Agreement Work In-Kind Amendment with the Corps of Engineers for the Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project





**DEPARTMENT OF THE ARMY**  
**ST. LOUIS DISTRICT, CORPS OF ENGINEERS**  
**1222 SPRUCE STREET**  
**ST. LOUIS, MISSOURI 63103-2833**

November 14, 2018

Programs and Project Management Division  
Project Development Branch

Mr. Charles Etwert, Chief Supervisor of Construction and the Works  
Southwestern Illinois Flood Prevention District Council  
104 United Drive  
Collinsville, Illinois 62234

Re: East St. Louis Flood Protection Project: WIK PPA Amendment Execution

Dear Mr. Etwert:

As you are aware, approval is required of the East St. Louis Integral Determination Report (IDR) and the Work-in-Kind (WIK) Amendment to the East St. Louis Project Partnership Agreement (PPA) before credit can be applied for work performed by the Southwestern Illinois Flood Prevention District Council on the underseepage correction project. The IDR was approved on September 20, 2018. The WIK PPA amendment is currently under review. I anticipate approval of the amendment in the next few weeks.

The WIK PPA amendment will be executed once it is approved. Since the next Board meeting is not until January, 2019, I am requesting Board approval to execute the WIK PPA amendment once the document receives final USACE approval.

If you have any questions, please contact me at (314) 331-8477 or e-mail [tracey.b.kelsey@usace.army.mil](mailto:tracey.b.kelsey@usace.army.mil).

Sincerely,

Tracey Kelsey, P.E., PMP  
Metro East Program Manager







Memo to: Board of Directors  
From: Chuck Ewert  
Subject: Release of Executive Session Minutes  
Date: November 19, 2018

Pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

A Public Statement identifying minutes that can be released and those that must remain confidential, as identified in the November 21, 2018 closed session, will be reported in open session.

All minutes released will be available for public inspection at the Council's office.

Recommendation:

Accept the Public Statement identifying minutes that can be released and those that must remain confidential.

