



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING

November 17, 2021 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
Max Merz, President
2. Roll Call
John Conrad, Secretary/Treasurer
3. Approval of Minutes of August 18, 2021
4. Public Comment on Pending Agenda Items
5. Program Status Report
Chuck Etwert, Chief Supervisor
6. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
7. Design and Construction Update
Jay Martin, Wood Environment & Infrastructure Solutions, Inc.
8. Directors & Officers Liability Coverage
Chuck Etwert, Chief Supervisor
9. East St. Louis Deep Cutoff Wall – Spire Relocation Additional Charge
Chuck Etwert, Chief Supervisor
10. Release of Executive Session Minutes
Chuck Etwert, Chief Supervisor
11. Update from Corps of Engineers
Tracey Kelsey, U.S. Army Corps of Engineers

AGENDA

12. Public Comment

Executive Session – (if necessary)

13. Other Business

14. Adjournment

Next Meeting: January 19, 2022

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING August 18, 2021

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday August 18, 2021.

Members in Attendance

John Conrad, President (Chair, Monroe Flood Prevention District)
Max Merz III, Vice President (Madison County Flood Prevention District)
Debra Moore, Secretary/Treasurer (Chair, St. Clair County Flood Prevention District)
Bruce Brinkman, Monroe County Flood Prevention District
Isabelle Crockett, St. Clair County Flood Prevention District
Aaron Metzger, Monroe County Flood Prevention District
Alvin Parks, Jr., St. Clair County Flood Prevention District
David Schwind, Madison County Flood Prevention District

Members Absent

None

Others in Attendance

Chuck Etwert, SW Illinois FPD Council
Matt Barriger, Barber Murphy – Land Aq.
Mike Feldmann, U.S. Army Corps of Engineers
Hal Graef, U.S. Army Corps of Engineers
David Human, Husch Blackwell LLP
Chad Jones, Wood Environment & Infrastructure Solutions, Inc.
Charles Juneau, Juneau Associates Inc.
Tracey Kelsey, U.S. Army Corps of Engineers
Jay Martin, Wood Environment & Infrastructure Solutions, Inc.
Kevin Williams, Wood River Drainage & Levee District

Call to order

President John Conrad noted the presence of a quorum and called the meeting to order at 7:33 a.m.

Mr. Conrad asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

Mr. Brinkman – Present
Mr. Conrad – Present
Ms. Crockett – Present
Mr. Merz - Present
Aaron Metzger - Present
Dr. Moore – Present
Mr. Parks - absent
Mr. Schwind - Present

A quorum was present.

Approval of Minutes of July 21, 2021

Mr. Conrad asked for a motion to approve the minutes of the Board meeting held on July 21, 2021. A motion was made by Mr. Brinkman, seconded by Mr. Schwind, to approve the minutes of the Board meeting held on July 21, 2021. Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved.

Public Comment on Pending Agenda Items

Mr. Conrad asked if there were any comments from the public on any agenda item on today's agenda. There were no comments.

Program Status Report

Mr. Conrad asked Mr. Etwert to provide a status report for the project.

He briefly highlighted recent changes to the status of the 100-Year Certification Packages and the Authorized Level Projects since the last meeting.

Mr. Etwert first discussed the 100-Year Certification Packages:

100-Year Certification Packages Status

He indicated, in April 2021, FEMA was contacted regarding the East St. Louis/MESD Levee System, which FEMA accepted as structurally sound on April 29, 2019, to see what levee stakeholders and impacted communities needed to do for a letter of accreditation to be issued. The FPD Council has offered to assist FEMA, by contacting and encouraging the involved entities to provide to FEMA the additional needed documentation. In July, FEMA, indicated a conference call would be scheduled for the week of August 9th, this has now been moved back to the week of August 23rd.

Next, he highlighted 500-Year Authorized Level changes since the last meeting.

500-Year Authorized Level Status

MESD Levee System

For the MESD Levee System, he indicated the following:

The Cahokia Relief Well Project, Bid Package #18 – Underseepage Controls from 1207+00 to 1352+00 - Construction is nearly complete, with construction of the American Bottoms overflow sewer pipe remaining as the last large piece of work; this work will occur later this month and throughout September. The river stage is very favorable right now and the minor dewatering that will be needed will start any day now.

Bid Package #11 – Wood addressed all of the USACE District QA/QC review comments and resubmitted the project for USACE Corps' Agency Technical Review (ATR). Those plans were submitted to USACE on June 28. The ATR review is estimated to take 4-6 weeks once started, but as of August 12, the ATR had not yet started, as USACE is still evaluating the 95% DQC comment responses.

After all the Bid Package 11 reviews are complete, the project will be advertised for bid. Board approval of this project was scheduled for November 2021, but the delay in ATR Review will push board approval to at least the January 2022 meeting.

Prairie Du Pont/Fish Lake Levee Systems

The lab analyses of the soil will continue throughout the rest of this month and into September. After the lab work is complete, the final relief well and seepage berm design for Bid Package 15 in Prairie du Pont will begin.

Wood has almost finished revising the scope of Bid Package 15 so that construction along the Prairie du Pont system can start as soon as possible. Further detail about the revised extents of the Bid Package 15 project will be presented at the next board meeting. This will be the first project for the Authorized Level in the Prairie Du Pont/Fish Lake Levee System

He indicated a copy of Wood's Monthly Construction Progress Report for August was provided in the agenda package.

Mr. Parks arrived during Mr. Etwert's report.

Mr. Conrad asked for a motion to accept the Program Status Report for August 2021. A motion was made by Mr. Schwind to accept the Program Status Report for August 2021. Ms. Crockett seconded the motion. Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Mr. Conrad asked Mr. Etwert to provide a report.

Mr. Etwert noted the financial statements for July 2021 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the month ending July 31, 2021, as compared to our fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2020 thru July 31, 2021 were \$19,523,486 while revenues amounted to \$11,416,306 resulting in a deficit of \$8,107,180. A total of \$40,643,736 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Monthly sales tax receipts of \$1,346,344 for May 2021 were up 39.49% from last year. Receipts for the year are up 35.77% from last year. This makes five consecutive months with the highest receipts ever for each county. He referred to the chart in his report that indicated how dramatic the increases over previous years has been due to the Leveling the Playing Field Act.

Lastly, he provided the list of bank transactions for July. Total disbursements for July were \$218,779.08, with the largest payment being to Wood and Keller Construction.

Mr. Conrad asked for a motion to accept Mr. Etwert's budget reports and disbursements for July 2021. A motion was made by Mr. Parks seconded by Mr. Brinkman to accept the budget reports and approve the disbursements for July 2021.

Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Design and Construction Update

Mr. Conrad called on Jay Martin, Wood Environment & Infrastructure Solutions, Inc., to provide an update report.

He discussed highlights from the PowerPoint® presentation, which was included in the agenda package. His presentation focused on the Authorized Level (500-Year) Design and Construction as follows:

Authorized Level (~500-Year) Design

Wood River (Bid Packages 8, 9, and 10)

- Bid Package 8

USACE has bid the project

USACE bids are due today (Aug)

Construction anticipated to start late this year

Wood will be on-Call for questions during construction

- Bid Packages 9 & 10

Because cost share requirements will have been met, these packages are not anticipated to be designed/constructed by FPD Council at this time.

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 11

Currently being designed

95% to be submitted to the USACE later this month

Submitted to USACE for ATR Review on June 28.

USACE is still resolving 95% DQC Comments on their end

ATR will begin after DQC Comments are finished

Award shifts from Nov 2021 to (at least) Jan 2022

- Bid Packages 12

Being Designed/Constructed by USACE

Land acquisition is underway

- Bid Package 14A

Construction is complete

Closeout documentation is underway

- Bid Package 14B

Filter Blanket under I-70 Bridge

Construction Postponed until USACE MESD Deep Cutoff Wall Project is complete

ROW Acquisition is underway

- Bid Package 18

Construction is nearly complete

All flood control features are installed and operational

Substantial Completion Meeting was held 7/13/21

Dewatering to start soon to complete remaining work

- Bid Package 18A

Pump Station Work in Cahokia, IL

Scope is being developed and will be coordinated with USACE

Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)

- Still working with USACE to validate solutions
- 100% FPD Council funded design and construction (No Federal match)
- Updating Bid Package Extents and schedules
- Environmental permitting coordination with USACE is underway
- Drilling started on July 6th
- **Drilling completed on July 29th**
- **Lab Work is ongoing, estimated complete by Oct.**
- **Phase III Drilling Plan is being Prepared**

Mr. Conrad asked for a motion to accept Mr. Martin's Design and Construction Update report. A motion was made by Mr. Schwind with a second by Mr. Parks, to accept the Wood Environment & Infrastructure Solutions, Inc. Design and Construction Update report.

Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

FY 2022 Annual Budget

Mr. Conrad asked Mr. Etwert to explain this item

Mr. Etwert explained, by law, the Council’s budget must be adopted by August 31 of each year for the fiscal year beginning October 1. The budget must be submitted to the county boards for approval after which they have 30 days to act on it. A draft budget was presented to the Board of Directors at the July Meeting.

The FY 2022 budget focuses on the continued design of Authorized Level projects and the construction of Bid Package #11.

The recommended FY 2022 budget is the same as presented in July

All key assumptions presented with the draft budget last month remain the same. The budget assumes a 10 % increase in receipts for FY 2022, based on the higher receipts received the last five months.

Key assumptions are:

1. FEMA will issue a letter of accreditation for the East St. Louis/MESD Levee System in FY 2022.
2. The level of Council staffing does not change in FY 2022, and general and administrative costs continue to remain a very small portion of the project expenditures. Staff resources continue to be supplemented by the significant use of consulting and professional services.
3. In accordance with the bond indenture, all sales tax receipts are intercepted by the Trustee and after all financial requirements for debt, construction, and administration have been satisfied, surplus funds are transferred to each County for deposit in a County Flood

Prevention District Sales Tax Fund for future Council project financing. The surplus transfers are shown as expenditures in the budget.

4. A 10% construction cost contingency is included for all construction on all projects to be built.
5. Sales tax revenue is estimated to increase by 10% over the amount collected the last twelve months. Interest income has been reduced based on lower fund balances as funds are utilized.
6. Funding for Corps of Engineers' for oversight on the Prairie Du Pont/Fish Lake design and construction has been included, if needed.
7. The Council will continue to work with levee districts in identifying potential funding sources, where and if needed, for the maintenance and operations of the 100-Year Improvements and Authorized Level Improvements being built. Life Cycle Costs Reports for the 100-Year Improvements have been provided to each of the Levee Districts.
8. After all of the funds held by the Council are expended, the three County FPD sales tax funds will be used for the 500-Year Authorized Level Projects. Requests to each County are now anticipated to occur in April 2023 and October 2023. There is currently \$40,643,736 in the County FPD sales tax funds.
9. The budget has been developed to provide flexibility to coincide with the Corps of Engineers' utilization of the Risk Informed Process and Risk Assessment. The Council will continue to work with the Corps of Engineers regarding project priorities and design & construction responsibilities between the Corps and Wood.

He anticipates receipts to be higher than presented. If receipts would continue at the recent 35% increase, the Council could reach the sixteen million dollar range, which would be six and a half million more than projected at the start of the pandemic.

There was a brief discussion regarding the cause of the increase in receipts. The Leveling the Playing Field Act passed by the state of Illinois, possibly the marijuana sales, the increase in buying of more durable goods and services, the increase of online buying, inflation, and pent up demand were identified as possible reasons for the recent increases. Mr. Etwert indicated another six months of receipts will be needed to accurately identify future revenues.

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL

BUDGET

OCTOBER 1, 2021 THRU SEPTEMBER 30, 2022

	ACTUAL EXPENDITURES OCTOBER 1, 2019 THRU SEPTEMBER 30, 2020	ADOPTED BUDGET OCTOBER 1, 2020 THRU SEPTEMBER 30, 2021	PROJECTED EXPENDITURES OCTOBER 1, 2020 THRU SEPTEMBER 30, 2021	PROPOSED BUDGET OCTOBER 1, 2021 THRU SEPTEMBER 30, 2022
REVENUES				
Sales Tax Proceeds From Districts	\$ 12,013,067	\$ 9,427,500	\$ 13,400,000	\$ 14,000,000
Interest Income	795,165	350,000	71,622	50,000
Other Contributions			-	-
Total Revenues	\$ 12,808,232	\$ 9,777,500	\$ 13,471,622	\$ 14,050,000
EXPENDITURES				
Design and Construction				
Engineering Design & Construction Management	2,029,271	5,719,065	2,875,000	5,198,000
Construction	1,434,927	15,258,467	5,026,902	12,601,700
USACE Authorized Level Costs	2,445,961	500,000	-	500,000
Total Design and Construction	\$ 5,910,159	\$ 21,477,532	\$ 7,901,902	\$ 18,299,700
Professional Services				
Legal & Legislative Consulting	106,195	240,000	50,600	190,000
Financial Advisor	84,239	65,000	23,400	65,000
Bond Trustee Fee	12,179	12,000	12,000	12,000
Total Professional Services	\$ 202,613	\$ 317,000	\$ 86,000	\$ 267,000
Refund of Surplus Funds to County FPD Accounts				
Total Refund of Surplus Funds to County	\$ 2,161,549	\$ 1,000,000	\$ 3,559,997	\$ 1,000,000
Debt Service				
Principal and Interest	9,956,798	9,393,082	9,393,081	9,474,781
Federal Interest Subsidy	(259,311)	-	-	-
Total Debt Service	\$ 9,697,487	\$ 9,393,082	\$ 9,393,081	\$ 9,474,781
Total Design & Construction Expenses	\$ 17,971,808	\$ 32,187,614	\$ 20,940,980	\$ 29,041,481
General and Administrative Costs				
Salaries, Benefits	210,136	233,000	234,076	240,000
Bank Service Charges	818	1,000	683	1,000
Equipment and Software	968	2,000	1,232	2,000
Fiscal Agency Services	33,085	35,000	33,145	37,000
Audit Services	17,000	18,000	17,500	19,000
Meeting Expenses	127	1,000	276	1,000
Postage/Delivery	846	1,000	1,004	1,000
Printing/Photocopies	1,502	2,000	1,882	2,000
Professional Services	60	10,000	-	10,000
Supplies	402	3,000	922	3,000
Telecommunications/Internet	2,934	3,000	2,878	3,000
Travel	412	5,000	355	5,000
Insurance	484	1,000	516	1,000
Total General & Administrative Costs	\$ 268,774	\$ 315,000	\$ 294,469	\$ 325,000
Total Expenditures	\$ 18,240,582	\$ 32,502,614	\$ 21,235,449	\$ 29,366,481
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(5,432,350)	\$ (22,725,114)	\$ (7,763,827)	\$ (15,316,481)
OTHER FINANCING SOURCES				
Proceeds From Borrowing	(367,262)	-	-	-
NET CHANGE IN FUND BALANCE	\$ (5,799,612)	\$ (22,725,114)	\$ (7,763,827)	\$ (15,316,481)
PROJECTED FUND BALANCE SEPTEMBER 30, 2021				\$ 58,604,728
PROJECTED FUND BALANCE SEPTEMBER 30, 2022				\$ 43,288,247

Mr. Conrad asked for a motion to approve the FY 2022 Flood Prevention District Council Budget presented by Mr. Etwert. A motion was made by Mr. Parks to accept the FY 2022 Flood Prevention District Council Budget. Mr. Merz seconded the motion. Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Flood Prevention District Council Annual Report for FY 2021

Mr. Conrad asked Mr. Etwert to explain this item.

Mr. Etwert explained, by law, the Council must submit an Annual Report to each county board describing activities for the past year. The Council generally submit the report with the annual request for approval of the Council's budget.

The report simply chronicles the activities of the last year and builds on previous reports, so it is actually a summary of the Council's cumulative activities since its inception in June 2009.

A copy of the report was included in the agenda package and a hard copy was provided to each Board member.

He indicated the highlight of this year's report were the accreditations of the Wood River Levee Systems - East & West Fork, Upper, and Lower. Copies of all Accreditation Letters from FEMA are now included in the report in Appendix II.

Mr. Etwert apologized for the copies where the report transmittal letter salutation incorrectly identified Mr. Elmore instead of Ms. Koerber.

Mr. Conrad asked for a motion to approve Flood Prevention District Council Annual Report for FY 2021 presented by Mr. Etwert. A motion was made by Mr. Brinkman to approve the Flood Prevention District Council Annual Report for FY 2021. Mr. Parks seconded the motion. Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye

Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Fiscal Agent Services

Mr. Conrad asked Mr. Etwert to explain this item

Mr. Etwert indicated CliftonLarsonAllen had served as the fiscal agent for the Council since being selected from competitive proposals and had done an excellent job providing the following services:

- Maintain general ledger, fixed assets ledger, accounts receivable, general journal, and accounts payable.
- Review invoices for services provided to the Council prior to payment to determine compliance with the Council's contracts, agreements and policies.
- Prepare invoices and funding requests to bond Trustee, county treasurers, or other agencies or entities, as authorized by the Council, to pay expenses.
- Receive payments from the bond Trustee, counties or other agencies or entities on Council's behalf and prepare payments of invoices for execution by Council staff or Board members.
- Prepare regular statements of financial activity, including monthly statements showing accrued expenditures, budget comparisons, and disbursements, for Council Board meetings.
- Provide the Council and auditors with information and financial statements required for annual audits.
- Assist in developing annual Council budget.

In response, to a request for an additional two year proposal, CliftonLarsonAllen proposed a monthly fee of \$2,709 a month with a fee of \$2,877 for assistance with the audit for a total fee of \$35,385 for FY 2022 and a monthly fee of \$2,793 a month with a fee of \$2,877 for assistance with the audit for a total fee of \$36,393 for FY 2023. The fee for the FY 2021 was \$33,460.

Their fees now includes a five percent technology fee for upgrades to keep their systems safe from cyber-attacks. They implemented the fee for all clients in January of 2020, however, the Council didn't have to pay this fee due to the two year agreement signed in September of 2019.

With their knowledge and experience of performing as our fiscal agent and working with our auditor and bond trustee, he recommended extending the engagement with CliftonLarsonAllen to act as fiscal agent for the next two years.

Mr. Conrad asked for a motion to authorize the Chief Supervisor to engage CliftonLarsonAllen to act as the Council's fiscal agent for the next two years at a cost not to exceed \$35,385 for FY 2022 and \$36,393 for FY 2023. A motion was made by Mr. Schwind with a second by Mr. Parks to authorize the Chief Supervisor to engage CliftonLarsonAllen to act as the Council's fiscal agent for the next two years at a cost not to exceed \$35,385 for FY 2022 and \$36,393 for FY 2023. Dr. Moore called the roll and the following votes were made on the motion.

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Financial Advisor Services

Mr. Conrad asked Mr. Etwert to explain this item

Mr. Etwert explained, Columbia Capital Management, LLC had served as the financial advisor for the Council since being selected by a qualification-based procurement, to provide financial consulting and debt issuance services in 2014, and is currently completing a two year agreement for calendar years 2020 and 2021.

Columbia Capital provided an outstanding effort during the long (and at times difficult) process of getting the Series 2015AB Bonds to market & issued, and the refunding of the 2010 Bonds which saved over \$13.2 million in debt service costs.

At Mr. Etwert's request, Columbia Capital has submitted a proposal to continue as the Council's Financial Advisor for the next two years.

The proposed extension uses the same format as with all previous contracts and consists of the scope of services including, review of the Council's outstanding bonds, preparing a refunding analysis, preparing a refunding plan, a potential bond transaction, maintenance and support of the Council's debt program, a 2022/23 update of the Council's financial plan, investment advisory services, post-issuance compliance services, and a contingent amount for work outside the scope of the proposal for a total two year cost not to exceed \$266,781. The increase over the current

2020/2021 contract is for Investment Advisory Services, if there was a new money issue. With the recent increase in sales tax receipts, this is very unlikely at this time.

Columbia Capital's project team and hourly rates for the next two years remain the same as when they were selected in 2014.

Utilization of fees for the previous contract periods are as follows:

2014/2015 – Fee Proposal - \$176,500 – Utilized \$131,619*
2016/2017 – Fee Proposal - \$164,411 – Utilized \$39,697.50
2018/2019 – Fee Proposal - \$183,396 – Utilized \$68,215.00**
2020/2021 – Fee Proposal - \$247,985 – Utilized \$56,912.50** to date

* Contract period included the issuance of the 2015 Bonds

** Both contract periods included the refunding of the 2010 Bonds

The contract has contingencies for the unexpected requests, which may arise, and work outside the scope of the proposal, however, based on past performance, it is doubtful all funds authorized will be utilized. Without a bond issuance or a refunding, Mr. Etwert estimated only \$75,000 would be spent over the two year period.

Mr. Conrad asked for a motion to authorize the Chief Supervisor to enter into contract with Columbia Capital Management, LLC to provide financial consulting, investment advisory services and post-issuance compliance services for the next two years for a total amount not to exceed \$266,781.

A motion was made by Mr. Brinkman to authorize the Chief Supervisor to enter into contract with Columbia Capital Management, LLC to provide financial consulting, investment advisory services and post-issuance compliance services for the next two years for a total amount not to exceed \$266,781. Mr. Parks seconded the motion. Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Corps of Engineers Update

Mr. Conrad asked Ms. Kelsey to provide the report from the Corps.

Ms. Kelsey highlighted her PowerPoint® presentation, which was included in the agenda package to illustrate her remarks. Her presentation focused on the status of the Metro East Projects as follows:

USACE UPDATE

Metro East Projects, IL Project Status

- **East St. Louis**

- **Cutoff Wall/Jet Grout**

Jet Grout work continues

ILAW tunnels have been located

Shallow cutoff wall at the MESD triple box culvert will be complete this week

Jet grout operations will begin mid-September at both locations and continue as a 24 hour operation

- **Relief Well Package 12 Phase 1**

6 Bids Received 09 Aug 21
Apparent Low – Davinroy Mechanical \$5,917,567

Contract Award End of Aug 21

- **Bid Package 12 Phase 2 and Phase 3**

3D analysis indicates reduction in relief wells

AE continues with shoring and rail relocation analysis/TRRA coordination

Contract Award 3Q 2023

- **Bid Package 14 Phase 2** – Berms (Dependent on funding) FY 23

There was a brief discussion regarding the effect of COVID on the recent bids for Relief Well Package 12 Phase 1.

- **Wood River**

- **Canal Road Pump No. 1** (BP-8; 1PS and 22 RWs)

ROW certification received	09Jul21
Solicitation	19Juy21
Site Visit (estimated)	29Jul21
Contract award (estimated)	04Nov21

- **Relief Well Package #1 – 24 wells**

ROW certification	16Jul21
Solicitation	05Aug21
Contract award (estimated)	26Nov21

- **Canal Road Pump No. 2, 3, and 4** (designed by MVS A/E)

ROW certification received for PS 2 and PS 3 16Jul21
 USACE anticipates moving forward with PS 2 and 3 contract
 Design package will be split – PS 2 and PS 3 will be awarded together
 Easement impasse with the Village of Roxana
 Per Sponsor’s request, USACE is exploring the feasibility of a berm solution vs PS/RWs

Additional federal funds required for:

- **Pump Station Modifications** (add pump to WR; replace pump at Hawthorne)

Anticipated to be awarded after BP-8, RW#1, and PS

- **Relief Well Package #2 and #3 – 56 and 37 RWs**

Design complete in FY22

ROW certification pending

Contract award delayed to FY23 due to available funds (will re-access after award of first 3 contracts)

- **Relief Well #2 Ditch Work**
Design Ongoing – exploring options to address the area in Reach 2 north of Olin and Koch

She stressed again that LERRD credit requests can be submitted at any time and recommend not waiting until end of project

- **Mel Price Segment of Upper Wood River**

- Reach 1 Relief Wells contract award 03Jun21
- Reach 2 Relief Wells BCOES certification 30Sep21
- Reach 2 Relief Wells ROW certification 18Feb22
- Reach 2 Relief Wells contract award 13Jun22
- Complete Mitigation FY22
- OMRR&R Payment to Wood River Drainage and Levee District FY23
- Project Closeout FY24

- **Challenges**

Continued IOP execution

Real Estate Acquisition (MVS action since 100% federally funded)

- **Prairie du Pont/Fish Lake**

- Continue coordination with Wood on current bid package

Mr. Conrad thanked Ms. Kelsey and asked for a motion to accept the Corps of Engineers Update Report. A motion to accept the Corps of Engineers Update Report was made by Ms. Crockett with second by Mr. Parks on the motion.

Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Election of Officers for 2021-2022

Mr. Conrad explained under the Council's bylaws, Board officers (President, Vice-President, and Secretary-Treasurer) serve one year terms, must each be from a different County Flood Prevention District and are elected at the Council's Annual Meeting. The positions have been rotated among the three County Flood Prevention Districts in the past.

Based on this past practice, he nominated the following slate of candidates - Max Merz from Madison County as President, Debra Moore from St. Clair County as Vice President and John Conrad from Monroe County as Secretary/Treasurer.

A motion was made by Mr. Parks nominating Max Merz from Madison County as President, Debra Moore from St. Clair County as Vice President and John Conrad from Monroe County as Secretary/Treasurer. Mr. Schwind seconded the motion.

There were no other nominations and nominations were closed.

Dr. Moore called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye

Mr. Parks – Aye
Mr. Schwind – Aye

The motion was approved unanimously.

Public Comment

Mr. Conrad recognized Matt Barriger, who will be succeeding Phil Johnson (who is retiring) as the lead on the Council's real estate acquisition team. Matt is a familiar face having attended Council meetings for many years.

Next Mr. Conrad recognized David Human who discussed FEMA's proposed implementation of Risk Rating 2.0 for insurance pricing, recognizing areas protected by accredited levees as not Special Flood Hazard Areas. There was a lengthy discussion with Mr. Human committing to providing a briefing paper of the situation to the Board and keeping the Board informed.

Mr. Conrad asked if there was any public comment. There was none.

Other Business

Mr. Conrad asked if there was any other business. There was none.

Adjournment

Mr. Conrad adjourned the meeting, with all in agreement.

Mr. Etwert indicated, unless something special came up, the next meeting would be on November 17, 2021. He would provide monthly updates for September and October.

Respectfully submitted,

John Conrad,
Secretary/Treasurer, Board of Directors



Memo to: Board of Directors
From: Chuck Etwert
Subject: Program Status Report for November 2021
Date: November 15, 2021

100-Year Certification Packages Status

Wood and MESD are gathering the additional information (Operation and Maintenance manuals) requested by FEMA with the intent to provide everything needed in the requested November time period.

FEMA indicated their review would probably take up to sixty days and if no additional information was required, a letter of accreditation could be issued by March.

This would complete total accreditation of the Metro East Levee Systems.

FEMA also indicated that it hopes to issue new preliminary flood maps for Madison, Monroe, and St. Clair Counties next year probably in late spring or summer.

500-Year Authorized Level Status

Wood River Levee System

As indicated last month, to satisfy the Work In-Kind Credit still remaining for Wood River, it appears the Council will take on one more project in the Wood River area. This project will be called Bid Package #9. The project is relatively small and includes a single seepage berm and drainage improvements in the Roxanna, IL. Wood continues to coordinate the project with the Corps of Engineers and hopes to start land surveying soon while there is a low river.

Land acquisition for Authorized Level Projects continues along with preparation of the first LERRDs submittal to USACE.

Work In-Kind credit of \$16,041,496 has been earned to date for the Wood River Levee System.

The remaining \$4,256,750 dollars of Work In-Kind credit needed, will be achieved with eligible Wood design and the project mentioned above.

MESD Levee System

Bid Packages #14A & #18 – Construction is complete and closeout documentation is still underway.

Bid Package #11 – Wood continues to wait for USACE to begin Agency Technical Review (ATR) on Bid Package 11 plans submitted on June 28. The ATR review is estimated to take 4-6 weeks once started, but as of November 4th, the ATR had not yet started. Following ATR, Wood will re-submit to the Corps for the Corps' Bidding, Constructability, Operability, Environmental, and Sustainability (BCOES) review. The Corps' BCOES review is anticipated to also take approximately 4 to 6 weeks.

After all the Bid Package 11 reviews are complete, the project will be advertised for bid. Board approval of this project was originally scheduled for November 2021, but the delay in ATR Review will push board approval to at least the May 2022 meeting.

Current estimated construction cost is \$8,100,000.

Bid Package #18A – A report summarizing the results and scope recommendations is still underway and is expected to be issued in the near future. It is anticipated the estimated cost will be in the vicinity of \$4,000,000.

Land acquisition also continues along the MESD-owned levee system.

Work In-Kind credit of \$12,415,405 has been earned to date for the MESD Levee System.

Prairie Du Pont/Fish Lake Levee Systems

Wood continues to wait for lab analysis of the soil borings conducted over the summer to be completed.

Wood submitted the Phase III PDP Drilling Plan to USACE on October 10, 2021. This Drilling Plan covers the remaining subsurface investigations for the PDP/FL Levee Systems. Approval of this Drilling Plan very soon is necessary to take advantage of favorable drilling conditions this winter.

The level of effort that will be required for environmental permitting is still unknown and Wood continues to wait for a meeting invite with USACE Regulatory Branch to discuss. The FPD Council and Wood are continuing to discuss this with USACE.

Wood has finished revising the geographical extents of Bid Package 15 so that construction along the Prairie du Pont system can start as soon as possible. Bid Package 15 will include all Authorized Level improvements between levee stations 167+65 and 303+20, which covers the area of the Prairie du Pont levee that is north of East Carondelet.

The improvements included in Bid Package 15 are still being developed and refined, but generally include relief wells, seepage berm enlargements to berms built as part of the 100-year improvements, and a new pump station for relief well water.

Designs, bid schedules, and revised cost estimates for all bid packages will be developed as collection and analysis of data is complete.

On October 28th a presentation was given to the Southwestern Illinois Council of Mayors in Grafton. Members were very pleased with the progress the Council has made since the last presentation seven years ago. At that time only ten percent of the 100-Year construction had been completed.

Included in your Board packet, is a copy of Wood's Monthly Construction Progress Report for November.



Southwestern Illinois Flood Prevention District Council
c/o Charles Ewert
104 United Drive
Collinsville, IL 62234

**Southwestern Illinois Levees
Restoration of the Federally-Authorized Level of Flood Protection
Monthly Construction Progress Report
November 2021**

Wood Project No. 563170001
Period Ending Date: November 9, 2021

Date of Issue: November 10, 2021

Table of Contents

1. OVERVIEW	1
1.1 PROJECT DESCRIPTION	1
1.2 KEY CONTACTS / PEOPLE.....	1
2. HEALTH, SAFETY, SECURITY, ENVIRONMENT (HSSE)	2
2.1 HSSE REPORTS	2
3. PROJECT STATUS UPDATE.....	2
3.1 BID PACKAGE 14A	2
3.1.1 Calendar	2
3.1.2 Progress	2
3.1.3 Property Acquisition	2
3.1.4 Levee Board Considerations.....	2
3.1.5 Submittals	3
3.1.6 Change Orders	3
3.1.7 QC/QA Activities	3
3.1.8 Considerations	3
3.1.9 Payment Progress	3
3.2 BID PACKAGE 18.....	4
3.2.1 Calendar	4
3.2.2 Progress	4
3.2.3 Property Acquisition	4
3.2.4 Levee Board Considerations.....	4
3.2.5 Submittals	4
3.2.6 Change Orders	4
3.2.7 QC/QA Activities	4
3.2.8 Considerations	4
3.2.9 Payment Progress	4

1. OVERVIEW

1.1 Project Description

In February 2015 the Southwestern Illinois Flood Prevention District Council (FPD Council) passed a resolution to restore the federally authorized level of flood protection to the Prairie du Pont and Fish Lake Flood Protection Project, the East St. Louis and Vicinity Flood Protection Project, and the Wood River Flood Protection Project. The FPD Council has entered into Project Partnership Agreements with the U.S. Army Corps of Engineers and the non-federal sponsors for each levee system to create a partnership whereby the FPD Council can design and construct certain improvements necessary to restore the federally authorized level of protection. The FPD Council is constructing certain work packages, or "Bid Packages", as described below:

Bid Package 14A is composed of the construction of underseepage controls in the Metro-East Sanitary District Levee System, from station 821+00 to 863+00. This includes construction of a cut-off trench, placement of 2 riverside blankets/berms, construction of 2 piezometers, and placement of random fill in an existing ditch.

Bid Package 18 is composed of the construction of underseepage controls in the Metro-East Sanitary District Levee System, from station 1207+00 to 1352+00. This includes new relief well construction, pump station structural modifications, pump station forebay box culvert and fill, and amendments/additions to the relief well collector system.

1.2 Key Contacts / People

Program Manager	Jay Martin, PE, 615.333.0630 jay.martin@woodplc.com
Project Manager	Jon Omvig, AICP, 636.200.5118 jon.omvig@woodplc.com
Engineer of Record (Civil)	Randy Cook Jr., PE, 636.200.5125 randy.cook@woodplc.com
Engineer of Record (Geotechnical)	Mary Knopf, PE, 502.333.4391 mary.knopf@woodplc.com
Resident Project Representative	Trevor Coons, 314.496.3098 trevor.coons@woodplc.com
Construction Coordinator	Dalton Brookshire, 217.313.6194 dalton.brookshire@woodplc.com
Construction Inspector	Jeffery Johnson, 636.317.8212 jeffery.johnson@woodplc.com

2. HEALTH, SAFETY, SECURITY, ENVIRONMENT (HSSE)

2.1 HSSE Reports

Health/Safety									
The Contractor(s) conduct weekly toolbox safety talks.									
Security									
We have had some issues with local vandals. We are working with local law enforcement to prevent further instances.									
Environment (SWPPP)									
Forms submitted as required									
River Stage Restrictions									
The project specifications dictate that excavations shall cease when the Mississippi River Stage is:									
<table border="1"> <thead> <tr> <th>Bid Package</th> <th>River Stage</th> <th>River Elevation</th> </tr> </thead> <tbody> <tr> <td>14A</td> <td>25</td> <td>404.58 (St. Louis)</td> </tr> <tr> <td>18</td> <td>25</td> <td>404.58 (St. Louis)</td> </tr> </tbody> </table>	Bid Package	River Stage	River Elevation	14A	25	404.58 (St. Louis)	18	25	404.58 (St. Louis)
Bid Package	River Stage	River Elevation							
14A	25	404.58 (St. Louis)							
18	25	404.58 (St. Louis)							

3. PROJECT STATUS UPDATE

3.1 Bid Package 14A

3.1.1 Calendar

Bid Date:	January 6, 2019
Contract executed	February 18, 2020
Schedule received	Not yet received
Anticipated start of field activities	July 2020
Start of field activities	September 2, 2020
Contract completion date	<i>Final completion January 22, 2021</i>
Final Walk Through	December 18, 2020 Substantial Completion Meeting resulted in no issues that required further attention
Final Acceptance	December 18, 2020

3.1.2 Progress

- Project summary for close-out in the approval process.

3.1.3 Property Acquisition

- America's Central Port - Complete

3.1.4 Levee Board Considerations

- None at this time

3.1.5 Submittals

- Submittals are complete

3.1.6 Change Orders

- Change Order No. 1 to address the lost time and additional effort necessary to work around the unknown 10" gas line utility was approved on November 8, 2020.
- Change Order No. 2 for slag removal at the piezometer locations approved November 24, 2020.
- Change Order No. 3 for time extension was approved December 18, 2020.
- Change Order No. 4 for time extension in progress approved June 21, 2021.
- Change Order No. 5 for unit price and quantity adjustments approved June 21, 2021.
- See Change Order Log attached

3.1.7 QC/QA Activities

- Complete to date

3.1.8 Considerations

- None

3.1.9 Payment Progress

- See Contract Invoice Log attached

3.2 Bid Package 18

3.2.1 Calendar

Bid Date:	November 6, 2019
Contract executed	January 15, 2020
Schedule received	February 20, 2020
Anticipated start of field activities	March 2020
Start of field activities	March 20, 2020
Contract completion date	<i>Final completion September 30, 2021.</i>
Final Walk Through	October 6, 2021
Final Acceptance	October 6, 2021

3.2.2 Progress

- The contractor is still finalizing some of their final project submittals/documentation.
- The CM team is continuing to work on review of contractor closeout submittals/documentation/summaries.
- The contractor completed construction efforts by the September 30, 2021 project completion date; however, they have requested an additional project timeline extension of an additional thirty (30) days to October 30, 2021 to allow for final project submittals and other project closeout activities. This change was covered by PCN 18 which is currently under review by USACE.
- Change Order (CO) 05 covers the final project changes addressed in Project Change Notices (PCN's) 01, 02, 08, 10, 15, 16, 17, and 18. Currently in the draft phase awaiting final approval. See attached Change Order Log.

3.2.3 Property Acquisition

- No acquisition was necessary for this bid package

3.2.4 Levee Board Considerations

- None

3.2.5 Submittals

- Substantially complete, routine testing submittals in progress

3.2.6 Change Orders

- Change Order No. 1 completed on April 21, 2020
- Change Order No. 2 approved by FPD Council Board on August 19, 2020
- Change Order No. 3 approved by FPD Council Board on November 9, 2020
- Change Order No. 4 approved by FPD Council Board on February 5, 2021.
- Change Order No. 5 being routed for approval.
- See Change Order Log attached

3.2.7 QC/QA Activities

- In progress

3.2.8 Considerations

- None

3.2.9 Payment Progress

- See Contract Invoice Log attached.

CONTRACT INVOICE LOG

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package Number:	14A
Bid Package Name:	Underseepage Controls from 821+00 to 863+00

Original Contract Amount:	\$ 905,000.00
Change Order #1:	\$ 56,869.66
Change Order #2:	\$ 4,872.00
Change Order #3:	-\$ 15,994.80
Total Change Order Amount:	\$ 45,746.86
Total Revised Contract Amount:	\$ 950,746.86

Payment Request No.	Pay Request Date	Pay Request Recommended Approval Date	Total Completed to Date (\$)	Amount Retained (10% to 50%) then (5% to 95%)	Total Earned Less Retained (\$)	Amount Invoiced (\$)	Date Paid by FPD	Estimate to Complete Included Retainage (\$)
1	4/30/2020	4/30/2020	\$22,000.00	\$2,200.00	\$19,800.00	\$19,800.00	5/6/2020	\$885,200.00
2	10/28/2020	10/30/2020	\$505,075.51	\$50,507.56	\$454,567.95	\$434,767.95	10/30/2020	\$450,432.05
3	11/13/2020	11/13/2020	\$835,845.80	\$83,584.59	\$752,261.21	\$297,693.26	11/18/2020	\$152,738.79
4	12/11/2020	12/14/2020	\$893,483.22	\$89,348.33	\$804,134.89	\$51,873.68	12/15/2020	\$146,611.97
5	5/20/2021	5/24/2021	\$950,746.86	\$0.00	\$950,746.86	\$146,611.97	5/25/2021	\$0.00

Change Request Log

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package No.:	14A
Big Package Name:	Underseepage Controls from 821+00 to 863+00

Original Contract Amount:	\$ 905,000.00
Change Orders Total:	\$ 45,746.86
Total Revised Contract Amount:	\$ 950,746.86

(Includes Pending Change Orders)
(Assumes Approval of Pending Change Orders)

Change Request No.	PCN No.	Description	Change Type (Field, Design, Spec, Other)	Cost	As-Bid Line Item	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	1	Ameren 10-inch Pipeline	Other	\$ 56,869.66	N/A	N/A	N/A	6.28%	11/8/2020	Approved	Address 10-inch unmarked Ameren pipeline.
2	2	Pizeometer Bollard Slag Removal	Field	\$ 4,872.00	14A.01 14A.09	\$44,000 \$22,000	6.3% 9.5%	0.54%	11/24/2020	Approved	Additional equipment and labor associated with addressing unforeseen slag encountered during installation of piezometer bollards. Time extension of 18 days.
3	3	Time Extension	Other	\$ -	N/A	\$ -	0.00%	0.00%	12/18/2020	Approved	Contract time extension due to unfavorable ground conditions and weather. Project completion is changed from 12/18/2020 to 1/22/2021.
4	4	Time Extension	Other	\$ -	N/A	\$ -	0.00%	0.00%	6/21/2021	Approved	Contract time extension due to unfavorable ground conditions and weather. Project completion is changed from 1/22/2021 to 5/21/2021.
5	5	Unit Price and Quantity Adjustments	Design, Field, and Other	(\$15,994.80)	14A.10 14A.11 14A.12 14A.13	\$ -	0.00%	-1.77%	6/21/2021	Approved	Contractor has requested quantity adjustments for various cuts/fills and a unit price adjustment for the south blanket.

CONTRACT INVOICE LOG

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package Number:	18
Bid Package Name:	Underseepage Controls from 1207+00 to 1352+00

Original Contract Amount:	\$ 4,798,418.20
Change Order #1:	\$ 7,176.00 (2/1/2020)
Change Order #2:	\$ 170,529.06 (8/19/2020)
Change Order #3:	-\$ 97,883.84 (11/9/2020)
Change Order #5:	-\$ 122,109.05 (2/26/2021)
Total Change Order Amount:	-\$ 42,287.83
Total Revised Contract Amount:	\$ 4,756,130.37

Payment Request No.	Pay Request Date	Pay Request Approval Date	Total Completed to Date (\$)	Amount Retained (10% to 50%) then (5% to 95%)	Total Earned Less Retained (\$)	Amount Invoiced (\$)	Date Paid by FPD	Estimate to Complete Including Retainage (\$)
1	3/31/2020	4/9/2020	\$109,038.87	\$10,903.89	\$98,134.98	\$98,134.98	4/10/2020	\$4,707,459.22
2	5/7/2020	5/14/2020	\$281,792.87	\$28,179.29	\$253,613.58	\$155,478.60	5/14/2020	\$4,551,980.62
3	6/7/2020	7/22/2020	\$597,279.99	\$59,728.00	\$537,551.99	\$283,938.41	7/23/2020	\$4,268,042.21
4	7/15/2020	7/23/2020	\$776,708.35	\$77,670.85	\$699,037.51	\$161,485.51	7/23/2020	\$4,106,556.70
5	8/7/2020	8/14/2020	\$1,039,513.04	\$103,951.32	\$935,561.72	\$236,524.22	8/17/2020	\$3,870,032.48
6	9/8/2020	9/8/2020	\$1,346,980.73	\$134,698.07	\$1,212,282.66	\$276,720.92	9/9/2020	\$3,763,840.62
7	10/16/2020	10/26/2020	\$2,509,094.48	\$250,909.45	\$2,258,185.03	\$1,045,902.37	10/28/2020	\$2,717,938.26
8	11/12/2020	11/13/2020	\$3,132,599.92	\$313,260.01	\$2,819,339.91	\$561,154.88	11/18/2020	\$2,058,899.54
9	12/10/2020	12/14/2020	\$3,800,863.21	\$380,086.34	\$3,420,776.87	\$601,436.96	12/15/2020	\$1,457,462.58
10	1/28/2021	2/1/2021	\$4,381,672.73	\$438,167.29	\$3,943,505.44	\$522,728.57	2/2/2021	\$934,734.01
11	4/12/2021	4/21/2021	\$4,588,606.96	\$229,430.37	\$4,359,176.59	\$415,671.16	4/22/2021	\$396,953.81
12	5/4/2021	5/24/2021	\$4,605,550.74	\$230,277.56	\$4,375,273.18	\$16,096.59	5/25/2021	\$380,857.22
13	6/9/2021	6/16/2021	\$4,613,643.52	\$230,682.20	\$4,382,961.32	\$7,688.14	6/16/2021	\$373,169.08
14	7/7/2021	7/9/2021	\$4,642,077.53	\$232,103.90	\$4,409,973.63	\$27,012.31	7/12/2021	\$346,156.77

Change Request Log

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package No.:	18
Big Package Name:	Underseepage Controls from 1207+00 to 1352+00

Original Contract Amount:	\$ 4,798,418.20
Change Orders Total:	-\$ 29,679.16
Total Revised Contract Amount:	\$ 4,768,739.04

(Includes Pending Change Orders)
(Assumes Approval of Pending Change Orders)

Change Request No.	PCN No.	Description	Change Type (Field, Design, Spec, Other)	Cost	As-Bid Line Item	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	13	Add 2 feet to 8 T-Type Relief Well Manholes.	Field	\$ 7,176.00	N/A	N/A	N/A	0.15%	2/1/2020	Approved	RW-159X, RW-160X, RW-161X, RW-162X, RW-163X, RW-164X, RW-165X, RW-166X.
2	3	New Piezometers, Duckbill Check Valve, and Contract Time Extension	Design, Field, & Other	\$ 170,529.06	N/A	N/A	N/A	3.55%	8/19/2020	Approved	Add 13 piezometers (design change), new check valve (field change), & time extension for high river stage (other change).
3	4	Interior & Exterior 10'x10' Box Culvert Joint Sealants, Removal of 9 Piezometers, and Contract Time Extension	Design, Field, & Other	\$ (97,883.84)	N/A	N/A	N/A	-2.04%	11/9/2020	Approved	Install interior & exterior joint sealants on 10x10 box culvert joints and dewatering to facilitate installation thereof. Removal of 9 piezometers from change order no. 2. Schedule extension for check valve lead time.
4	11	Contract Time Extension	Other	\$ -	N/A	N/A	N/A	0.00%	2/5/2021	Approved	Time extension (90-days) for critical delays caused by weather (other change)
5	1, 2, 8, 10, 15, 16, 17, 18	Raising discharge of fill area 2 relief wells, Eliminate RW-227A, Descope 36 relief well abandonments, relief well footage reconciliation, Abandonment of old manhole in Forebay, Cahokia Pump Station and Driveway Extras, and Contract Time Extensions	Design, Field, & Other	\$ (109,500.38)	N/A	N/A	N/A	-2.28%	9/29/2021	Pending	Adding a total of 20.1-LF of relief well footage for Fill Area 2 Wells (design/field change), Eliminate 75.7-LF of T-Type well for RW-227A (design change), Descope 36 relief well abandonments (design/field change), and relief well footage reconciliation for D/T-Type wells and obstructed drilling (field change). Extra CLSM to abandon an old manhole encountered in the Forebay fill. (field change). Extra fence to accommodate grade around the Cahokia Pump Station, as well as extra rock and fabric for within the fenced area and to facilitate driveway/access improvements for the Pump Station (design/field). Time extension (177-days) for critical delays caused by weather and groundwater conditions (other change)



Memo to: Board of Directors
From: Chuck Ewert
Subject: Budget and Disbursement Reports for August, September, & October 2021
Date: November 15, 2021

Current Budget Highlights

Attached are the financial statements for August, September, & October 2021 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending August 31, 2021, September 30, 2021, and October 31, 2021 as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2020 thru August 31, 2021 were \$19,796,402 while revenues amounted to \$12,799,964 resulting in a deficit of \$6,996,438.

Accrued expenditures for the fiscal year beginning on October 1, 2020 thru September 30, 2021 were \$20,198,416 while revenues amounted to \$14,223,526 resulting in a deficit of \$5,974,890

Accrued expenditures for the new fiscal year beginning on October 1, 2021 thru October 31, 2021 were \$6,512,561 while revenues amounted to \$1,310,964 resulting in a deficit of \$5,201,597

A total of \$43,217,201 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Monthly sales tax receipts of \$1,415,874 for June 2021 were up 29.11% from last year, receipts of \$1,307,969 for July 2021 were up 22.34% from last year, and receipts of \$1,324,151 for August 2021 were up 25.91% from last year. Receipts for the year are up 31.65% from last year. This makes eight consecutive months with the highest receipts ever for each month for each county.

Monthly Disbursements

Attached are bank transactions for August, September, & October. Total disbursements for August were \$272,913.75, for September \$398,534.55, and for October \$291,495.29 with the largest payment each month being to Wood.

Recommendation:

Accept the budget and disbursements for August, September, and October 2021

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ELEVEN MONTHS ENDED AUGUST 31, 2021 AND 2020



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the eleven months ended August 31, 2021 and 2020, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2021 and 2020, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
September 2, 2021

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2021 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2021 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 9,427,500	\$ 9,427,500	\$ 12,666,673	\$ (3,239,173)
Interest Income	350,000	350,000	133,291	216,709
Other Contributions	-	-	-	-
Total Revenues	<u>9,777,500</u>	<u>9,777,500</u>	<u>12,799,964</u>	<u>(3,022,464)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,719,065	5,719,065	2,160,932	3,558,133
Management				
Construction	15,258,467	15,258,467	4,359,921	10,898,546
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>21,477,532</u>	<u>21,477,532</u>	<u>6,520,853</u>	<u>14,956,679</u>
Professional Services				
Legal & Legislative Consulting	240,000	240,000	19,272	220,728
Financial Advisor	65,000	65,000	17,400	47,600
Bond Underwriter/Conduit Issuer	12,000	12,000	14,130	(2,130)
Total Professional Services	<u>317,000</u>	<u>317,000</u>	<u>50,802</u>	<u>266,198</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	503,360	503,360	1,791,020	(1,287,660)
Monroe County	47,750	47,750	170,194	(122,444)
St. Clair County	448,890	448,890	1,598,783	(1,149,893)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>3,559,997</u>	<u>(2,559,997)</u>
Debt Service				
Principal and Interest	9,393,082	9,393,082	9,393,081	1
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,393,082</u>	<u>9,393,082</u>	<u>9,393,081</u>	<u>1</u>
Total Operating Expenses	<u>32,187,614</u>	<u>32,187,614</u>	<u>19,524,733</u>	<u>12,662,881</u>
General and Administrative Costs				
Salaries, Benefits	233,000	233,000	215,440	17,560
Bank Service Charges	1,000	1,000	522	478
Equipment and Software	2,000	2,000	444	1,556
Fiscal Agency Services	35,000	35,000	30,835	4,165
Audit Services	18,000	18,000	17,500	500
Meeting Expenses	1,000	1,000	176	824
Postage/Delivery	1,000	1,000	558	442
Printing/Photocopies	2,000	2,000	1,790	210
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	496	2,504
Telecommunications/Internet	3,000	3,000	3,237	(237)
Travel	5,000	5,000	155	4,845
Insurance	1,000	1,000	516	484
Total General & Administrative Costs	<u>315,000</u>	<u>315,000</u>	<u>271,669</u>	<u>43,331</u>
Total Expenditures	<u>32,502,614</u>	<u>32,502,614</u>	<u>19,796,402</u>	<u>12,706,212</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(22,725,114)	(22,725,114)	(6,996,438)	15,728,676
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (22,725,114)</u>	<u>\$ (22,725,114)</u>	<u>\$ (6,996,438)</u>	<u>\$ 15,728,676</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2020 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2020 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 12,455,000	\$ 12,455,000	\$ 10,916,456	\$ 1,538,544
Interest Income	350,000	350,000	779,985	(429,985)
Other Contributions	-	-	-	-
Total Revenues	<u>12,805,000</u>	<u>12,805,000</u>	<u>11,696,441</u>	<u>1,108,559</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,250,032	5,250,032	1,826,407	3,423,625
Construction	20,170,000	20,170,000	1,141,131	19,028,869
Construction and design by US ACE	<u>2,772,851</u>	<u>2,772,851</u>	<u>2,448,711</u>	<u>324,140</u>
Total Design and Construction	28,192,883	28,192,883	5,416,249	22,776,634
Professional Services				
Legal & Legislative Consulting	297,500	297,500	102,374	195,126
Financial Advisor	65,000	65,000	83,339	(18,339)
Debt Issuance Cost	-	-	-	-
Bond Underwriter/Conduit Issuer	<u>12,000</u>	<u>12,000</u>	<u>12,179</u>	<u>(179)</u>
Total Professional Services	374,500	374,500	197,892	176,608
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,005,800	1,005,800	1,082,327	(76,527)
Monroe County	95,700	95,700	103,126	(7,426)
St. Clair County	<u>898,500</u>	<u>898,500</u>	<u>976,096</u>	<u>(77,596)</u>
Total Refund of Surplus Funds to County	2,000,000	2,000,000	2,161,549	(161,549)
Debt Service				
Principal and Interest	10,949,970	10,949,970	9,956,798	993,172
Federal Interest Subsidy	<u>(853,711)</u>	<u>(853,711)</u>	<u>(259,311)</u>	<u>(594,400)</u>
Total Debt Service	10,096,259	10,096,259	9,697,487	398,772
Total Operating Expenses	40,663,642	40,663,642	17,473,177	23,190,465
General and Administrative Costs				
Salaries, Benefits	220,000	220,000	191,095	28,905
Bank Service Charges	1,000	1,000	772	228
Equipment and Software	2,000	2,000	832	1,168
Fiscal Agency Services	34,000	34,000	30,595	3,405
Audit Services	17,000	17,000	17,000	-
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	683	317
Printing/Photocopies	2,000	2,000	1,325	675
Professional Services	-	-	-	-
Publications/Dues	-	-	45	(45)
Supplies	3,000	3,000	302	2,698
Telecommunications/Internet	3,000	3,000	2,080	920
Travel	5,000	5,000	412	4,588
Insurance	<u>1,000</u>	<u>1,000</u>	<u>484</u>	<u>516</u>
Total General & Administrative Costs	290,000	290,000	245,625	44,375
Total Expenditures	<u>40,953,642</u>	<u>40,953,642</u>	<u>17,718,802</u>	<u>23,234,840</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(28,148,642)	(28,148,642)	(6,022,361)	22,126,281
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	71,676,031	(71,676,031)
Debt Issuance Costs	-	-	(367,262)	367,262
OTHER FINANCING USES				
Payments to refunded bond escrow agent	-	-	(71,308,769)	71,308,769
NET CHANGE IN FUND BALANCE	<u>\$ (28,148,642)</u>	<u>\$ (28,148,642)</u>	<u>\$ (6,022,361)</u>	<u>\$ 21,391,757</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

TWELVE MONTHS ENDED SEPTEMBER 30, 2021 AND 2020



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the twelve months ended September 30, 2021 and 2020, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2021 and 2020, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
October 5, 2021

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWELVE MONTHS ENDED SEPTEMBER 30, 2021 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2021 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 9,427,500	\$ 9,427,500	\$ 14,082,547	\$ (4,655,047)
Interest Income	350,000	350,000	140,979	209,021
Other Contributions	-	-	-	-
Total Revenues	<u>9,777,500</u>	<u>9,777,500</u>	<u>14,223,526</u>	<u>(4,446,026)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,719,065	5,719,065	2,528,686	3,190,379
Management				
Construction	15,258,467	15,258,467	4,362,568	10,895,899
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>21,477,532</u>	<u>21,477,532</u>	<u>6,891,254</u>	<u>14,586,278</u>
Professional Services				
Legal & Legislative Consulting	240,000	240,000	26,971	213,029
Financial Advisor	65,000	65,000	18,300	46,700
Bond Underwriter/Conduit Issuer	12,000	12,000	14,130	(2,130)
Total Professional Services	<u>317,000</u>	<u>317,000</u>	<u>59,401</u>	<u>257,599</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	503,360	503,360	1,791,020	(1,287,660)
Monroe County	47,750	47,750	170,194	(122,444)
St. Clair County	448,890	448,890	1,598,783	(1,149,893)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>3,559,997</u>	<u>(2,559,997)</u>
Debt Service				
Principal and Interest	9,393,082	9,393,082	9,393,081	1
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,393,082</u>	<u>9,393,082</u>	<u>9,393,081</u>	<u>1</u>
Total Operating Expenses	<u>32,187,614</u>	<u>32,187,614</u>	<u>19,903,733</u>	<u>12,283,881</u>
General and Administrative Costs				
Salaries, Benefits	233,000	233,000	234,438	(1,438)
Bank Service Charges	1,000	1,000	567	433
Equipment and Software	2,000	2,000	444	1,556
Fiscal Agency Services	35,000	35,000	34,705	295
Audit Services	18,000	18,000	17,500	500
Meeting Expenses	1,000	1,000	176	824
Postage/Delivery	1,000	1,000	558	442
Printing/Photocopies	2,000	2,000	1,790	210
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	496	2,504
Telecommunications/Internet	3,000	3,000	3,338	(338)
Travel	5,000	5,000	155	4,845
Insurance	1,000	1,000	516	484
Total General & Administrative Costs	<u>315,000</u>	<u>315,000</u>	<u>294,683</u>	<u>20,317</u>
Total Expenditures	<u>32,502,614</u>	<u>32,502,614</u>	<u>20,198,416</u>	<u>12,304,198</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(22,725,114)	(22,725,114)	(5,974,890)	16,750,224
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (22,725,114)</u>	<u>\$ (22,725,114)</u>	<u>\$ (5,974,890)</u>	<u>\$ 16,750,224</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWELVE MONTHS ENDED SEPTEMBER 30, 2020 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2020 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 12,455,000	\$ 12,455,000	\$ 12,013,067	\$ 441,933
Interest Income	350,000	350,000	795,165	(445,165)
Other Contributions	-	-	-	-
Total Revenues	<u>12,805,000</u>	<u>12,805,000</u>	<u>12,808,232</u>	<u>(3,232)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,250,032	5,250,032	2,029,271	3,220,761
Construction	20,170,000	20,170,000	1,434,927	18,735,073
Construction and design by US ACE	2,772,851	2,772,851	2,445,961	326,890
Total Design and Construction	<u>28,192,883</u>	<u>28,192,883</u>	<u>5,910,159</u>	<u>22,282,724</u>
Professional Services				
Legal & Legislative Consulting	297,500	297,500	106,195	191,305
Financial Advisor	65,000	65,000	84,239	(19,239)
Debt Issuance Cost	-	-	-	-
Bond Underwriter/Conduit Issuer	12,000	12,000	12,179	(179)
Total Professional Services	<u>374,500</u>	<u>374,500</u>	<u>202,613</u>	<u>171,887</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,005,800	1,005,800	1,082,327	(76,527)
Monroe County	95,700	95,700	103,126	(7,426)
St. Clair County	898,500	898,500	976,096	(77,596)
Total Refund of Surplus Funds to County	<u>2,000,000</u>	<u>2,000,000</u>	<u>2,161,549</u>	<u>(161,549)</u>
Debt Service				
Principal and Interest	10,949,970	10,949,970	9,956,798	993,172
Federal Interest Subsidy	(853,711)	(853,711)	(259,311)	(594,400)
Total Debt Service	<u>10,096,259</u>	<u>10,096,259</u>	<u>9,697,487</u>	<u>398,772</u>
Total Operating Expenses	<u>40,663,642</u>	<u>40,663,642</u>	<u>17,971,808</u>	<u>22,691,834</u>
General and Administrative Costs				
Salaries, Benefits	220,000	220,000	210,136	9,864
Bank Service Charges	1,000	1,000	818	182
Equipment and Software	2,000	2,000	968	1,032
Fiscal Agency Services	34,000	34,000	33,085	915
Audit Services	17,000	17,000	17,000	-
Meeting Expenses	1,000	1,000	127	873
Postage/Delivery	1,000	1,000	846	154
Printing/Photocopies	2,000	2,000	1,502	498
Professional Services	-	-	-	-
Publications/Dues	-	-	60	(60)
Supplies	3,000	3,000	402	2,598
Telecommunications/Internet	3,000	3,000	2,934	66
Travel	5,000	5,000	412	4,588
Insurance	1,000	1,000	484	516
Total General & Administrative Costs	<u>290,000</u>	<u>290,000</u>	<u>268,774</u>	<u>21,226</u>
Total Expenditures	<u>40,953,642</u>	<u>40,953,642</u>	<u>18,240,582</u>	<u>22,713,060</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(28,148,642)	(28,148,642)	(5,432,350)	22,716,292
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	71,308,768	(71,308,768)
Debt Issuance Costs	-	-	(367,262)	367,262
OTHER FINANCING USES				
Payments to refunded bond escrow agent	-	-	(71,308,769)	71,308,769
NET CHANGE IN FUND BALANCE	<u>\$ (28,148,642)</u>	<u>\$ (28,148,642)</u>	<u>\$ (5,799,613)</u>	<u>\$ 22,349,031</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ONE MONTH ENDED OCTOBER 31, 2021 AND 2020



CliftonLarsonAllen

CliftonLarsonAllen LLP
www.cliftonlarsonallen.com

Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the one month ended October 31, 2021 and 2020, in accordance with accounting principles generally accepted in the United States of America. We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these financial statements.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2022 and 2021, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
November 2, 2021

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2021 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 1,307,969	\$ 12,692,031
Interest Income	50,000	50,000	2,995	\$ 47,005
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>1,310,964</u>	<u>12,739,036</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	259,075	4,938,925
Construction	12,601,700	12,601,700	3,485	12,598,215
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>262,560</u>	<u>18,037,140</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	5,099	184,901
Financial Advisor	65,000	65,000	2,850	62,150
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>7,949</u>	<u>259,051</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	1,295,379	(767,209)
Monroe County	47,900	47,900	122,883	(74,983)
St. Clair County	423,930	423,930	1,155,203	(731,273)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>2,573,465</u>	<u>(1,573,465)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	3,651,016	5,823,765
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>3,651,016</u>	<u>5,823,765</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>6,494,989</u>	<u>22,546,492</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	13,816	226,184
Bank Service Charges	1,000	1,000	46	954
Equipment and Software	2,000	2,000	176	1,824
Fiscal Agency Services	37,000	37,000	2,709	34,291
Audit Services	19,000	19,000	-	19,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	-	1,000
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	307	2,693
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	518	482
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>17,572</u>	<u>307,428</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>6,512,561</u>	<u>22,853,920</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(15,316,481)	(15,316,481)	(5,201,597)	10,114,884
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (5,201,597)</u>	<u>\$ 10,114,884</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2020 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2021 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 9,427,500	\$ 9,427,500	\$ 1,069,143	\$ 8,358,357
Interest Income	350,000	350,000	60,980	289,020
Other Contributions	-	-	-	-
Total Revenues	<u>9,777,500</u>	<u>9,777,500</u>	<u>1,130,123</u>	<u>8,647,377</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,719,065	5,719,065	181,718	5,537,347
Management				
Construction	15,258,467	15,258,467	1,555,459	13,703,008
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>21,477,532</u>	<u>21,477,532</u>	<u>1,737,177</u>	<u>19,740,355</u>
Professional Services				
Legal & Legislative Consulting	240,000	240,000	3,573	236,427
Financial Advisor	65,000	65,000	900	64,100
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>317,000</u>	<u>317,000</u>	<u>4,473</u>	<u>312,527</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	503,360	503,360	1,028,206	(524,846)
Monroe County	47,750	47,750	97,832	(50,082)
St. Clair County	448,890	448,890	918,516	(469,626)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>2,044,554</u>	<u>(1,044,554)</u>
Debt Service				
Principal and Interest	9,393,082	9,393,082	3,621,265	5,771,817
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,393,082</u>	<u>9,393,082</u>	<u>3,621,265</u>	<u>5,771,817</u>
Total Operating Expenses	<u>32,187,614</u>	<u>32,187,614</u>	<u>7,407,469</u>	<u>24,780,145</u>
General and Administrative Costs				
Salaries, Benefits	233,000	233,000	19,040	213,960
Bank Service Charges	1,000	1,000	46	954
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	35,000	35,000	2,490	32,510
Audit Services	18,000	18,000	-	18,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	-	1,000
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	10,000	10,000	15	9,985
Supplies	3,000	3,000	95	2,905
Telecommunications/Internet	3,000	3,000	278	2,722
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	516	484
Total General & Administrative Costs	<u>315,000</u>	<u>315,000</u>	<u>22,480</u>	<u>292,520</u>
Total Expenditures	<u>32,502,614</u>	<u>32,502,614</u>	<u>7,429,949</u>	<u>25,072,665</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(22,725,114)	(22,725,114)	(6,299,826)	16,425,288
NET CHANGE IN FUND BALANCE	<u>\$ (22,725,114)</u>	<u>\$ (22,725,114)</u>	<u>\$ (6,299,826)</u>	<u>\$ 16,425,288</u>

Flood Prevention District Sales Tax Trends 2009-2021

	2009												County Share	
	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	
Total Month	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
Total Month	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
Total Month	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
Total Month	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
Total Month	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
Total Month	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
Total Month	\$822,161	\$813,702	\$954,534	\$937,146	\$987,590	\$1,018,166	\$933,276	\$955,803	\$955,617	\$952,766	\$948,234	\$1,199,694	\$11,478,688	
Total Month	\$808,455	\$856,751	\$995,459	\$928,154	\$974,167	\$1,021,380	\$933,254	\$936,644	\$960,144	\$949,471	\$981,877	\$1,205,068	\$11,550,824	
Madison	\$415,258	\$430,036	\$504,211	\$491,654	\$526,342	\$520,314	\$491,546	\$503,604	\$498,967	\$498,088	\$515,011	\$590,042	\$5,985,073	49.747%
St. Clair	\$372,274	\$401,535	\$478,561	\$447,083	\$470,278	\$475,488	\$434,801	\$452,641	\$452,607	\$448,381	\$469,634	\$571,184	\$5,474,466	45.503%
Monroe	\$41,692	\$40,595	\$46,453	\$46,375	\$48,562	\$48,715	\$46,928	\$47,831	\$49,327	\$49,432	\$48,091	\$57,529	\$571,530	4.750%
Total Month	\$829,224	\$872,167	\$1,029,226	\$985,112	\$1,045,182	\$1,044,517	\$973,275	\$1,004,076	\$1,000,900	\$995,901	\$1,032,735	\$1,218,755	\$12,031,070	
Cumulative Total	\$829,224	\$1,701,391	\$2,730,617	\$3,715,729	\$4,760,911	\$5,805,428	\$6,778,703	\$7,782,779	\$8,783,679	\$9,779,580	\$10,812,315	\$12,031,070		
% change/month	2.57%	1.80%	3.39%	6.14%	7.29%	2.27%	4.29%	7.20%	4.24%	4.89%	5.18%	1.14%		
% change/total	2.57%	2.17%	2.63%	3.54%	4.34%	3.96%	4.01%	4.41%	4.39%	4.44%	4.51%	4.16%		

Flood Prevention District Sales Tax Trends 2009-2021

2018

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$443,850	\$446,844	\$534,938	\$504,589	\$559,962	\$545,446	\$513,918	\$523,807	\$504,297	\$520,584	\$529,285	\$582,616	\$6,210,136
St. Clair	\$396,750	\$397,343	\$483,255	\$441,038	\$487,559	\$491,515	\$446,749	\$464,302	\$454,152	\$453,626	\$478,027	\$553,293	\$5,547,610
Monroe	\$43,425	\$40,823	\$48,929	\$47,933	\$54,616	\$50,298	\$49,686	\$48,134	\$49,971	\$53,079	\$48,778	\$55,188	\$590,860
Total Month	\$884,025	\$885,009	\$1,067,122	\$993,560	\$1,102,138	\$1,087,259	\$1,010,353	\$1,036,244	\$1,008,420	\$1,027,289	\$1,056,091	\$1,191,097	\$12,348,606
Cumulative Total	\$884,025	\$1,769,033	\$2,836,155	\$3,829,715	\$4,931,852	\$6,019,112	\$7,029,465	\$8,065,709	\$9,074,129	\$10,101,418	\$11,157,509	\$12,348,606	
% change/month	6.61%	1.47%	3.68%	0.86%	5.45%	4.09%	3.81%	3.20%	0.75%	3.15%	2.26%	-2.27%	
% change/total	6.61%	3.98%	3.87%	3.07%	3.59%	3.68%	3.70%	3.64%	3.31%	3.29%	3.19%	2.64%	2.64%

2019

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$440,766	\$292,073	\$541,776	\$564,760	\$566,362	\$544,462	\$527,639	\$526,931	\$511,146	\$535,370	\$522,630	\$600,778	\$6,174,691
St. Clair	\$372,163	\$384,592	\$481,112	\$452,586	\$483,774	\$478,864	\$449,788	\$464,817	\$460,785	\$458,317	\$468,266	\$551,365	\$5,506,430
Monroe	\$43,983	\$39,680	\$50,326	\$51,795	\$53,953	\$47,416	\$49,435	\$46,984	\$46,829	\$48,537	\$49,992	\$56,827	\$585,757
Total Month	\$856,912	\$716,345	\$1,073,214	\$1,069,141	\$1,104,088	\$1,070,741	\$1,026,862	\$1,038,732	\$1,018,760	\$1,042,225	\$1,040,888	\$1,208,970	\$12,266,878
Cumulative Total	\$856,912	\$1,573,257	\$2,646,470	\$3,715,611	\$4,819,699	\$5,890,441	\$6,917,303	\$7,956,035	\$8,974,795	\$10,017,020	\$11,057,908	\$12,266,878	
% change/month	-3.07%	-19.06%	0.57%	7.61%	0.18%	-1.52%	1.63%	0.24%	1.03%	1.45%	-1.44%	1.50%	
% change/total	-3.07%	-11.07%	-6.69%	-2.98%	-2.27%	-2.14%	-1.60%	-1.36%	-1.09%	-0.84%	-0.89%	-0.66%	-0.66%

2020

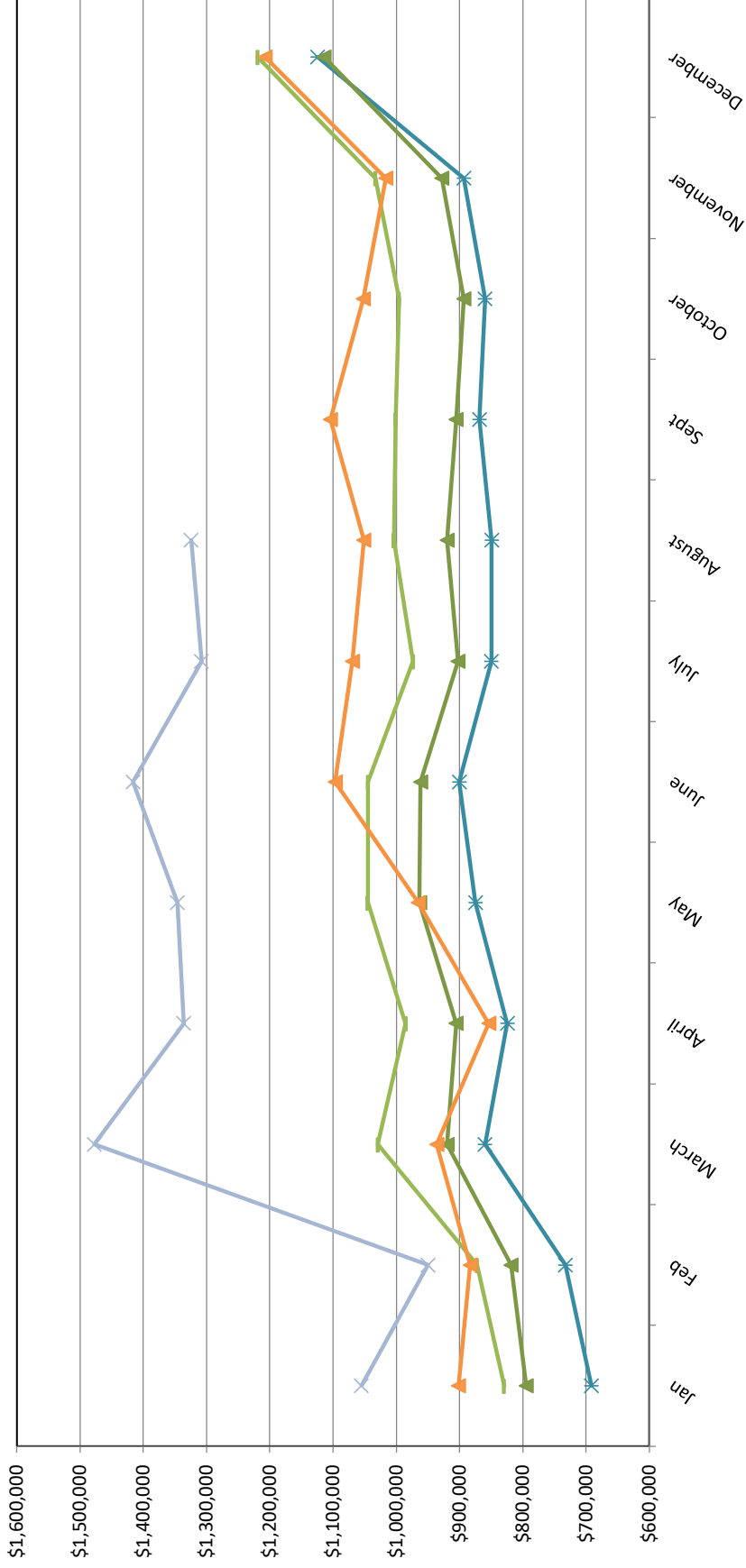
	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$472,182	\$466,118	\$493,289	\$467,218	\$530,655	\$571,977	\$555,247	\$560,776	\$592,392	\$551,653	\$529,715	\$620,174	\$6,411,394
St. Clair	\$385,272	\$377,521	\$396,834	\$341,150	\$386,103	\$473,350	\$463,828	\$442,181	\$461,983	\$450,531	\$438,124	\$529,077	\$5,145,953
Monroe	\$44,081	\$39,874	\$45,921	\$45,337	\$48,466	\$51,284	\$50,068	\$48,676	\$50,084	\$50,322	\$48,945	\$58,392	\$581,449
Total Month	\$901,535	\$883,512	\$936,044	\$853,705	\$965,224	\$1,096,611	\$1,069,143	\$1,051,633	\$1,104,459	\$1,052,506	\$1,016,784	\$1,207,643	\$12,138,797
Cumulative Total	\$901,535	\$1,785,047	\$2,721,090	\$3,574,795	\$4,540,019	\$5,636,629	\$6,705,772	\$7,757,405	\$8,861,864	\$9,914,370	\$10,931,154	\$12,138,797	
% change/month	5.21%	23.34%	-12.78%	-20.15%	-12.58%	2.42%	4.12%	1.24%	8.41%	0.99%	-2.32%	-0.11%	
% change/total	5.21%	13.46%	2.82%	-3.79%	-5.80%	-4.31%	-3.06%	-2.50%	-1.26%	-1.02%	-1.15%	-1.04%	-1.04%

2021

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total
Madison	\$546,481	\$484,478	\$750,438	\$680,316	\$684,154	\$715,483	\$661,885	\$675,087					\$5,198,322
St. Clair	\$453,593	\$417,069	\$657,225	\$590,473	\$595,146	\$633,500	\$581,052	\$582,728					\$4,510,786
Monroe	\$54,905	\$48,107	\$69,770	\$65,305	\$67,044	\$66,892	\$65,032	\$66,336					\$503,391
Total Month	\$1,054,979	\$949,654	\$1,477,433	\$1,336,095	\$1,346,344	\$1,415,874	\$1,307,969	\$1,324,151					\$10,212,499
Cumulative Total	\$1,054,979	\$2,004,633	\$3,482,066	\$4,818,161	\$6,164,505	\$7,580,379	\$8,888,348	\$10,212,499					
% change/month	17.02%	7.49%	57.84%	56.51%	39.49%	29.11%	22.34%	25.91%					
% change/total	17.02%	12.30%	27.97%	34.78%	35.78%	34.48%	32.55%	31.65%					

Flood Prevention District Sales Tax Trends 2009-2021

Monthly Receipts 2009-2013-2017-2020-2021



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
August 31, 2021**

Beginning Bank Balance as of August 1, 2021 **\$ 1,000,376.32**

Receipts

Busey Bank	08/02/2021	Admin Transfer	22,895.86
Busey Bank	08/02/2021	Construction Transfer	246,194.85
Busey Bank	08/15/2021	Admin Transfer	1,436.12
Busey Bank	08/15/2021	Construction Transfer	169,328.01
Busey Bank	08/31/2021	Interest	217.94

\$ 440,072.78

Disbursements

Husch Blackwell	08/03/2021	Legal	18,443.60
Busey Bank	08/03/2021	Wire Fee	15.00
Busey Bank	08/03/2021	Wire Fee	15.00
Wood Environmental	08/09/2021	Construction	217,114.30
AT&T	08/10/2021	Telephone/Tablet	100.94
CliftonLarsonAllen LLP	08/11/2021	Fiscal Agent	1,290.00
Husch Blackwell	08/13/2021	Legal	10,636.95
Office Depot	08/13/2021	Supplies	37.48
Busey Bank	08/15/2021	Wire Fee	15.00
Busey Bank	08/15/2021	Wire Fee	15.00
United States Postal Service	08/16/2021	Postage	7.70
Cost Less Copy Center	08/18/2021	Printing	312.00
MetroEast Parks & Rec District	08/18/2021	Internet	720.00
UMB Bank	08/18/2021	Trustee Fees	3,460.90
United States Postal Service	08/18/2021	Postage	38.50
East-West Gateway Council of Governments	08/19/2021	Supervisor Mgmt Services	18,133.37
CliftonLarsonAllen LLP	08/24/2021	Fiscal Agent	1,290.00
Office Depot	08/24/2021	Supplies	21.74
Charles Etwert	08/25/2021	Expense Reimbursement	329.77
Columbia Capital	08/31/2021	Financial Advisor	900.00
Busey Bank	08/31/2021	Bank Fee	16.50

\$ 272,913.75

\$ 1,167,535.35

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
 SUPPLEMENTARY SUPPORTING SCHEDULE
 BANK TRANSACTIONS
 September 30, 2021**

Beginning Bank Balance as of September 1, 2021			\$ 1,167,535.35
Receipts			
Busey Bank	09/21/2021	Admin Transfer	22,236.32
Busey Bank	09/21/2021	Construction Transfer	399,128.02
Busey Bank	09/30/2021	Interest	41.88
			\$ 421,406.22
Disbursements			
Wood Environmental	09/08/2021	Construction	367,754.81
AT&T	09/10/2021	Telephone/Tablet	100.94
CliftonLarsonAllen LLP	09/15/2021	Fiscal Agent	1,290.00
Busey Bank	09/21/2021	Wire Fee	15.00
Busey Bank	09/21/2021	Wire Fee	15.00
East-West Gateway Council of Governments	09/21/2021	Supervisor Mgmt Services	18,996.85
Husch Blackwell	09/30/2021	Legal	10,346.50
Busey Bank	09/30/2021	Bank Fee	15.45
			\$ 398,534.55
			\$ 1,190,407.02

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
October 31, 2021**

Beginning Bank Balance as of October 1, 2021 **\$ 1,190,407.02**
Receipts

Busey Bank	10/27/2021	Admin Transfer	38,895.57
Busey Bank	10/27/2021	Construction Transfer	279,804.07
Busey Bank	10/31/2021	Interest	45.44

\$ 318,745.08

Disbursements

Wood Environmental	10/13/2021	Construction	259,074.57
AT&T	10/13/2021	Telephone/Tablet	100.41
CliftonLarsonAllen LLP	10/13/2021	Fiscal Agent	3,870.00
Busey Bank	10/27/2021	Wire Fee	15.00
Busey Bank	10/27/2021	Wire Fee	15.00
East-West Gateway Council of Governments	10/22/2021	Supervisor Mgmt Services	13,815.91
Husch Blackwell	10/21/2021	Legal	8,583.00
Busey Bank	10/31/2021	Bank Fee	15.60
Columbia Capital	10/01/2021	Financial Advisor	900.00
Selective Insurance Co of America	10/04/2021	Insurance	518.00
CliftonLarsonAllen LLP	10/22/2021	Fiscal Agent	1,354.50
Columbia Capital	10/27/2021	Financial Advisor	900.00
Columbia Capital	10/27/2021	Financial Advisor	1,950.00
CDW Government	10/04/2021	Equipment & Software	135.79
Webroot Software	10/04/2021	Domain	63.75
Avangate Inc.	10/13/2021	Office Equipment	40.36
HostGator.com	10/21/2021	Domain	143.40

\$ 291,495.29

\$ 1,217,656.81



Memo to: Board of Directors
From: Chuck Ewert
Subject: Design and Construction Update
Date: November 15, 2021

Attached is Wood's Design and Construction Update, which Jay Martin will present at the meeting.

Recommendation: Accept the November Design and Construction Update by Wood Environment & Infrastructure Solutions, Inc.



Progress Report November 17, 2021

Southwestern Illinois Levee Systems

By Jay Martin

woodplc.com



Authorized Level (~500-Year) Design

Wood River (Bid Packages 8, 9, & 10)

- Bid Package 8
 - **USACE has awarded the project**
- Bid Packages 9
 - **Currently being coordinated with USACE**
 - **One large seepage berm in Roxanna**
- Bid Package 10
 - Because cost share requirements will have been met, this package is not anticipated to be designed/constructed by FPD Council.



Authorized Level (~500-Year) Design

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Packages 11
 - Currently being designed
 - **Submitted to USACE for ATR Review on June 28.**
 - **ATR Review has not started.**
 - **Award shifts from Nov 2021 to (at least) May 2022.**
- Bid Package 12
 - Being Designed/Constructed by USACE
 - Land acquisition is underway

3

A presentation by Wood.



Authorized Level (~500-Year) Design

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 14A
 - Construction is complete
 - Closeout documentation is underway
- Bid Package 14B
 - Filter Blanket Under I-70 Bridge
 - Construction Postponed until USACE MESD Deep Cutoff Wall Project is complete
 - ROW Acquisition is underway

4

A presentation by Wood.



Authorized Level (~500-Year) Design

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 18
 - **Construction is complete**
 - **Closeout documentation is underway**
- Bid Package 18A
 - Pump Station Work in Cahokia, IL
 - Scope is being developed and will be coordinated with USACE.

5

A presentation by Wood.



Authorized Level (~500-Year) Design

Prairie du Pont & Fish Lake (Bid Packages 15, 16, & 17)

- Still working with USACE to validate solutions.
- 100% FPD Council funded design and construction (No Federal match)
- Environmental permitting coordination with USACE is underway.
- **Lab Work is ongoing for Summer 2021 borings**
- **Phase III Drilling Plan submitted Oct. 10**

6

A presentation by Wood.



wood.

woodplc.com



Memo to: Board of Directors
From: Chuck Ewert
Subject: Directors & Officers Liability Coverage
Date: November 15, 2021

Last month, John Conrad asked that I look into obtaining Directors & Officers (D&O) Liability Coverage for the Council. To date, the Council had only had a Commercial Insurance Policy involving property, general liability and crime coverage. It is a modest policy with annual cost of \$518.00.

I requested C J Thomas Company, our insurance agent, to check with our current provider, Selective Insurance Company of the Southeast regarding D&O coverage. Selective Insurance and other companies declined to offer since the Council is government related.

However, C J Thomas was able to get a quote from Travelers Casualty and Surety Company of America. Travelers has offered a coverage of \$1,000,000 for a one year term for \$5,580.00. I have attached Travelers' proposal.

There are funds available in the FY 2022 budget if the Board would like to obtain the coverage.





Wrap+®

Jim Kiburz

PO Box 64094

St. Paul, MN 55102-0094

Phone: (314) 579-8326

Email: JKIBURZ@travelers.com

November 2, 2021

LUKE WITTENBERG
C J THOMAS COMPANY
800 MARKET ST STE 1655
SAINT LOUIS, MO 63101

RE: Insured Name: SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
104 UNITED DR
COLLINSVILLE, IL 62234-7433

Expiring Policy Number: N/A

Policy Period: November 15, 2021 to November 15, 2022

Dear LUKE WITTENBERG:

On behalf of **Travelers Casualty and Surety Company of America** we are pleased to provide the attached proposal of insurance for your review.

The quotes contained in this document are valid until the expiration of your current policy, and are subject to the provision of, and Travelers' review and acceptance of, the required underwriting information noted in the Contingencies section. Travelers reserves the right to change the quotes in this document, or to refuse to bind coverage entirely, based on review of the required underwriting information or based on adverse change in the risk(s) to be insured prior to the quote expiration date noted in this document.

Travelers is pleased to offer Risk Management PLUS+ Online[®], the industry's most comprehensive program for mitigating your management liability exposures, which is available to you at no additional cost. Please visit www.rmplusonline.com to view the services that are available. If you have additional questions about the site please contact your Underwriter.

Travelers Casualty and Surety Company of America, a subsidiary of The Travelers Companies, Inc., has consistently earned high ratings for financial strength and claims-paying ability from independent rating services, including a current A.M. Best rating of A++*. Founded in 1853, The Travelers Companies, Inc. is a Fortune 500 company, a component of the Dow Jones Industrial Average, and a leading provider of property casualty insurance for businesses.

Thank you for considering Travelers for your client's insurance coverages. We look forward to discussing this opportunity with you.

Sincerely,

Jim Kiburz

Travelers Bond & Specialty Insurance

*A.M. Best's rating of A++ applies to Travelers Casualty and Surety Company of America as well as to certain insurance subsidiaries of Travelers that are members of the Travelers Insurance Companies pool; other subsidiaries are included in another rating pool or are separately rated. For a listing of companies rated by A.M. Best and other rating services visit www.travelers.com. Ratings listed herein are as of October 2019, are used with permission, and are subject to changes by the rating services. For the latest rating, access www.ambest.com.

Travelers Casualty and Surety Company of America
QUOTE OPTION #1

LIABILITY COVERAGES:

Coverage	Limit	Additional Defense Limit	Retention	Continuity Date	Prior & Pending Proceeding Date
Non-Profit D&O	\$1,000,000	N/A	\$0 (A) \$10,000 (B) \$10,000 (C)	Inception	Inception

TOTAL ANNUAL PREMIUM - \$5,580.00

(Other term options listed below, if available)

COVERAGE DETAILS:

Supplemental Personal Indemnification Coverage: N/A

for all Non-Profit Organization Directors and Officers Liability **Claims**

LIMIT DETAIL:

Shared Additional Defense Limit of Liability: N/A

EXTENDED REPORTING PERIOD AND RUN-OFF:

Extended Reporting Period for Liability Coverages:

Additional Premium Percentage: 75%
 Additional Months: 12

Run-Off Extended Reporting Period for Liability Coverages:

Additional Premium Percentage: N/A
 Additional Months: N/A

CLAIM DEFENSE FOR ASSOCIATION MANAGEMENT LIABILITY COVERAGE, LIABILITY COVERAGES AND/OR CYBER COVERAGE:

Duty to Defend

ANNUAL REINSTATEMENT:

Liability Coverage Limit of Liability: N/A

PREMIUM DETAIL:

Term	Payment Type	Premium	Taxes	Surcharges	Total Premium	Total Term Premium
1 Year	Prepaid	\$5,580.00	\$0.00	\$0.00	\$5,580.00	\$5,580.00

POLICY FORMS APPLICABLE TO QUOTE OPTION # 1:

NDO-2001-0109 Non Profit Organization Directors and Officers Liability Declarations Page
 NDO-3001-0109 Non Profit Organization Directors and Officers Liability Policy

ENDORSEMENTS APPLICABLE TO QUOTE OPTION # 1:

ACF-7006-0511 Removal of Short-Rate Cancellation Endorsement
 AFE-19029-0719 Cap On Losses From Certified Acts Of Terrorism Endorsement
 AFE-19030-0920 Federal Terrorism Risk Insurance Act Disclosure Endorsement
 LIA-19097-0315 Global Coverage Compliance Endorsement
 LIA-3001-0109 Liability Coverage Terms and Conditions

LIA-4008-0214	Illinois Liability Coverage Terms and Conditions Changes Endorsement
LIA-5013-1107	Illinois Cancellation and Nonrenewal Endorsement
LIA-7112-0109	Broad Property Damage Exclusion Endorsement
LIA-7115-0911	Amend Definition of Subsidiary Endorsement
NDO-19023-0919	Biometric Data Exclusion Endorsement
NDO-4003-1117	Illinois Changes Endorsement
NDO-7002-0109	Amend Contract Exclusion Endorsement
NDO-7028-0109	Addition of Securities Exclusion Endorsement

CONTINGENCIES APPLICABLE TO QUOTE OPTION # 1:

This quote is contingent on the acceptable underwriting review of the following information prior to the quote expiration date.

None

QUOTE NOTES:

NOTICES:

It is the agent's or broker's responsibility to comply with any applicable laws regarding disclosure to the policyholder of commission or other compensation we pay, if any, in connection with this policy or program.

Important Notice Regarding Compensation Disclosure

For information about how Travelers compensates independent agents, brokers, or other insurance producers, please visit this website: http://www.travelers.com/w3c/legal/Producer_Compensation_Disclosure.html

If you prefer, you can call the following toll-free number: 1-866-904-8348. Or you can write to us at Travelers, Agency Compensation, P.O. Box 2950, Hartford, CT 06104-2950.

FEDERAL TERRORISM RISK INSURANCE ACT DISCLOSURE

The federal Terrorism Risk Insurance Act of 2002 as amended ("TRIA"), establishes a program under which the Federal Government may partially reimburse "Insured Losses" (as defined in TRIA) caused by "Acts Of Terrorism" (as defined in TRIA). Act Of Terrorism is defined in Section 102(1) of TRIA to mean any act that is certified by the Secretary of the Treasury - in consultation with the Secretary of Homeland Security and the Attorney General of the United States - to be an act of terrorism; to be a violent act or an act that is dangerous to human life, property, or infrastructure; to have resulted in damage within the United States, or outside the United States in the case of certain air carriers or vessels or the premises of a United States Mission; and to have been committed by an individual or individuals as part of an effort to coerce the civilian population of the United States or to influence the policy or affect the conduct of the United States Government by coercion.

The Federal Government's share of compensation for such Insured Losses is 80% of the amount of such Insured Losses in excess of each Insurer's "Insurer Deductible" (as defined in TRIA), subject to the "Program Trigger" (as defined in TRIA).

In no event, however, will the Federal Government be required to pay any portion of the amount of such Insured Losses occurring in a calendar year that in the aggregate exceeds \$100 billion, nor will any Insurer be required to pay any portion of such amount provided that such Insurer has met its Insurer Deductible. Therefore, if such Insured Losses occurring in a calendar year exceed \$100 billion in the aggregate, the amount of any payments by the Federal Government and any coverage provided by this policy for losses caused by Acts Of Terrorism may be reduced.

For each coverage provided by this policy that applies to such Insured Losses, the charge for such Insured Losses is no more than one percent of your premium, and does not include any charge for the portion of such Insured Losses covered by the Federal Government under TRIA. Please note that no separate additional premium charge has been made for coverage for Insured Losses covered by TRIA. The premium charge that is allocable to such coverage is inseparable from and imbedded in your overall premium.

Coverage Disclaimer:

THIS QUOTE DOES NOT AMEND, OR OTHERWISE AFFECT, THE PROVISIONS OR COVERAGE OF ANY RESULTING INSURANCE POLICY ISSUED BY TRAVELERS. IT IS NOT A REPRESENTATION THAT COVERAGE DOES OR DOES NOT EXIST FOR ANY PARTICULAR CLAIM OR LOSS UNDER ANY SUCH POLICY. COVERAGE DEPENDS ON THE APPLICABLE PROVISIONS OF THE ACTUAL POLICY ISSUED, THE FACTS AND CIRCUMSTANCES INVOLVED IN THE CLAIM OR LOSS AND ANY APPLICABLE LAW.

THE PRECEDING OUTLINES THE COVERAGE FORMS, LIMITS OF INSURANCE, POLICY ENDORSEMENTS AND OTHER TERMS AND CONDITIONS PROVIDED IN THIS QUOTE. ANY POLICY COVERAGES, LIMITS OF INSURANCE, POLICY ENDORSEMENTS, COVERAGE SPECIFICATIONS, OR OTHER TERMS AND CONDITIONS THAT YOU HAVE REQUESTED THAT ARE NOT INCLUDED IN THIS QUOTE HAVE NOT BEEN AGREED TO BY TRAVELERS. PLEASE REVIEW THIS QUOTE CAREFULLY AND IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR TRAVELERS REPRESENTATIVE.

Affiliate (non-Subsidiary) Coverage Disclaimer:

Regardless of the submission of information or typical availability of coverage for any entity that is not a Subsidiary of the Named Insured, **such entity is not covered by the Policy unless an endorsement is provided that specifically schedules it.** Under the Wrap+® policy, coverage is generally afforded to the following entities (unless otherwise excluded): (1) the Named Insured and (2) its majority-owned Subsidiaries. A Subsidiary is defined in each coverage part of the Wrap+® policy and the definition can vary between coverage parts. An affiliate is not defined but generally has some ownership and/or management in common with the Named Insured or its Subsidiaries (but itself is not a Subsidiary of either one). Affiliate coverage will not be considered on a blanket basis nor will an individual entity be scheduled without proper underwriting information (please contact your underwriter to discuss specific requirements). For an actual description of coverages, terms and conditions, refer to the Policy. Sample policies can be found on the travelers.com website or contact your underwriter.



Memo to: Board of Directors
From: Chuck Etwert
Subject: East St. Louis Deep Cutoff Wall – Spire Relocation Additional Charge
Date: November 15, 2021

On May 15, 2019, the Council approved the relocation of a Spire steel propane main at an estimated cost of \$289, 586.00.

This was an estimated cost and upon completion of the work, Spire would compute the actual cost of the work and any difference between the amount of this payment and the actual cost would be either paid by the FPDC or refunded to the FPDC by Spire as the case may be.

Unfortunately, due to delays in the project, USACE specified compaction, and increased costs for materials and labor, the cost of the relocation increased by \$102,292.02 (copy attached). In August of last year, Spire provided an advanced notice of an increase in the \$100,000 range (Spire August 7, 2020 letter attached). The relocation was completed earlier this year, however, MESD just received the invoice on November 10th.

As previously explained, it is the Non-Federal Sponsor's responsibility to obtain all land, easements rights-of-way, relocations and disposal area (LERRDs) necessary for the construction of Authorized Level Improvements. Per the existing Spire easement agreement, MESD is responsible for this relocation. Fortunately, LERRD costs are eligible to be part of the Non-Federal sponsor's thirty five percent share of overall project costs.

Recommendation: Authorize the Chief Supervisor to pay Spire NGL Inc. \$102,292.02 for the additional costs involved in the relocation of a 10” steel pipe gas main in conjunction with the Corps of Engineers’ East St. Louis Deep Cutoff Wall Project.’



Statement Date: 03/10/2021
 Account Number: 3676059636
 Service Address:

Customer service or gas emergencies: 800-887-4173

Bill at a Glance	Amount
Previous Balance	0.00
Total Current Charges	102,292.02
Total Balance	102,292.02
Amount Due	\$102,292.02
Due By	04/09/21



17558 1 AB 0.428 ADC 630

>021033 6528569 0002 092049 10Z

Metro East Sanitary District
 1800 Edison Ave

Attn: Scott Hillman

Granite City, IL 62040-4609

Present Reading	Previous Reading	Usage	BTU Factor	=

Important Message

Other Charges	102,292.02
Relocate gas main over USACE levee. Project 026472	
Relocation	102292.02
Total Current Charges	\$102,292.02

RECEIVED
 NOV 10 2021

Rich Lane

Please retain this portion for your records. See back of bill for other convenient ways to pay.

Please return this portion with your payment. We ask that you please don't fold, staple or paper clip payment to your bill.

Save a stamp. Go paperless.

Account Number: 3676059636
 Service Address:



Amount Due **\$102,292.02**
 Due By **04/09/21**



Check the box to the left. DollarHelp is an easy way to help your neighbors who struggle to pay their heating

bills. Share the warmth by checking the red box here or signing up at DollarHelp.org. Together, we can make a difference.

Make Check Payable to:

Spire
 Drawer 2
 St. Louis, MO 63171

Amount Enclosed: \$



Please do not write below.

367605963650010229202





August 7, 2020

Mr. Scott Hillman
Superintendent Maintenance and Operations
Metro East Sanitary District
1800 Edison Ave
Granite City, IL 62040-1366

Re: Spire NGL Main Relocation – Cutoff Wall Project for MESD (Job 182401)
Spire Estimated Costs Update Letter - Spire Work Order 02647201

Dear Mr. Hillman,

Please let this letter serve as explanation for an expected cost increase for the upcoming construction of the gas line infrastructure in conflict with the proposed cutoff wall project (Job 182401). The relocation agreement, signed 5/24/19, originally provided an estimated total cost of adjustment of \$289,586. Per the relocation agreement, MESD provided payment of the estimated costs prior to start of work with the further agreement that after work is complete, to pay additional charges based on actual costs.

Spire received updated bids from our contractors due to the time elapsed from the original estimate and based on the recent recommendation from USACE/ MESD to remove the pipe and backfill to USACE specified compaction. There were additional manhours required from our contractor to remove the pipe. There was also an increase for materials and labor due to a couple rate increases for the trades since the initial estimate/budget. Therefore, the costs for this relocation are expected to increase by ~\$100,000.

We just wanted to provide advance notice so there were no surprises at the end of the project when the final invoice was sent to MESD. Spire has made good progress towards lining up our contractors and preparing for the relocation of ~325 feet of 10-inch steel main at the USACE levee crossing to meet the project deadline.

Thank you for your time with regards to this matter. We were originally working with Mr. Donald Sawicki, but it appears he is no longer a Commissioner. Therefore, please pass this notice on to the appropriate person(s). If you have any questions or need anything further from Spire, please contact me at (314) 768-7767.

Copy: Lan Lam – Manager, Storage & Propane Operations - Spire
Michelle Piry-Haines – Manager, Construction Services - Spire

Sincerely,

Brian Langenbacher, P.E.
Spire
Area Manager, Construction Engineering
(314) 768-7767
Brian.Langenbacher@SpireEnergy.com



Memo to: Board of Directors
From: Chuck Ewert
Subject: Release of Executive Session Minutes
Date: November 15, 2021

Pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

There have been no executive sessions since the release of meeting minutes earlier this year in May, therefore at this time, there are no minutes to be released. A Public Statement identifying those meeting minutes that must remain confidential is attached.

All minutes released are available for public inspection at the Council's office.

Recommendation:

Accept the Public Statement identifying minutes that must remain confidential.



PUBLIC STATEMENT

Pursuant to 5ILCS 120/2.06(d), the Board of Directors of the Southwestern Illinois Flood Prevention District Council reviewed the subject matter and discussion of the following meetings and now report in open session that the minutes of these meetings no longer require confidential treatment and are available for public inspection at the Council's office:

There are no meeting minutes being released at this time.

Furthermore, the need for confidentiality still exists as to all or part of the following meeting minutes:

February 18, 2015*	July 20, 2016*	March 15, 2017
March 18, 2015*	August 17, 2016*	May 17, 2017
April 15, 2015*	September 21, 2016*	March 15, 2017
May 20, 2015*	October 14, 2016*	December 20, 2017
June 17, 2015*	September 21, 2016*	May 15, 2019
November 18, 2015*	October 14, 2016*	July 17, 2019
February 17, 2016*	November 16, 2016*	August 21, 2019
June 15, 2016*	December 21, 2016*	August 19, 2020
		September 16, 2020

* The necessity of keeping some information closed still exists, meeting minutes with redactions were released June 21, 2017



Memo to: Board of Directors
From: Chuck Ewert
Subject: Corps of Engineers Update
Date: November 15, 2021

Attached is Tracey Kelsey's Corps of Engineers Update, which Tracey will present at the meeting.

Recommendation: Accept the November Corps of Engineers Update by Tracey Kelsey.

Project Status

Metro East Projects, IL

East St Louis

- **Cutoff Wall/Jet Grout**
 - Continue installation of jet grout columns

- **Relief Well package 12 Phase 1**
 - Awarded to Davinroy Mechanical
 - NTP issued04 Oct 21

- **Bid Package 12 Phase 2 (combination of Phase 2 and Phase 3)**
 - PDT continues with design
 - Coordination with IDOT, TRRA, Veolia, Alton-Southern
 - Contract Award3Q 2023

- **Bid Package 14 Phase 2 – Berms (Dependent on funding)** FY 23



Project Status

Metro East Projects, IL

Wood River

- **Canal Road Pump No. 1 [“BP-8”; 1PS and 22 RWs]**
 - Contract awarded
 - Magruder Construction Company, Inc, \$6,008,23804Nov21

- **Relief Well package #1 – 24 wells**
 - Contract award (on track)24Nov21

- **Canal Road Pump No. 2, 3, and 4 [designed by MVS A/E]**
 - USACE moving forward with PS 2 and PS 3 contract
 - Contract award
 - Easement impasse with the Village of Roxana
 - Berm solution under review
 - ✓ Interior Drainage
 - ✓ Basin footprint
 - ✓ Use of detention material/RW No. 3 for berm fill
 - ✓ Finalize government cost estimate for berm solution
 - ✓ Levee Safety Officer has no objections to the berm solutionJune 2022



Metro East Projects, IL

Project Status

Wood River

Additional federal funds required for:

- **Pump Station Modifications** (add pump to WR; replace pump at Hawthorne)
 - Anticipated to be awarded after BP-8, RW#1, and PS
- **Relief Well package #2 and #3 – 56 and 37 RWs**
 - Design complete in FY22
 - ROW certification pending
 - Contract award delayed to FY23 due to available funds (will re-assess after award of first 3 contracts)
- **Relief Well #2 Ditch work**
 - Design Ongoing – exploring options to address the area in Reach 2 north of Olin and Koch

LERRD credit requests can be submitted at any time – recommend not waiting until end of project

File Name



Metro East Projects, IL

Project Status

Mel Price Segment of Upper Wood River

- Reach 1 Relief Wells contract award – working through contractor submittals
- Reach 2 Relief Wells BCOES certification 30Sep21
- Reach 2 Relief Wells ROW certification 18Feb22
- Reach 2 Relief Wells contract award 13Jun22
- Complete Mitigation FY22
- OMRR&R Payment to Wood River Drainage and Levee District FY23
- Project Closeout FY24
- **Challenges**
 - Continued IOP execution
 - Real Estate Acquisition (MVS action since 100% federally funded)

File Name



Metro East Projects, IL

Project Status

Prairie du Pont and Fish Lake

- Continue coordination with Wood on current bid package
- Currently reviewing drilling plan submittal

File Name

