



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING

November 16, 2022 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
Debra Moore, President
2. Roll Call
Max Merz, Secretary/Treasurer
3. Approval of Minutes of August 17, 2022
4. Public Comment on Pending Agenda Items
5. Program Status Report
Chuck Etwert, Chief Supervisor
6. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
7. Design and Construction Update
Jay Martin, WSP USA Environment & Infrastructure Inc.
8. Release of Executive Session Minutes
Chuck Etwert, Chief Supervisor
9. Update from Corps of Engineers
Tracey Kelsey, U.S. Army Corps of Engineers

AGENDA

10. Public Comment

Executive Session – Purchase or Lease of Real Property

11. Real Estate transactions (if necessary)

12. Other Business

13. Adjournment

Next Meeting: January 18, 2023

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING August 17, 2022

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday August 17, 2022.

Members in Attendance

Max Merz III, President (Madison County Flood Prevention District)
Debra Moore, Vice-President (Chair, St. Clair County Flood Prevention District)
John Conrad, Secretary/Treasurer (Chair, Monroe Flood Prevention District)
Bruce Brinkman, Monroe County Flood Prevention District
Isabelle Crockett, St. Clair County Flood Prevention District
Aaron Metzger, Monroe County Flood Prevention District
David Schwind, Madison County Flood Prevention District

Members Absent

Alvin Parks, Jr., St. Clair County Flood Prevention District

Others in Attendance

Chuck Etwert, SW Illinois FPD Council
Randy Cook, Wood Environment & Infrastructure Solutions, Inc.
Trevor Coons, Wood Environment & Infrastructure Solutions, Inc.
Rick Fancher, MESD
Scott Hillman, MESD
David Human, Husch Blackwell LLP
Tracey Kelsey, U.S. Army Corps of Engineers
Phillip J. Little, Village of Caseyville
Jon Omvig, Wood Environment & Infrastructure Solutions, Inc.
Doug Stewart, Village of Caseyville
Kevin Williams, Wood River Drainage & Levee District

Call to order

President Max Merz noted the presence of a quorum and called the meeting to order at 7:34 a.m.

Mr. Merz asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

Mr. Brinkman – Present
Mr. Conrad – Present
Ms. Crockett - Present
Mr. Merz - Present
Mr. Metzger – Present
Dr. Moore - Present
Mr. Schwind – Present

A quorum was present.

Approval of Minutes of July 20, 2022

Mr. Merz asked for a motion to approve the minutes of the Board meeting held on July 20, 2022. A motion was made by Ms. Crockett seconded by Mr. Schwind to approve the minutes of the Board meeting held on July 20, 2022. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger – Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved.

Public Comment on Pending Agenda Items

Mr. Merz asked if there were any comments from the public on any agenda item on today's agenda. There were no comments.

Program Status Report

Mr. Merz asked Mr. Etwert to provide a status report for the project.

He briefly highlighted recent changes to the status of the Authorized Level Projects since the last meeting.

500-Year Authorized Level Status

He indicated the Council continues to wait for responses from the Corps of Engineers on LERRDs and WIK submittals and authorization on Bid Package #9.

Wood River Levee System

Wood is awaiting a response regarding the April 1st submittal for LERRDs credit in Wood River. The total amount requested is \$746,490.10.

Wood continues to wait for a decision from USACE regarding their November 17th letter requesting approval for the FPD Council to construct another Work In-Kind project, Bid Package #9. The Corps requested additional information regarding project scope and schedule on June 13th. This should be the last FPD Council project in the Wood River Levee System.

MESD Levee System

Bid Package #11 – Bid Package #11 was advertised for bid on June 23, 2022, with a pre-bid meeting held on July 8th. Bids were open on August 9th and a recommendation for construction award will be presented by Wood later in the meeting. The low bid was \$11,665,066.40 which is lower than the estimated construction cost with contingencies of \$12,000,000.

He indicated that since the bid was lower than the Council's estimate, he didn't make any changes in expenditures to the Council's proposed FY 2023 budget presented last month.

Wood is awaiting a response for the first Lands, Easements, Rights-of-Way, Relocation, and Disposal Areas (LERRDs) submittal for the East St. Louis Levee System, which was submitted to USACE on June 2, 2022. The total amount requested for credit is \$1,733,030.54.

Prairie Du Pont/Fish Lake Levee Systems

Detailed design work and survey on Bid Package #15 continues with 30% submittal in early September and geotechnical analyses to confirm improvements to be part of Bid Packages #16 and #17.

Mr. Merz asked for a motion to accept the Program Status Report for August 2022. A motion was made by Mr. Brinkman to accept the Program Status Report for August 2022. Dr. Moore seconded the motion. Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Mr. Merz asked Mr. Etwert to provide a report.

Mr. Etwert noted the financial statements for July 2022 prepared by fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the month ending July 31, 2022 as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2021 thru July 31, 2022 were \$18,091,668 while revenues amounted to \$13,605,243 resulting in a deficit of \$4,486,425.

A total of \$46,989,936 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Monthly sales tax receipts of \$1,488,426 for May 2022 were up 10.55% from last year, with all three counties having the highest receipts ever for the month of May. Receipts for the year are up 8.18%.

With receipts up for the month and over 8.00% for the calendar year, he indicated revenues for FY 2023 have been increased from an estimated 3% to 6% and would be discussed further during the budget item. He was also expressed interest in the June sales tax receipts which would include the recent NASCAR event at Gateway.

He also provided the bank transactions for July 2022. Total disbursements for July were \$292,465.18, with the largest payments being to Wood.

Copies of each transaction were available for viewing.

Mr. Merz asked for a motion to accept Mr. Etwert's budget reports and disbursements for July 2022. A motion was made by Mr. Schwind seconded by Ms. Crockett to accept the budget reports and approve the disbursements for July 2022

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Design and Construction Update

Mr. Merz called on Jon Omvig, Wood Environment & Infrastructure Solutions, Inc., to provide an update report.

He discussed highlights from the PowerPoint® presentation, which was included in the agenda package. His presentation focused on the Authorized Level (500-Year) Design and Construction.

Authorized Level (~500-Year) Design

Wood River (Bid Packages 8, 9, and 10)

- Bid Package 8 (Relief wells & a pump station)

Design is complete – project is being constructed by USACE

- Bid Package 9 (1 large seepage berm in Roxanna)

USACE is preparing the Engineering Documentation Report (EDR)

Light coordination over the past month

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 11 (Relief wells & a pump station)
Advertised for construction on June 23
Bids opened on August 9
Award recommendation later today
- Bid Packages 12 (relief wells)
Being Designed/Constructed by USACE
Land acquisition is underway
- Bid Package 14A (riverside clay cap and cutoff trench)
USACE is reviewing closeout documentation
- Bid Package 14B (filter blanket under I-70 bridge)
Construction Postponed until USACE MESD Deep
Cutoff Wall Project is complete
ROW Acquisition is underway
Re-survey of area completed June 2022
- Bid Package 18 (relief wells, pipe & pump station modifications)
Construction is complete
Closeout documentation is underway

- Bid Package 18A (pump station & pipe replacement)

Report submitted to USACE on June 14, 2022

Awaiting USACE comments

Ultimately USACE will need to approve this as a WIK project

Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)

- Survey & design of Bid Package #15 is underway
- Coordinating with USACE, levee districts and landowners as appropriate
- 100% FPD Council funded design and construction (No Federal match)
- **Phase III Drilling started this week**

Mr. Merz asked for a motion to accept the Design and Construction Update and Flow Evaluation Study. A motion was made by Ms. Crockett with a second by Dr. Moore, to accept the Wood Environment & Infrastructure Solutions, Inc. Design and Construction Update.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

FY 2023 Annual Budget

Mr. Merz asked Mr. Etwert to explain this item:

Mr. Etwert explained, by law, the Council's budget must be adopted by August 31st of each year for the fiscal year beginning October 1st. The budget must be submitted to the county boards for approval after which they have 30 days to act on it.

The FY 2023 budget focuses on the continued design of Authorized Level projects and the construction of Bid Packages #9, #11, and #14B. Bid Package #11 was originally scheduled for construction in FY 2022, however, lengthy reviews by the Corps of Engineers has delayed the construction almost a year.

As he previously mentioned, with the May sales tax revenues being 10.55% higher than a year ago, and sale tax revenues being 8.18% higher for the calendar year, he adjusted the projected sales tax revenues for FY 2022 from \$16,329,472 to \$16,501,263. He also adjusted the anticipated increase for sales tax revenues in FY 2023 from 3% to 6% (indicated in assumption #5).

The recommended FY 2023 budget is shown in Table 1, the only changes from the draft budget presented in July are the revenue adjustments just mentioned. Since the bid for Bid Package #11 came in slightly under the \$12,000,000 estimate, there are no changes to the expenditure side of the budget.

All key assumptions presented with the draft budget last month remain the same except for assumption No. 5.

Key assumptions are:

1. Corps of Engineers reviews will be timelier in FY 2023 and projects identified for construction will be bid and awarded.
2. The level of Council staffing does not change in FY 2023, and general and administrative costs continue to remain a very small portion of the project expenditures. Staff resources continue to be supplemented by the significant use of consulting and professional services.
3. In accordance with the bond indenture, all sales tax receipts are intercepted by the Trustee and after all financial requirements for debt, construction, and administration have been satisfied, surplus funds are transferred to each County for deposit in a County Flood Prevention District Sales Tax Fund for future Council project financing. The surplus transfers are shown as expenditures in the budget.
4. A 10% construction cost contingency is included for all construction on all projects to be built.
5. Sales tax revenue is now estimated to increase by 6% over the amount projected to be collected in FY 2022. Interest income is estimated to be \$100,000.

6. Funding for Corps of Engineers' for oversight on the Prairie Du Pont/Fish Lake design and construction and has been included, if needed.
7. The Council will continue to work with levee districts in identifying potential funding sources, where and if needed, for the maintenance and operations of the 100-Year Improvements and Authorized Level Improvements being built. Life Cycle Costs Reports for the 100-Year Improvements have been provided to each of the Levee Districts.
8. After all of the funds held by the Council are expended, the three County FPD sales tax funds will be used for the 500-Year Authorized Level Projects. Requests to each County are now anticipated to occur in October 2023 and July 2024. There is currently \$46,989,936 in the County FPD sales tax funds.
9. The budget has been developed to provide flexibility to coincide with the Corps of Engineers' utilization of the Risk Informed Process and Risk Assessment. The Council will continue to work with the Corps of Engineers regarding project priorities and design & construction responsibilities between the Corps and Wood.

Table 1

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BUDGET
OCTOBER 1, 2022 THRU SEPTEMBER 30, 2023**

	ACTUAL EXPENDITURES OCTOBER 1, 2020 THRU SEPTEMBER 30, 2021	ADOPTED BUDGET OCTOBER 1, 2021 THRU SEPTEMBER 30, 2022	PROJECTED EXPENDITURES OCTOBER 1, 2021 THRU SEPTEMBER 30, 2022	PROPOSED BUDGET OCTOBER 1, 2022 THRU SEPTEMBER 30, 2023
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,082,547	\$ 14,000,000	16,501,263	\$ 17,491,333
Interest Income	140,979	50,000	116,272	100,000
Other Contributions			-	-
Total Revenues	<u>\$ 14,223,526</u>	<u>\$ 14,050,000</u>	<u>\$ 16,617,535</u>	<u>\$ 17,591,333</u>
EXPENDITURES				
Design and Construction				
Engineering Design & Construction Management	2,528,686	5,198,000	2,140,000	5,223,000
Construction	4,362,568	12,601,700	1,853,367	23,550,000
USACE Authorized Level Costs	-	500,000	-	500,000
Total Design and Construction	<u>\$ 6,891,254</u>	<u>18,299,700</u>	<u>3,993,367</u>	<u>29,273,000</u>
Professional Services				
Legal & Legislative Consulting	26,971	190,000	61,598	190,000
Financial Advisor	18,300	65,000	17,150	65,000
Bond Trustee Fee	14,130	12,000	12,000	12,000
Total Professional Services	<u>\$ 59,401</u>	<u>\$ 267,000</u>	<u>\$ 90,748</u>	<u>\$ 267,000</u>
Refund of Surplus Funds to County FPD Accounts				
Total Refund of Surplus Funds to County	<u>\$ 3,559,997</u>	<u>\$ 1,000,000</u>	<u>\$ 6,046,227</u>	<u>\$ 3,500,000</u>
Debt Service				
Principal and Interest	9,393,081	9,474,781	9,474,781	9,549,731
Total Debt Service	<u>\$ 9,393,081</u>	<u>\$ 9,474,781</u>	<u>\$ 9,474,781</u>	<u>\$ 9,549,731</u>
Total Design & Construction Expenses	<u>\$ 19,903,733</u>	<u>\$ 29,041,481</u>	<u>\$ 19,605,123</u>	<u>\$ 42,589,731</u>
General and Administrative Costs				
Salaries, Benefits	234,438	240,000	225,941	251,000
Bank Service Charges	567	1,000	565	1,000
Equipment and Software	444	2,000	1,163	2,000
Fiscal Agency Services	34,705	37,000	36,278	38,500
Audit Services	17,500	19,000	18,000	19,500
Meeting Expenses	176	1,000	250	1,000
Postage/Delivery	558	1,000	514	1,000
Printing/Photocopies	1,790	2,000	1,378	2,000
Professional Services	-	10,000	350	10,000
Supplies	496	3,000	815	3,000
Telecommunications/Internet	3,338	3,000	1,751	3,000
Travel	155	5,000	200	5,000
Insurance	516	1,000	6,098	8,000
Total General & Administrative Costs	<u>294,683</u>	<u>\$ 325,000</u>	<u>293,303</u>	<u>345,000</u>
Total Expenditures	<u>20,198,416</u>	<u>\$ 29,366,481</u>	<u>\$ 19,898,426</u>	<u>42,934,731</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(5,974,890)	\$ (15,316,481)	\$ (3,280,891)	\$ (25,343,398)
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	-	-
NET CHANGE IN FUND BALANCE	<u>\$ (5,974,890)</u>	<u>\$ (15,316,481)</u>	<u>\$ (3,280,891)</u>	<u>\$ (25,343,398)</u>

PROJECTED FUND BALANCE SEPTEMBER 30, 2022

\$66,657,291

PROJECTED FUND BALANCE SEPTEMBER 30 2023

\$41,313,893

Mr. Merz asked for a motion to accept Mr. Etwert's report on the FY 2023 Flood Prevention District Council Budget. A motion was made by Mr. Schwind to accept Mr. Etwert's report on the FY 2023 Flood Prevention District Council Budget. Ms. Crockett seconded the motion.

Mr. Conrad called the roll and the following votes were made on the motion.

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Flood Prevention District Council Annual Report for FY 2022

Mr. Merz asked Mr. Etwert to explain this item.

Mr. Etwert explained, by law, the Council must submit an Annual Report to each county board describing activities for the past year. The Council generally submits the report with the annual request for approval of the Council's budget.

The report simply chronicles the activities of the last year and builds on previous reports, so it is actually a summary of the Council's cumulative activities since its inception in June 2009.

He indicated the highlight of this year's report was the completion of total accreditation of all of the Metro East Levee Systems.

A copy of the report was included in the agenda package and a hard copy was provided to each Board member.

Mr. Merz asked for a motion to accept the Flood Prevention District Council's Annual Report for FY 2022. A motion was made by Mr. Brinkman to accept the Flood Prevention District Council's Annual Report for FY 2022. Mr. Conrad seconded the motion.

Mr. Conrad called the roll and the following votes were made on the motion.

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

**Selection of Contractor for Construction Package #11
Underseepage Controls from 774+00 to 889+00**

Mr. Merz asked Mr. Cook to explain this item.

Mr. Cook explained the Council issued an advertisement for construction bids for Bid Package #11 on June 23, 2022 and bid documents were made available to bidders on July 6, 2022. Bid Package #11 is generally for construction of Metro East Sanitary District underseepage improvement to include relief wells, conveyance systems, sluice gates, modifications to the existing Madison County Pump Station, modifications to the GCED 1X Pump Station, new GCED 1X Force mains, new GCED 1X Outfall Structure, and porous concrete ditch repairs.

An advertisement for bid was published in the Belleville News Democrat the weeks of June 26 and July 3, 2022, July 10, 2022. An advertisement for bid was published in the Alton Telegraph and Edwardsville Intelligencer the weeks of June 24 and July 1, 2022, July 8, 2022. An advertisement for bid was published in the Republic Times the weeks of June 29 and July 6, 2022, July 13, 2022. An advertisement for bid was published in the East St. Louis Monitor the weeks of July 14 and July 21, 2022, July 28, 2022.

A pre-bid meeting was held on July 8, 2022 at the FPD council offices. The meeting and subsequent site visit was attended by 13 individuals, including staff.

On August 9, 2022, bids were received from three firms and read aloud. Results are as follows:

Keller Construction in the amount of:	\$11,665,066.40
Kamadulski Excavating and Grading in the amount of:	\$11,861,656.00
Tarlton Construction in the amount of:	\$17,204,392.00

The engineer's estimate was \$10,500,000 plus a 15% contingency totaling approximately \$12,000,000.

The apparent low bid was Keller Construction from Glen Carbon, Illinois.

Wood reviewed the following:

- Confirmed the prequalification status as stated on the bid form
- Confirmed the addendums were acknowledged
- Verified the math on the schedule of values
- Confirmed the bid form was signed and attested
- Reviewed the schedules provided
- Reviewed the bid bond
- Contacted and reviewed references
- Reviewed and discussed utilization of M/D/WBE subcontractors.

Wood further reviewed the specifications, bonding requirements, QA/QC material testing procedures and payment procedures to verify the FPD Council is protected during construction and quality construction is provided.

Wood reviewed the bids for primary difference between the three bidders. The prices for the bid items varied across all the bidders.

Based on their review, Wood concluded that Keller Construction was qualified to perform the work and has recommended that the FPD Council select this company to perform construction of Bid Package #11. Keller Construction was awarded and successfully completed four of the FPD Council's previous bid packages (Bid Packages 03, 05, 14A, and 18).

The next closest bidder was \$196,589.60 higher and, while individual line items vary between all the bidders, a comparison between the apparent low bidder and the next lowest bidder on the larger features of work such as relief well installation, modification, and abandonment; modifications to two pump stations; construction of new force mains; and construction of a new outfall structure indicated that multiple contractors planned to execute the project in a similar manner as evidenced by a bid difference of less than 2%.

Keller Construction has proposed to use Ray LaFore Truck Service, Inc. out of Belleville, for trucking, and Right Way Traffic Control, Inc. out of Freeburg, for fencing. Both contractors have MBE certification with both IDOT and IL-UCP. Keller Construction faxed or e-mailed 68 DBE-certified companies about providing bids on this project and had phone calls and follow-up e-mails with interested companies.

Table 1 – Proposed MBE Utilization				
Bidder	Total Bid	MBE Utilization	Percentage	Trade
Keller Construction	\$11,665,066.40	\$288,875.00	2.48 %	Trucking & Fencing
Kamadulski Excavating and Grading	\$11,861,656.00	\$15,000.00	0.13 %	Trucking
Tarlton Construction	\$17,204,392.00	\$11,808,613.00	68.64 %	Misc. Metals, Pipe Supply, Hauling, Trenchless Excavation, and Self-Performed Work

There was a brief discussion regarding the cluster of the bids, the balancing of the bids, amount of work previously completed by Keller Construction, the utilization of reinforced concrete pipe, and the estimated start of construction.

Mr. Merz asked for motion to authorize the Chief Supervisor to execute an \$11,665,066.40 contract with Keller Construction to perform construction of Bid Package #11 with a total cost not to exceed \$12,831.573 (\$11,665,066.40 plus a 10% contingency).

A motion was made by Mr. Schwind, with a second by Mr. Brinkman to authorize the Chief Supervisor to execute an \$11,665,066.40 contract with Keller Construction to perform construction of Bid Package #11 with a total cost not to exceed \$12,831.573 (\$11,665,066.40 plus a 10% contingency).

Mr. Conrad called the roll and the following votes were made on the motion.

- Mr. Brinkman – Aye
- Mr. Conrad – Aye
- Ms. Crockett – Aye
- Mr. Merz - Aye
- Mr. Metzger - Aye

Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Since the last construction contract award was over two years ago, Mr. Etwert explained the Council's ten percent contingency policy.

Wood Work Order #20
Authorized Level Construction Management

Mr. Merz asked Mr. Cook to explain this item.

Mr. Cook explained per the Council's agreement with Wood Environment & Infrastructure Solutions, Inc., the firm serves as the Council's construction manager for the project.

The role of the construction manager is to act as the Council's representative in managing all phases of the construction process, including administration of construction contracts, assuring that all work meets the standards shown in contract documents, managing decisions in the field to interpret or clarify plans and specifications, and determining amounts to be paid to contractors.

With the award of the construction contract for Bid Package #11, an amendment of Wood Work #20 is needed. The Council approved Work Order #20 in December 2019 for construction management of Bid Packages #11, #14A and #18, however, since that time there have been numerous scope changes to the work order for all three bid packages.

Scope changes included additional relief well and collection pipe improvements (3,000 feet) for BP #11, additional construction time due to field conditions and material shortage for BP #18 and the encountering an unknown and unmarked utility during construction of for BP #14.

A detailed scope of work and cost estimate for construction management services is shown as an attachment to this memo. Amendment #2 is \$33,000 for BP #14, \$326,000 for BP #18 and \$381,000 for BP #11 for a total of \$740,000. The cost of construction management for Bid Packages #11, #14A, and #18 with Amendment #2 is \$3,240,000.

Construction management costs are eligible for Work In-Kind Credit as part of the non-federal partners 35% share of project costs. To date, the Corp of Engineers has approved \$11,887,414.07 of Work In-Kind Credit for the East St. Louis, Illinois Flood Protection Project

Mr. Etwert indicated the Council's success can be attributed to good design and construction management.

Mr. Merz asked for motion to authorize the Chief Supervisor to execute Work Order #20 Amendment #2 with Wood Environment & Infrastructure Solutions, Inc. for construction management services for Bid Packages #11, #14A, and #18 as shown in the attachment in the amount of \$740,000.00.

A motion was made by Dr. Moore, with a second by Mr. Schwind to authorize the Chief Supervisor to execute Work Order #20 Amendment #2 with Wood Environment & Infrastructure Solutions, Inc. for construction management services for Bid Packages #11, #14A, and #18 as shown in the attachment in the amount of \$740,000.00.

Mr. Conrad called the roll and the following votes were made on the motion.

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Corps of Engineers Update

Mr. Merz asked Ms. Kelsey to provide the report from the Corps.

Ms. Kelsey highlighted her PowerPoint® presentation, which was included in the agenda package to illustrate her remarks. Her presentation focused on the status of the Metro East Projects as follows:

USACE UPDATE

Metro East Projects, IL

Project Status

- **East St. Louis**
 - **Cutoff Wall/Jet Grout**

Contractor finishing site work. On track for November 22 completion

- **Relief Well Package 12 Phase 1**
 Installation of relief wells continues. 106 total relief wells, 53 installed

- **Bid Package 12 Phase 2 (combination of Phase 2 and Phase 3)**
 PDT continues with design – approximately 65% complete
 Coordination with IDOT, TRRA, Veolia, Alton-Southern continues
 Contract Award 3QFY25

- **Bid Package 14C – North Flank Berms** 4QFY 24

- **Total Project Cost Update in progress**
 WIK submittal received from Wood June 2, 2022

- **LERRD credit requests can be submitted at any time – recommend not waiting until end of project – initial submittal received June 7, 2022**

- **WIK BP 11 –**
 ATR - Final report routing for signatures
 BCOES – Wood is evaluating comments

- **Wood River**
 - **Canal Road Pump No. 1 (BP-8; 1PS and 22 RWs)**
 Contract awarded 04Nov21 (A)
 Construction is going well

- **Relief Well Package #1 – 24 wells**
 - Contract awarded 02Dec21 (A)
 - Preconstruction submittals are complete
 - Contractor has mobilized

- **Canal Road Pump No. 2, and 3**
 - Issued solicitation 28Apr22 (A)
 - Pre bid site visit 12May22
 - Contract award 24Aug22

- **Reach 5 Berm Solution** FY23 (TBD)
 - Shift from relief well/pump station solution to berm solution
 - USACE to identify dimensions of berm
 - FPD anticipated to design/construct via work-in-kind

Additional federal funds required for:

- **Relief Well Package #2 – 56 Relief Wells, and associated ditch work**
 - City of Wood River has alternate plans for site making it difficult to secure required rights-of-way.
 - USACE reviewing utility information and real estate requirements of new location
 - USACE to initiate detailed design if above is workable
 - Design process will inform the new cost estimate

- **Relief Well Package #3 – 30 Relief Wells**
 - Contract award (depending on securing necessary real estate) FY23

- **Pump Station Modifications (at Wood River Hawthorne)**

Contract award (final BCOES/finalize this summer) early FY23

- **LERRD credit requests can be submitted at any time – recommend not waiting until end of project**

LERRD credit request No. 1 received for BP-8, RW#1, and RW#3, Canal Road Pump Stations.

Response to USACE comments provided by Wood, PLC

- **Total Project Cost Update in progress**

Need costs for complete WIK (BP-8) – FPD

Need costs for completed LERRD No.1 request – USACE currently reviewing

Factoring in preliminary estimates for pivot to berm solution near Roxana

Critical for future budget requests

- **Funding**

Approximately \$13.4 M additional Federal funds needed to complete the project based on October 2018 estimate

Mr. Etwert inquired about the timeline for updated total project costs for Wood River and East St. Louis. Ms. Kelsey indicated estimates will not be completed by the end of September but hopefully by the end of this year.

There was a brief discussion regarding the Canal Road Pump No. 2 and 3 contract award.

- **Mel Price Segment of Upper Wood River**

- Reach 1 Relief Wells contract 03Jun21 (A)
All relief wells are installed
- Reach 2 Relief Wells BCOES certification 30Sep21 (A)
- Reach 2 Relief Wells ROW certification 08Aug22
- Reach 2 Relief Wells contract award 08Aug22
BCI Construction, Belleville IL, \$9,404,435
- Complete Mitigation FY22
- OMRR&R Payment to Wood River Drainage and Levee District FY23
- Project Closeout FY24
- **Challenges**
Continued IOP execution

- **Prairie du Pont/Fish Lake**

- Continue coordination with Wood on current bid package

Mr. Merz thanked Ms. Kelsey and asked for a motion to accept the Corps of Engineers Update Report. A motion to accept the Corps of Engineers Update Report was made by Ms. Crockett with second by Mr. Brinkman on the motion.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
 Mr. Conrad – Aye
 Ms. Crockett – Aye
 Mr. Merz - Aye
 Mr. Metzger - Aye
 Dr. Moore – Aye

Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Election of Officers for 2022-2023

Mr. Merz explained under the Council's bylaws, Board officers (President, Vice-President, and Secretary-Treasurer) serve one year terms, must each be from a different County Flood Prevention District and are elected at the Council's Annual Meeting. The positions have been rotated among the three County Flood Prevention Districts in the past.

Based on this past practice, Mr. Brinkman nominated the following slate of candidates, Debra Moore from St. Clair County as President, John Conrad from Monroe County as Vice President and Max Merz from Madison County as Secretary/Treasurer. Mr. Schwind seconded the motion.

There were no other nominations and nominations were closed.

Mr. Conrad called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Public Comment

Mr. Merz asked if there was any public comment.

There was a brief discussion regarding the Harding ditch, its' tributaries, and MESD's responsibilities.

Mr. Merz indicated there would not be an Executive Session or any Real Estate Transactions as listed on the agenda.

Mr. Etwert indicated, unless something special came up, the next meeting would be on November 16, 2022. He would send out a notice of confirmation of no meeting on September 1st and provide monthly updates in September and October.

Other Business

Mr. Merz asked if there was any other business. There was none.

Adjournment

Mr. Merz asked to adjourn the meeting with a voice vote and all present voted aye.

Respectfully submitted,

John Conrad,
Secretary/Treasurer, Board of Directors



Memo to: Board of Directors
From: Chuck Etwert
Subject: Program Status Report for November 2022
Date: November 14, 2022

500-Year Authorized Level Status

Wood River Levee System

Bid Package 8 is under construction (by USACE) and, by request, the FPD Council recently agreed to perform some relief well construction oversight. The relief well construction is currently underway. WSP will bring a contract modification for this work at a later date when the extent of the construction involvement is better defined and able to be estimated.

Relief Well Package 2 land acquisition coordination with landowners, USACE, and stakeholders is ongoing.

Relief Well Package 3 land acquisition continues with completion anticipated by the end of 2022.

On October 17, 2022, USACE has approved our first LERRDs submittal in the amount of \$696,876.85.

WSP submitted the second Work In-Kind Credit request for Wood River on September 2nd, for \$1,948,785.47.

WSP continues to wait for a decision from USACE regarding their November 17th letter requesting approval for the FPD Council to construct another Work In-Kind project, Bid Package #9. The Corps requested additional information regarding project scope and schedule. A schedule was submitted to USACE on September 13th.

Work In-Kind credit of \$16,041,496 has been approved to date for the Wood River Levee System.

MESD Levee System

WSP continues to work with USACE and Keller Construction on review of pre-construction submittals and requests for information (RFIs) on Bid Package 11.

Land acquisition from Terminal Railroad Association (TRRA) is still ongoing; once complete, Bid Package 14B will be issued to USACE for Biddability, Constructability, Operability, Environmental and Sustainability (BCOES) review. After the BCOES review is complete, Bid Package 14B will be advertised and issued for bid.

Land acquisition for the USACE-designed and constructed Bid Package 14C is anticipated to begin early in 2023, and includes several large parcels of agricultural land along the north flank of MESD in Madison County, IL, near South Roxana and Edwardsville. In the next several weeks WSP will begin material testing of the dredge material that America's Central Port made available for the seepage berms in Bid Package 14C.

Bid Package #18A – Wood is waiting for comments regarding the draft flow study report submitted to USACE on June 14th. Preliminary estimated construction cost is \$8,800,000.

Land acquisition also continues along the MESD-owned levee system.

USACE has issued comments on the first Lands, Easements, Rights-of-Way, Relocation, Disposal Areas (LERRDs) submittal for the East St. Louis Levee System submitted to USACE on June 2, 2022. WSP is addressing those comments as appropriate. The total amount requested for credit is \$1,733,030.54.

Work In-Kind Credit Requests #3, #4, #5 & #6 have also been submitted to USACE. The total amount of these requests for credit is \$12,287,134

Work In-Kind credit of \$11,887,414.07 has been approved to date for the MESD Levee System.

Prairie Du Pont/Fish Lake Levee Systems

WSP submitted the 30% Bid Package 15 design documents for Section 408 review on September 16. USACE is still reviewing and no comments have been received as of Nov. 10. Our schedule provided for the review, comments, and comment response to be completed by Nov. 4, so at this stage we are projected to be at least 4 weeks behind, assuming we receive comments very soon, and they can be responded to and backchecked inside of two weeks. Bid Package 15 will be re-submitted for review under Section 408 at multiple other times during the design phase.

Subsurface drilling for Bid Packages 16 and 17 drilling is still ongoing.

Last week USACE informed WSP that new environmental permits for PdP/FL need to be submitted, reviewed and issued. Based on previous commitments by USACE, it had been assumed that the prior permits were applicable. The result of this decision is that either new permits will be required or existing permits may be amended. At this time it appears that new permit applications will be required. The permits include an Environmental Assessment, a Section 404 permit, an Illinois Section 401 permit (which includes permitting by Illinois), a Section 106 permit (which includes a programmatic agreement with the Illinois SHPO). These permits and approvals will add additional time and scope to the PdP/FL Authorized Level project to re-do the permitting work that has previously been approved. The explanation given is that permits approved in 2012 and 2013 are no longer effective due to changes in the area. WSP will continue to develop exhibits and coordinate with USACE to define specifically what is required. The desire is to utilize as much of the prior documentation as possible, however the permitting agencies will ultimately determine the scope of effort.

General:

WSP is continuing to provide additional documentation as requested by USACE for our WIK submittals in Wood River and East St. Louis. We anticipate having all of the documentation resubmitted by the end of the year.



Memo to: Board of Directors
From: Chuck Ewert
Subject: Budget and Disbursement Reports for August, September, & October 2022
Date: November 14, 2022

Current Budget Highlights

Attached are the financial statements for August, September, & October 2022 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending August 31, 2022, September 30, 2022, and October 31, 2022 as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2021 thru August 31, 2022 were \$18,299,104 while revenues amounted to \$15,110,161 resulting in a deficit of \$3,188,943.

Accrued expenditures for the fiscal year beginning on October 1, 2021 thru September 30, 2022 were \$18,511,972 while revenues amounted to \$16,654,052 resulting in a deficit of \$1,857,920.

Accrued expenditures for the new fiscal year beginning on October 1, 2022 thru October 31, 2022 were \$6,828,634 while revenues amounted to \$1,450,136 resulting in a deficit of \$5,378,498.

A total of \$49,762,151 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Monthly sales tax receipts of \$1,525,559 for June 2022 were up 7.75% from last year, receipts of \$1,410,583 for July 2022 were up 7.85% from last year, and receipts of \$1,381,535 for August 2022 were up 4.33% from last year. Receipts for the year are up 7.58% from last year. Each county had the highest receipts ever for all three months.

Monthly Disbursements

Attached are bank transactions for August, September, & October. Total disbursements for August were \$206,981.38, for September \$227,863.06, and for October \$365,711.14 with the largest payment each month being to Wood/WSP.

Recommendation:

Accept the budget and disbursements for August, September, and October 2022.

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ELEVEN MONTHS ENDED AUGUST 31, 2022 AND 2021



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the eleven months ended August 31, 2022 and 2021, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2022, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2022 and 2021, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in black ink that reads "Clifton Larson Allen LLP". The signature is written in a cursive, flowing style.

CliftonLarsonAllen LLP

St. Louis, Missouri
September 7, 2022

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2022 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET
	ORIGINAL	FINAL		POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 15,000,437	\$ (1,000,437)
Interest Income	50,000	50,000	109,724	(59,724)
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>15,110,161</u>	<u>(1,060,161)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	1,995,277	3,202,723
Construction	12,601,700	12,601,700	470,086	12,131,614
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>2,465,363</u>	<u>15,834,337</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	33,377	156,623
Financial Advisor	65,000	65,000	11,850	53,150
Bond Underwriter/Conduit Issuer	12,000	12,000	13,494	(1,494)
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>58,721</u>	<u>208,279</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	3,129,588	(2,601,418)
Monroe County	47,900	47,900	289,228	(241,328)
St. Clair County	423,930	423,930	2,627,411	(2,203,481)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>6,046,227</u>	<u>(5,046,227)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	9,474,781	(0)
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>9,474,781</u>	<u>(0)</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>18,045,093</u>	<u>10,996,388</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	191,041	48,959
Bank Service Charges	1,000	1,000	501	499
Equipment and Software	2,000	2,000	463	1,537
Fiscal Agency Services	37,000	37,000	33,568	3,432
Audit Services	19,000	19,000	18,000	1,000
Meeting Expenses	1,000	1,000	150	850
Postage/Delivery	1,000	1,000	202	798
Printing/Photocopies	2,000	2,000	1,319	681
Professional Services	10,000	10,000	350	9,650
Supplies	3,000	3,000	425	2,575
Telecommunications/Internet	3,000	3,000	1,794	1,206
Travel	5,000	5,000	100	4,900
Insurance	1,000	1,000	6,098	(5,098)
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>254,011</u>	<u>70,989</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>18,299,104</u>	<u>11,067,377</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES				
	(15,316,481)	(15,316,481)	(3,188,943)	(12,127,538)
NET CHANGE IN FUND BALANCE				
	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (3,188,943)</u>	<u>\$ (12,127,538)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2021 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2021 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 9,427,500	\$ 9,427,500	\$ 12,666,673	\$ (3,239,173)
Interest Income	350,000	350,000	133,291	216,709
Other Contributions	-	-	-	-
Total Revenues	<u>9,777,500</u>	<u>9,777,500</u>	<u>12,799,964</u>	<u>(3,022,464)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,719,065	5,719,065	2,160,932	3,558,133
Construction	15,258,467	15,258,467	4,359,921	10,898,546
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>21,477,532</u>	<u>21,477,532</u>	<u>6,520,853</u>	<u>14,956,679</u>
Professional Services				
Legal & Legislative Consulting	240,000	240,000	19,272	220,728
Financial Advisor	65,000	65,000	17,400	47,600
Bond Underwriter/Conduit Issuer	12,000	12,000	14,130	(2,130)
Total Professional Services	<u>317,000</u>	<u>317,000</u>	<u>50,802</u>	<u>266,198</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	503,360	503,360	1,791,020	(1,287,660)
Monroe County	47,750	47,750	170,194	(122,444)
St. Clair County	448,890	448,890	1,598,783	(1,149,893)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>3,559,997</u>	<u>(2,559,997)</u>
Debt Service				
Principal and Interest	9,393,082	9,393,082	9,393,081	1
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,393,082</u>	<u>9,393,082</u>	<u>9,393,081</u>	<u>1</u>
Total Operating Expenses	<u>32,187,614</u>	<u>32,187,614</u>	<u>19,524,733</u>	<u>12,662,881</u>
General and Administrative Costs				
Salaries, Benefits	233,000	233,000	215,440	17,560
Bank Service Charges	1,000	1,000	522	478
Equipment and Software	2,000	2,000	444	1,556
Fiscal Agency Services	35,000	35,000	30,835	4,165
Audit Services	18,000	18,000	17,500	500
Meeting Expenses	1,000	1,000	176	824
Postage/Delivery	1,000	1,000	558	442
Printing/Photocopies	2,000	2,000	1,790	210
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	496	2,504
Telecommunications/Internet	3,000	3,000	3,237	(237)
Travel	5,000	5,000	155	4,845
Insurance	1,000	1,000	516	484
Total General & Administrative Costs	<u>315,000</u>	<u>315,000</u>	<u>271,669</u>	<u>43,331</u>
Total Expenditures	<u>32,502,614</u>	<u>32,502,614</u>	<u>19,796,402</u>	<u>12,706,212</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(22,725,114)	(22,725,114)	(6,996,438)	15,728,676
NET CHANGE IN FUND BALANCE	<u>\$ (22,725,114)</u>	<u>\$ (22,725,114)</u>	<u>\$ (6,996,438)</u>	<u>\$ 15,728,676</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the Eleven Months Ended August 31, 2022 and 2021, and the year ending September 30, 2022

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2021, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

TWELVE MONTHS ENDED SEPTEMBER 30, 2022 AND 2021



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the twelve months ended September 30, 2022 and 2021, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2022, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

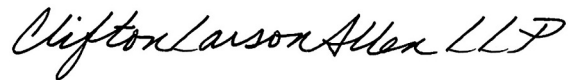
Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2022 and 2021, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in cursive script that reads "CliftonLarsonAllen LLP".

CliftonLarsonAllen LLP

St. Louis, Missouri
October 7, 2022

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWLEVE MONTHS ENDED SEPTEMBER 30, 2022 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 16,525,996	\$ (2,525,996)
Interest Income	50,000	50,000	128,056	(78,056)
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>16,654,052</u>	<u>(2,604,052)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	2,179,715	3,018,285
Construction	12,601,700	12,601,700	474,390	12,127,310
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>2,654,105</u>	<u>15,645,595</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	34,737	155,263
Financial Advisor	65,000	65,000	12,750	52,250
Bond Underwriter/Conduit Issuer	12,000	12,000	13,494	(1,494)
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>60,981</u>	<u>206,019</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	3,129,588	(2,601,418)
Monroe County	47,900	47,900	289,228	(241,328)
St. Clair County	423,930	423,930	2,627,411	(2,203,481)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>6,046,227</u>	<u>(5,046,227)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	9,474,781	(0)
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>9,474,781</u>	<u>(0)</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>18,236,095</u>	<u>10,805,386</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	210,022	29,978
Bank Service Charges	1,000	1,000	547	453
Equipment and Software	2,000	2,000	463	1,537
Fiscal Agency Services	37,000	37,000	36,278	722
Audit Services	19,000	19,000	18,000	1,000
Meeting Expenses	1,000	1,000	150	850
Postage/Delivery	1,000	1,000	210	790
Printing/Photocopies	2,000	2,000	1,319	681
Professional Services	10,000	10,000	350	9,650
Supplies	3,000	3,000	425	2,575
Telecommunications/Internet	3,000	3,000	1,916	1,084
Travel	5,000	5,000	100	4,900
Insurance	1,000	1,000	6,098	(5,098)
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>275,877</u>	<u>49,123</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>18,511,972</u>	<u>10,854,509</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(15,316,481)	(15,316,481)	(1,857,920)	(13,458,561)
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (1,857,920)</u>	<u>\$ (13,458,561)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWELVE MONTHS ENDED SEPTEMBER 30, 2021 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2021 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 9,427,500	\$ 9,427,500	\$ 14,082,547	\$ (4,655,047)
Interest Income	350,000	350,000	140,979	209,021
Other Contributions	-	-	-	-
Total Revenues	<u>9,777,500</u>	<u>9,777,500</u>	<u>14,223,526</u>	<u>(4,446,026)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,719,065	5,719,065	2,528,686	3,190,379
Construction	15,258,467	15,258,467	4,362,568	10,895,899
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>21,477,532</u>	<u>21,477,532</u>	<u>6,891,254</u>	<u>14,586,278</u>
Professional Services				
Legal & Legislative Consulting	240,000	240,000	26,971	213,029
Financial Advisor	65,000	65,000	18,300	46,700
Bond Underwriter/Conduit Issuer	12,000	12,000	14,130	(2,130)
Total Professional Services	<u>317,000</u>	<u>317,000</u>	<u>59,401</u>	<u>257,599</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	503,360	503,360	1,791,020	(1,287,660)
Monroe County	47,750	47,750	170,194	(122,444)
St. Clair County	448,890	448,890	1,598,783	(1,149,893)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>3,559,997</u>	<u>(2,559,997)</u>
Debt Service				
Principal and Interest	9,393,082	9,393,082	9,393,081	1
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,393,082</u>	<u>9,393,082</u>	<u>9,393,081</u>	<u>1</u>
Total Operating Expenses	<u>32,187,614</u>	<u>32,187,614</u>	<u>19,903,733</u>	<u>12,283,881</u>
General and Administrative Costs				
Salaries, Benefits	233,000	233,000	234,438	(1,438)
Bank Service Charges	1,000	1,000	567	433
Equipment and Software	2,000	2,000	444	1,556
Fiscal Agency Services	35,000	35,000	34,705	295
Audit Services	18,000	18,000	17,500	500
Meeting Expenses	1,000	1,000	176	824
Postage/Delivery	1,000	1,000	558	442
Printing/Photocopies	2,000	2,000	1,790	210
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	496	2,504
Telecommunications/Internet	3,000	3,000	3,338	(338)
Travel	5,000	5,000	155	4,845
Insurance	1,000	1,000	516	484
Total General & Administrative Costs	<u>315,000</u>	<u>315,000</u>	<u>294,683</u>	<u>20,317</u>
Total Expenditures	<u>32,502,614</u>	<u>32,502,614</u>	<u>20,198,416</u>	<u>12,304,198</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(22,725,114)	(22,725,114)	(5,974,890)	16,750,224
NET CHANGE IN FUND BALANCE	<u>\$ (22,725,114)</u>	<u>\$ (22,725,114)</u>	<u>\$ (5,974,890)</u>	<u>\$ 16,750,224</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the twelve Months Ended September 30, 2022 and 2021, and the year ending September 30, 2022

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2021, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ONE MONTH ENDED OCTOBER 31, 2022 AND 2021



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the one month ended October 31, 2022 and 2021, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2023, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

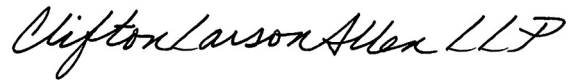
Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2023 and 2022, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in cursive script that reads "CliftonLarsonAllen LLP".

CliftonLarsonAllen LLP

St. Louis, Missouri
November 1, 2022

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2022 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET
	ORIGINAL	FINAL		POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 1,410,583	\$ 16,080,750
Interest Income	100,000	100,000	39,552	60,448
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>1,450,136</u>	<u>16,141,197</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,223,000	5,223,000	341,179	4,881,821
Construction	23,550,000	23,550,000	3,751	23,546,249
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>344,931</u>	<u>28,928,069</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	-	190,000
Financial Advisor	65,000	65,000	900	64,100
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>900</u>	<u>266,100</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	1,464,201	384,499
Monroe County	167,650	167,650	132,789	34,861
St. Clair County	1,483,650	1,483,650	1,175,225	308,425
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>2,772,215</u>	<u>727,785</u>
Debt Service				
Principal and Interest	9,549,731	9,549,731	3,690,666	5,859,065
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>3,690,666</u>	<u>5,859,065</u>
Total Operating Expenses	<u>42,589,731</u>	<u>42,589,731</u>	<u>6,808,712</u>	<u>35,781,019</u>
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	15,530	235,470
Bank Service Charges	1,000	1,000	46	954
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	38,500	38,500	2,793	35,707
Audit Services	19,500	19,500	-	19,500
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	21	979
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	1,008	1,992
Travel	5,000	5,000	-	5,000
Insurance	8,000	8,000	525	7,475
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>19,922</u>	<u>325,078</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>6,828,634</u>	<u>36,106,097</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(25,343,398)	(25,343,398)	(5,378,498)	(19,964,900)
NET CHANGE IN FUND BALANCE	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (5,378,498)</u>	<u>\$ (19,964,900)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2021 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 1,307,969	\$ 12,692,031
Interest Income	50,000	50,000	2,995	47,005
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>1,310,964</u>	<u>12,739,036</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	259,075	4,938,925
Construction	12,601,700	12,601,700	3,485	12,598,215
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>262,560</u>	<u>18,037,140</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	5,099	184,901
Financial Advisor	65,000	65,000	2,850	62,150
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>7,949</u>	<u>259,051</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	1,295,379	(767,209)
Monroe County	47,900	47,900	122,883	(74,983)
St. Clair County	423,930	423,930	1,155,203	(731,273)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>2,573,465</u>	<u>(1,573,465)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	3,651,016	5,823,765
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>3,651,016</u>	<u>5,823,765</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>6,494,990</u>	<u>22,546,491</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	13,816	226,184
Bank Service Charges	1,000	1,000	46	954
Equipment and Software	2,000	2,000	176	1,824
Fiscal Agency Services	37,000	37,000	2,709	34,291
Audit Services	19,000	19,000	-	19,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	-	1,000
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	307	2,693
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	518	482
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>17,572</u>	<u>307,428</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>6,512,562</u>	<u>22,853,919</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(15,316,481)	(15,316,481)	(5,201,598)	10,114,883
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (5,201,598)</u>	<u>\$ 10,114,883</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the One Month Ended October 31, 2022 and 2021, and the year ending September 30, 2023

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2021, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

Flood Prevention District Sales Tax Trends 2009-2021

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
2009	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
2010	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
2011	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
2012	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
2013	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
2014	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
2015	\$822,161	\$813,702	\$954,534	\$937,146	\$987,590	\$1,018,166	\$933,276	\$955,803	\$955,617	\$952,766	\$948,234	\$1,199,694	\$11,478,688	
2016	\$808,455	\$856,751	\$995,459	\$928,154	\$974,167	\$1,021,380	\$933,254	\$936,644	\$960,144	\$949,471	\$981,877	\$1,205,068	\$11,550,824	
2017	\$829,224	\$872,167	\$1,029,226	\$985,112	\$1,045,182	\$1,044,517	\$973,275	\$1,004,076	\$1,000,900	\$995,901	\$1,032,735	\$1,218,755	\$12,031,070	
2018	\$884,025	\$885,009	\$1,067,122	\$993,560	\$1,102,138	\$1,087,259	\$1,010,353	\$1,036,244	\$1,008,420	\$1,027,289	\$1,056,091	\$1,191,097	\$12,348,606	
2019	\$856,912	\$716,345	\$1,073,214	\$1,069,141	\$1,104,088	\$1,070,741	\$1,026,862	\$1,038,732	\$1,018,760	\$1,042,225	\$1,040,888	\$1,208,970	\$12,266,878	
2020	\$901,535	\$883,512	\$936,044	\$853,705	\$965,224	\$1,096,611	\$1,069,143	\$1,051,633	\$1,104,459	\$1,052,506	\$1,016,784	\$1,207,643	\$12,138,797	

2021

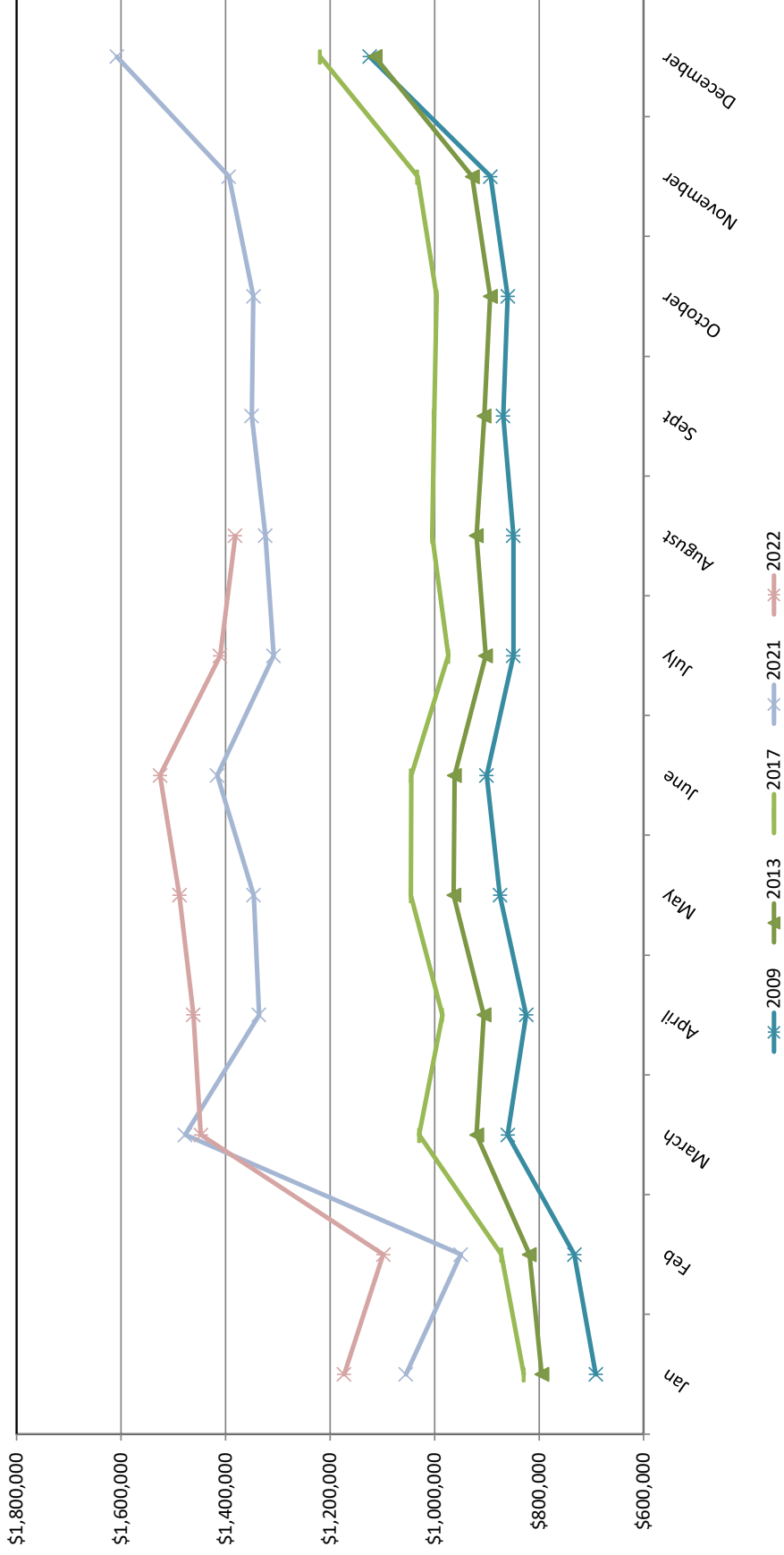
	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$546,481	\$484,478	\$750,438	\$680,316	\$684,154	\$715,483	\$661,885	\$675,087	\$677,612	\$688,793	\$707,836	\$791,608	\$8,064,172	50.683%
St. Clair	\$453,593	\$417,069	\$657,225	\$590,473	\$595,146	\$633,500	\$581,052	\$582,728	\$608,619	\$588,396	\$614,462	\$735,438	\$7,057,701	44.357%
Monroe	\$54,905	\$48,107	\$69,770	\$65,305	\$67,044	\$66,892	\$65,032	\$66,336	\$63,826	\$69,594	\$71,374	\$81,079	\$789,264	4.960%
Total Month	\$1,054,979	\$949,654	\$1,477,433	\$1,336,095	\$1,346,344	\$1,415,874	\$1,307,969	\$1,324,151	\$1,350,056	\$1,346,783	\$1,393,672	\$1,608,126	\$15,911,137	
Cumulative Total	\$1,054,979	\$2,004,633	\$3,482,066	\$4,818,161	\$6,164,505	\$7,580,379	\$8,888,348	\$10,212,499	\$11,562,555	\$12,909,339	\$14,303,011	\$15,911,137		
% change/month	17.02%	7.49%	57.84%	56.51%	39.49%	29.11%	22.34%	25.91%	22.24%	27.96%	37.07%	33.16%		
% change/total	17.02%	12.30%	27.97%	34.78%	35.78%	34.48%	32.55%	31.65%	30.48%	30.21%	30.85%	31.08%		

2022

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$591,701	\$49,944	\$72,877	\$746,182	\$760,806	\$776,116	\$726,989	\$710,925.86					\$5,585,540	50.840%
St. Clair	\$520,030	\$491,970	\$652,499	\$644,157	\$651,404	\$675,466	\$611,281	\$602,062.33					\$4,848,870	44.135%
Monroe	\$61,275	\$6,457	\$71,820	\$71,442	\$76,217	\$73,977	\$72,314	\$68,546.44					\$552,047	5.025%
Total Month	\$1,173,007	\$1,098,371	\$1,447,196	\$1,461,780	\$1,488,426	\$1,525,559	\$1,410,583	\$1,381,535					\$10,986,457	
Cumulative Total	\$1,173,007	\$2,271,377	\$3,718,574	\$5,180,354	\$6,668,780	\$8,194,339	\$9,604,922	\$10,986,457						
% change/month	11.19%	15.66%	-2.05%	9.41%	10.55%	7.75%	7.85%	4.33%						
% change/total	11.19%	13.31%	6.79%	7.52%	8.18%	8.10%	8.06%	7.58%						

Flood Prevention District Sales Tax Trends 2009-2021

Monthly Receipts 2009-2013-2017-2021-2022



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
August 31, 2022**

Beginning Bank Balance as of August 1, 2022			\$ 1,253,408.58
Receipts			
Busey Bank	08/25/2022	Admin Transfer	20,948.32
Busey Bank	08/25/2022	Construction Transfer	185,157.51
Busey Bank	08/31/2022	Interest	49.99
			\$ 206,155.82
Disbursements			
CliftonLarsonAllen LLP	08/10/2022	Fiscal Agent	1,354.50
Wood Environmental	08/10/2022	Construction	171,992.21
AT&T	08/15/2022	Telephone/Tablet	101.05
Husch Blackwell	08/24/2022	Legal	8,804.40
USPS	08/15/2022	Postage	8.70
Busey Bank	08/25/2022	Wire Fee	15.00
Busey Bank	08/25/2022	Wire Fee	15.00
Columbia Capital	08/01/2022	Financial Advisor	900.00
Columbia Capital	08/25/2022	Financial Advisor	900.00
East-West Gateway Council of Governments	08/29/2022	Supervisor Mgmt Services	18,118.33
UMB Bank, NA	08/18/2022	Bond Trustee Fee	3,460.90
Busey Bank	08/31/2022	Bank Fee	15.75
Cost Less Copy Center	08/19/2022	Printing & Copying	352.80
Charles Etwert	08/23/2022	Reimbursements	520.01
S Shafer Excavating Inc	08/25/2022	Easements & Legal Acquisition	300.00
USPS	08/22/2022	Postage	69.60
AT&T	08/31/2022	Telephone/Tablet	23.50
Walmart	08/29/2022	Supplies	29.63
			\$ 206,981.38
			\$ 1,252,583.02

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
 SUPPLEMENTARY SUPPORTING SCHEDULE
 BANK TRANSACTIONS
 September 30, 2022**

Beginning Bank Balance as of September 30, 2022			\$ 1,252,583.02
Receipts			
Busey Bank	09/30/2022	Admin Transfer	23,743.19
Busey Bank	09/30/2022	Construction Transfer	204,487.72
Busey Bank	09/30/2022	Interest	48.12
Busey Bank	09/30/2022	Easements & Legal RE Acquisition	12,285.00
			\$ 240,564.03
Disbursements			
CliftonLarsonAllen LLP	09/09/2022	Fiscal Agent	1,354.50
CliftonLarsonAllen LLP	09/12/2022	Fiscal Agent	2,709.00
Wood Environmental	09/13/2022	Construction	184,438.52
AT&T	09/07/2022	Telephone/Tablet	121.53
Husch Blackwell	09/08/2022	Legal	669.50
USPS	09/15/2022	Postage	8.70
Busey Bank	09/26/2022	Wire Fee	15.00
Busey Bank	09/26/2022	Wire Fee	15.00
Columbia Capital	09/20/2022	Financial Advisor	900.00
CliftonLarsonAllen LLP	09/21/2022	Fiscal Agent	1,354.50
East-West Gateway Council of Governments	09/29/2022	Supervisor Mgmt Services	18,981.09
Husch Blackwell	09/20/2022	Legal	11,379.70
Busey Bank	09/30/2022	Bank Fee	16.02
Phillips 66 Carrier, LLC	09/22/2022	Easements & Legal Acquisition	5,100.00
Wyss Real Estate	09/08/2022	Easements & Legal Acquisition	800.00
			\$ 227,863.06
			\$ 1,265,283.99

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
October 31, 2022**

Beginning Bank Balance as of October 1, 2022			\$ 1,265,283.99
Receipts			
Busey Bank	10/31/2022	Admin Transfer	23,285.80
Busey Bank	10/31/2022	Construction Transfer	344,930.81
Busey Bank	10/31/2022	Interest	47.80
			\$ 368,264.41
Disbursements			
CliftonLarsonAllen LLP	10/10/2022	Fiscal Agent	1,354.50
CliftonLarsonAllen LLP	10/24/2022	Fiscal Agent	1,396.50
WSP Global, Inc.	10/13/2022	Construction	341,179.36
AT&T	10/13/2022	Telephone/Tablet	144.31
Husch Blackwell	10/24/2022	Legal	3,751.45
USPS	10/13/2022	Postage	21.00
Busey Bank	10/26/2022	Wire Fee	15.00
Busey Bank	10/26/2022	Wire Fee	15.00
Columbia Capital	10/27/2022	Financial Advisor	900.00
Selective Insurance Co of America	10/12/2022	Insurance	525.00
East-West Gateway Council of Governments	10/27/2022	Supervisor Mgmt Services	15,530.02
HostGator.com	10/24/2022	Domain	143.40
Busey Bank	10/31/2022	Bank Fee	15.60
Metroeast Parks & Recreation District	10/12/2022	Internet Service	720.00
			\$ 365,711.14
			\$ 1,267,837.26



Memo to: Board of Directors
From: Chuck Ewert
Subject: Design and Construction Update
Date: November 14, 2022

Attached is WSP's Design and Construction Update, which Jay Martin will present at the meeting.

Recommendation: Accept the November Design and Construction Update by WSP USA Environment & Infrastructure Inc.

Southwestern Illinois Levee Systems

Progress Report November 16, 2022

Jay Martin

November 16, 2022

Authorized Level (~500-Year) Design

Wood River (Bid Packages 8 & 9)

- Bid Package 8 (Relief wells & a pump station)
 - Design is complete – project is being constructed by USACE.
 - WSP is assisting with relief well construction oversight
- Bid Package 9 (1 large seepage berm in Roxanna)
 - USACE is preparing the Engineering Documentation Report (EDR)
 - Betterment is no longer anticipated (good news!)

Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 11 (Relief wells & a pump station)
 - Pre-construction submittals are underway.
- Bid Package 12 (relief wells)
 - Being Designed/Constructed by USACE

Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 14A (riverside clay cap and cutoff trench)
 - USACE is reviewing closeout documentation
- Bid Package 14B (filter blanket under I-70 bridge)
 - Construction Postponed until USACE MESD Deep Cutoff Wall Project is complete
 - ROW Acquisition is underway

Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 18 (Relief wells, pipe & pump station modifications)
 - USACE is reviewing closeout documentation
- Bid Package 18A (Pump station & pipe replacement)
 - Report submitted to USACE on June 14, 2022.
 - Ultimately USACE will need to approve this as a WIK project.
 - Working on providing USACE backup documentation as needed.

Authorized Level (~500-Year) Design

Prairie du Pont & Fish Lake (Bid Pkgs 15, 16, & 17)

- Survey & design of Bid Package 15 is underway
- Coordinating with USACE, levee districts and landowners as appropriate
- 100% FPD Council funded design and construction (No Federal match)
- Phase III Drilling is underway (final large drilling effort of the program)
- Learned recently that USACE will require all new environmental permits—we cannot update/extend the prior approved permits for the Authorized Project.

wsp

Thank you

wsp.com

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Memo to: Board of Directors
From: Chuck Ewert
Subject: Release of Executive Session Minutes
Date: November 14, 2022

Pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

There have been no executive sessions since the release of meeting minutes in May 2022, therefore at this time, there are no minutes to be released. A Public Statement identifying those meeting minutes that must remain confidential is attached.

All minutes released are available for public inspection at the Council's office.

Recommendation:

Accept the Public Statement identifying minutes that must remain confidential.



PUBLIC STATEMENT

Pursuant to 5ILCS 120/2.06(d), the Board of Directors of the Southwestern Illinois Flood Prevention District Council reviewed the subject matter and discussion of the following meetings and now report in open session that the minutes of these meetings no longer require confidential treatment and are available for public inspection at the Council's office:

There are no meeting minutes being released at this time.

Furthermore, the need for confidentiality still exists as to all or part of the following meeting minutes:

February 18, 2015*	July 20, 2016*	March 15, 2017
March 18, 2015*	August 17, 2016*	May 17, 2017
April 15, 2015*	September 21, 2016*	March 15, 2017
May 20, 2015*	October 14, 2016*	December 20, 2017
June 17, 2015*	September 21, 2016*	May 15, 2019
November 18, 2015*	October 14, 2016*	July 17, 2019
February 17, 2016*	November 16, 2016*	August 21, 2019
June 15, 2016*	December 21, 2016*	August 19, 2020
		September 16, 2020

* The necessity of keeping some information closed still exists, meeting minutes with redactions were released June 21, 2017



Memo to: Board of Directors
From: Chuck Ewert
Subject: Corps of Engineers Update
Date: November 14, 2022

Attached is Tracey Kelsey's Corps of Engineers Update, which Tracey will present at the meeting.

Recommendation: Accept the November Corps of Engineers Update by Tracey Kelsey.

Metro East Projects, IL

Project Status

East St Louis

- **Cutoff Wall/Jet Grout**
 - All construction complete 04OCT22. On track for fiscal completion end of November 2022.
- **Relief Well package 12 Phase 1**
 - Installation of relief wells continues. 106 total relief wells, 88 installed.
- **Bid Package 12 Phase 2 (combination of Phase 2 and Phase 3)**
 - PDT continues with design – approximately 65% complete
 - Coordination with IDOT, TRRA, Veolia, Alton-Southern continues
 - Contract Award 3QFY25
- **Bid Package 14 C – North Flank Berms**
 - Contract award 4QFY24
- **LERRD credit requests can be submitted at any time – recommend not waiting until end of project**
 - LERRD credit request No. 1 received 02JUN22
 - Review and processing of request continues
- **WIK credit requests can be submitted at any time – recommend not waiting until end of project**
 - WIK requests No. 3 through No. 6 received 07JUN22
 - Additional coordination required prior to USACE awarding credit
- **Total Project Cost Update in progress**
- **WIK BP 11**
 - Contractor has provided submittals for review



Project Status

Metro East Projects, IL

Wood River

- **Canal Road Pump No. 1** ["BP-8"; 1PS and 22 RWs]
 - Contract awarded 04Nov21 (A)
 - PS construction is going well; RW contractor has mobilized and RW install has begun
- **Relief Well Package #1 – 24 wells**
 - Contract awarded 02Dec21 (A)
 - Wells installed; pump test and turf establishment to be verified Spring 2023
- **Canal Road Pump No. 2 and 3**
 - Contract awarded to Magruder Construction Co, Inc for \$7.5M 28Sep22 (A)
 - Rapidly rising construction costs will factor into TPC update
- **Reach 5 Berm Solution**
 - Shift from relief well/pump station solution to berm solution FY23 (TBD)
 - USACE to identify dimensions of berm
 - ✓ FPD anticipated to design/construct via work-in-kind
- **Pump Station Modifications (at Wood River and Hawthorne)**
 - Contract award (pending confirmation of RW#2 Alt Ditch hyd modeling) 08Sep23
- **Relief Well Package #2 - 56 Relief Wells, and associated ditch work**
 - Contract award dependent upon securing real estate and additional funds FY24
 - City of Wood River has alternate plans for site making it difficult to secure required rights-of-way.
 - USACE reviewing utility information and real estate requirements of new location
 - Design process will inform the new cost estimate
 - Betterment – design and construction that exceed the standards that the Government would otherwise apply for accomplishing the effort



Metro East Projects, IL

Project Status

Wood River

- **Relief Well Package #3 – 30 Relief Wells**
 - Contract award (dependent on securing necessary real estate and additional funds) FY24
- **LERRD credit requests can be submitted at any time – recommend not waiting until end of project**
 - LERRD credit request No. 1 received for BP-8, RW#1 and RW#3, Canal Road Pump Stations.
 - LERRD credit request No. 1 processed on 17Oct22 for \$693,274.23.
- **WIK credit requests can be submitted at any time – recommend not waiting until end of project**
 - WIK No. 2 request received for BP-8 design, BP-9 (old), BP-10 (old), drilling, and Risk Assessment.
 - Additional coordination required prior to USACE awarding credit
 - to follow up from USACE/WSP coordination of 14Oct22
- **Total Project Cost Update in progress**
 - Factoring in preliminary estimates for pivot to berm solution near Roxana and rising materials pricing
 - Critical for future budget requests
 - Estimated TPC Update certification 18Dec22
- **Funding**
 - Approximately \$12.9M additional Federal funds needed to complete the project based on Oct 2018 estimate (note that this estimate will be revised based upon TPC update)



Metro East Projects, IL

Project Status

Mel Price Segment of Upper Wood River

- **Reach 1 Relief Wells contract**
 - All relief wells are installed.
 - Contract completion 23Jan23
- **Reach 2 Relief Wells contract award** 08Aug22 (A)
 - Contract providing pre-construction submittals
- **Complete Mitigation** FY23
- **OMRR&R Payment to Wood River Drainage and Levee District** FY24
- **Project Closeout** FY25
- **Challenges**
 - Continued IOP execution



Metro East Projects, IL

Project Status

Prairie du Pont and Fish Lake

- *Continue coordination with WSP on current bid package*



US Army Corps
of Engineers ®

