



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING

January 18, 2023 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
Debra Moore, President
2. Roll Call
Max Merz, Secretary/Treasurer
3. Approval of Minutes of November 16, 2022
4. Public Comment on Pending Agenda Items
5. Program Status Report
Chuck Etwert, Chief Supervisor
6. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
7. Design and Construction Update
Jay Martin, WSP USA Environment & Infrastructure Inc.
8. Utility Relocation Agreement – Village of Roxanna USACE BP # 8
Randy Cook, WSP USA Environment & Infrastructure Inc.
9. Update from Corps of Engineers
Hal Graef, U.S. Army Corps of Engineers

AGENDA

10. Public Comment

Executive Session – Personnel

11. Extension of Chief Supervisor of Construction and the Works Employment Agreement

Debra Moore, President

12. Other Business

13. Adjournment

Next Meeting: March 15, 2023

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING November 16, 2022

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday November 16, 2022.

Members in Attendance

Debra Moore, President (Chair, St. Clair County Flood Prevention District)
John Conrad, Vice-President (Chair, Monroe Flood Prevention District)
Max Merz III, Secretary/Treasurer (Madison County Flood Prevention District)
Bruce Brinkman, Monroe County Flood Prevention District
Isabelle Crockett, St. Clair County Flood Prevention District
Aaron Metzger, Monroe County Flood Prevention District
David Schwind, Madison County Flood Prevention District

Members Absent

Alvin Parks, Jr., St. Clair County Flood Prevention District

Others in Attendance

Chuck Etwert, SW Illinois FPD Council
Randy Cook, WSP USA Environment & Infrastructure Inc.
Jeremy Dressel, Juneau Associates Inc.
Mike Feldmann, U.S. Army Corps of Engineers
Hal Graef, U.S. Army Corps of Engineers
David Human, Husch Blackwell LLP
Tracey Kelsey, U.S. Army Corps of Engineers
Jen Kengouskiy, U.S. Army Corps of Engineers
Jay Martin, WSP USA Environment & Infrastructure Inc.
John Peukert, U.S. Army Corps of Engineers
Kevin Williams, Wood River Drainage & Levee District

Call to order

President Debra Moore noted the presence of a quorum and called the meeting to order at 7:30 a.m.

Dr. Moore asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

Mr. Brinkman – Present
Mr. Conrad – Present
Ms. Crockett - Present
Mr. Merz - Present
Mr. Metzger – Present
Dr. Moore - Present
Mr. Schwind – Present

A quorum was present.

Approval of Minutes of August 17, 2022

Dr. Moore asked for a motion to approve the minutes of the Board meeting held on August 17, 2022. A motion was made by Ms. Crockett seconded by Mr. Schwind to approve the minutes of the Board meeting held on August 17, 2022. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger – Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved.

Public Comment on Pending Agenda Items

Dr. Moore asked if there were any comments from the public on any agenda item on today's agenda. There were no comments.

Program Status Report

Dr. Moore asked Mr. Etwert to provide a status report for the project.

He briefly highlighted recent changes to the status of the Authorized Level Projects.

500-Year Authorized Level Status

He indicated the Council continues to wait for responses from the Corps of Engineers on LERRDs and WIK submittals and authorization on Bid Package #9.

Wood River Levee System

Bid Package 8 is under construction (by USACE) and, by request, the FPD Council recently agreed to perform some relief well construction oversight. The relief well construction is currently underway. WSP will bring a contract modification for this work at a later date when the extent of the construction involvement is better defined and able to be estimated.

On October 17, 2022, USACE has approved our first LERRDs submittal in the amount of \$696,876.85.

WSP continues to wait for a decision from USACE regarding their November 17, 2021 letter requesting approval for the FPD Council to construct another Work In-Kind project, Bid Package #9. The Corps requested additional information regarding project scope and schedule. A schedule was submitted to USACE on September 13th.

MESD Levee System

Land acquisition from Terminal Railroad Association (TRRA) is still ongoing, and will be discussed later in the executive session.

Land acquisition for the USACE-designed and constructed Bid Package 14C is anticipated to begin early in 2023. In the next several weeks WSP will begin material testing of the dredge material that America's Central Port made available for the seepage berms in Bid Package 14C, which, if acceptable would lower project costs.

Prairie Du Pont/Fish Lake Levee Systems

WSP submitted the 30% Bid Package 15 design documents for Section 408 review on September 16. USACE is still reviewing and no comments have been received as of Nov. 10. Our schedule provided for the review, comments, and comment response to be completed by Nov. 4, so at this stage we are projected to be at least 4 weeks behind, assuming we receive comments very soon, and they can be responded to and backchecked inside of two weeks. Bid Package 15 will be re-submitted for review under Section 408 at multiple other times during the design phase.

Last week USACE informed WSP that new environmental permits for PdP/FL need to be submitted, reviewed and issued. Based on previous commitments by USACE, it had been assumed that the prior permits were applicable. Discussions of permits was initiated by WSP in May 2021. The result of this decision is that either new permits will be required or existing permits may be amended. At this time it appears that new permit applications will be required. The permits include an Environmental Assessment, a Section 404 permit, an Illinois Section 401 permit (which includes permitting by Illinois), a Section 106 permit (which includes a programmatic agreement with the Illinois SHPO). These permits and approvals will add additional time and scope to the PdP/FL Authorized Level project to re-do the permitting work that has previously been approved. The explanation given is that permits approved in 2012 and 2013 are no longer effective due to changes in the area. WSP will continue to develop exhibits and coordinate with USACE to define specifically what is required. The desire is to utilize as much of the prior documentation as possible, however the permitting agencies will ultimately determine the scope of effort.

Lastly, as he had indicated in last week's draft e-mail, the Corps is in the process of updating their estimated project costs for the Wood River and MESD/East St. Louis Levee Systems. At this time, based on current estimates, the Council should still be able to fund all of the Authorized Level projects in the next four years.

Dr. Moore asked for a motion to accept the Program Status Report for November 2022. A motion was made by Mr. Brinkman to accept the Program Status Report for November 2022. Mr. Conrad seconded the motion. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Dr. Moore asked Mr. Etwert to provide a report.

Mr. Etwert noted the financial statements for August, September, & October 2022 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and

expenditures for the months ending August 31, 2022, September 30, 2022, and October 31, 2022 as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2021 thru August 31, 2022 were \$18,299,104 while revenues amounted to \$15,110,161 resulting in a deficit of \$3,188,943.

Accrued expenditures for the fiscal year beginning on October 1, 2021 thru September 30, 2022 were \$18,511,972 while revenues amounted to \$16,654,052 resulting in a deficit of \$1,857,920.

Accrued expenditures for the new fiscal year beginning on October 1, 2022 thru October 31, 2022 were \$6,828,634 while revenues amounted to \$1,450,136 resulting in a deficit of \$5,378,498.

A total of \$49,762,151 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Mr. Etwert indicated, he would be sending a letter to the three counties in the next few days indicating when the Council expects to request the sales tax funds they are holding. At this time it appears 50% of the funds will be requested in October 2023, with the remaining 50% being requested in September 2024.

Monthly sales tax receipts of \$1,525,559 for June 2022 were up 7.75% from last year, receipts of \$1,410,583 for July 2022 were up 7.85% from last year, and receipts of \$1,381,535 for August 2022 were up 4.33% from last year. Receipts for the year are up 7.58% from last year. Each county had the highest receipts ever for all three months.

He indicated during the FY 2023 budget process, he had increased the anticipated sales tax revenues for FY 2022 from \$16,329,472 to \$16,501,263 and the receipts for the fiscal year came in at \$16,525,996, which was \$24,733 more.

He also provided the bank transactions for August, September, & October. Total disbursements for August were \$206,981.38, for September \$227,863.06, and for October \$365,711.14 with the largest payment each month being to Wood/WSP.

Copies of each transaction were available for viewing.

Dr. Moore asked for a motion to accept Mr. Etwert's budget reports and disbursements for August, September, & October 2022. A motion was made by Mr. Schwind seconded by Ms. Crockett to accept the budget reports and approve the disbursements for August, September, & October 2022.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye

Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Design and Construction Update

Dr. Moore called on Jay Martin, WSP USA Environment & Infrastructure Inc., to provide an update report.

Mr. Martin first discussed the purchase of Wood Environment & Infrastructure Solutions, Inc. by WSP USA Environment & Infrastructure Inc. and indicated the same individuals would be working on the Council's projects.

He discussed highlights from the PowerPoint® presentation, which was included in the agenda package. His presentation focused on the Authorized Level (500-Year) Design and Construction.

Authorized Level (~500-Year) Design

Wood River (Bid Packages 8, & 9)

- Bid Package 8 (Relief wells & a pump station)

Design is complete – project is being constructed by USACE

WSP is assisting with relief well construction oversight

- Bid Package 9 (1 large seepage berm in Roxanna)

USACE is preparing the Engineering Documentation Report (EDR)

Betterment is no longer anticipated (good news!)

MESD (Bid Packages 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 11 (Relief wells & a pump station)
Pre-construction submittals are underway
- Bid Packages 12 (relief wells)
Being Designed/Constructed by USACE
- Bid Package 14A (riverside clay cap and cutoff trench)
USACE is reviewing closeout documentation
- Bid Package 14B (filter blanket under I-70 bridge)
Construction Postponed until USACE MESD Deep
Cutoff Wall Project is complete
ROW Acquisition is underway
- Bid Package 18 (relief wells, pipe & pump station modifications)
USACE is reviewing closeout documentation
- Bid Package 18A (pump station & pipe replacement)
Report submitted to USACE on June 14, 2022
Ultimately USACE will need to approve this as a WIK project
Working on providing USACE backup documentation as needed

Prairie Du Pont & Fish Lake (Bid Packages 15, 16, 17)

- Survey & design of Bid Package #15 is underway

- Coordinating with USACE, levee districts and landowners as appropriate
- 100% FPD Council funded design and construction (No Federal match)
- Phase III Drilling is underway (final large drilling effort of the program)
- Learned recently that USACE will require all new environmental permits – we cannot update/extend the prior approved permits for the Authorized Project.

There was brief discussion of how long the permit process would take. WSP indicated that the process has been streamlined since the last time, but the additional permit process will definitely delay the project.

Dr. Moore asked for a motion to accept the Design and Construction Update. A motion was made by Ms. Crockett with a second by Mr. Brinkman, to accept the WSP USA Environment & Infrastructure Inc. Design and Construction Update.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
 Mr. Conrad –Aye
 Ms. Crockett – Aye
 Mr. Merz - Aye
 Mr. Metzger - Aye
 Dr. Moore – Aye
 Mr. Parks – absent
 Mr. Schwind – Aye

The motion was approved unanimously.

Release of Executive Session Minutes

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

There have been no executive sessions since the release of meeting minutes in May 2022, therefore at this time, there are no minutes to be released. A Public Statement identifying those meeting minutes that must remain confidential is attached.

All minutes released are available for public inspection at the Council's office.

Dr. Moore asked for a motion to accept the Public Statement which identifies the minutes of the meetings which no longer require confidential treatment and can be released, and the meeting minutes where the need for confidentiality still exists as to all or part of the meeting minutes.

A motion was made by Mr. Schwind, with a second by Mr. Conrad to accept the Public Statement which identifies the minutes of the meetings which no longer require confidential treatment and can be released, and the meeting minutes where the need for confidentiality still exists as to all or part of the meeting minutes.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Corps of Engineers Update

Dr. Moore asked Ms. Kelsey to provide the report from the Corps.

Prior to Ms. Kelsey presenting her report, John Peukert, USACE, summarized Ms. Kelsey's twelve years of involvement with the Council's projects, emphasizing her leadership and participation which will be missed by all. Board members concurred with a round of applause.

Ms. Kelsey highlighted her PowerPoint® presentation, which was included in the agenda package to illustrate her remarks. Her presentation focused on the status of the Metro East Projects as follows:

USACE UPDATE

Metro East Projects, IL

Project Status

- **East St. Louis**
 - **Cutoff Wall/Jet Grout**

All construction complete 04OCT22. On track for fiscal completion end of November 2022
 - **Relief Well Package 12 Phase 1**

Installation of relief wells continues. 106 total relief wells, 96 installed
 - **Bid Package 12 Phase 2 (combination of Phase 2 and Phase 3)**

PDT continues with design – approximately 65% complete

Coordination with IDOT, TRRA, Veolia, Alton-Southern continues

Contract Award 3QFY25
 - **Bid Package 14C – North Flank Berms** 4QFY 24
 - **Total Project Cost Update in progress**

WIK submittal received from Wood June 2, 2022
 - **LERRD credit requests can be submitted at any time – recommend not waiting until end of project**

LERRD credit request No.1 received 02JUN22

Review and processing of request continues

- **WIK credit requests can be submitted at any time – recommend not waiting until end of project**

WIK requests No. 3 through No. 6 received 07JUN22

Additional coordination required prior to USAC awarding credit

- **Total Project Cost Update in progress**

- **WIK BP 11**

Contractor has provided submittals for review

- **Wood River**

- **Canal Road Pump No. 1 (BP-8; 1PS and 22 RWs)**

Contract awarded 04Nov21 (A)

PS construction is going well; RW contractor has mobilized and RW install has begun

- **Relief Well Package #1 – 24 wells**

Contract awarded 02Dec21 (A)

Wells installed; pump test and turf establishment to be verified Spring 2023

- **Canal Road Pump No. 2, and 3**

Contract awarded to Magruder Construction Co, Inc. for \$7.5 M
28Sept22 (A)

Rapidly rising construction costs will factor into TPC update

- **Reach 5 Berm Solution** FY23 (TBD)

Shift from relief well/pump station solution to berm solution

USACE to identify dimensions of berm

FPD anticipated to design/construct via work-in-kind

- **Pump Station Modifications (at Wood River and Hawthorne)**

Contract award (pending confirmation of RW#2 Alt Ditch hyd modeling)
08Sep23

- **Relief Well Package #2 – 56 Relief Wells, and associated ditch work FY24**

Contract award dependent upon securing real estate and additional funds

City of Wood River has alternate plans for site making it difficult to secure required rights-of-way.

USACE reviewing utility information and real estate requirements of new location

Design process will inform the new cost estimate

Betterment – design and construction that exceed the standards that the Government would otherwise apply for accomplishing the effort

There was brief discussion regarding betterment. The USACE doesn't care who contributes the additional funds, it could be the developer, the City, or the non-federal sponsor.

- **Relief Well Package #3 – 30 Relief Wells**

Contract award (depending on securing necessary real estate and additional funds) FY24

- **LERRD credit requests can be submitted at any time – recommend not waiting until end of project**

LERRD credit request No. 1 received for BP-8, RW#1, and RW#3, Canal Road Pump Stations.

LERRD credit request No. 1 processed on 17Oct22 for \$693,274.23

- **WIK credit requests can be submitted at any time – recommend not waiting until end of project**

WIK No. 2 request received for BP-8, BP-9 (old), BP-10 (old), drilling, and Risk Assessment

Additional coordination required prior to USACE awarding credit

To follow up from USACE/WSP coordination of 14Oct22

- **Total Project Cost Update in progress**

Factoring in preliminary estimates for pivot to berm solution near Roxana and rising material costs

Critical for future budget requests

Estimated TPC Update certification

- **Funding**

Approximately \$12.9 M additional Federal funds needed to complete the project based on October 2018 estimate (note that this estimate will be revised based upon TPC updates)

Mr. Etwert inquired about the preliminary updates on estimated costs for the Wood River Levee System increasing \$16 million and the MESD/East St. Louis Levee System decreasing by \$14 million (doesn't include the estimated \$8.8 million for BP 18A), which Ms. Kelsey confirmed. Mr. Etwert indicated even with these preliminary new estimates, the Council based on current schedules and estimates should have enough funds to build all of the Authorized Level projects in the next four years.

- **Mel Price Segment of Upper Wood River**

- Reach 1 Relief Wells contract

23Jan23

All relief wells are installed

Contract completion

- Reach 2 Relief Wells BCOES contract award 08Aug22 (A)

Contract providing pre-construction submittals

- Complete Mitigation FY23
- OMRR&R Payment to Wood River Drainage and Levee District FY24
- Project Closeout FY25

- **Challenges**

Continued IOP execution

- **Prairie du Pont/Fish Lake**

- Continue coordination with Wood on current bid package

Lastly, Ms. Kelsey indicated she was sorry she wouldn't be here for the completion of the projects but she was looking forward to her retirement at the end of the year. She then introduced Hal Graef, who will be replacing her as Manager of the Metro East Systems and Jennifer Kengouskiy, who would be overseeing the MESD/East St. Louis Levee System.

It was noted that it took two people to replace Ms. Kelsey.

Dr. Moore thanked Ms. Kelsey and asked for a motion to accept the Corps of Engineers Update Report. A motion to accept the Corps of Engineers Update Report was made by Mr. Brinkman with second by Ms. Crockett on the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye

Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Public Comment

Dr. Moore asked if there was any public comment. There was none.

Dr. Moore asked to adjourn the public session and convene an executive session regarding the purchase or lease of real property under Open Meetings Act 5 ILCS 120/2 (c) (5) for the purpose of discussing the purchase or lease of real property by a public agency. She indicated that it was doubtful any action would be taken upon reconvening the public session, and the public was welcomed to stay for when the public session was reconvened.

Mr. Schwind made a motion at 8:10 a.m. to convene a closed session under Open Meetings Act 5 ILCS 120/2 (c) (5) for the purpose of discussing the purchase or lease of real property by a public agency. The motion was seconded by Ms. Crockett. It was indicated that all Board members should be present, along with Chuck Etwert, David Human, Jay Martin and Randy Cook. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously and the Board went into executive session.

Dr. Moore asked for a motion to adjourn the executive session and reconvene the public session.

Ms. Crockett made a motion to adjourn the executive session and reconvene the public session at 8:37 a.m. Mr. Brinkman seconded the motion. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye

Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Dr. Moore called the meeting to order.

It was noted that there was no action to be taken as a result of the executive session.

Other Business

Dr. Moore asked if there was any other business. There was none.

Adjournment

Dr. Moore asked to adjourn the meeting. A motion was made by Mr. Schwind and seconded by Ms. Crockett.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Respectfully submitted,

Max Merz,
Secretary/Treasurer, Board of Directors

PLEASE NOTE DUE TO AN ELECTRONIC MALFUNCTION, A RECORDING OF THE MEETING DID NOT OCCUR. MINUTES OF THE MEETING WERE PREPARED FROM THE MEETING ROLL CALL SHEETS, AGENDA MEMOS, AND NOTES TAKEN BY THE CHIEF SUPERVISOR.



Memo to: Board of Directors
From: Chuck Ewert
Subject: Program Status Report for January 2023
Date: January 16, 2023

500-Year Authorized Level Status

Wood River Levee System

Bid Package 8 is under construction (by USACE) and WSP has completed the relief well construction oversight. Coordination is underway for the relocation of the Village of Roxana's wastewater treatment plant force main which was found to be in conflict with the construction. WSP will bring a contract modification for this work at a later date when the total construction involvement is completed.

Relief Well Package 2 land acquisition coordination with landowners, USACE, and stakeholders is ongoing.

Relief Well Package 3 land acquisition continues with completion anticipated in early 2023.

WSP is still awaiting response to their November 17, 2021 letter requesting approval for the FPD Council to construct another work-in-kind project (Bid Package 9). USACE continues to indicate a response is nearly complete.

WSP has submitted responses to requests for additional information regarding the second Wood River WIK request of \$1,948,785.47.

On October 17, 2022, USACE has approved our first LERRDs submittal in the amount of \$696,876.85.

Work In-Kind credit of \$16,041,496 has been approved to date for the Wood River Levee System.

Lastly, USACE has updated its total project cost for Wood River from \$85,290,000 in 2018 to now \$107,471,000. Hopefully, the majority of this increase will be offset by a new reduced total project cost estimate for MESD/East St. Louis, which is expected early next year.

MESD Levee System

WSP continues to work with USACE and Keller Construction on review of submittals and requests for information (RFIs) on Bid Package 11. USACE has provided response on all RFIs and Submittals that have been sent their way. A few of the RFIs and USACE responses have resulted in Keller having to revise shop drawings and work with their suppliers/fabricators to get revised estimates for critical path construction materials. They are now hoping for a project mobilization date in the first half of February.

Land acquisition from Terminal Railroad Association (TRRA) is still ongoing; once complete, Bid Package 14B will be issued to USACE for Biddability, Constructability, Operability, Environmental and Sustainability (BCOES) review. It is possible that with the Corps' reduced estimate for the East St. Louis/MESD System this project may be shifted to the Corps to construct.

Land acquisition for the USACE-designed and constructed Bid Package 14C is anticipated to begin early in 2023, and includes several large parcels of agricultural land along the north flank of MESD in Madison County, IL, near South Roxana and Edwardsville.

WSP is assisting MESD is finding suitable seepage berm material for use on Bid Package 14C, which USACE is going to construct. (Finding suitable borrow is a LERRDs requirement.)

Bid Package #18A – Wood is waiting for comments regarding the draft flow study report submitted to USACE on June 14th. Preliminary estimated construction cost is \$8,800,000.

USACE has issued comments on WSP's first WIK and LERRDs submittals. WSP responded to all WIK comments in early December and is still working with our land acquisition partners to address the remaining LERRDs comments. The total LERRDs amount requested for credit is \$1,733,030.54.

Work In-Kind Credit Requests #3, #4, #5 & #6 have also been submitted to USACE. The total amount of these requests for credit is \$12,023,679.85

Work In-Kind credit of \$12,415,405.70 has been approved to date for the MESD Levee System.

Prairie Du Pont/Fish Lake Levee Systems

WSP is currently working on the 65% design submittal for Bid Package 16, which is anticipated to be submitted to USACE by April.

Subsurface drilling for Bid Packages 16 and 17 drilling is still ongoing and anticipated to be finished within the next few weeks.



Memo to: Board of Directors
From: Chuck Ewert
Subject: Budget and Disbursement Reports for November & December 2022
Date: January 16, 2023

Current Budget Highlights

Attached are the financial statements for November and December 2022 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending November 30, 2022 and December 31, 2022, as compared to the according fiscal year budget.

Accrued expenditures for the new fiscal year beginning on October 1, 2022 thru November 30, 2022 were \$7,228,554 while revenues amounted to \$2,847,957 resulting in a deficit of \$4,380,597.

Accrued expenditures for the new fiscal year beginning on October 1, 2022 thru December 31, 2022 were \$7,546,345 while revenues amounted to \$4,293,446 resulting in a deficit of \$3,252,899.

A total of \$49,762,151 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection.

Monthly sales tax receipts of \$1,420,268 for September 2022 were up 5.20% from last year. Monthly sales tax receipts of \$1,428,206 for October 2022 were up 6.05% from last year. Receipts for the year are up 7.17% from last year. Each county had the highest receipts ever for the months of September and October.

Monthly Disbursements

Attached are bank transactions for November and December. Total disbursements for November were \$399,709.87 and for December \$318,001.32, with the largest payments being to WSP.

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

TWO MONTHS ENDED NOVEMBER 30, 2022 AND 2021



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the two months ended November 30, 2022 and 2021, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2023, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2023 and 2022, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

A handwritten signature in cursive script that reads "CliftonLarsonAllen LLP".

CliftonLarsonAllen LLP

St. Louis, Missouri
December 5, 2022

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWO MONTHS ENDED NOVEMBER 30, 2022 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 2,792,118	\$ 14,699,215
Interest Income	100,000	100,000	55,839	44,161
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>2,847,957</u>	<u>14,743,376</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,223,000	5,223,000	716,547	4,506,453
Construction	23,550,000	23,550,000	8,453	23,541,547
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>725,000</u>	<u>28,548,000</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	-	190,000
Financial Advisor	65,000	65,000	3,750	61,250
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>3,750</u>	<u>263,250</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	1,464,201	384,499
Monroe County	167,650	167,650	132,789	34,861
St. Clair County	1,483,650	1,483,650	1,175,225	308,425
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>2,772,215</u>	<u>727,785</u>
Debt Service				
Principal and Interest	9,549,731	9,549,731	3,690,666	5,859,065
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>3,690,666</u>	<u>5,859,065</u>
Total Operating Expenses	<u>42,589,731</u>	<u>42,589,731</u>	<u>7,191,631</u>	<u>35,398,100</u>
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	29,248	221,752
Bank Service Charges	1,000	1,000	91	909
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	38,500	38,500	5,586	32,914
Audit Services	19,500	19,500	-	19,500
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	111	889
Printing/Photocopies	2,000	2,000	210	1,790
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	1,152	1,848
Travel	5,000	5,000	-	5,000
Insurance	8,000	8,000	525	7,475
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>36,923</u>	<u>308,077</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>7,228,554</u>	<u>35,706,177</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(25,343,398)	(25,343,398)	(4,380,597)	(20,962,801)
NET CHANGE IN FUND BALANCE	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (4,380,597)</u>	<u>\$ (20,962,801)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWO MONTHS ENDED NOVEMBER 30, 2021 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 2,632,120	\$ 11,367,880
Interest Income	50,000	50,000	29,923	20,077
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>2,662,043</u>	<u>11,387,957</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	422,419	4,775,581
Construction	12,601,700	12,601,700	109,955	12,491,745
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>532,374</u>	<u>17,767,326</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	9,482	180,518
Financial Advisor	65,000	65,000	3,750	61,250
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>13,232</u>	<u>253,768</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	1,295,379	(767,209)
Monroe County	47,900	47,900	122,883	(74,983)
St. Clair County	423,930	423,930	1,155,203	(731,273)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>2,573,465</u>	<u>(1,573,465)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	3,651,016	5,823,765
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>3,651,016</u>	<u>5,823,765</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>6,770,087</u>	<u>22,271,394</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	32,813	207,187
Bank Service Charges	1,000	1,000	91	909
Equipment and Software	2,000	2,000	176	1,824
Fiscal Agency Services	37,000	37,000	8,295	28,705
Audit Services	19,000	19,000	-	19,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	25	975
Printing/Photocopies	2,000	2,000	244	1,756
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	408	2,592
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	518	482
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>42,570</u>	<u>282,430</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>6,812,657</u>	<u>22,553,824</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES				
	<u>(15,316,481)</u>	<u>(15,316,481)</u>	<u>(4,150,614)</u>	<u>11,165,867</u>
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (4,150,614)</u>	<u>\$ 11,165,867</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the Two Months Ended November 30, 2022 and 2021, and the year ending September 30, 2023**

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2021, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

THREE MONTHS ENDED DECEMBER 31, 2022 AND 2021



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the three months ended December 31, 2022 and 2021, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2023, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2023 and 2022, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.



CliftonLarsonAllen LLP

St. Louis, Missouri
January 5, 2023

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
THREE MONTHS ENDED DECEMBER 31, 2022 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 4,212,386	\$ 13,278,947
Interest Income	100,000	100,000	81,060	18,940
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>4,293,446</u>	<u>13,297,887</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,223,000	5,223,000	990,229	4,232,771
Management				
Construction	23,550,000	23,550,000	24,256	23,525,744
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>1,014,485</u>	<u>28,258,515</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	1,908	188,092
Financial Advisor	65,000	65,000	4,650	60,350
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>6,558</u>	<u>260,442</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	1,464,201	384,499
Monroe County	167,650	167,650	132,789	34,861
St. Clair County	1,483,650	1,483,650	1,175,225	308,425
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>2,772,215</u>	<u>727,785</u>
Debt Service				
Principal and Interest	9,549,731	9,549,731	3,690,666	5,859,065
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>3,690,666</u>	<u>5,859,065</u>
Total Operating Expenses	<u>42,589,731</u>	<u>42,589,731</u>	<u>7,483,924</u>	<u>35,105,807</u>
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	43,053	207,947
Bank Service Charges	1,000	1,000	137	863
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	38,500	38,500	11,390	27,110
Audit Services	19,500	19,500	-	19,500
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	120	880
Printing/Photocopies	2,000	2,000	210	1,790
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	1,337	1,663
Travel	5,000	5,000	-	5,000
Insurance	8,000	8,000	6,175	1,825
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>62,421</u>	<u>282,579</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>7,546,345</u>	<u>35,388,386</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(25,343,398)	(25,343,398)	(3,252,899)	(22,090,499)
NET CHANGE IN FUND BALANCE	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (3,252,899)</u>	<u>\$ (22,090,499)</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
THREE MONTHS ENDED DECEMBER 31, 2021 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 3,982,176	\$ 10,017,824
Interest Income	50,000	50,000	39,736	10,264
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>4,021,912</u>	<u>10,028,088</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,198,000	5,198,000	560,558	4,637,442
Construction	12,601,700	12,601,700	118,910	12,482,790
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>679,468</u>	<u>17,620,232</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	26,975	163,025
Financial Advisor	65,000	65,000	4,650	60,350
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>31,625</u>	<u>235,375</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	1,295,379	(767,209)
Monroe County	47,900	47,900	122,883	(74,983)
St. Clair County	423,930	423,930	1,155,203	(731,273)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>2,573,465</u>	<u>(1,573,465)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	3,651,016	5,823,765
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>3,651,016</u>	<u>5,823,765</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>6,935,574</u>	<u>22,105,907</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	49,219	190,781
Bank Service Charges	1,000	1,000	137	863
Equipment and Software	2,000	2,000	176	1,824
Fiscal Agency Services	37,000	37,000	11,004	25,996
Audit Services	19,000	19,000	-	19,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	25	975
Printing/Photocopies	2,000	2,000	244	1,756
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	152	2,848
Telecommunications/Internet	3,000	3,000	509	2,491
Travel	5,000	5,000	-	5,000
Insurance	1,000	1,000	6,098	(5,098)
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>67,564</u>	<u>257,436</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>7,003,138</u>	<u>22,363,343</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(15,316,481)	(15,316,481)	(2,981,226)	12,335,255
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (2,981,226)</u>	<u>\$ 12,335,255</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the Three Months Ended December 31, 2022 and 2021, and the year ending September 30, 2023

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2022, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

Flood Prevention District Sales Tax Trends 2009-2022

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
2009	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
2010	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
2011	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
2012	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
2013	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
2014	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
2015	\$822,161	\$813,702	\$954,534	\$937,146	\$987,590	\$1,018,166	\$933,276	\$955,803	\$955,617	\$952,766	\$948,234	\$1,199,694	\$11,478,688	
2016	\$808,455	\$856,751	\$995,459	\$928,154	\$974,167	\$1,021,380	\$933,254	\$936,644	\$960,144	\$949,471	\$981,877	\$1,205,068	\$11,550,824	
2017	\$829,224	\$872,167	\$1,029,226	\$985,112	\$1,045,182	\$1,044,517	\$973,275	\$1,004,076	\$1,000,900	\$995,901	\$1,032,735	\$1,218,755	\$12,031,070	
2018	\$884,025	\$885,009	\$1,067,122	\$993,560	\$1,102,138	\$1,087,259	\$1,010,353	\$1,036,244	\$1,008,420	\$1,027,289	\$1,056,091	\$1,191,097	\$12,348,606	
2019	\$856,912	\$716,345	\$1,073,214	\$1,069,141	\$1,104,088	\$1,070,741	\$1,026,862	\$1,038,732	\$1,018,760	\$1,042,225	\$1,040,888	\$1,208,970	\$12,266,878	
2020	\$901,535	\$883,512	\$936,044	\$853,705	\$965,224	\$1,096,611	\$1,069,143	\$1,051,633	\$1,104,459	\$1,052,506	\$1,016,784	\$1,207,643	\$12,138,797	

2021

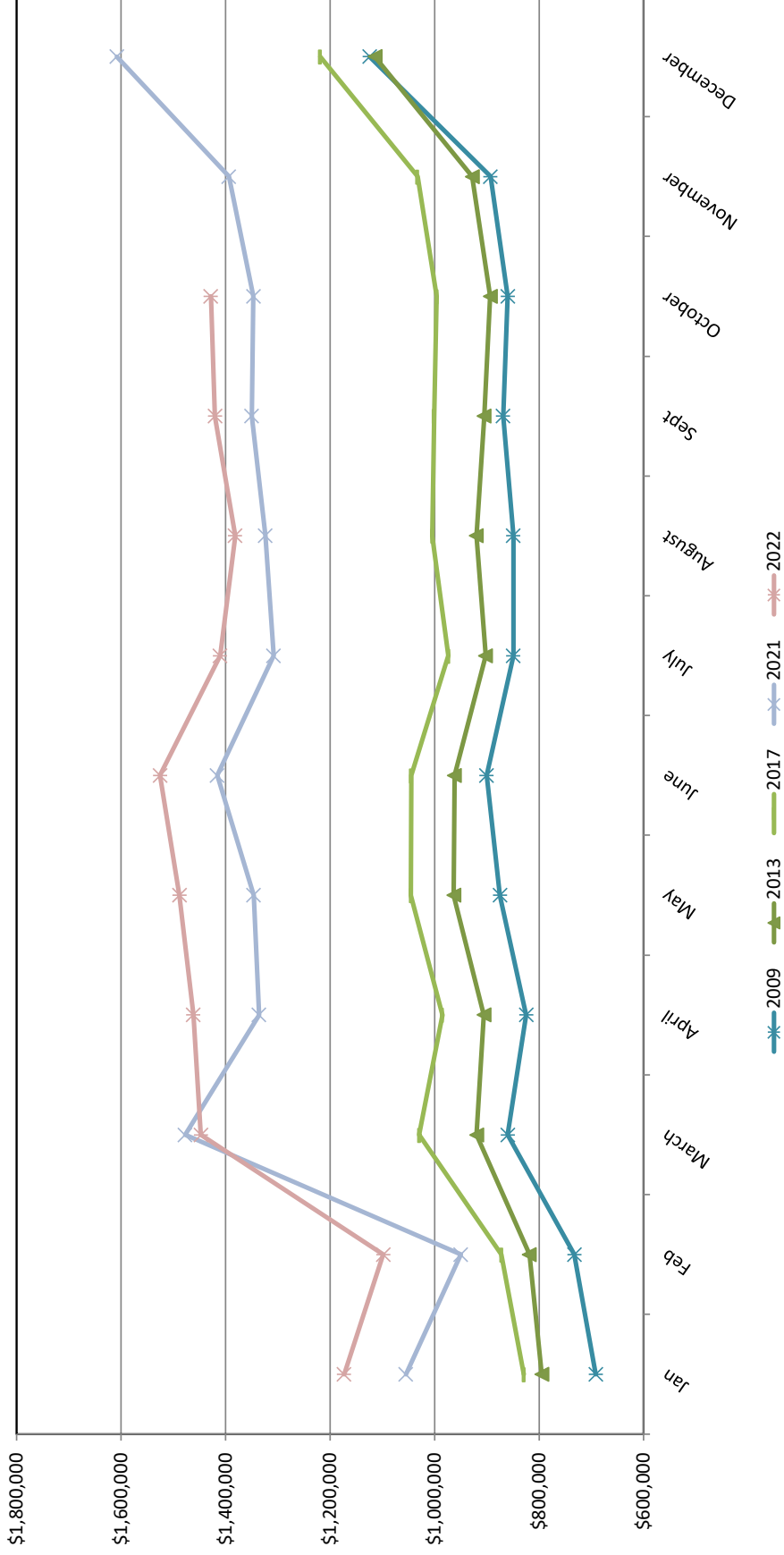
	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$546,481	\$484,478	\$750,438	\$680,316	\$684,154	\$715,483	\$661,885	\$675,087	\$677,612	\$688,793	\$707,836	\$791,608	\$8,064,172	50.683%
St. Clair	\$453,593	\$417,069	\$657,225	\$590,473	\$595,146	\$633,500	\$581,052	\$582,728	\$608,619	\$588,396	\$614,462	\$735,438	\$7,057,701	44.357%
Monroe	\$54,905	\$48,107	\$69,770	\$65,305	\$67,044	\$66,892	\$65,032	\$66,336	\$63,826	\$69,594	\$71,374	\$81,079	\$789,264	4.960%
Total Month	\$1,054,979	\$949,654	\$1,477,433	\$1,336,095	\$1,346,344	\$1,415,874	\$1,307,969	\$1,324,151	\$1,350,056	\$1,346,783	\$1,393,672	\$1,608,126	\$15,911,137	
Cumulative Total	\$1,054,979	\$2,004,633	\$3,482,066	\$4,818,161	\$6,164,505	\$7,580,379	\$8,888,348	\$10,212,499	\$11,562,555	\$12,909,339	\$14,303,011	\$15,911,137		
% change/month	17.02%	7.49%	57.84%	56.51%	39.49%	29.11%	22.34%	25.91%	22.24%	27.96%	37.07%	33.16%		
% change/total	17.02%	12.30%	27.97%	34.78%	35.78%	34.48%	32.55%	31.65%	30.48%	30.21%	30.85%	31.08%		

2022

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$591,701	\$49,944	\$722,877	\$746,182	\$760,806	\$776,116	\$726,989	\$710,926	\$714,836	\$734,672	\$707,836	\$791,608	\$7,035,048	50.850%
St. Clair	\$520,030	\$491,970	\$652,499	\$644,157	\$651,404	\$675,466	\$611,281	\$602,062	\$633,377	\$618,991	\$614,462	\$735,438	\$6,101,237	44.100%
Monroe	\$61,275	\$6,457	\$71,820	\$71,442	\$76,217	\$73,977	\$72,314	\$68,546	\$72,054	\$74,543	\$71,374	\$81,079	\$798,645	5.050%
Total Month	\$1,173,007	\$1,098,371	\$1,447,196	\$1,461,780	\$1,488,426	\$1,525,559	\$1,410,583	\$1,381,535	\$1,420,268	\$1,428,206	\$1,393,672	\$1,608,126	\$15,911,137	
Cumulative Total	\$1,173,007	\$2,271,377	\$3,718,574	\$5,180,354	\$6,668,780	\$8,194,339	\$9,604,922	\$10,986,457	\$12,406,724	\$13,834,931	\$15,238,603	\$16,846,729		
% change/month	11.19%	15.66%	-2.05%	9.41%	10.55%	7.75%	7.85%	4.33%	5.20%	6.05%	37.07%	33.16%		
% change/total	11.19%	13.31%	6.79%	7.52%	8.18%	8.10%	8.06%	7.58%	7.30%	7.17%	30.85%	31.08%		

Flood Prevention District Sales Tax Trends 2009-2022

Monthly Receipts 2009-2013-2017-2021-2022



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
November 30, 2022**

Beginning Bank Balance as of November 1, 2022			\$ 1,267,837.26
Receipts			
Busey Bank	11/18/2022	Admin Transfer	17,298.81
Busey Bank	11/18/2022	Construction Transfer	380,968.94
Busey Bank	11/30/2022	Interest	48.23
			\$ 398,315.98
Disbursements			
CliftonLarsonAllen LLP	11/11/2022	Fiscal Agent	1,396.50
CliftonLarsonAllen LLP	11/21/2022	Fiscal Agent	1,396.50
WSP Global, Inc.	11/10/2022	Construction	375,367.74
AT&T	11/03/2022	Telephone/Tablet	23.50
Husch Blackwell	11/11/2022	Legal	4,701.20
USPS	11/15/2022	Postage	18.00
Busey Bank	11/18/2022	Wire Fee	15.00
Busey Bank	11/18/2022	Wire Fee	15.00
Columbia Capital	11/28/2022	Financial Advisor	900.00
Columbia Capital	11/30/2022	Financial Advisor	1,950.00
East-West Gateway Council of Governments	11/30/2022	Supervisor Mgmt Services	13,718.19
USPS	11/18/2022	Postage	63.00
Busey Bank	11/30/2022	Bank Fee	15.45
USPS	11/30/2022	Postage	9.00
AT&T	11/10/2022	Telephone/Tablet	120.79
			\$ 399,709.87
			\$ 1,266,443.37

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
December 31, 2022**

Beginning Bank Balance as of December 1, 2022			\$ 1,266,443.37
Receipts			
Busey Bank	12/15/2022	Admin Transfer	16,767.73
Busey Bank	12/15/2022	Construction Transfer	285,143.18
Busey Bank	12/31/2022	Interest	52.98
			\$ 301,963.89
Disbursements			
CliftonLarsonAllen LLP	12/08/2022	Fiscal Agent	1,396.50
Cost Less Copy Center	12/05/2022	Printing & Copying	210.00
WSP Global, Inc.	12/14/2022	Construction	273,681.98
CliftonLarsonAllen LLP	12/21/2022	Fiscal Agent	4,407.90
Husch Blackwell	12/12/2022	Legal	7,711.20
Travelers CL Remittance Center	12/19/2022	Insurance	5,650.00
Busey Bank	12/15/2022	Wire Fee	15.00
Busey Bank	12/15/2022	Wire Fee	15.00
Columbia Capital	12/06/2022	Financial Advisor	900.00
Silver Property Holdings	12/28/2022	Easement & Legal RE Acquisition	10,000.00
East-West Gateway Council of Governments	12/16/2022	Supervisor Mgmt Services	13,804.45
Webroot Software	12/04/2022	Domain	63.75
Busey Bank	12/31/2022	Bank Fee	15.75
USPS	12/21/2022	Postage	9.00
AT&T	12/13/2022	Telephone/Tablet	120.79
			\$ 318,001.32
			\$ 1,250,405.94



Memo to: Board of Directors
From: Chuck Ewert
Subject: Design and Construction Update
Date: January 16, 2023

Attached is WSP's Design and Construction Update, which Jay Martin will present at the meeting.

Recommendation: Accept the January Design and Construction Update by WSP USA Environment & Infrastructure Inc.

Southwestern Illinois Levee Systems

Progress Report January 18, 2023

Jay Martin

January 18, 2023

Authorized Level (~500-Year) Design

Wood River (Bid Packages 8 & 9)

- Bid Package 8 (Relief wells & a pump station)
 - Design is complete – project is being constructed by USACE.
- Bid Package 9 (1 large seepage berm in Roxanna)
 - USACE is preparing the Engineering Documentation Report (EDR)



Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 11 (Relief wells & a pump station)
 - Pre-construction submittals are underway.
- Bid Package 12 (relief wells)
 - Being Designed/Constructed by USACE



Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 14A (riverside clay cap and cutoff trench)
 - USACE is reviewing closeout documentation
- Bid Package 14B (filter blanket under I-70 bridge)
 - Construction Postponed until USACE MESD Deep Cutoff Wall Project is complete
 - ROW Acquisition is underway

Authorized Level (~500-Year) Design

MESD (Bid Pkgs 11, 12, 14A, 14B, 18 & 18A)

- Bid Package 18 (Relief wells, pipe & pump station modifications)
 - USACE is reviewing closeout documentation
- Bid Package 18A (Pump station & pipe replacement)
 - Report submitted to USACE on June 14, 2022.
 - Ultimately USACE will need to approve this as a WIK project.

Authorized Level (~500-Year) Design

Prairie du Pont & Fish Lake (Bid Pkgs 15, 16, & 17)

- Drilling is complete
- Working on environmental permits
- Received USACE comments on 35% design (BP15)
- Responded to USACE comments (BP15)
- Working on 65% design (BP15)



wsp

Thank you

wsp.com

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Memo to: Board of Directors
From: Chuck Ewert
Subject: Utility Relocation Agreement – Village of Roxanna USACE BP # 8
Date: January 16, 2023

During construction of Bid Package 8, the Corps of Engineers' contractor (Magruder Construction) located a utility pipeline belonging to the Village of Roxanna's wastewater treatment plant that is in conflict with the proposed Bid Package 8 pump station force main piping. After evaluating several options, an emergency relocation of the Village's utility is necessary to construct Bid Package 8, as approved by both USACE and IDNR.

The Village has been cooperative in helping to find a solution to this utility conflict. The Village is bidding the relocation work, which will be performed by their contractor since they own the utility to be moved. Bids are to be opened on January 16, 2023.

Husch Blackwell is currently working on an opinion of compensability, after which USACE will review and agree if appropriate. The final decision on compensability will determine whether the costs for relocation are eligible for LERRDs credit, but at this time we expect a favorable decision.

This item is being brought to the Council in January since the details are expected to be finalized in the next several weeks and waiting for approval at the FPD Council's March meeting would further delay the construction of Bid Package 8 and push the contractor closer to spring rise of the Mississippi River.

Recommendation: Authorize the Chief Supervisor to enter into a utility relocation agreement with the Village of Roxanna with a cost not to exceed \$(to be determined prior to authorization), provided that USACE agrees that the relocation is eligible for LERRDs credit.

(The cost of the relocation was not known at the time the agenda package was being sent to the printer but is anticipated to be known by the Board meeting date of January 18.)



Memo to: Board of Directors
From: Chuck Ewert
Subject: Corps of Engineers Update
Date: January 16, 2023

Attached is Hal Graef's Corps of Engineers Update, which Hal will present at the meeting.

Recommendation: Accept the January Corps of Engineers Update by Hal Graef.

METRO EAST LEVEE SYSTEM PROGRAM UPDATE



Hal Graef
January 18, 2023



US Army Corps
of Engineers



MEL PRICE SEGMENT OF UPPER WOOD RIVER



Feature/Task	Description	Estimated Date	Actual Date	Notes
Reach 1 Relief Well contract	4 existing Relief Wells; 55 new Relief Wells		Awarded 3Jun21	<ul style="list-style-type: none"> All wells installed Punch list items being addressed
Reach 2 Relief Well contract	16 existing Relief Wells; 25 new Relief Wells		Awarded 8Aug22	<ul style="list-style-type: none"> Contractor providing pre-construction submittals Tree clearing activities ongoing <ul style="list-style-type: none"> Recent public inquiries with respect to eagles nest in the area (inactive nest in Reach 2) Contractors are aware and adhering to buffer zones
Complete Mitigation		FY23		<ul style="list-style-type: none"> Mitigation credit purchase is complete. Will coordinate with USFWS and IDNR to review/document the mitigation requirements. Anticipate late summer 2023.
OMRR&R Payment to WRDL	Compensation to LD to offset additional O&M expenses	Late FY24 or early FY25		<ul style="list-style-type: none"> Payment pending sufficient funds following completion of all construction and mitigation work. Possible use of project funds for Interim Operating Plan (IOP)
Project Close Out	All documents archived; financial close out			



WOOD RIVER



3

Feature/Task	Description	Estimated Date	Actual Date	Notes
Bid Package 8 contract	1 Pump Station/22 Relief Wells		Awarded 4Nov21	<ul style="list-style-type: none"> Some delays in getting materials. Utility relocation identified (outfall structure force main discharge pipe and Roxana's WWTP line). Sec 408 not required.
Relief Well #1 contract	24 Relief Wells		Awarded 2Dec21	<ul style="list-style-type: none"> All wells installed Additional time needed for pump tests and turf establishment
Pump Stations contract	PS #2 and #3 along Canal Rd		Awarded 28Sep22	<ul style="list-style-type: none"> Contractor expected to mobilize 1Mar23
Relief Well #2/Ditch Work contract	55 Relief Wells plus ditch work to convey the water to the WR PS	TBD		<ul style="list-style-type: none"> USACE provided Alt Ditch conceptual alignment on 19Dec22 All USACE work paused pending decision of FPD/WRDLA about potential Alternative Ditch alignment (betterment) Awaiting ROW Certification and additional funding
Relief Well #3 contract	30 Relief Wells	TBD		<ul style="list-style-type: none"> Awaiting ROW Certification and additional funding
Pump Station Modification contract	Mods to WR and Hawthorne PSs	Sep 2023		<ul style="list-style-type: none"> Seek confirmation of no design changes based upon RW#2/Ditch Work alignment prior to solicitation
Berm	Vice PS/RW at Roxana	TBD		<ul style="list-style-type: none"> FPD requested to design/construct via WIK
Mitigation	For BP-8 (maybe berm)			<ul style="list-style-type: none"> Plan is to purchase credits when berm is at 65% level of design
WIK #2	For BP-8 design, other			<ul style="list-style-type: none"> Received Fall 2022, but insufficient information to process Awaiting complete submittal
Total Project Cost	Update to TPC		12Dec22	<ul style="list-style-type: none"> \$107,471,000 (Dec 2022); \$85,290,000 (Oct 2018) Next step is Change Control Board to seek Agency decision



EAST ST. LOUIS



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Feature/Task	Description	Est. Date	Actual Date	Notes
Cutoff Wall/Jet Grout	MESD Stations 973+00 to 1020+00		Awarded 14Nov19	<ul style="list-style-type: none"> Construction complete 4Oct22, substantially complete 23Nov22. Final completion expected Spring '23 – establishment of turf USACE Team currently reviewing as-builts
BP-12 Ph1	106 D-Type Relief Wells		Awarded 26Aug21	<ul style="list-style-type: none"> Well installation and development complete as of Dec22 Additional site grading, turf establishment, and pump testing of 36 RWs to be completed in Spring '23 USACE received request for materials surcharge – HQ to decide
BP-12 Ph2	43 T-Type Relief Wells (Ph2 and Ph3)	Award: 1 st Qtr FY24		<ul style="list-style-type: none"> Approx. 65% design In coordination with IDOT, TRRA, Veolia, Alton-Southern.
BP-14C	North Flank Berms	Award: 4 th Qtr FY24		<ul style="list-style-type: none"> Approx. 65% design USACE informed that previously-identified dredge material may be unavailable and/or unsuitable. Need approx. 115k CY.
LERRDs*	Land, Easements, ROW, Relocations and Disposal			<ul style="list-style-type: none"> .Request No. 1 received 02Jun22 being reviewed and processed.
WIK Credit Requests	BP-14A and 18			<ul style="list-style-type: none"> Additional information for requests No. 3-6 received 07Dec22, currently being reviewed
WIK BP-11*	Relief Wells			<ul style="list-style-type: none"> USACE response to RFI/Submittals complete
WIK BP 18A*	Phillips Reach PS			<ul style="list-style-type: none"> USACE reviewing flow analysis to determine potential cost share
Total Project Cost	Update to TPC	Jan 2023		<ul style="list-style-type: none"> Not expected to exceed current estimate; hence, not expected to require higher echelons of review/certification.

*Recommend WIK/LERRDs credit requests be submitted at regular intervals, vice waiting to the end of the project.



PRAIRIE du PONT AND FISH LAKE



Feature/Task	Description	Estimated Date	Actual Date	Notes
Bid Package15 coordination	Pump Station and underseepage controls	TBD		<ul style="list-style-type: none">• Continue coordination with WSP on BP-15 Responses to 30% review comments posted to Dr. Checks (15Dec22)• Reference 2017 Contributed Funds Agreement (BP-15)
Future Bid Package coordination		TBD		<ul style="list-style-type: none">• Anticipate future Contributed Funds Agreement for each BP
Environmental Assessment	Supplemental EA	TBD		<ul style="list-style-type: none">• The applicant is to submit a revised/supplemental/updated EA for the entire project on the modified design (at least 65% design or greater)• May need to have the area surveyed for T&E species, HTRW and/or Cultural Resources• Need to specify source of borrow material• Supp EA to be reviewed within the Section 408 program