



SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BOARD OF DIRECTORS MEETING

November 15, 2023 7:30 am

Metro-East Park and Recreation District Office
104 United Drive, Collinsville, Illinois 62234

1. Call to Order
John Conrad, President
2. Roll Call
Debra Moore, Secretary/Treasurer
3. Approval of Minutes of August 16, 2023
4. Public Comment on Pending Agenda Items
5. Introduction of Colonel Andy J. Pannier, Commander, St. Louis Engineer District
6. Program Status Report
Chuck Etwert, Chief Supervisor
7. Budget Update and Approval of Disbursements
Chuck Etwert, Chief Supervisor
8. Design and Construction Update
Jon Omgig, WSP USA Environment & Infrastructure Inc.
9. Release of Executive Session Minutes
Chuck Etwert, Chief Supervisor
10. Update from Corps of Engineers
Hal Graef, U.S. Army Corps of Engineers

AGENDA

11. Public Comment

Executive Session – (if necessary)

12. Other Business

13. Adjournment

Next Meeting: January 17, 2024

MINUTES

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL BOARD OF DIRECTORS MEETING August 16, 2023

The regular meeting of the Board of Directors was held at the Metro-East Park and Recreation District Office, 104 United Drive, Collinsville, Illinois at 7:30 a.m. on Wednesday August 16, 2023.

Members in Attendance

Debra Moore, President (Chair, St. Clair County Flood Prevention District)
John Conrad, Vice-President (Chair, Monroe Flood Prevention District)
Max Merz III, Secretary/Treasurer (Madison County Flood Prevention District)
Michael Andreas, Madison County Flood Prevention District
Bruce Brinkman, Monroe County Flood Prevention District
Isabelle Crockett, St. Clair County Flood Prevention District
Aaron Metzger, Monroe County Flood Prevention District
David Schwind, Madison County Flood Prevention District

Members Absent

Alvin Parks, Jr., St. Clair County Flood Prevention District

Others in Attendance

Chuck Etwert, SW Illinois FPD Council
Rick Fancher, MESD
Mike Feldmann, U.S. Army Corps of Engineers
Hal Graef, U.S. Army Corps of Engineers
David Human., Husch Blackwell LLP
Jennifer Kengouskiy, U.S. Army Corps of Engineers
Jon Omvig, WSP USA Environment & Infrastructure Inc.
Justin Rose, MESD

Call to order

President Debra Moore noted the presence of a quorum and called the meeting to order at 7:30 a.m.

Dr. Moore asked for a roll call to confirm that a quorum was present and the following indicated their attendance.

Mr. Andreas - Present
Mr. Brinkman – Present
Ms. Crockett - Present
Mr. Conrad – Present
Mr. Merz – Present
Mr. Metzger - Present
Dr. Moore – Present

A quorum was present.

Approval of Minutes of July 19, 2023

Dr. Moore asked for a motion to approve the minutes of the Board meeting held on July 19, 2023. A motion was made by Ms. Crockett seconded by Mr. Brinkman to approve the minutes of the Board meeting held on July 19, 2023. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman – Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger – Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – absent

The motion was approved.

Public Comment on Pending Agenda Items

Dr. Moore asked if there were any comments from the public on any agenda item on today's agenda. There were no comments.

Program Status Report

Dr. Moore asked Mr. Etwert to provide a status report for the project.

He briefly highlighted recent changes to the status of the Authorized Level Projects.

500-Year Authorized Level Status

Wood River Levee System

He indicated on August 2, 2023, USACE approved WIK Request No.2 submitted on January 13, 2022 for \$1,697,922.41. Work In-Kind credit of \$17,739,418.51 has been approved to date for the Wood River Levee System.

The Corps has finalized its total project cost estimate for the Wood River Levee System at \$107,471,000, with a 35 percent non-federal share of \$37,614,850. To date, the Council's credits, with the five percent cash contribution, total \$27,985,946.76.

MESD Levee System

Last month, it was indicated due to the USACE identifying a desire to change some of the previously approved materials that were being used for the backfilling of relief wells, a change order would probably be coming to the Board this month. However, the material change amount was under the 10% threshold which requires Board approval, therefore Change Order No. 4 is not on the agenda today.

However, Change Order No. 5, in the amount of \$121,121.75, for an additional relief well and change to a proposed relief well is on the agenda for consideration and will be presented by WSP later in the meeting.

On July 19, WSP has received confirmation that the Bid Package 18 closeout documentation has been accepted by USACE. Both Authorized Level construction projects that have been completed thus far (BP14A and BP18) are officially closed out now.

Work In-Kind Credit Requests #3, #4, #5 & #6 totaling \$12,183,767.91 submitted to USACE in June and December 2022, were approved on August 8, 2023.

Work In-Kind credit of \$24,599,173.61 has been approved to date for the MESD Levee System.

The Corps has also finalized its total project cost estimate for the MESD Levee System at \$151,065,000, with a 35 percent non-federal share of \$52,870,750. To date, the Council's credits, with the five percent cash contribution, total \$33,676,246.44.

Based on these current finalized costs for Wood River and MESD, the Council should still have sufficient funds to complete the Authorized Level projects in the next three to four years. Basically, thanks the "Leveling the Playing Field Act" bringing in the extra sales tax revenue.

Prairie Du Pont/Fish Lake Levee Systems

WSP is continues working on the 65% design submittal for Bid Package 15. In addition, WSP is working on responses to comments and edits to the Draft Environmental Assessment received on July 11 from the Corps.

Dr. Moore asked for a motion to accept the Program Status Report for August 2023. A motion was made by Mr. Brinkman to accept the Program Status Report for August 2023. Ms. Crockett seconded the motion. Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas – Aye
Mr. Brinkman - Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – absent

The motion was approved unanimously.

Budget Update and Approval of Disbursements

Dr. Moore asked Mr. Etwert to provide a report and noted copies of all disbursements were available for viewing.

He noted the financial statements for July 2023 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the month ending July 31, 2023, as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2022 thru July 31, 2023 were \$23,813,472 while revenues amounted to \$14,722,495 resulting in a deficit of \$9,090,977.

After two consecutive months of down receipts, monthly receipts of \$1,498,341 were slightly up at .67% for the month of May compared to last year. Receipts for the year are still up 2.25% from last year. With this slight rebound and increase, he felt the projected increase of one percent in revenue for the FY 2024 budget year was still correct.

A total of \$53,267,843 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection. He indicated that it is anticipated that fifty percent of these funds will be requested in April 2024.

He also provided the bank transactions for July 2023. Total disbursements for July were \$2,053,629.81 with the largest payments being to Keller Construction and WSP.

Dr. Moore asked for a motion to accept Mr. Etwert's budget reports and disbursements for July 2023. A motion was made by Mr. Conrad and seconded by Ms. Crockett to accept the budget reports and approve the disbursements for July 2023.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – absent

The motion was approved unanimously.

Design and Construction Update

Dr. Moore called on Jon Omvig, WSP USA Environment & Infrastructure Inc., to provide an update report.

He discussed highlights from the PowerPoint® presentation, which was included in the agenda package. His presentation focused on the Authorized Level (500-Year) Design and Construction.

Authorized Level (~500-Year) Design

Wood River

Bid Package 8 (Relief wells & a pump station)

- USACE is constructing
- Continue to respond to RFIs as needed

Bid Package 9 (1 large seepage berm in Roxana)

- **August 2023:** Total Project Cost (TPC) approved by USACE.

- **Aug. /Sep. 2023:** Anticipated date the Integral Determination Report (IDR) will be approved by USACE.
- **Oct./Nov.2023:** Anticipated date the Project Partnership Agreement (PPA) will be approved by USACE.
- **Dec. 2023:** Anticipated date that design work for Bid Package 9 will begin (and design/construction schedule updated accordingly)

MESD

Bid Package 11 (Relief wells & a pump station modifications)

- Project is approximately
- Project is approximately 35% complete
- Change Order 4 approved by Chuck in July 22

**Relief well seal material change to partial bentonite seal
(from full concrete)**

- **Change Order 5 for consideration today for relief wells**

**1 new relief well added to scope
1 relief well changed from Type “D” to Type “T”1**

Bid Package 14B (filter blanket under I-70 bridge)

- ROW Acquisition is underway
- Project will be shifted to USACE to bid and construct

Bid Package 18 (Relief wells, pipe & pump station modifications)

- Complete/closed out.

Bid Package 18A (Pump station & pipe replacement)

- Preliminary design is being scoped with USACE

Prairie Du Pont & Fish Lake

Bid Package 15

- Working towards 65% design submittal to USACE

Bid Package 16

- In preliminary design phase

Bid Package 17

- In preliminary design phase

Environmental Permitting

- Draft Waters of the United States (WOTUS) Report (wetland report) was submitted to USACE on March 23
- Draft Environmental Assessment (EA) was submitted to USACE on March 29

Comments received July 11

Working on comment responses and edits to draft EA

- Section 404 Application submitted July 12.
- Cultural Resources scope being developed

Mr. Schwind arrived during Mr. Omgig's the presentation

Dr. Moore asked for a motion to accept the Design and Construction Update. A motion was made by Mr. Brinkman with a second by Mr. Conrad to accept the WSP USA Environment & Infrastructure Inc. Design and Construction Update.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad –Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

FY 2024 Flood Prevention District Council Budget

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained, by law, the Council’s budget must be adopted by August 31st of each year for the fiscal year beginning October 1st. The budget must be submitted to the county boards for approval after which they have 30 days to act on it.

He indicated the recommended FY 2024 budget was provided in Table1 and there were no changes to the draft budget presented at the July meeting.

All key assumptions presented with the draft budget in July remain the same:

1. Corps of Engineers reviews will be timelier in FY 2024 than the last two years and projects identified for construction will be bid and awarded as anticipated.
2. The level of Council staffing does not change in FY 2024, and general and administrative costs continue to remain a very small portion of the project expenditures. Staff resources continue to be supplemented by the significant use of consulting and professional services.
3. In accordance with the bond indenture, all sales tax receipts are intercepted by the Trustee and after all financial requirements for debt, construction, and administration have been satisfied, surplus funds are transferred to each County for deposit in a County Flood Prevention District Sales Tax Fund for future Council project financing. The surplus transfers are shown as expenditures in the budget. He indicated there was over \$53 million dollars currently in those accounts.

4. A 10% construction cost contingency is included for all construction on all projects to be built.
5. Sales tax revenue is estimated to increase by 1% over the amount projected to be collected in FY 2023. Before the last two down months of sales tax receipts, revenues was estimated to increase by 2%. Interest income is estimated to be \$135,000.
6. As previously indicated, funding for Corps of Engineers' for oversight on the Prairie Du Pont/Fish Lake design and construction and has been included, if needed.
7. The Council will continue to work with levee districts in identifying potential funding sources, where and if needed, for the maintenance and operations of the 100-Year Improvements and Authorized Level Improvements being built. Life Cycle Costs Reports for the 100-Year Improvements have been provided to each of the Levee Districts.
8. After all of the funds held by the Council are expended, the three County FPD sales tax funds will be used for the 500-Year Authorized Level Projects. Requests to each County are now anticipated to occur in April 2024 and March 2025. These dates have been pushed back the last three to four years, but at this time the April 2024 and March 2025 appear to be accurate. There is currently \$53,267,842 in the County FPD sales tax funds.
9. The budget has been developed to provide flexibility to coincide with the Corps of Engineers' utilization of the Risk Informed Process and Risk Assessment. The Council will continue to work with the Corps of Engineers regarding project priorities and design & construction responsibilities between the Corps and WSP.

He reiterated sales tax revenues estimated to increase by one percent and the Council requesting funds from the Counties in April of 2024.

Table 1

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
BUDGET
OCTOBER 1, 2023 THRU SEPTEMBER 30, 2024**

	ACTUAL EXPENDITURES OCTOBER 1, 2021 THRU SEPTEMBER 30, 2022	ADOPTED BUDGET OCTOBER 1, 2022 THRU SEPTEMBER 30, 2023	PROJECTED EXPENDITURES OCTOBER 1, 2022 THRU SEPTEMBER 30, 2023	PROPOSED BUDGET OCTOBER 1, 2023 THRU SEPTEMBER 30, 2024
REVENUES				
Sales Tax Proceeds From Districts	\$ 16,525,996	\$ 17,491,333	\$ 17,112,882	\$ 17,284,013
Interest Income	128,056	100,000	782,277	135,000
Other Contributions			-	-
Total Revenues	\$ 16,654,052	\$ 17,591,333	\$ 17,895,159	\$ 17,419,013
EXPENDITURES				
Design and Construction				
Engineering Design & Construction Management	2,179,715	5,223,000	4,844,964	5,567,539
Construction	474,390	23,550,000	5,515,000	22,492,464
USACE Authorized Level Costs	-	500,000	-	500,000
Total Design and Construction	\$ 2,654,105	\$ 29,273,000	\$ 10,359,964	\$ 28,560,003
Professional Services				
Legal & Legislative Consulting	34,737	190,000	43,108	175,000
Financial Advisor	12,750	65,000	17,750	65,000
Bond Trustee Fee	13,494	12,000	13,500	15,000
Total Professional Services	\$ 60,981	\$ 267,000	\$ 74,358	\$ 255,000
Refund of Surplus Funds to County FPD Accounts				
Total Refund of Surplus Funds to County	\$ 6,046,227	\$ 3,500,000	\$ 6,836,910	\$ 3,000,000
Debt Service				
Principal and Interest	9,474,781	9,549,731	9,549,731	9,623,731
Total Debt Service	\$ 9,474,781	\$ 9,549,731	\$ 9,549,731	\$ 9,623,731
Total Design & Construction Expenses	\$ 18,236,094	\$ 42,589,731	\$ 26,820,963	\$ 41,438,734
General and Administrative Costs				
Salaries, Benefits	210,022	251,000	227,309	246,000
Bank Service Charges	547	1,000	564	1,000
Equipment and Software	463	2,000	1,285	2,000
Fiscal Agency Services	36,278	38,500	36,559	40,000
Audit Services	18,000	19,500	18,500	21,000
Meeting Expenses	150	1,000	198	1,000
Postage/Delivery	210	1,000	432	1,000
Printing/Photocopies	1,319	2,000	1,991	2,000
Professional Services	350	10,000	5,711	12,000
Supplies	425	3,000	778	3,000
Telecommunications/Internet	1,916	3,000	2,903	3,000
Travel	100	5,000	402	5,000
Insurance	6,098	8,000	6,175	8,000
Total General & Administrative Costs	275,878	\$ 345,000	302,807	345,000
Total Expenditures	\$ 18,511,972	\$ 42,934,731	\$ 27,123,770	\$ 41,783,734
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(1,857,920)	\$ (25,343,398)	\$ (9,228,611)	\$ (24,364,721)
OTHER FINANCING SOURCES				
Proceeds From Borrowing	-	-	\$ -	\$ -
NET CHANGE IN FUND BALANCE	\$ (1,857,920)	\$ (25,343,398)	\$ (9,228,611)	\$ (24,364,721)

PROJECTED FUND BALANCE SEPTEMBER 30, 2023

\$67,549,592

PROJECTED FUND BALANCE SEPTEMBER 30, 2024

\$43,184,871

Dr. Moore asked for a motion to accept Mr. Etwert's FY 2024 Flood Prevention District Council Budget. A motion was made by Mr. Brinkman to accept Mr. Etwert's FY 2024 Flood Prevention District Council Budget. Ms. Crockett seconded the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad –Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Flood Prevention District Council Annual Report for FY 2023

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained, by law, the Council must submit an Annual Report to each county board describing activities for the past year. The Council generally submits the report with the annual request for approval of the Council's budget.

The report simply chronicles the activities of the last year and builds on previous reports, so it is actually a summary of the Council's cumulative activities since its inception in June 2009.

A copy of the report was included in the agenda package and a hard copy was provided to each Board member.

Dr. Moore mentioned how the report covered all of the accomplishments of the Council to date.

Dr. Moore asked for a motion to accept the Flood Prevention District Council's Annual Report for FY 2023. A motion was made by Mr. Schwind to accept the Flood Prevention District Council's Annual Report for FY 2023. Ms. Crockett seconded the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad –Aye

Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Fiscal Agent Services

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained, CliftonLarsonAllen has served as the fiscal agent for the Council since being selected from competitive proposals and has done an excellent job, providing the following services:

- Maintain general ledger, fixed assets ledger, accounts receivable, general journal, and accounts payable.
- Review invoices for services provided to the Council prior to payment to determine compliance with the Council's contracts, agreements and policies.
- Prepare invoices and funding requests to bond Trustee, county treasurers, or other agencies or entities, as authorized by the Council, to pay expenses.
- Receive payments from the bond Trustee, counties or other agencies or entities on Council's behalf and prepare payments of invoices for execution by Council staff or Board members.
- Prepare regular statements of financial activity, including monthly statements showing accrued expenditures, budget comparisons, and disbursements, for Council Board meetings.
- Provide the Council and auditors with information and financial statements required for annual audits.
- Assist in developing annual Council budget.

In response, to a request for an additional two year proposal, CliftonLarsonAllen has proposed a monthly fee of \$2,961 a month with a fee of \$3,049.20 for assistance with the audit for a total fee of \$38,581.20 for FY 2024 and a monthly fee of \$3,138.45 a month with a fee of \$3,231.90 for assistance with the audit for a total fee of \$40,893.30 for FY 2025. The total fee for the FY 2023 was \$36,393.

Their fees includes a five percent technology fee for upgrades to keep their systems safe from cyber-attacks. Due to the relationship with the Council and the efficiencies developed over the years, the increase in fees was much lower than increases to their other clients.

With their knowledge and experience of performing as our fiscal agent and working with our auditor and bond trustee, he recommended extending the engagement with CliftonLarsonAllen to act as the Council's fiscal agent for the next two years.

Dr. Moore asked for a motion to authorize the Chief Supervisor to engage CliftonLarsonAllen to act as the Council's fiscal agent for the next two years at a cost not to exceed \$38,581.20 for FY 2024 and \$40,893.30 for FY 2025.

A motion was made by Mr. Schwind with a second by Mr. Brinkman to authorize the Chief Supervisor to engage CliftonLarsonAllen to act as the Council's fiscal agent for the next two years at a cost not to exceed \$38,581.20 for FY 2024 and \$40,893.30 for FY 2025.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad - Aye
Ms. Crockett - Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore - Aye
Mr. Parks - absent
Mr. Schwind - Aye

The motion was approved unanimously.

Financial Advisor Services

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained, Columbia Capital Management, LLC has served as the financial advisor for the Council since being selected by a qualification-based procurement, to provide financial consulting and debt issuance services in 2014, and is currently completing a two year agreement for calendar years 2022 and 2023.

Columbia Capital provided an outstanding effort during the long (and at times difficult) process of getting the Series 2015AB Bonds to market & issued, and the refunding of the 2010 Bonds which saved over \$13.2 million in debt service costs.

At his request, Columbia Capital has submitted a proposal to continue as the Council's Financial Advisor for the next two years.

Columbia Capital would continue to provide the Council with municipal advisory services, post-issuance compliance services and advisory services with respect to the investment of bond proceeds and sales tax receipts.

The proposed extension uses the same format as with all previous contracts and consists of the scope of services including, review of the Council’s outstanding bonds, preparing a refunding analysis, preparing a refunding plan, a potential bond transaction, maintenance and support of the Council’s debt program, a 2024/25 update of the Council’s financial plan, investment advisory services, post–issuance compliance services, and a contingent amount for work outside the scope of the proposal for a total two year cost not to exceed \$266,781, which is the same as the current 2022/2023 contract.

Columbia Capital’s project team will remain the same and hourly rates for the next two years remain the same as when they were selected in 2014.

Utilization of fees for the previous contract periods are as follows:

2014 & 2015 – Fee Proposal - \$176,500 – Utilized \$131,619*
2016 & 2017 – Fee Proposal - \$164,411 – Utilized \$39,697.50
2018 & 2019 – Fee Proposal - \$183,396 – Utilized \$68,215.00**
2020 & 2021 – Fee Proposal - \$247,985 – Utilized \$63,362.50**
2022 & 2023 – Fee Proposal - \$266,781 – Utilized \$19,050.00 (to date thru 7/31/2023)

- * Contract period included the issuance of the 2015 Bonds
- ** Both contract periods included the refunding of the 2010 Bonds

The contract has contingencies for the unexpected requests, which may arise, and work outside the scope of the proposal, however, based on past performance, less than a fourth of the funds, if that much, will be utilized.

Mr. Etwert indicated, with the recent higher anticipated revenues from the “Leveling the Playing Field Act”, he would be requesting an estimate from Columbia Capital of how much sooner the Council’s bonds could be paid off once all of the Authorized Level construction is completed. The Council remains in existence until all bonds are paid off. Current payoff timeframe is 2040.

Mr. Schwind indicated he hoped to be around for the payoff of the bonds.

Dr. Moore asked for a motion to authorize the Chief Supervisor to enter into contract with Columbia Capital Management, LLC to provide financial consulting, investment advisory services and post–issuance compliance services for the next two years for a total amount not to exceed \$266,781.

A motion was made by Ms. Crockett to authorize the Chief Supervisor to enter into contract with Columbia Capital Management, LLC to provide financial consulting, investment advisory services and post-issuance compliance services for the next two years for a total amount not to exceed \$266,781. Mr. Brinkman seconded the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad –Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Audit Services

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained, the Council requested proposals for audit services in June. In addition to listing the Request for Proposals (RFP) on the Council's website, ten firms were sent the RFP.

A few firms indicated they wouldn't be proposing, some indicated current client obligations & audit timing prevented them from proposing, and some didn't respond at all (even though the RFP requested acknowledgement of receipt and an indication of interest in proposing). In most cases, two individuals at each firm received a copy of the RFP.

There was only one proposal received and that was from our current auditor Scheffel Boyle.

Even though it was disappointing that no other proposals were received, it should be noted, Scheffel Boyle has done an excellent job understanding the terms and functioning of our bond issues, the regional nature of our finances, working with our fiscal agent, and other aspects of our sometimes atypical financial structure.

Scheffel Boyle has proposed auditing the Council's financial statements for \$19,950 for FY 2023, \$21,500 for FY 2024, and \$22,750 for FY 2025. They performed the FY 2022 audit for \$18,500.

With the knowledge and experience of performing previous audits and working with our fiscal agent, he believed it would be prudent to extend our engagement with Scheffel Boyle to perform the Council's audit for FY 2023, FY 2024 and FY 2025.

Dr. Moore asked for comments. Mr. Schwind requested it be included in the record that banks normally change their auditor every five years. He had nothing against Scheffel Boyle, they do a great job, but wanted his statement in the record.

Dr. Moore asked for a motion to authorize the Chief Supervisor to engage Scheffel Boyle to perform the Council's financial audit for fiscal years 2023, 2024 & 2025 at a cost not to exceed \$19,950, \$21,500, and \$22,750 respectively.

A motion was made by Mr. Brinkman to authorize the Chief Supervisor to engage Scheffel Boyle to perform the Council's financial audit for fiscal years 2023, 2024 & 2025 at a cost not to exceed \$19,950, \$21,500, and \$22,750 respectively. Mr. Schwind seconded the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad - Aye
Ms. Crockett - Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore - Aye
Mr. Parks - absent
Mr. Schwind - Aye

The motion was approved unanimously.

Bid Package #11 Change Order No. 5 Relief Wells 36X and 36XB

Dr. Moore asked Mr. Omvig to explain this item.

Mr. Omvig explained, during ongoing coordination with the U.S. Army Corps of Engineers (USACE), the need to change one relief well from a Type "D" relief well to a Type "T" relief well had arisen. Additionally, one new relief well needed to be added to Bid Package 11.

Type "D" relief wells discharge their water at grade/on the ground. Type "T" relief wells discharge inside of a structure, usually a manhole, so that the well can discharge at a lower elevation than the natural existing ground surfaces allows for. Type "T" relief well discharge is typically carried to pumping stations through storm drainage systems.

While reviewing potential overlap between the Corps-designed and constructed Bid Package 12 Phase 1 project and the Council's Bid Package 11, it was discovered that Relief Well (RW) 36X, as proposed on Bid Package 11 construction drawings, should be moved and changed from a Type "D" to Type "T" relief well. RW-36X was intended to be a replacement for the old wood

stave RW-36 installed several decades ago, Recently during design it was learned, Bid Package 12 had two relief wells identified to replace the existing wood stave RW-36; those two relief wells were named RW-36XA and RW-36XB.

When USACE conveyed the underseepage solutions for Bid Package 11 to WSP, only one relief well was proposed to replace RW-36, namely RW-36X. During the early stages of construction, as relief wells were being staked out, WSP field staff observed a noticeable difference in relief well spacing around RW-36X and adjacent relief wells. After several months of investigation and coordination with USACE, it was recommended that two relief wells replace the old wood stave RW-36. One well (RW-36X) is already in Bid Package 11 contract but will be moved to accommodate spacing for another relief well and be changed to a Type "T" relief well. Additionally, RW36XB is a new well that will be added to the Bid Package 11 contract.

The cost to add the two Type "T" relief wells (RX-36X and RW-36XB), with manholes structures, reinforced concrete pipe, additional pump test, check valves, and site preparation is \$121,121.75. The additional cost will be billed against several line items. Since the additional cost is more than 10% on a few of these line items, Board approval is required. Additionally, the contractor has requested 4 additional days to complete the work.

Dr. Moore asked for a motion to authorize the Chief Supervisor to approve the BP #11 Change Order No. 5 Relief Wells 36X & 36XB for a cost not exceed \$121,121.75

A motion was made by Mr. Schwind to Authorize the Chief Supervisor to approve the BP #11 Change Order No. 5 Relief Wells 36X & 36XB for a cost not exceed \$121,121.75. Mr. Brinkman seconded the motion.

Mr. Merz called the roll and the following votes were made on the motion:

- Mr. Andreas - Aye
- Mr. Brinkman - Aye
- Mr. Conrad –Aye
- Ms. Crockett – Aye
- Mr. Merz - Aye
- Mr. Metzger - Aye
- Dr. Moore – Aye
- Mr. Parks – absent
- Mr. Schwind – Aye

The motion was approved unanimously.

Corps of Engineers Update

Dr. Moore asked Mr. Graef to provide the report from the Corps.

Mr. Graef highlighted his PowerPoint® presentation, which was included in the agenda package to illustrate his remarks. His presentation focused on the status of the Metro East Projects.

METRO EAST LEVEE SYSTEM PROGRAM UPDATE

He first, highlighted a photo of current Corps of Engineers Mel Price collector system construction. Items in **bold** indicate updates from last meeting

MEL PRICE SEGMENT OF UPPER WOOD RIVER

Reach 1 Relief Well

4 existing Relief Wells/55 new Relief Wells – Awarded 3June 21

All wells installed

Punch list items being addressed

Additional grading/seeding to be completed late summer

Reach 2 Relief Well

16 existing Relief Wells/25 new Relief Wells – Awarded 8Aug22

RW installation and development

Access road complete

Collector channel ongoing

Complete Mitigation

FY23

Mitigation credit purchase is complete

Will coordinate with USFWS and IDNR to review/document the mitigation requirements. Anticipate late summer 2023

OMRR&R Payment to WTDLD

Compensation to LD offset additional O&M expenses
Late FY24 or early FY25

Payment pending sufficient funds following completion of all
construction and mitigation work

Possible use of project funds for Interim Operating Plan (IOP)

Project Close Out

All documents archived; financial close out

WOOD RIVER

Bid Package 8 contract

1 Pump Station/22 Relief Wells – Awarded 4Nov21

**Pump Station Control System – Contractor informed of supply chain
issue with possible delay until Oct/Nov 2023**

Relief Well #1 contract

24 Relief Wells – Awarded 2Dec21

Final payment made 2Aug23

Pump Stations contract

PS #2 and #3 along Canal Rd – Awarded 28Sep22

Temporary shoring installed

Pour base slab and wall sections

Relief Well #2/Ditch Work contract

55 Relief wells plus ditch work to convey the water to the WR PS - TBD

FPD/WRDLD “Alt Ditch” letter of 16Feb23 urges USACE to reconsider 19Dec22 betterment determination and questions the feasibility of the original plan

All technical USACE work is paused pending resolution

Relief Well #3 contract

30 Relief Wells – TBD

Awaiting ROW Certification – now on critical path

Pump Station Modification contract

Mods to WR and Hawthorne PSs – TBD

Will review Plans & Specs and prepare for advertisement

Berm

Vice PS/RW at Roxanna – TBD

FPD requested to design/construct via WIK

Engineering Documentation Report (EDR) completed 13Feb23

Mitigation

For BP-8 (maybe berm)

Plan is to purchase credits when berm is at 65% level of design

WIK #2

For BP-8 design, other – **2Aug23**

\$1,697,922.41 was credited for WIK No. 2

\$17,739,418.51 has been credited for both WIK No. 1 & 2

Total Project Cost

Update to TPC – **4Aug23**

Received Agency approval of \$107,471,000 cost estimate

Federal funding identified via Bipartisan Infrastructure Law

There was a brief discussion of where the 30 relief wells under Relief Well #3 contract were located and the type of wells.

Also, when asked, it was indicated the Corps was approximately \$26,000,000 short of funds under the new total project cost estimate, but a potential funding path forward has been identified.

EAST ST. LOUIS

Cutoff Wall/Jet Grout

MESD Stations 973+00 to 1020+00 – Awarded 14Nov19

Construction complete October 22; Final completion and final payment issued March 2023; Final closeout procedures ongoing

BP-12 Ph1

106 D-Type Relief Wells – Awarded 26Aug21

Construction substantially complete: pump testing of 36 RWs continues to be delayed due to low river levels

USACE - HQ has rejected materials surcharge request compensation from contractor

BP-12 Ph2

43 T-Type Relief Wells (Ph2 and Ph3) – Award: 4th Qtr. FY 25

Approx. 65% design, with A/E design firm HDR continuing progress on railway shoring design for collector system

In coordination with IDOT, TRRA, Veolia, Alton-Southern

BP14B

Filter Blanket - TBD

With inclusion of BP18A, USACE may need to construct 14B to avoid excess WIK costs

WIK BP-11*

Relief Wells

USACE coordination with WSP/Keller during construction

WIK BP 18A*

Phillips reach PS Modifications

USACE has reviewed flow study and concurs additional capacity is needed

WIK Credit Requests

BP-14A and 18 – **8Aug23**

\$12,183,767.91 has been credited for WIK No. 3-6

\$24,559,173.61 has been credited for all WIK to date

LERRDs*

Land, Easements, ROW, Relocations and Disposal

Requests No. 1 received 02Jun22; Request #2 received 19Jun23

Response to #1 sent on 02FEB23; Response #2 pending

Total Project Cost

Update to TPC- **9Aug23**

\$151,065,000 is current estimate

Includes scope changes (BP14C, BP18A)

*Recommend WIK/LERRDs credit requests be submitted at regular intervals, vice waiting to the end of the project.

PRAIRIE DU PONT AND FISH LAKE

Bid Package 15 coordination

Pump Station and underseepage controls - TBD

Continue coordination with WSP on BP-15; complete with 30% design and review

Reference 2017 Contributed Funds Agreement (BP-15)

WSP informed USACE of intent to pivot from Pump Station to Berm

**USACE response included no objections to berm, but will
included review of interior drainage analysis**

Future Bid Package coordination

TBD

Anticipate future Contributed Funds Agreement for each BP

WSP sent USACE BP-16 and BP-17 scope of improvements on
10Jul23

Environmental Assessment

The applicant is to submit a revised/supplemental/updated EA for the entire project on the modified design (at least 65% design or greater)

May need to have the area surveyed for T&E species, HTRW and or Cultural Resources

Need to specify source of borrow material

Supp EA to be reviewed within the Section 408 program

USACE response to draft EA provided on 11 July

USACE has received recent WSP request for USACE to take the lead on Section 106 Coordination with SHPO and tribes

WSP Archeologist has confirmed that recommendations of the 2009 Ph 1 survey had not been implemented. These included Ph2 investigations of ten archaeological sites, additional archaeological testing within Village of North Dupo and additional studies for a number of historic structures.

WSP to notify USACE of their planned course of action

MISSISSIPPI RIVER COMMISSION (MRC)

Who: Mississippi River Commission and Metro East Panel members

What: Metro East Panel Discussion

When: Thursday, August 17 (Public Hearing 9:00-12:00 with Metro East Panel Discussion 3:30-4:30)

Where: Aboard the Motor Vessel Mississippi
-Public Hearing at St. Louis riverfront
-Private Metro East panel Discussion in transit from St. Louis riverfront to Crystal City, MO

Why:

1. Provide summary of Metro East Levees path to completion
2. Discuss interior flooding and infrastructure challenges
3. Identify the need and request Comprehensive Plan

Meeting Purpose: The MRC serves as a platform for partners and stakeholders to share water resource challenges, policy concerns and infrastructure investment needs. The MRC delivers its recommendations forward to Congress, so it can serve as a powerful advocate if you inform them on your needs and empower them to do so. The MRC will ask how they can help or who they should engage to influence decisions and investment. This engagement will also help to shape priorities.

Metro East Panelists:

Mr. Rick Fancher – Metro East Sanitary District, Executive Director
Honorable Curtis McCall – Mayor City of Cahokia Heights, IL
Mr. Robert Betts – City Manager, East St. Louis, IL
Hal Graef – USACE Project Manager
Shawn Sullivan – USACE Strategic Planning Coordinator

SWIFPD has provided written testimony (for the public hearing)

There was a brief discussion regarding the current low river level even after the recent rain events.

Dr. Moore thanked Mr. Graef and asked for a motion to accept the Corps of Engineers Update Report. A motion to accept the Corps of Engineers Update Report was made by Ms. Crockett with second by Mr. Brinkman on the motion.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Election of Officers for 2023-2024

Dr. Moore asked Mr. Etwert to explain this item.

Mr. Etwert explained under the Council's bylaws, Board officers (President, Vice-President, and Secretary-Treasurer) serve one year terms, must each be from a different County Flood Prevention District and are elected at the Council's Annual Meeting. The positions have been rotated among the three County Flood Prevention Districts chairs in the past.

All Board members are eligible to serve as officers.

The election of officers takes place at the end of the Council's August Board meeting.

He indicated this was the time for nominations

Mr. Schwind nominated Mr. Brinkman for President. He was then asked if he wanted to depart from the normal procedures of rotating the current officers, which has been the past practice.

Before Mr. Schwind could answer, Mr. Brinkman graciously declined the nomination and nominated the following slate of candidates, John Conrad from Monroe County as President, Max Merz from Madison County as Vice President and Debra Moore from St. Clair County as Secretary/Treasurer. Mr. Schwind seconded the motion.

There were no other nominations and nominations were closed.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad - Aye
Ms. Crockett - Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore - Aye
Mr. Parks - absent
Mr. Schwind - Aye

The motion was approved unanimously.

Public Comment

Dr. Moore asked if there was any public comment. There was none.

Dr. Moore expressed her continued concern regarding the maintenance of the all the work the Council has done.

Mr. Human commented on FEMA's inequitable handling of reimbursement of flood pumping costs by different FEMA regions and the efforts of his firm in bringing the issue to elected officials. Local endorsement was offered to assist him with elected officials in Washington, D.C.

There was also a brief discussion regarding levee restrictions by the state of Illinois, updated river flows, and flood event elevations past and future.

Other Business

Dr. Moore asked if there was any other business. There was none.

Mr. Etwert indicated if nothing came up there would probably not be a need for the September meeting, similar to the last two years. He would inform everyone if a September meeting was necessary by September 1st, if not, the next meeting would be November 15th.

Adjournment

Dr. Moore asked to adjourn the meeting. A motion was made by Ms. Crockett and seconded by Mr. Brinkman.

Mr. Merz called the roll and the following votes were made on the motion:

Mr. Andreas - Aye
Mr. Brinkman - Aye
Mr. Conrad – Aye
Ms. Crockett – Aye
Mr. Merz - Aye
Mr. Metzger - Aye
Dr. Moore – Aye
Mr. Parks – absent
Mr. Schwind – Aye

The motion was approved unanimously.

Respectfully submitted,

Max Merz,
Secretary/Treasurer, Board of Directors



Memo to: Board of Directors
From: Chuck Etwert
Subject: Program Status Report for November 2023
Date: November 13, 2023

500-Year Authorized Level Status

Wood River Levee System

Construction of Bid Package #8 (by USACE) continues.

The FPD Council and the Wood River Drainage and Levee District have reviewed the Draft Integral Determination Report (IDR) and had no comments. After the IDR is completed an amendment to the Project Partnership Agreement (PPA) among USACE, the FPD Council, and the Levee District can be executed. Once the PPA is finalized, WSP will begin design of Bid Package #9. The project has an estimated construction cost of \$2,400,000.

The FPD Council, WSP, and the Wood River Drainage and Levee District met again last month to discuss the work needed at northwest corner of the intersection of IL-143 & IL-3, at the Old Channel Wood River. The Corps is evaluating three different alternatives in the area and re-calculating the cost for real estate acquisition as a result of our meetings and historical documentation presented in our last two meetings. While the City of Wood River has no formal construction drawings or conceptual plans for the parcel in question, the City maintains that they are unwilling to grant an easement for the project.

As reported last month, all the real estate necessary for the Corps' Relief Well Package 3 (RWP 3) project has been obtained and we are just awaiting a real estate certification from the Wood River Levee District. The Corps has indicated that RWP 3 is scheduled for award in August 2024, so our completion of LERRDs is well ahead of schedule.

Work In-Kind credit of \$17,739,418.51 and LERRD's credit of \$693,274.23 has been approved to date for the Wood River Levee System.

MESD Levee System

Bid Package #11 construction of relief wells has continued since last month. Construction of pipe collection system improvements is occurring, but an additional temporary construction easement will be needed from Beelman, which WSP and our land acquisition team members are working on.

Construction of Bid Package #11 was approximately 46% completed as of September 30th an invoice for October work hasn't been submitted as of yet.

Land acquisition from Terminal Railroad Association (TRRA) for Bid Package #14B is still ongoing.

Design of Bid Package 18A has begun and the 35% complete design submittal is anticipated to be submitted to USACE this month. The total number of relief wells required in the Bid Package #18A project area (Cahokia Heights elbow) is being evaluated and will be confirmed with the Corps during the 35% review. The outcome will have a minor impact on the pump station capacity and piping required for Bid Package #18A.

The project has a current estimated construction cost of \$8,800,000.

Work In-Kind credit of \$24,599,173.61 and LERRDs credit of \$1,607,516.56 has been approved to date for the MESD Levee System.

Prairie Du Pont/Fish Lake Levee Systems

WSP continues work on the 65% design submittal for Bid Package 15. Substantial value engineering has occurred over the past several months on the Bid Package 15 project, which has delayed the schedule but brought substantial financial savings. The 65% submittal is scheduled to be submitted to USACE in January.

WSP's value engineering work is estimated to reduce the construction cost for Bid Package 15 from \$21.8M to \$18.3M.

A Phase II Cultural Resource Investigation is required as part of the Environmental Assessment in PDP/FL. The Phase II investigation is complete for one site and, after several months of effort, a right-of-entry agreement is still being sought for the second site.

Attached is WSP's Monthly Construction Progress Report.

Recommendation: Accept the Program Status Report for November 2023



Southwestern Illinois Flood Prevention District Council
c/o Charles Etwert
104 United Drive
Collinsville, IL 62234

**Southwestern Illinois Levees
Restoration of the Federally Authorized Level of Flood Protection
Monthly Construction Progress Report
November 2023**

WSP Project No. 563170001
Period Ending Date: November 8, 2023

Date of Issue: November 8, 2023

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1. OVERVIEW

1.1 Project Description

In February 2015 the Southwestern Illinois Flood Prevention District Council (FPD Council) passed a resolution to restore the federally authorized level of flood protection to the Prairie du Pont and Fish Lake Flood Protection Project, the East St. Louis and Vicinity Flood Protection Project, and the Wood River Flood Protection Project. The FPD Council has entered into Project Partnership Agreements with the U.S. Army Corps of Engineers and the non-federal sponsors for the Wood River and East St. Louis levee systems to create a partnership whereby the FPD Council can design and construct certain improvements necessary to restore the federally authorized level of protection. The FPD Council is constructing certain work packages, or "Bid Packages", as described below:

Bid Package 11 is composed of the construction of underseepage controls in the Metro-East Sanitary District Levee System, from station 774+00 to 889+00. This includes modification of an existing levee pump station and outlet works, appurtenant erosion control, installation of relief wells, modification and abandonment of existing relief wells and collector systems, and drainage ditches or conduits of conveyance thereof.

Bid Package 14A was composed of the construction of underseepage controls in the Metro-East Sanitary District Levee System, from station 821+00 to 863+00. This included construction of a cut-off trench, placement of 2 riverside blankets/berms, construction of 2 piezometers, and placement of random fill in an old ditch on the landside of the levee.

Bid Package 18 was composed of the construction of underseepage controls in the Metro-East Sanitary District Levee System, from station 1207+00 to 1352+00. This included new relief well construction, pump station structural modifications, pump station forebay box culvert and fill, and amendments/additions to the relief well collector system.

1.2 Key Contacts / People

Program Manager	Jay Martin, PE, 615.957.2127 jay.martin@wsp.com
Project Manager	Jon Omgig, AICP, 636.795.7696 jon.omvig@wsp.com
Engineer of Record (Civil)	Randy Cook Jr., PE, 618.530.5658 randy.cook@wsp.com
Engineer of Record (Geotechnical)	Mary Knopf, PE, 502.333.4391 mary.knopf@wsp.com
Resident Project Representative	Dalton Brookshire, 618.401.7359 dalton.brookshire@wsp.com
Construction Inspector	Jeffery Johnson, 618.250.1670 jeffery.johnson@wsp.com

2. HEALTH, SAFETY, SECURITY, ENVIRONMENT (HSSE)

2.1 HSSE Reports

Health/Safety		
The Contractor(s) conducts daily toolbox safety talks.		
Security		
Nothing to report this month		
Environment (SWPPP)		
Forms submitted as required		
River Stage Restrictions		
The project specifications dictate that excavations shall cease when the Mississippi River Stage is:		
Bid Package	River Stage	River Elevation
11	14.6 / 25 (depending on work area)	404.58 (St. Louis)
NOTE: A modification for work restrictions was agreed upon to allow the contractor to work up to 18.5' on the St. Louis gauge. Furthermore, the contractor may work when the river exceeds 18.5' if they can demonstrate the ability to adequately monitor and control the groundwater in the GCED 1X Pump Station work area.		

3. PROJECT STATUS UPDATE

3.1 Bid Package 11

3.1.1 Calendar

Bid Date:	August 9, 2022
Contract executed	September 7, 2022
Schedule received	April 12, 2023
Anticipated start of field activities	December 2022
Start of field activities	February 22, 2023
Contract completion date	<i>Final completion March 29, 2024</i>
Final Walk Through	TBD
Final Acceptance	N/A

3.1.2 Progress

- Drilling Services has drilled 11 Relief Well since last update.
- Drilling Services has drilled 65 Relief Wells to date.
- Drilling Services has developed 40 Relief Wells to date.
- Drilling Services has placed 5 Relief Well seals since last update, and 40 to date.
- Keller continued Madison Pump Station Modifications.
- Keller started backfilling the Madison Pump Station collector system.
- Backfilling and additional Madison Pump Station work is on hold pending soil classification and RFI 26.
- Keller started construction on the G.C.E.D 1X outfall structure.
- Keller started installing the collector system at Venice Pump Station.
- Property Acquisition
 - Seeking temporary easement from Beelman for additional working room and lay down area.

3.1.3 FPD Council Board of Directors Considerations

- No considerations at this time.

3.1.4 Submittals

- Submittals are in progress.

3.1.5 Change Orders

- Change Order 01: Manhole 76XC Lid Modification, approved on 6/7/2023.
- Change Order 02: Upgrading medium duty to heavy duty flap gates, approved on 6/14/2023.
- Change Order 03: BP 12 Fence Replacement Scope of Work, approved on 7/27/2023. Board approved on 7/19/2023.
- Change Order 04: Relief Well Bentonite Seals, approved on 7/22/2023.
- Change Order 05: Addition of RW-36X and RW-36XB, approved on 8/18/2023.

3.1.6 QC/QA Activities

- Complete to date

3.1.7 Other Considerations

- None at this time

3.1.8 Payment Progress

- Fourth Payment to the Contractor was made on July 24,2023.
- Fifth payment to the Contractor was made on July 26, 2023.

- Sixth Payment to the Contractor was made on August 28, 2023.
- Seventh Payment to the Contractor was made on October 16, 2023.
- See Contract Invoice Log attached.

3.2 Bid Package 14A

3.2.1 Calendar

Bid Date:	January 6, 2019
Contract executed	February 18, 2020
Schedule received	Not yet received
Anticipated start of field activities	July 2020
Start of field activities	September 2, 2020
Contract completion date	<i>Final completion January 22, 2021</i>
Final Walk Through	December 18, 2020 Substantial Completion Meeting resulted in no issues that required further attention
Final Acceptance	December 18, 2020

3.2.2 Progress

- Final submission of project summary documentation for close-out with USACE completed on February 10, 2022.

3.2.3 Property Acquisition

- America's Central Port - Complete

3.2.4 Levee Board Considerations

- None at this time

3.2.5 Submittals

- Submittals are complete

3.2.6 Change Orders

- Change Order No. 1 to address the lost time and additional effort necessary to work around the unknown 10" gas line utility was approved on November 8, 2020.
- Change Order No. 2 for slag removal at the piezometer locations approved November 24, 2020.
- Change Order No. 3 for time extension was approved December 18, 2020.
- Change Order No. 4 for time extension in progress approved June 21, 2021.
- Change Order No. 5 for unit price and quantity adjustments approved June 21, 2021.

3.2.7 QC/QA Activities

- Complete

3.2.8 Considerations

- None

3.2.9 Payment Progress

- Final Payment to the Contractor was made on May 25, 2021.

3.3 Bid Package 18

3.3.1 Calendar

Bid Date:	November 6, 2019
Contract executed	January 15, 2020
Schedule received	February 20, 2020
Anticipated start of field activities	March 2020
Start of field activities	March 20, 2020
Contract completion date	<i>Final completion September 30, 2021.</i>
Final Walk Through	October 6, 2021
Final Acceptance	October 6, 2021

3.3.2 Progress

- Final submission of project summary documentation for close-out with USACE completed on July 25, 2022.

3.3.3 Property Acquisition

- No acquisition was necessary for this bid package

3.3.4 Levee Board Considerations

- None

3.3.5 Submittals

- Complete

3.3.6 Change Orders

- Change Order No. 1 completed on April 21, 2020
- Change Order No. 2 approved by FPD Council Board on August 19, 2020
- Change Order No. 3 approved by FPD Council Board on November 9, 2020
- Change Order No. 4 approved by FPD Council Board on February 5, 2021.
- Change Order No. 5 approved on December 23, 2021.

3.3.7 QC/QA Activities

- Complete

3.3.8 Considerations

- None

3.3.9 Payment Progress

- Final Payment to the Contractor was made on January 10, 2022.

Change Request Log

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package No.:	11
Big Package Name:	Underseepage and Through-Seepage Controls from 774+00 to 889+00

Original Contract Amount:	\$ 11,665,066.40
Change Orders Total:	\$ 529,577.11
Total Revised Contract Amount:	\$ 12,194,643.51

(Includes Pending Change Orders)
(Assumes Approval of Pending Change Orders)

Change Request No.	PCN No.	Description	Change Type (Field, Design, Spec, Other)	Cost	As-Bid Line Item	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	5	MH-76XC Lid Modification	Design	\$ 1,720.00	36	\$ 30,000.00	5.73%	0.01%	6/8/2023	Approved	Changing lid to area inlet
2	2	Outfall Structure Heavy Duty Flap Gates	Design	\$ 6,403.20	11	\$ 320,000.00	2.00%	0.05%	6/14/2023	Approved	Upgrading from medium duty to heavy duty
3	7	BP 12 Fence Replacement Scope of Work	Other	\$ 172,126.15	5	\$ 77,764.96	221.34%	1.48%	7/27/2023	Approved	Reducing re-work of newly installed replacement fence.
4	9	Addition of Bentonite Seals D-Type RW	Design	\$ 28,086.90	16, 17	\$ 398,223.00	7.05%	0.24%	7/21/2023	Approved	Addition of bentonite seals
		Addition of Bentonite Seals T-Type RW	Design	\$ 200,119.11	16, 18	\$ 3,240,540.00	6.18%	1.72%			
5	10	Addition of RW-36X and RW-36XB	Design	\$ 121,121.75	5,17,21,22, 32,33,40			1.04%	8/18/2023	Approved	Redesign of RW-36X and addition of RW-36XB

CONTRACT INVOICE LOG

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package Number:	11
Bid Package Name:	Underseepage Controls from 774+00 to 889+00, GCED 1X Pump Station/Outfall

Original Contract Amount:	\$ 11,665,066.40
Total Change Order Amount:	\$ 529,577.11
Total Revised Contract Amount:	\$ 12,194,643.51

Payment Request No.	Pay Request Date	Pay Request Approval Date	Total Completed to Date (\$)	Amount Retained (10% to 50%) then (5% to 95%)	Total Earned Less Retained (\$)	Amount Invoiced (\$)	Date Paid by FPD	Estimate to Complete Including Retainage (\$)
1	2/15/2023	2/17/2023	\$355,768.14	\$35,576.81	\$320,191.33	\$320,191.33	2/28/2023	\$11,344,875.07
2	4/17/2023	4/21/2023	\$993,448.65	\$99,344.87	\$894,103.79	\$573,912.46	4/24/2023	\$10,770,962.62
3	4/28/2023	5/11/2023	\$1,811,458.34	\$181,145.84	\$1,630,312.50	\$736,208.71	5/16/2023	\$10,034,753.90
4	7/14/2023	7/17/2023	\$2,710,950.39	\$271,095.02	\$2,439,855.37	\$809,542.87	7/24/2023	\$9,233,334.23
5	7/14/2023	7/20/2023	\$3,821,633.07	\$382,163.29	\$3,439,469.78	\$999,614.41	7/26/2023	\$8,405,845.97
6	8/15/2023	8/25/2023	\$4,252,996.32	\$419,988.07	\$3,833,008.25	\$388,226.92	8/29/2023	\$8,366,946.81
7	10/10/2023	10/16/2023	\$4,874,579.26	\$487,457.93	\$4,387,121.33	\$554,113.08	10/16/2023	\$7,812,833.73
8	11/8/2023	11/8/2023	\$5,609,464.13	\$560,946.43	\$5,048,517.70	\$666,707.92		\$7,146,125.81

Change Request Log

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package No.:	14A
Big Package Name:	Underseepage Controls from 821+00 to 863+00

Original Contract Amount:	\$ 905,000.00
Change Orders Total:	\$ 45,746.86
Total Revised Contract Amount:	\$ 950,746.86

(Includes Pending Change Orders)
(Assumes Approval of Pending Change Orders)

Change Request No.	PCN No.	Description	Change Type (Field, Design, Spec, Other)	Cost	As-Bid Line Item	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	1	Ameren 10-inch Pipeline	Other	\$ 56,869.66	N/A	N/A	N/A	6.28%	11/8/2020	Approved	Address 10-inch unmarked Ameren pipeline.
2	2	Pizeometer Bollard Slag Removal	Field	\$ 4,872.00	14A.01 14A.09	\$44,000 \$22,000	6.3% 9.5%	0.54%	11/24/2020	Approved	Additional equipment and labor associated with addressing unforeseen slag encountered during installation of piezometer bollards. Time extension of 18 days.
3	3	Time Extension	Other	\$ -	N/A	\$ -	0.00%	0.00%	12/18/2020	Approved	Contract time extension due to unfavorable ground conditions and weather. Project completion is changed from 12/18/2020 to 1/22/2021.
4	4	Time Extension	Other	\$ -	N/A	\$ -	0.00%	0.00%	6/21/2021	Approved	Contract time extension due to unfavorable ground conditions and weather. Project completion is changed from 1/22/2021 to 5/21/2021.
5	5	Unit Price and Quantity Adjustments	Design, Field, and Other	(\$15,994.80)	14A.10 14A.11 14A.12 14A.13	\$ -	0.00%	-1.77%	6/21/2021	Approved	Contractor has requested quantity adjustments for various cuts/fills and a unit price adjustment for the south blanket.

CONTRACT INVOICE LOG

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package Number:	14A
Bid Package Name:	Underseepage Controls from 821+00 to 863+00

Original Contract Amount:	\$ 905,000.00
Change Order #1:	\$ 56,869.66
Change Order #2:	\$ 4,872.00
Change Order #3:	-\$ 15,994.80
Total Change Order Amount:	\$ 45,746.86
Total Revised Contract Amount:	\$ 950,746.86

Payment Request No.	Pay Request Date	Pay Request Recommended Approval Date	Total Completed to Date (\$)	Amount Retained (10% to 50%) then (5% to 95%)	Total Earned Less Retained (\$)	Amount Invoiced (\$)	Date Paid by FPD	Estimate to Complete Included Retainage (\$)
1	4/30/2020	4/30/2020	\$22,000.00	\$2,200.00	\$19,800.00	\$19,800.00	5/6/2020	\$885,200.00
2	10/28/2020	10/30/2020	\$505,075.51	\$50,507.56	\$454,567.95	\$434,767.95	10/30/2020	\$450,432.05
3	11/13/2020	11/13/2020	\$835,845.80	\$83,584.59	\$752,261.21	\$297,693.26	11/18/2020	\$152,738.79
4	12/11/2020	12/14/2020	\$893,483.22	\$89,348.33	\$804,134.89	\$51,873.68	12/15/2020	\$146,611.97
5	5/20/2021	5/24/2021	\$950,746.86	\$0.00	\$950,746.86	\$146,611.97	5/25/2021	\$0.00

Change Request Log

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package No.:	18
Big Package Name:	Underseepage Controls from 1207+00 to 1352+00

Original Contract Amount:	\$ 4,798,418.20
Change Orders Total:	\$ 35,077.36
Total Revised Contract Amount:	\$ 4,783,340.84

(Includes Pending Change Orders)
(Assumes Approval of Pending Change Orders)

Change Request No.	PCN No.	Description	Change Type (Field, Design, Spec, Other)	Cost	As-Bid Line Item	Original Cost of Associated Line Item	% of Original Line Item	% of Original Contract	Date	Status	Comments
1	13	Add 2 feet to 8 T-Type Relief Well Manholes.	Field	\$ 7,176.00	N/A	N/A	N/A	0.15%	2/1/2020	Approved	RW-159X, RW-160X, RW-161X, RW-162X, RW-163X, RW-164X, RW-165X, RW-166X.
2	3	New Piezometers, Duckbill Check Valve, and Contract Time Extension	Design, Field, & Other	\$ 170,529.06	N/A	N/A	N/A	3.55%	8/19/2020	Approved	Add 13 piezometers (design change), new check valve (field change), & time extension for high river stage (other change).
3	4	Interior & Exterior 10'x10' Box Culvert Joint Sealants, Removal of 9 Piezometers, and Contract Time Extension	Design, Field, & Other	\$ (97,883.84)	N/A	N/A	N/A	-2.04%	11/9/2020	Approved	Install interior & exterior joint sealants on 10'x10 box culvert joints and dewatering to facilitate installation thereof. Removal of 9 piezometers from change order no. 2. Schedule extension for check valve lead time.
4	11	Contract Time Extension	Other	\$ -	N/A	N/A	N/A	0.00%	2/5/2021	Approved	Time extension (90-days) for critical delays caused by weather (other change)
5	1, 2, 8, 10, 15, 16, 17, 18, 19	Raising discharge of fill area 2 relief wells, Eliminate RW-227A, Descope 36 relief well abandonments, relief well footage reconciliation, Abandonment of old manhole in Forebay, Cahokia Pump Station and Driveway Extras, Contract Time Extensions, and Fill Quantity Reconciliation	Design, Field, & Other	\$ (114,898.58)	N/A	N/A	N/A	-2.39%	12/23/2021	Approved	Adding a total of 20.1-LF of relief well footage for Fill Area 2 Wells (design/field change). Eliminate 75.7-LF of T-Type well for RW-227A (design change). Descope 36 relief well abandonments (design/field change) and relief well footage reconciliation for D/T-Type wells and obstructed drilling (field change). Extra CLSM to abandon an old manhole encountered in the Forebay fill. (field change). Extra fence to accommodate grade around the Cahokia Pump Station, as well as extra rock and fabric for within the fenced area and to facilitate driveway/access improvements for the Pump Station (design/field). Time extension (177-days) for critical delays caused by weather and groundwater conditions (other change). Fill Quantity Reconciliation (field change).

CONTRACT INVOICE LOG

Contractor:	Keller Construction, Inc. 22 Illini Drive Glen Carbon, IL 62034
Project:	Design Deficiency Corrections for East St. Louis, Illinois Flood Protection Project
Bid Package Number:	18
Bid Package Name:	Underseepage Controls from 1207+00 to 1352+00

Original Contract Amount:	\$ 4,798,418.20
Change Order #1:	\$ 7,176.00 (2/1/2020)
Change Order #2:	\$ 170,529.06 (8/19/2020)
Change Order #3:	-\$ 97,883.84 (11/9/2020)
Change Order #5:	-\$ 114,898.58 (12/22/2021)
Total Change Order Amount:	-\$ 35,077.36
Total Revised Contract Amount:	\$ 4,763,340.84

Payment Request No.	Pay Request Date	Pay Request Approval Date	Total Completed to Date (\$)	Amount Retained (10% to 50%) then (5% to 95%)	Total Earned Less Retained (\$)	Amount Invoiced (\$)	Date Paid by FPD	Estimate to Complete Including Retainage (\$)
1	3/31/2020	4/9/2020	\$109,038.87	\$10,903.89	\$98,134.98	\$98,134.98	4/10/2020	\$4,707,459.22
2	5/7/2020	5/14/2020	\$281,792.87	\$28,179.29	\$253,613.58	\$155,478.60	5/14/2020	\$4,551,980.62
3	6/7/2020	7/22/2020	\$597,279.99	\$59,728.00	\$537,551.99	\$283,938.41	7/23/2020	\$4,268,042.21
4	7/15/2020	7/23/2020	\$776,708.35	\$77,670.85	\$699,037.51	\$161,485.51	7/23/2020	\$4,106,556.70
5	8/7/2020	8/14/2020	\$1,039,513.04	\$103,951.32	\$935,561.72	\$236,524.22	8/17/2020	\$3,870,032.48
6	9/8/2020	9/8/2020	\$1,346,980.73	\$134,698.07	\$1,212,282.66	\$276,720.92	9/9/2020	\$3,763,840.62
7	10/16/2020	10/26/2020	\$2,509,094.48	\$250,909.45	\$2,258,185.03	\$1,045,902.37	10/28/2020	\$2,717,938.26
8	11/12/2020	11/13/2020	\$3,132,599.92	\$313,260.01	\$2,819,339.91	\$561,154.88	11/18/2020	\$2,058,899.54
9	12/10/2020	12/14/2020	\$3,800,863.21	\$380,086.34	\$3,420,776.87	\$601,436.96	12/15/2020	\$1,457,462.58
10	1/28/2021	2/1/2021	\$4,381,672.73	\$438,167.29	\$3,943,505.44	\$522,728.57	2/2/2021	\$934,734.01
11	4/12/2021	4/21/2021	\$4,588,606.96	\$229,430.37	\$4,359,176.59	\$415,671.16	4/22/2021	\$404,164.28
12	5/4/2021	5/24/2021	\$4,605,550.74	\$230,277.56	\$4,375,273.18	\$16,096.59	5/25/2021	\$388,067.69
13	6/9/2021	6/16/2021	\$4,613,643.52	\$230,682.20	\$4,382,961.32	\$7,688.14	6/16/2021	\$380,379.55
14	7/7/2021	7/9/2021	\$4,642,077.53	\$232,103.90	\$4,409,973.64	\$27,012.32	7/12/2021	\$353,367.23
15	12/30/2021	1/9/2022	\$4,763,340.84	\$0.00	\$4,763,340.84	\$353,367.20	1/10/2022	\$0.00



Memo to: Board of Directors
From: Chuck Ewert
Subject: Budget and Disbursement Reports for August, September, & October 2023
Date: November 13, 2023

Current Budget Highlights

Attached are the financial statements for August, September, & October 2023 prepared by our fiscal agent, CliftonLarsonAllen. The reports include an accounting of revenues and expenditures for the months ending August 31, 2023, September 30, 2023, and October 31, 2023 as compared to the according fiscal year budget.

Accrued expenditures for the fiscal year beginning on October 1, 2022 thru August 31, 2023 were \$24,423,881 while revenues amounted to \$16,269,822 resulting in a deficit of \$8,154,059.

Accrued expenditures for the fiscal year beginning on October 1, 2022 thru September 30, 2023 were \$24,695,825 while revenues amounted to \$17,884,109 resulting in a deficit of \$6,811,716.

Accrued expenditures for the new fiscal year beginning on October 1, 2023 thru October 31, 2023 were \$8,164,823 while revenues amounted to \$1,490,707 resulting in a deficit of \$6,674,116.

A total of \$56,782,143 is held by the counties in their respective FPD sales tax funds and will be needed by the Council for the 500-Year Authorized Level of Protection. Council will be requesting 50% of the funds in April 2024.

Monthly sales tax receipts of \$1,538,953 for June 2023 were up .88% from last year, receipts of \$1,422,743 for July 2023 were up .86% from last year, and receipts of \$1,445,913 for August 2023 were up 4.66% from last year. Receipts for the year are now up 2.18% from last year. Each county had the highest receipts ever in July and August.

Monthly Disbursements

Attached are bank transactions for August, September, & October. Total disbursements for August were \$610,659.43, for September \$271,944.45, and for October \$926,673.75 with the largest payments each month being to Keller Construction and WSP.

Recommendation:

Accept the budget and disbursements for August, September, and October 2023.

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ELEVEN MONTHS ENDED AUGUST 31, 2023 AND 2022



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the eleven months ended August 31, 2023 and 2022, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2023, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2023 and 2022, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

CliftonLarsonAllen LLP

St. Louis, Missouri
September 4, 2023

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2023 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH FINAL BUDGET POSITIVE (NEGATIVE)
	ORIGINAL	FINAL		
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 15,597,240	\$ 1,894,093
Interest Income	100,000	100,000	672,582	(572,582)
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>16,269,822</u>	<u>1,321,511</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction Management	5,223,000	5,223,000	3,894,065	1,328,935
Construction	23,550,000	23,550,000	3,843,919	19,706,081
Construction and design by US ACE	<u>500,000</u>	<u>500,000</u>	-	<u>500,000</u>
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>7,737,984</u>	<u>21,535,016</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	13,108	176,892
Financial Advisor	65,000	65,000	11,850	53,150
Bond Underwriter/Conduit Issuer	<u>12,000</u>	<u>12,000</u>	<u>13,669</u>	<u>(1,669)</u>
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>38,627</u>	<u>228,373</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	3,611,051	(1,762,351)
Monroe County	167,650	167,650	327,488	(159,838)
St. Clair County	<u>1,483,650</u>	<u>1,483,650</u>	<u>2,898,371</u>	<u>(1,414,721)</u>
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>6,836,910</u>	<u>(3,336,910)</u>
Debt Service				
Principal and Interest	<u>9,549,731</u>	<u>9,549,731</u>	<u>9,549,731</u>	-
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>9,549,731</u>	-
Total Operating Expenses	<u>42,589,731</u>	<u>42,589,731</u>	<u>24,163,252</u>	<u>18,426,479</u>
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	192,843	58,157
Bank Service Charges	1,000	1,000	501	499
Equipment and Software	2,000	2,000	975	1,025
Fiscal Agency Services	38,500	38,500	33,738	4,762
Audit Services	19,500	19,500	18,500	1,000
Meeting Expenses	1,000	1,000	98	902
Postage/Delivery	1,000	1,000	335	665
Printing/Photocopies	2,000	2,000	1,775	225
Professional Services	10,000	10,000	1,711	8,289
Memberships and Dues	-	-	-	-
Supplies	3,000	3,000	353	2,647
Telecommunications/Internet	3,000	3,000	3,423	(423)
Travel	5,000	5,000	202	4,798
Insurance	8,000	8,000	6,175	1,825
Business Expenses	-	-	-	-
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>260,629</u>	<u>84,371</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>24,423,881</u>	<u>18,510,850</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES				
	(25,343,398)	(25,343,398)	(8,154,059)	(17,189,339)
NET CHANGE IN FUND BALANCE				
	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (8,154,059)</u>	<u>\$ (17,189,339)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ELEVEN MONTHS ENDED AUGUST 31, 2022 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 15,000,437	\$ (1,000,437)
Interest Income	50,000	50,000	109,724	(59,724)
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>15,110,161</u>	<u>(1,060,161)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,198,000	5,198,000	1,995,277	3,202,723
Management				
Construction	12,601,700	12,601,700	470,086	12,131,614
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>2,465,363</u>	<u>15,834,337</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	33,377	156,623
Financial Advisor	65,000	65,000	11,850	53,150
Bond Underwriter/Conduit Issuer	12,000	12,000	13,494	(1,494)
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>58,721</u>	<u>208,279</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	3,129,588	(2,601,418)
Monroe County	47,900	47,900	289,228	(241,328)
St. Clair County	423,930	423,930	2,627,411	(2,203,481)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>6,046,227</u>	<u>(5,046,227)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	9,474,781	-
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>9,474,781</u>	<u>-</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>18,045,093</u>	<u>10,996,388</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	191,041	48,959
Bank Service Charges	1,000	1,000	501	499
Equipment and Software	2,000	2,000	463	1,537
Fiscal Agency Services	37,000	37,000	33,568	3,432
Audit Services	19,000	19,000	18,000	1,000
Meeting Expenses	1,000	1,000	150	850
Postage/Delivery	1,000	1,000	202	798
Printing/Photocopies	2,000	2,000	1,319	681
Professional Services	10,000	10,000	350	9,650
Supplies	3,000	3,000	425	2,575
Telecommunications/Internet	3,000	3,000	1,794	1,206
Travel	5,000	5,000	100	4,900
Insurance	1,000	1,000	6,098	(5,098)
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>254,011</u>	<u>70,989</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>18,299,104</u>	<u>11,067,377</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(15,316,481)	(15,316,481)	(3,188,943)	12,127,538
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (3,188,943)</u>	<u>\$ 12,127,538</u>

SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the Eleven Months Ended August 31, 2023 and 2022, and the year ending September 30, 2023

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2022, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

TWELVE MONTHS ENDED SEPTEMBER 30, 2023 AND 2022



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the twelve months ended September 30, 2023 and 2022, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2023, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2023 and 2022, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

Draft

CliftonLarsonAllen LLP

St. Louis, Missouri
October 13, 2023

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWELVE MONTHS ENDED SEPTEMBER 30, 2023 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)
DRAFT**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 17,136,192	\$ 355,141
Interest Income	100,000	100,000	747,917	(647,917)
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>17,884,109</u>	<u>(292,776)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,223,000	5,223,000	4,131,454	1,091,546
Management				
Construction	23,550,000	23,550,000	3,854,465	19,695,535
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>7,985,919</u>	<u>21,287,081</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	13,108	176,892
Financial Advisor	65,000	65,000	11,850	53,150
Bond Underwriter/Conduit Issuer	12,000	12,000	13,669	(1,669)
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>38,627</u>	<u>228,373</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	3,611,051	(1,762,351)
Monroe County	167,650	167,650	327,488	(159,838)
St. Clair County	1,483,650	1,483,650	2,898,371	(1,414,721)
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>6,836,910</u>	<u>(3,336,910)</u>
Debt Service				
Principal and Interest	9,549,731	9,549,731	9,549,731	-
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>9,549,731</u>	<u>-</u>
Total Operating Expenses	42,589,731	42,589,731	24,411,187	18,178,544
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	213,657	37,343
Bank Service Charges	1,000	1,000	547	453
Equipment and Software	2,000	2,000	975	1,025
Fiscal Agency Services	38,500	38,500	36,532	1,968
Audit Services	19,500	19,500	18,500	1,000
Meeting Expenses	1,000	1,000	98	902
Postage/Delivery	1,000	1,000	344	656
Printing/Photocopies	2,000	2,000	1,775	225
Professional Services	10,000	10,000	1,711	8,289
Memberships and Dues	-	-	-	-
Supplies	3,000	3,000	557	2,443
Telecommunications/Internet	3,000	3,000	3,565	(565)
Travel	5,000	5,000	202	4,798
Insurance	8,000	8,000	6,175	1,825
Business Expenses	-	-	-	-
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>284,638</u>	<u>60,362</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>24,695,825</u>	<u>18,238,906</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(25,343,398)	(25,343,398)	(6,811,716)	(18,531,682)
NET CHANGE IN FUND BALANCE	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (6,811,716)</u>	<u>\$ (18,531,682)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
TWELVE MONTHS ENDED SEPTEMBER 30, 2022 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2022 (Budget)
DRAFT**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 14,000,000	\$ 14,000,000	\$ 16,525,996	\$ (2,525,996)
Interest Income	50,000	50,000	128,056	(78,056)
Other Contributions	-	-	-	-
Total Revenues	<u>14,050,000</u>	<u>14,050,000</u>	<u>16,654,052</u>	<u>(2,604,052)</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,198,000	5,198,000	2,179,715	3,018,285
Management				
Construction	12,601,700	12,601,700	474,390	12,127,310
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>18,299,700</u>	<u>18,299,700</u>	<u>2,654,105</u>	<u>15,645,595</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	34,737	155,263
Financial Advisor	65,000	65,000	12,750	52,250
Bond Underwriter/Conduit Issuer	12,000	12,000	13,494	(1,494)
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>60,981</u>	<u>206,019</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	528,170	528,170	3,129,588	(2,601,418)
Monroe County	47,900	47,900	289,228	(241,328)
St. Clair County	423,930	423,930	2,627,411	(2,203,481)
Total Refund of Surplus Funds to County	<u>1,000,000</u>	<u>1,000,000</u>	<u>6,046,227</u>	<u>(5,046,227)</u>
Debt Service				
Principal and Interest	9,474,781	9,474,781	9,474,781	-
Federal Interest Subsidy	-	-	-	-
Total Debt Service	<u>9,474,781</u>	<u>9,474,781</u>	<u>9,474,781</u>	<u>-</u>
Total Operating Expenses	<u>29,041,481</u>	<u>29,041,481</u>	<u>18,236,095</u>	<u>10,805,386</u>
General and Administrative Costs				
Salaries, Benefits	240,000	240,000	210,022	29,978
Bank Service Charges	1,000	1,000	547	453
Equipment and Software	2,000	2,000	463	1,537
Fiscal Agency Services	37,000	37,000	36,278	722
Audit Services	19,000	19,000	18,000	1,000
Meeting Expenses	1,000	1,000	150	850
Postage/Delivery	1,000	1,000	210	790
Printing/Photocopies	2,000	2,000	1,319	681
Professional Services	10,000	10,000	350	9,650
Supplies	3,000	3,000	425	2,575
Telecommunications/Internet	3,000	3,000	1,916	1,084
Travel	5,000	5,000	100	4,900
Insurance	1,000	1,000	6,098	(5,098)
Total General & Administrative Costs	<u>325,000</u>	<u>325,000</u>	<u>275,877</u>	<u>49,122</u>
Total Expenditures	<u>29,366,481</u>	<u>29,366,481</u>	<u>18,511,972</u>	<u>10,854,508</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	<u>(15,316,481)</u>	<u>(15,316,481)</u>	<u>(1,857,920)</u>	<u>13,458,561</u>
NET CHANGE IN FUND BALANCE	<u>\$ (15,316,481)</u>	<u>\$ (15,316,481)</u>	<u>\$ (1,857,920)</u>	<u>\$ 13,458,561</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the Twelve Months Ended September 30, 2023 and 2022, and the year ending September 30, 2023
DRAFT**

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgment as of October 1, 2022, the date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION
DISTRICT COUNCIL**

**GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL**

ONE MONTH ENDED OCTOBER 31, 2023 AND 2022



Board Members
Southwestern Illinois Flood Prevention District Council
Collinsville, Illinois

Management is responsible for the accompanying General Fund Statement of Revenues and Expenditures of Southwestern Illinois Flood Prevention District Council (the "Council") for the one month ended October 31, 2023 and 2022, in accordance with accounting principles generally accepted in the United States of America. Management is also responsible for the accompanying financial forecast of Southwestern Illinois Flood Prevention District Council, which comprises the forecasted statements of revenues and expenditures for the year ending September 30, 2024, and the related summary of significant assumptions in accordance with guidelines for the presentation of financial forecast established by the American Institute of Certified Public Accountants (AICPA). We have performed compilation engagements in accordance with Statements on Standards for Accounting and Review services promulgated by the Accounting and Review Services Committee of the American Institute of certified Public Accountants. We did not audit or review the historical financial statements or the financial forecast nor were we required to perform any procedures to verify the accuracy or completeness of information provided by management. Accordingly, we do not express an opinion a conclusion, nor provide any form of assurances on these historical financial statements and this financial forecast.

The forecasted results may not be achieved as there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and these differences may be material. We assume no responsibility to update this report for events and circumstances occurring after the date of this report.

Management has omitted the management discussion and analysis. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

Management has not presented government-wide financial statements to display the financial position and changes in financial position of its governmental activity. Accounting principles generally accepted in the United States of America require the presentation of government-wide financial statements. The change in fund balance for the Council's governmental activity is not reasonably determinable.

Management has not presented a balance sheet for the general fund. Accounting principles generally accepted in the United States of America require the presentation of a balance sheet for each fund contained in the financial statements. The amounts that would be reported in a balance sheet of the general fund for the Council are not reasonably determinable.

Management has not presented a change in fund balance on the Statement of Revenues and Expenditures – Budget and Actual. Accounting principles generally accepted in the United States of America require the Statement of Revenues, Expenditures and Changes in Fund Balance include a presentation of changes in fund balance. The amounts that would be reported in government-wide financial statements for the Council's governmental activity is not reasonably determinable.

Management has also elected to omit substantially all of the disclosures required by generally accepted accounting principles. If the omitted disclosures were included with the financial statements, they might influence the user's conclusions about the Council's results of operations. Accordingly, the historical financial statements and the financial forecast are not designed for those who are not informed about such matters.

The accompanying original and final budget amounts presented on the General Fund Statement of Revenues and Expenditures – Budget and Actual presented for the year ending September 30, 2024 and 2023, have not been compiled or examined by us, and, accordingly, we do not express an opinion or any other form of assurance on them.

We are not independent with respect to Southwestern Illinois Flood Prevention District Council.

Draft

CliftonLarsonAllen LLP

St. Louis, Missouri
November 2, 2023

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2023 (Actual)
FISCAL YEAR ENDING SEPTEMBER 30, 2024 (Budget)
DRAFT**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,284,013	\$ 17,284,013	\$ 1,422,743	\$ 15,861,270
Interest Income	135,000	135,000	67,964	67,036
Other Contributions	-	-	-	-
Total Revenues	<u>17,419,013</u>	<u>17,419,013</u>	<u>1,490,707</u>	<u>15,928,306</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,567,539	5,567,539	199,504	5,368,035
Management				
Construction	22,492,464	22,492,464	568,417	21,924,047
Construction and design by US ACE	500,000	500,000	124,833	375,167
Total Design and Construction	<u>28,560,003</u>	<u>28,560,003</u>	<u>892,754</u>	<u>27,667,249</u>
Professional Services				
Legal & Legislative Consulting	175,000	175,000	8,409	166,591
Financial Advisor	65,000	65,000	1,800	63,200
Bond Underwriter/Conduit Issuer	15,000	15,000	-	15,000
Total Professional Services	<u>255,000</u>	<u>255,000</u>	<u>10,209</u>	<u>244,791</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,522,569	1,522,569	1,856,148	(333,579)
Monroe County	152,262	152,262	168,335	(16,073)
St. Clair County	1,325,169	1,325,169	1,489,817	(164,648)
Total Refund of Surplus Funds to County	<u>3,000,000</u>	<u>3,000,000</u>	<u>3,514,300</u>	<u>(514,300)</u>
Debt Service				
Principal and Interest	9,623,731	9,623,731	3,723,766	5,899,965
Total Debt Service	<u>9,623,731</u>	<u>9,623,731</u>	<u>3,723,766</u>	<u>5,899,965</u>
Total Operating Expenses	41,438,734	41,438,734	8,141,029	33,297,705
General and Administrative Costs				
Salaries, Benefits	246,000	246,000	19,868	226,132
Bank Service Charges	1,000	1,000	61	939
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	40,000	40,000	2,961	37,039
Audit Services	21,000	21,000	-	21,000
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	9	991
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	12,000	12,000	-	12,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	349	2,651
Travel	5,000	5,000	-	5,000
Insurance	8,000	8,000	547	7,453
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>23,795</u>	<u>321,205</u>
Total Expenditures	<u>41,783,734</u>	<u>41,783,734</u>	<u>8,164,823</u>	<u>33,618,911</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(24,364,721)	(24,364,721)	(6,674,116)	(17,690,605)
NET CHANGE IN FUND BALANCE	<u>\$ (24,364,721)</u>	<u>\$ (24,364,721)</u>	<u>\$ (6,674,116)</u>	<u>\$ (17,690,605)</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
STATEMENT OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
ONE MONTH ENDED OCTOBER 31, 2022 (ACTUAL)
FISCAL YEAR ENDING SEPTEMBER 30, 2023 (Budget)
DRAFT**

	BUDGET		ACTUAL	VARIANCE WITH
	ORIGINAL	FINAL		FINAL BUDGET
				POSITIVE (NEGATIVE)
REVENUES				
Sales Tax Proceeds From Districts	\$ 17,491,333	\$ 17,491,333	\$ 1,410,583	\$ 16,080,750
Interest Income	100,000	100,000	39,552	60,448
Other Contributions	-	-	-	-
Total Revenues	<u>17,591,333</u>	<u>17,591,333</u>	<u>1,450,135</u>	<u>16,141,198</u>
EXPENDITURES				
Current				
Design and Construction				
Engineering Design & Construction	5,223,000	5,223,000	341,179	4,881,821
Management				
Construction	23,550,000	23,550,000	3,751	23,546,249
Construction and design by US ACE	500,000	500,000	-	500,000
Total Design and Construction	<u>29,273,000</u>	<u>29,273,000</u>	<u>344,930</u>	<u>28,928,070</u>
Professional Services				
Legal & Legislative Consulting	190,000	190,000	-	190,000
Financial Advisor	65,000	65,000	900	64,100
Bond Underwriter/Conduit Issuer	12,000	12,000	-	12,000
Total Professional Services	<u>267,000</u>	<u>267,000</u>	<u>900</u>	<u>266,100</u>
Refund of Surplus Funds to County FPD Accounts				
Madison County	1,848,700	1,848,700	1,464,201	384,499
Monroe County	167,650	167,650	132,789	34,861
St. Clair County	1,483,650	1,483,650	1,175,225	308,425
Total Refund of Surplus Funds to County	<u>3,500,000</u>	<u>3,500,000</u>	<u>2,772,215</u>	<u>727,785</u>
Debt Service				
Principal and Interest	9,549,731	9,549,731	3,690,666	5,859,065
Total Debt Service	<u>9,549,731</u>	<u>9,549,731</u>	<u>3,690,666</u>	<u>5,859,065</u>
Total Operating Expenses	<u>42,589,731</u>	<u>42,589,731</u>	<u>6,808,712</u>	<u>35,781,019</u>
General and Administrative Costs				
Salaries, Benefits	251,000	251,000	15,530	235,470
Bank Service Charges	1,000	1,000	46	954
Equipment and Software	2,000	2,000	-	2,000
Fiscal Agency Services	38,500	38,500	2,793	35,707
Audit Services	19,500	19,500	-	19,500
Meeting Expenses	1,000	1,000	-	1,000
Postage/Delivery	1,000	1,000	21	979
Printing/Photocopies	2,000	2,000	-	2,000
Professional Services	10,000	10,000	-	10,000
Supplies	3,000	3,000	-	3,000
Telecommunications/Internet	3,000	3,000	1,008	1,992
Travel	5,000	5,000	-	5,000
Insurance	8,000	8,000	525	7,475
Total General & Administrative Costs	<u>345,000</u>	<u>345,000</u>	<u>19,922</u>	<u>325,077</u>
Total Expenditures	<u>42,934,731</u>	<u>42,934,731</u>	<u>6,828,634</u>	<u>36,106,096</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	(25,343,398)	(25,343,398)	(5,378,498)	19,964,900
NET CHANGE IN FUND BALANCE	<u>\$ (25,343,398)</u>	<u>\$ (25,343,398)</u>	<u>\$ (5,378,498)</u>	<u>\$ 19,964,900</u>

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
GENERAL FUND
HISTORICAL AND BUDGETED FINANCIAL STATEMENTS
SUMMARY OF SIGNIFICANT ASSUMPTIONS
For the One Month Ended October 31, 2023 and 2022, and the year ending September 30, 2024
DRAFT**

Summary of Significant Assumptions

These financial forecasts present, to the best of management's knowledge and belief, the Council's expected financial position and results of operations for the forecast periods. Accordingly, the forecasts reflect its judgement as of October 1, 2023 and date of these forecasts, of the expected conditions and its expected course of action. The assumptions disclosed hereon are those that management believes are significant to the forecasts. There will be usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected, and those differences may be material.

Statements of Activities Assumptions

- | | |
|--|-----|
| 1. Sales tax proceeds from districts are expected to grow at a rate of based on prior year | 4% |
| 2. Design and Construction based on engineers plans for the year | 57% |
| 3. Professional Services based on expected needs to purchase easements and other costs | 56% |
| 4. Salaries, benefits and taxes based on annual salary increase | 3% |

Flood Prevention District Sales Tax Trends 2009-2023

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
2009	\$691,588	\$732,364	\$859,811	\$824,537	\$874,802	\$900,479	\$849,401	\$849,169	\$868,594	\$859,754	\$893,068	\$1,124,290	\$10,327,857	
2010	\$757,374	\$808,220	\$960,768	\$946,214	\$924,312	\$953,709	\$895,275	\$898,581	\$886,633	\$902,537	\$946,242	\$1,167,140	\$11,047,005	
2011	\$782,320	\$813,966	\$956,883	\$937,357	\$945,180	\$999,204	\$914,984	\$950,403	\$931,850	\$899,687	\$949,484	\$1,183,395	\$11,264,713	
2012	\$780,668	\$860,871	\$987,625	\$949,415	\$997,002	\$996,492	\$896,548	\$921,748	\$912,018	\$899,040	\$929,472	\$1,163,485	\$11,294,384	
2013	\$794,931	\$818,376	\$919,593	\$905,383	\$963,366	\$961,791	\$902,250	\$919,787	\$905,288	\$893,572	\$927,728	\$1,114,251	\$11,026,316	
2014	\$770,978	\$819,459	\$964,694	\$941,905	\$995,682	\$1,011,479	\$929,249	\$935,226	\$938,283	\$929,126	\$947,722	\$1,165,623	\$11,349,426	
2015	\$822,161	\$813,702	\$954,534	\$937,146	\$987,590	\$1,018,166	\$933,276	\$955,803	\$955,617	\$952,766	\$948,234	\$1,199,694	\$11,478,688	
2016	\$808,455	\$856,751	\$995,459	\$928,154	\$974,167	\$1,021,380	\$933,254	\$936,644	\$960,144	\$949,471	\$981,877	\$1,205,068	\$11,550,824	
2017	\$829,224	\$872,167	\$1,029,226	\$985,112	\$1,045,182	\$1,044,517	\$973,275	\$1,004,076	\$1,000,900	\$995,901	\$1,032,735	\$1,218,755	\$12,031,070	
2018	\$884,025	\$885,009	\$1,067,122	\$993,560	\$1,102,138	\$1,087,259	\$1,010,353	\$1,036,244	\$1,008,420	\$1,027,289	\$1,056,091	\$1,191,097	\$12,348,606	
2019	\$856,912	\$716,345	\$1,073,214	\$1,069,141	\$1,104,088	\$1,070,741	\$1,026,862	\$1,038,732	\$1,018,760	\$1,042,225	\$1,040,888	\$1,208,970	\$12,266,878	
2020	\$901,535	\$883,512	\$936,044	\$853,705	\$965,224	\$1,096,611	\$1,069,143	\$1,051,633	\$1,104,459	\$1,052,506	\$1,016,784	\$1,207,643	\$12,138,797	
2021	\$1,054,979	\$949,654	\$1,477,433	\$1,336,095	\$1,346,344	\$1,415,874	\$1,307,969	\$1,324,151	\$1,350,056	\$1,346,783	\$1,393,672	\$1,608,126	\$15,911,137	

2022

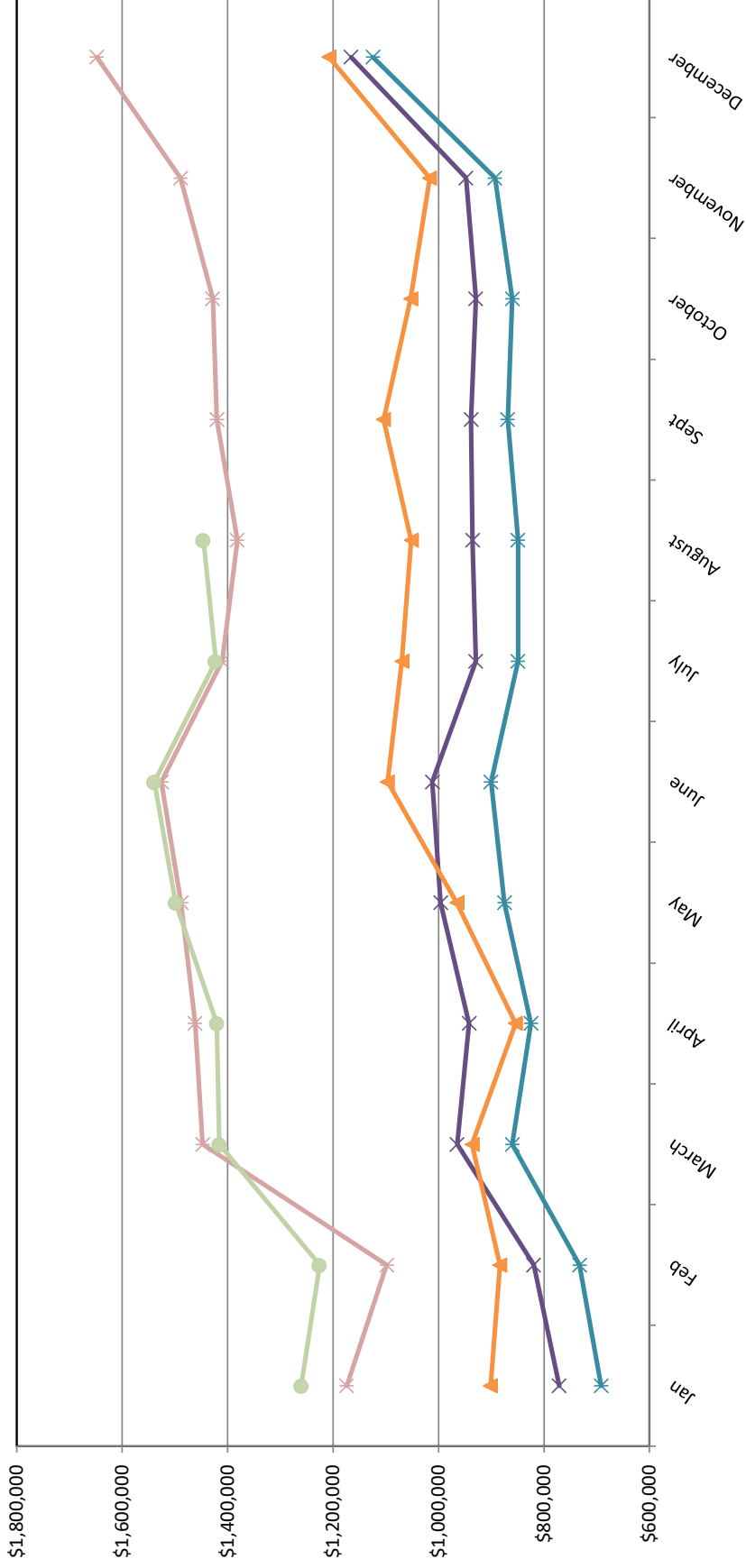
	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$591,701	\$549,944	\$722,877	\$746,182	\$760,806	\$776,116	\$726,989	\$710,926	\$714,836	\$734,672	\$774,797.34	\$803,762.97	\$8,613,609	50.750%
St. Clair	\$520,930	\$491,970	\$652,499	\$644,157	\$651,404	\$675,466	\$611,281	\$602,062	\$633,377	\$618,991	\$639,253.50	\$756,355.07	\$7,497,746	44.175%
Monroe	\$61,275	\$6,457	\$71,820	\$71,442	\$76,217	\$73,977	\$72,314	\$68,546	\$72,054	\$74,543	\$74,890.38	\$87,855.22	\$861,390	5.075%
Total Month	\$1,173,907	\$1,098,371	\$1,447,196	\$1,461,780	\$1,488,426	\$1,525,559	\$1,410,583	\$1,381,535	\$1,420,268	\$1,428,206	\$1,488,941	\$1,647,973	\$16,972,745	
Cumulative Total	\$1,173,907	\$2,272,277	\$3,719,474	\$5,181,254	\$6,669,680	\$8,195,239	\$9,605,822	\$10,987,357	\$12,407,624	\$13,835,831	\$15,324,772	\$16,972,745		
% change/month	11.27%	15.66%	-2.05%	9.41%	10.55%	7.75%	7.85%	4.33%	5.20%	6.05%	6.84%	2.48%		
% change/total	11.27%	13.35%	6.82%	7.54%	8.19%	8.11%	8.07%	7.59%	7.31%	7.18%	7.14%	6.67%		

2023

	Jan	Feb	March	April	May	June	July	August	Sept	October	November	December	Total	Share
Madison	\$649,982	\$621,629	\$719,390	\$730,157	\$776,488	\$811,381	\$735,008	\$748,144					\$5,792,179	51.590%
St. Clair	\$545,768	\$538,170	\$624,790	\$616,469	\$644,210	\$650,557	\$613,115	\$623,423					\$4,856,501	43.256%
Monroe	\$64,447	\$65,529	\$71,517	\$73,544	\$77,644	\$77,015	\$74,620	\$74,346					\$578,662	5.154%
Total Month	\$1,260,197	\$1,225,328	\$1,415,697	\$1,420,170	\$1,498,341	\$1,538,953	\$1,422,743	\$1,445,913					\$11,227,342	
Cumulative Total	\$1,260,197	\$2,485,524	\$3,901,221	\$5,321,391	\$6,819,733	\$8,358,686	\$9,781,429	\$11,227,342						
% change/month	7.35%	11.56%	-2.18%	-2.85%	0.67%	0.88%	0.86%	4.66%						
% change/total	7.35%	9.38%	4.89%	2.70%	2.25%	1.99%	1.83%	2.18%						

Flood Prevention District Sales Tax Trends 2009-2023

Monthly Receipts 2009-2014-2020-2022-2023



**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
August 31, 2023**

Beginning Bank Balance as of August 1, 2023 **\$ 1,351,777.60**

Receipts

Busey Bank	08/15/2023	Admin Transfer	24,985.48
Busey Bank	08/15/2023	Construction Transfer	587,569.17
Busey Bank	08/31/2023	Interest	50.06
			\$ 612,604.71

Disbursements

CliftonLarsonAllen LLP	08/04/2023	Fiscal Agent	1,396.50
WSP Global, Inc.	08/03/2023	Construction	182,655.65
CliftonLarsonAllen LLP	08/17/2023	Fiscal Agent	1,396.50
USPS	08/09/2023	Postage	9.35
USPS	08/16/2023	Postage	65.45
Husch Blackwell	08/17/2023	Legal	6,407.90
East West Gateway	08/31/2023	Supervisor Management Services	19,773.18
Columbia Capital	08/21/2023	Financial Advisor	900.00
AT&T	08/18/2023	Office Phone	156.74
Busey Bank	08/29/2023	Wire Fee	15.00
Busey Bank	08/29/2023	Wire Fee	15.00
Busey Bank	08/31/2023	Bank Fee	15.45
Cost Less Copy Center	08/17/2023	Printing & Copying	352.00
Wal-Mart	08/07/2023	Supplies	28.46
Keller Construction, Inc.	08/28/2023	Construction	388,226.92
Office Depot	08/07/2023	Supplies	46.63
UMB Bank, NA	08/09/2023	Bond Trustee Fee	3,317.80
UMB Bank, NA	08/17/2023	Bond Trustee Fee	3,460.90
Phillips 66 Carrier, LLC	08/22/2023	Easement & Legal Acquisition	1,700.00
Metroeast Park & Recreation District	08/22/2023	Internet Service	720.00
			\$ 610,659.43

\$ 1,353,722.88

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
September 30, 2023**

Beginning Bank Balance as of September 1, 2023			\$ 1,353,722.88
Receipts			
Busey Bank	09/15/2023	Admin Transfer	43,531.84
Busey Bank	09/15/2023	Construction Transfer	247,936.05
Busey Bank	09/30/2023	Interest	50.64
			\$ 291,518.53
Disbursements			
CliftonLarsonAllen LLP	09/12/2023	Fiscal Agent	1,396.50
WSP Global, Inc.	09/13/2023	Construction	237,389.40
CliftonLarsonAllen LLP	09/19/2023	Fiscal Agent	1,396.50
USPS	09/20/2023	Postage	9.35
Safeguard	09/07/2023	Supplies	204.14
Husch Blackwell	09/19/2023	Legal	10,546.65
East West Gateway	09/26/2023	Supervisor Management Services	20,813.85
AT&T	09/12/2023	Office Phone	142.46
Busey Bank	09/28/2023	Wire Fee	15.00
Busey Bank	09/28/2023	Wire Fee	15.00
Busey Bank	09/30/2023	Bank Fee	15.60
			\$ 271,944.45
			\$ 1,373,296.96

**SOUTHWESTERN ILLINOIS FLOOD PREVENTION DISTRICT COUNCIL
SUPPLEMENTARY SUPPORTING SCHEDULE
BANK TRANSACTIONS
October 31, 2023**

Beginning Bank Balance as of October 1, 2023			\$ 1,373,296.96
Receipts			
Busey Bank	10/31/2023	Admin Transfer	2,088.68
Busey Bank	10/31/2023	Construction Transfer	879,350.47
Busey Bank	10/31/2023	Interest	45.67
			\$ 881,484.82
Disbursements			
CliftonLarsonAllen LLP	10/02/2023	Fiscal Agent	1,396.50
WSP Global, Inc.	10/10/2023	Construction	199,504.42
CliftonLarsonAllen LLP	10/19/2023	Fiscal Agent	1,480.50
USPS	10/18/2023	Postage	9.35
US Army Corps of Engineers STL District	10/04/2023	Construction	124,832.97
Husch Blackwell	10/25/2023	Legal	13,300.00
Husch Blackwell	10/24/2023	Legal	9,412.50
East West Gateway	10/27/2023	Supervisor Management Services	19,867.77
AT&T	10/11/2023	Office Phone	145.18
Busey Bank	10/23/2023	Wire Fee	15.00
Busey Bank	10/23/2023	Wire Fee	15.00
Busey Bank	10/23/2023	Wire Fee	15.00
Busey Bank	10/31/2023	Bank Fee	15.60
Keller Construction Inc	10/17/2023	Construction	554,113.08
Columbia Capital	10/02/2023	Financial Advisor	900.00
Selective Insurance Co of America	10/11/2023	Insurance	547.00
Columbia Capital	10/24/2023	Financial Advisor	900.00
Hostgator.com	10/24/2023	Domain	203.88
			\$ 926,673.75
			\$ 1,328,108.03



Memo to: Board of Directors
From: Chuck Ewert
Subject: Design and Construction Update
Date: November 13, 2023

Attached is WSP's Design and Construction Update, which Jon Omvig will present at the meeting.

Recommendation: Accept the November Design and Construction Update by WSP USA Environment & Infrastructure Inc.

Southwestern Illinois Levee Systems

Progress Report November 15, 2023

Jon Omvig

Authorized Level (~500-Year) Design

Wood River

- Bid Package 8 (Relief wells & a pump station)
 - USACE is constructing
 - Continue to respond to RFIs as needed
- Bid Package 9 (1 large seepage berm in Roxanna)
 - Awaiting USACE approval of Integral Determination Report (IDR)
 - Approval of a Project Partnership Agreement (PPA) amendment will be necessary after IDR approval
 - Design work for Bid Package 9 will begin after PPA amendment approval

Authorized Level (~500-Year) Design

Wood River

- Old Channel Wood River
 - City of Wood River is refusing to grant easement
 - Multiple meetings have been held among levee district, City, FPD Council, WSP, and the City of Wood River.
 - USACE is re-evaluating real estate needs for 3 alternatives

Authorized Level (~500-Year) Design

MESD

- Bid Package 11 (Relief wells & pump station modifications)
 - Project is approximately 46% complete
 - Relief well installation is nearly complete
 - Temporary easement is being sought from Beelman
 - 2 relief wells relocated to avoid an additional real estate acquisition
 - G.C.E.D. #1X Pump Station outfall structure construction has begun
 - WSP has informed the contractor that some of the pipe backfill does not meet specifications and asked for corrective action

Authorized Level (~500-Year) Design

MESD

- Bid Package 14B (filter blanket under I-70 bridge)
 - WSP prepared initial design
 - All project files have been transferred to USACE, per request
 - ROW Acquisition is underway
 - USACE will conduct BCOES review
 - USACE will bid and construct the project

Authorized Level (~500-Year) Design

MESD

- Bid Package 18A (Pump station & pipe replacement)
 - Currently in 35% design phase.

Authorized Level (~500-Year) Design

Prairie du Pont & Fish Lake

- Bid Package 15
 - Working towards 65% design submittal to USACE
- Bid Package 16
 - In preliminary design phase
- Bid Package 17
 - In preliminary design phase



Thank you



Memo to: Board of Directors
From: Chuck Ewert
Subject: Release of Executive Session Minutes
Date: November 13, 2023

Pursuant to the Open Meeting Act section 5ILCS 120/2.06(d), it is the policy of the Board of Directors of the Southwestern Illinois Flood Prevention District Council to semi-annually review the minutes of all closed meeting and acknowledge (1) if the need for confidentiality still exists as to all or part of the minutes or (2) that the minutes or portions thereof no longer require confidential treatment and are available for public inspection.

At the December 2017 Board meeting, the Board established a revised schedule of reviewing and releasing minutes no longer requiring confidentiality in May and November of each calendar year.

There have been no executive sessions since the release of meeting minutes in May 2023, therefore at this time, there are no minutes to be released. A Public Statement identifying those meeting minutes that must remain confidential is attached.

All minutes released are available for public inspection at the Council's office.

Recommendation:

Accept the Public Statement identifying minutes that must remain confidential.



PUBLIC STATEMENT

Pursuant to 5ILCS 120/2.06(d), the Board of Directors of the Southwestern Illinois Flood Prevention District Council reviewed the subject matter and discussion of the following meetings and now report in open session that the minutes of these meetings no longer require confidential treatment and are available for public inspection at the Council's office:

There are no meeting minutes being released at this time.

Furthermore, the need for confidentiality still exists as to all or part of the following meeting minutes:

February 18, 2015*	July 20, 2016*	March 15, 2017
March 18, 2015*	August 17, 2016*	May 17, 2017
April 15, 2015*	September 21, 2016*	March 15, 2017
May 20, 2015*	October 14, 2016*	December 20, 2017
June 17, 2015*	September 21, 2016*	May 15, 2019
November 18, 2015*	October 14, 2016*	July 17, 2019
February 17, 2016*	November 16, 2016*	August 21, 2019
June 15, 2016*	December 21, 2016*	August 19, 2020
		September 16, 2020

* The necessity of keeping some information closed still exists, meeting minutes with redactions were released June 21, 2017



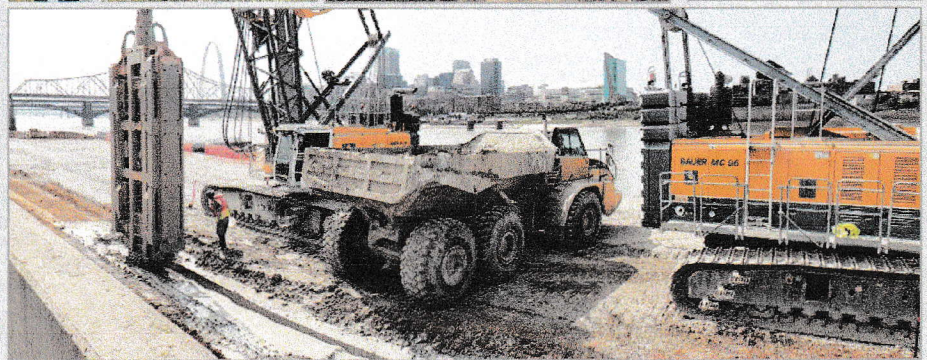
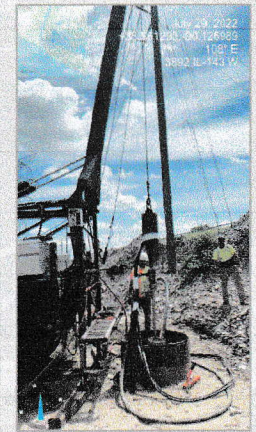
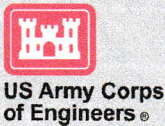
Memo to: Board of Directors
From: Chuck Ewert
Subject: Corps of Engineers Update
Date: November 13, 2023

Attached is Hal Graef's Corps of Engineers Update, which Hal will present at the meeting.

Recommendation: Accept the November Corps of Engineers Update by Hal Graef.

METRO EAST LEVEE SYSTEM PROGRAM UPDATE

Hal Graef
November 15, 2023



MEL PRICE SEGMENT OF UPPER WOOD RIVER



Feature/Task	Description	Estimated Date	Actual Date	Notes
Reach 1 Relief Well contract	4 existing Relief Wells; 55 new Relief Wells		Awarded 3Jun21	<ul style="list-style-type: none"> Punch list items being addressed <ul style="list-style-type: none"> Additional grading/seeding completed
Reach 2 Relief Well contract	16 existing Relief Wells; 25 new Relief Wells		Awarded 8Aug22	<ul style="list-style-type: none"> RW installation complete Collector channel ongoing <ul style="list-style-type: none"> installation of piezometer instruments/bollards placing rock on access road hydroseeding
Complete Mitigation				<ul style="list-style-type: none"> Mitigation credit purchase is complete. When complete with construction, will coordinate with USFWS and IDNR to review/document the mitigation requirements.
OMRR&R Payment to WRDLD	Compensation to LD to offset additional O&M expenses	FY24		<ul style="list-style-type: none"> Payment pending sufficient funds following completion of all construction and mitigation work. Possible use of project funds for Interim Operating Plan (IOP)
Project Close Out	All documents archived; financial close out			

Items in **bold** indicate updates from last meeting



WOOD RIVER



Feature/Task	Description	Estimated Date	Actual Date	Notes
Bid Package 8 contract	1 Pump Station/22 Relief Wells		Awarded 4Nov21	<ul style="list-style-type: none"> Pump Station Control System <ul style="list-style-type: none"> Expecting delivery of Pump Control Cabinet early Nov (backorder)
Pump Stations contract	PS #2 and #3 along Canal Rd		Awarded 28Sep22	<ul style="list-style-type: none"> Pump Station No. 2 – Started drilling Relief Wells Pump Station No. 3 – Finished driving sheet pile <ul style="list-style-type: none"> Recent discovery of waterline conflict/need for relocation
Relief Well #2/Ditch Work contract	55 Relief Wells plus ditch work to convey the water to the WR PS	TBD		<ul style="list-style-type: none"> USACE provided response to FPD/WRDL Dated 21Sep23 Alt Ditch is still considered a betterment (3 options provided) <ul style="list-style-type: none"> LRR plan, betterment, or acquire more flowage easements Met with FPD/WRDL D on 26Sep and 24Oct Technical USACE work is paused pending resolution
Relief Well #3 contract	30 Relief Wells	Aug24		<ul style="list-style-type: none"> Awaiting ROW Certification Issued NTP for Acq Amendment on 11Sep23 (reduced area)
Pump Station Modification contract	Mods to WR and Hawthorne PSs	TBD		<ul style="list-style-type: none"> Will review Plans & Specs and prepare for advertisement Seek FPD/LD confirmation on conveyance to WR PS
Berm	Vice PS/RW at Roxana	TBD		<ul style="list-style-type: none"> FPD requested to design/construct via WIK Integral Determination Report (IDR) drafted <ul style="list-style-type: none"> Recommending to MVD that berm is WIK-eligible
Mitigation	For BP-8 (maybe berm)			<ul style="list-style-type: none"> Plan is to purchase credits when berm is at 65% level of design
WIK	For BP-8 EDC			<ul style="list-style-type: none"> \$17,739,418.51 has been credited for both WIK No. 1&2 Next WIK request expected to be for BP-8 EDC
Total Project Cost	Update to TPC		4Aug23	<ul style="list-style-type: none"> Received Agency approval of \$107,471,000 cost estimate Federal funding identified via Bipartisan Infrastructure Law



EAST ST. LOUIS



Feature/Task	Description	Est. Date	Actual Date	Notes
Cutoff Wall/Jet Grout	MESD Stations 973+00 to 1020+00		Awarded 14Nov19	<ul style="list-style-type: none"> Construction complete October 2022 Final closeout complete September 2023
BP-12 Ph1	106 D-Type Relief Wells		Awarded 26Aug21	<ul style="list-style-type: none"> Construction substantially complete; pump testing of 36 RWs continues to be delayed due to low river levels
BP-12 Ph2	43 T-Type Relief Wells (Ph2 and Ph3)	Award: 4 th Qtr FY25		<ul style="list-style-type: none"> Approx. 65% design, with A/E design firm HDR continuing progress on railway shoring design for collector system In coordination with IDOT, TRRA, Veolia, Alton-Southern.
BP14B	Filter Blanket	TBD		<ul style="list-style-type: none"> USACE will administer construction contract after BCOES review is complete WSP may be needed to address mods during construction
WIK BP-11*	Relief Wells			<ul style="list-style-type: none"> USACE coordination with WSP/Keller during construction
WIK BP 18A*	Phillips Reach PS Modifications			<ul style="list-style-type: none"> USACE has reviewed flow study and concurs additional capacity is needed
WIK Credit Requests*	BP-14A and 18		8Aug23	<ul style="list-style-type: none"> \$12,183,767.91 has been credited for WIK No. 3-6 \$24,599,173.61 has been credited for all WIK to date
LERRDs*	Land, Easements, ROW, Relocations and Disposal			<ul style="list-style-type: none"> Response #2/#3 sent 21Sep23 <ul style="list-style-type: none"> \$1,607,516.56 has been credited for LERRDs to date
Total Project Cost	Update to TPC		9Aug23	<ul style="list-style-type: none"> \$151,065,000 is current estimate FPD estimates with construction of BP18A, BP11, and Remaining LERRDs, 35% cost share will be satisfied

*Recommend WIK/LERRDs credit requests be submitted at regular intervals, vice waiting to the end of the project.



PRAIRIE du PONT AND FISH LAKE



Feature/Task	Description	Estimated Date	Actual Date	Notes
Bid Package15 coordination	Pump Station and underseepage controls	TBD		<ul style="list-style-type: none">• Continue coordination with WSP on BP-15; complete with 35% design and review• 3Oct23 - Notice of Additional Funds Needed to complete BP-15 Reviews (65% and 95%)<ul style="list-style-type: none">▪ Includes USACE taking the lead on Section 106 Coordination with SHPO and tribes▪ Additional funds received
Future Bid Package coordination		TBD		<ul style="list-style-type: none">• Anticipate future Contributed Funds Agreement for each BP• Draft Agreement with Scope of Work (both BP-16 and BP-17) sent to FPD for review/comment on 8Nov23<ul style="list-style-type: none">▪ Based upon WSP's scope of improvements dated 10Jul23
Environmental Assessment	Supplemental EA	TBD		<ul style="list-style-type: none">• The applicant is to submit a revised/supplemental/updated EA for the entire project on the modified design (at least 65% design or greater)<ul style="list-style-type: none">▪ Borrow material will be contractor supplied and shall meet USACE borrow material specs• WSP archaeologist has provided work plan for Ph 2 testing of two archaeological sites. Change in project footprint has removed other sites identified in Ph 1 from Area of Potential Effect.<ul style="list-style-type: none">▪ Work plan and recommendations provided to SHPO and tribes.▪ To date, we have replies from two of the latter with no objections.▪ While discussed informally with SHPO, we are still awaiting official response.